



Chapter 14

Public Library Records Retention

Public Libraries Retention Schedule and County/Local General Retention Schedule

The Public Libraries Retention Schedule and the County/Local General Retention Schedule were revised in the past couple of years. All public libraries in Indiana must follow the public libraries and general retention schedule.

Any records not on the approved retention schedules cannot be destroyed without the permission of your county commission on public records and the Indiana Archives and Records Administration (IARA). Contact IARA at cty@iara.in.gov about records not on the approved schedules before filling out the SF30505.

Information on the preservation and destruction of public records – including general rules and definitions - as it pertains to the Indiana Code is detailed on the State Board of Accounts webpage on libraries (<https://www.in.gov/sboa/political-subdivisions/libraries/>); once there, scroll down the page to see the drop down menu for Indiana Codes, and select Public Records.

The Public Libraries Retention Schedule and the County/Local General Retention Schedule are available at the IARA webpage on Records Retention Schedule Help (<https://www.in.gov/iara/divisions/records-management/records-retention-schedules/>), as well as at the direct links listed below.

Records Retention FAQs

Q1) How long should we keep past insurance policies/coverage that have been replaced with new policies/coverage?

A1) Insurance paperwork is considered a contract under Record Series # GEN 10-25; keep for 10 years after the end of the insured year.

Q2) How long should we keep past in-house staff directories?

A2) If they simply provide personnel information in a different format than personnel records, destroy whenever, no form needed. Or use Record Series # GEN 10-04 and destroy after 3 years using the SF44905.

Q3) How long should we keep Statements of Concern regarding library materials

completed by patrons?

A3) Regard as correspondence under Record Series # GEN 10-04 and destroy after 3 years.

Q4) How long should we keep incident reports (injuries, accidents, behavior)?

A4) IARA is currently researching this topic and will most likely add a new Record Series to the Public Libraries retention schedule about patron incident reports. Currently, keep for 10 years until IARA completes this research. For updates on this topic, subscribe to the email bulletin by emailing cty@iara.in.gov.

Q5) How long should we keep emails?

A5) It depends entirely on the content of the emails. They are public records, but you will need to see which record series they belong to in the retention schedules to know the exact retention period of the individual email. Review the Quick Reference on Retaining Email Records: <https://www.in.gov/iara/files/email-quickreference.pdf>

Retention Schedules

- Public Libraries Retention Schedule (LIB):
https://www.in.gov/iara/files/county_library.pdf
- County/Local General Retention Schedule (GEN):
https://www.in.gov/iara/files/county_general.pdf
- Records Manager Handbook:
<https://www.in.gov/iara/files/handbook-staterecordsmanager.pdf>
- RIM Orientation Packet:
<https://www.in.gov/iara/files/orientationpacket-countylocal.pdf>



TRANSMITTAL OF PROPOSED / APPROVED RECORDS RETENTION AND DISPOSITION SCHEDULE

State Form 39443 (R8 / 9-21)

Agency	Issued by:
County/Local: Public Libraries	The Indiana Archives and Records Administration
Division (If left blank, retention schedule applies to entire agency.)	Records Management Division
	402 West Washington Street, Room W472
Date sent (mm/dd/yyyy)	Indianapolis, IN 46204
2/9/2022	rmd@iara.in.gov

SECTION I

PROPOSED RECORDS RETENTION AND DISPOSITION SCHEDULES

Please have your Appointing Authority (agency head) sign this sheet electronically in the blank provided under Section III, to acknowledge approval of the proposed amendments. Then, return the electronic file to IARA's Records Management Division. You will be sent a copy of the final version of the schedule after it has been approved by the Oversight Committee on Public Records.

SECTION II

GENERAL INFORMATION

An approved Records Retention and Disposition Schedule grants your agency the authority to transfer or destroy records on a continuing basis. Please consult the Records Coordinator and Records Center handbooks for transfer and destruction information, or contact the Indiana Archives or Records Center staff directly for guidance on their specific procedures.

Any questions concerning how to interpret the instructions in your approved retention schedule should be directed to the Records Management Division at rmd@iara.in.gov.

A retention schedule must be current to be effective. The Records Management Division will contact your Agency Records Coordinator for periodic reviews to ensure that both language and content are up to date. If your agency needs to change the schedule between those review periods, just contact the Records Management Division, and a new update project will be initiated immediately.

SECTION III

AGENCY APPROVAL

Agency Head e-signature

[Originating Agency is IARA; signature will be applied below after OCPR approval.]


SECTION IV

APPROVED RECORDS RETENTION AND DISPOSITION SCHEDULES

The Oversight Committee on Public Records, at its meeting held on 2/23/2022 approved this Records Retention and Disposition Schedule for your agency.

The finalized schedule is enclosed. The Records Coordinator should retain the original and forward copies to agency staff as needed.

Indiana Archives and Records Administration e-signature of final approval

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Instructions – updated 02/23/2022:

Update Overview, February 2022: No changes were made to this retention schedule except for an update to this cover sheet, which are the new default Retention Schedule Instructions for all County/Local retention schedules.

- 1) **Officials should first reference their office-specific Retention Schedule.** If no listed Record Series covers the record's subject matter, then refer to the County/Local General Retention Schedule (GEN).
- 2) **Copy of Record vs. Duplicate:** A "Copy of Record" is the record that your agency has chosen to be the official record which fulfills all Records Retention Schedule and other state and federal rules that affect the record. A Duplicate is any other record that contains the same information. Unless the record is defined on the retention schedule as CRITICAL, Duplicates are considered a non-record and may be destroyed at any time. No permission from IARA or your county Commission of Public Records is required, and no forms need be submitted.
 - a) **A record which is contained in a federal database** cannot be your Copy of Record, because it is not a State of Indiana record. The information that existed as a State of Indiana record BEFORE you submitted it to the federal government is your Copy of Record.
- 3) **Records NOT designated as PERMANENT or CRITICAL on any retention schedule** may be destroyed **ONLY** after:
 - a) they have reached the end of their designated retention period **AND**
 - b) 30 days have passed since the submission of a [Notice of Destruction of County/Local Government Records in Accordance with an Approved Retention Schedule](#) (SF 44905) to IARA.
 - c) **If IARA does not reply within 30 days, or at all**, you are free to destroy the records.
 - d) **After destruction**, submit a copy of the completed form to the Secretary of your county Commission of Public Records.
 - e) **If your office would prefer to donate the records to an interested historical entity instead of destroying them**, a SF 30505 must be submitted to IARA and to the county Commission of Public Records, and approval must be received from both parties.
- 4) **Record Series designated as PERMANENT on any retention schedule**, but **NOT** designated as **CRITICAL**, must be preserved permanently, but there are several options for fulfilling this requirement:
 - a) Original records may be **maintained permanently in the office of origin**.
 - i) **Original records may be microfilmed**, with the **microfilm retained permanently in the office of origin**. Once the microfilm has been verified for completeness and legibility, it is considered the **Copy of Record** (see Item 2 above), with all records retention schedule instructions applying to it, while **the original version is considered a Duplicate**. (See Item 2 above.)
 - b) **A request to transfer** original, microfilmed, or electronic records (SF 48883) may be **submitted to IARA** if storage space does not allow for maintaining the Copy of Record at the originating office. However, such requests will be approved **only at the discretion of the Indiana Archives**. Title to any record transferred to the Archives transfers along with the record, per Indiana Code 5-15-5.1-11.
 - c) If IARA cannot accept the records, then the record must be maintained permanently in the office of origin, under options a) or b) above.
- 5) **Record Series designated as CRITICAL MUST be microfilmed** according to the standards outlined in 60 IAC 2 / Indiana Rules of Court Administrative Rule 6. Copies must be distributed and retained as indicated in the retention instructions for that Record Series.
 - a) **Copies ADDITIONAL to the required list** are duplicates and may be destroyed at any time. (See Item 2 above.)
- 6) **Records whose subject matter is NOT COVERED by any Record Series** on an approved retention schedule may be **destroyed OR transferred to the Indiana Archives OR transferred to a local historical entity**, ONLY after a [Request for Exception to County/Local Retention Schedule or Permission to Dispose Of Non-Scheduled County/Local Public Records \(PR-1\)](#) (SF 30505) has been submitted to IARA and to the county Commission of Public Records, and approval has been received from both parties.
- 7) **MICROFILMING IN GENERAL: ANY record MAY be microfilmed** according to the standards outlined in 60 IAC 2/Indiana Rules of Court Administrative Rule 6, to meet storage or access needs in the office of origin, whether or not the specific Record Series requires such microfilming.
 - a) Once the microfilm has been verified for completeness and legibility, it is considered the **Copy of Record (see Item 2 above)**, with all records retention schedule instructions applying to it, while **the original version is considered a duplicate**.
- 8) **ELECTRONIC RECORDS: For ANY records whose original version is electronic**, or for offices wishing to duplicate such records electronically, **contact IARA's Electronic Records Program** (erecords@iara.in.gov) for advice and instructions on preservation.
- 9) **In the case of an applicable legal hold**, destruction or transfer of all record-types must be delayed.
- 10) **ADDITIONAL GUIDELINES**
 - a) Any record or file whose contents **fall under more than one Record Series** must be maintained for the **longest applicable retention period**.
 - b) With the exception of RS GEN 10-16, (which covers only the specific Payroll Record forms listed) **specific forms or reports listed in the description of a Record Series are provided as examples**, not an exclusive or exhaustive list. If a Record Series describes the subject matter of your record, then the Record Series likely covers your record.
 - c) If you are **unsure about whether your records are covered by an existing Record Series**, please contact IARA's County/Local Records Management team (cty@iara.in.gov/317-232-3380) for advice before listing the record on a SF 30505.
 - d) **Item Numbers** on this Schedule are used for reading convenience only; they are **not a permanent part of the Record Series**. Copies of this Retention Schedule printed from the IARA website may list the Record Series in a different order due to automated sorting.

Please see IARA's [County/Local Records Custodian Handbook](#) for complete information on proper destruction procedures for eligible records.

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
1	LIB 10-01	ANNUAL PUBLIC LIBRARY REPORT The originating library's copy of a report submitted annually to the Indiana State Library and preserved in the Indiana Archives under RS 2002-08.	DESTROY after ten (10) years and after receipt of STATE BOARD of ACCOUNTS Audit Report and satisfaction of unsettled charges.
2	LIB 10-02	LEDGERS 14.1 Library Form: Form 1, 1A, 1B, and 1C: Library Financial and Appropriation Ledger. 14.2 General Form: Form 369 , General Fixed Asset Account Group Ledger. Capital Assets Ledger.	PERMANENT. See retention schedule instructions for microfilming and transfer options.
3	LIB 10-03	PATRON LIBRARY CARD, RECIPROCAL BORROWING CARD APPLICATION	DESTROY three (3) years after patron account becomes inactive.
4	LIB 10-04	PUBLIC LIBRARY ACCESS CARD (PLAC) & NON-RESIDENT CARD APPLICATION	DESTROY after three (3) years and after receipt of STATE BOARD of ACCOUNTS Audit Report and satisfaction of unsettled charges.
5	LIB 10-05	NO-FEE INTERLIBRARY LOAN FILES Applies to interlibrary loans for which a patron or library is not charged and does not collect a fee. Access to these records may be affected by IC 5-14-3-4(a)(16)(A).	DESTROY 30 days after return of materials.
6	LIB 20-01	FEE-BASED INTERLIBRARY LOAN FILES - COLLECTED Applies to interlibrary loans for which this library collects a fee. Access to these records may be affected by IC 5-14-3- 4(a)(16)(A). Retention based on IC 34-11-2-6.	DESTROY after six (6) years and after receipt of STATE BOARD of ACCOUNTS Audit Report and satisfaction of unsettled charges.
7	LIB 20-02	FEE-BASED INTERLIBRARY LOAN FILES - PAID OUT Applies to interlibrary loans for which this library or their patron was charged a fee. Access to these records may be affected by IC 5-14-3-4(a)(16)(A). Retention based on IC 34-13-1-1.	DESTROY after ten (10) years and after receipt of STATE BOARD of ACCOUNTS Audit Report and satisfaction of unsettled charges.
8	LIB 10-07	PROGRAM REGISTRATION INFORMATION	DESTROY each April for previous calendar year.
9	LIB 10-08	COMPUTER USE SIGN UP SHEETS & LOGS Patron agreement to utilize public access computers provided by a library. Access to these records may be affected by IC 5-14-3-4(a)(16)(A).	DESTROY 90 days after date of use.
10	LIB 11-01	MEETING/CONFERENCE ROOM AGREEMENTS No-fee agreements for use of library meeting and/or conference rooms. If fees are collected for use of meeting and/or conference room, see GEN 10-25.	DESTROY after three (3) years.
11	LIB 20-03	NEWSPAPER COLLECTION MICROFILM Master rolls created when a library microfilms a paper periodical collection. These are non-record materials, but may be collected by the Indiana Archives as a courtesy, depending on space availability and historical value of the information.	TRANSFER to the INDIANA ARCHIVES for EVALUATION, SAMPLING and WEEDING pursuant to archival principles upon individual transfer agreement between the library and the INDIANA ARCHIVES.



County/Local General Retention Schedule (GEN)

INDIANA ARCHIVES AND RECORDS ADMINISTRATION (IARA) - County Records Management

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
ADMINISTRATIVE			
1	GEN 10-01	MINUTES Official minutes of any county/local agency, board, commission, or of any division. Includes agendas and other supporting documents presented at the meeting. THIS IS A CRITICAL RECORD. Retention based on IC 5-15-5.1-5(a) (9), and IC 5-15-5.1-12.	PERMANENT AND CRITICAL. See Retention Schedule Instructions for format and transfer options.
2	GEN 10-02	COUNTY/LOCAL AGENCY, BOARD OR COMMISSION MEETING RECORDINGS For offices, boards or commissions that record their meetings in audiovisual or electronic formats for the purpose of transcribing the minutes, and use the recordings to complete the minutes of the meetings.	DESTROY after relevant minutes are transcribed and approved.
3	GEN 10-03	POLICY FILES - OFFICE HOLDERS, DEPUTIES, AND DIVISION DIRECTORS These office files document substantive actions of administrative agency appointing authorities, deputy directors, and division directors. These records constitute the official record of an agency's performance of its functions and the formation of policy and program initiatives. This series may include various types of records such as correspondence, memos, and reports concerning agency policy and procedures, organization, program development and reviews. Disclosure of these records may be affected by IC 5-14-3-4(b) (6).	PERMANENT. See Retention Schedule Instructions for format and transfer options.
4	GEN 10-04	GENERAL FILES Any records that do not develop or document official office policy, do not fall under another general or office-specific Record Series, and are not subject to any known legislative, contract, or policy requirements that would require a longer retention period. Files may include, but are not limited to: staff responses to routine public inquiries, general information concerning an agency's events, programs, products and services, routine day-to-day office management activities and correspondence, electronic communications, and phone calls recorded for quality-assurance purposes. Records may include information subject to various disclosure restrictions; if present, that information should be destroyed in a confidential manner.	DESTROY after three (3) years.
5	GEN 10-05	LEGAL FILES All records pertaining to litigation with the county/local government and all supporting documentation. Also includes investigation files and reports from agencies who investigate civil violations (including housing and employment discrimination). This includes the Notice of Tort Claim for Property Damage and/or Personal Injury, SF 54668, if a claim is brought against the political subdivision. (See Record Series GEN 14-01 if no claim is brought.) Disclosure of these records may be affected by IC 5-14-3-4(a) (1), (3), (6) and (8). Retention consistent with IC 34-11-2-4 and -6 and IC 35-41-4-2(a).	RETAIN in office five (5) years after exhaustion of litigation. TRANSFER records that have been determined by office of origination to have historical significance to the INDIANA ARCHIVES for EVALUATION, SAMPLING and WEEDING pursuant to archival principles. DESTROY remaining records.



County/Local General Retention Schedule (GEN)

INDIANA ARCHIVES AND RECORDS ADMINISTRATION (IARA) - County Records Management

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
6	GEN 14-01	<p>NOTICE OF TORT CLAIM FOR PROPERTY DAMAGE AND/OR PERSONAL INJURY, SF 54668</p> <p>This form is included in Record Series GEN 10-05, if a claim is brought against the political subdivision. Retention based on IC 34-11-2-4.</p>	DESTROY after three (3) years if a claim is not brought against the political subdivision within the statute of limitations.
7	GEN 10-06	<p>LEGISLATIVE WORKING PAPERS</p> <p>Records created by a county/local agency related to proposals for new or amended ordinances, resolutions, statutes, or administrative rule(s). Disclosure of these records may be affected by IC 5-14-3-4(b)(6).</p>	PERMANENT. See Retention Schedule Instructions for format and transfer options.
8	GEN 23-10	<p>ORDINANCES</p> <p>The official record copy of an authoritative order, decree, or a piece of legislation enacted by a municipal authority. THIS IS A CRITICAL RECORD.</p>	PERMANENT and CRITICAL. See Retention Schedule Instructions for format and transfer options.
9	GEN 10-08	<p>DISASTER RECOVERY AND CONTINUITY PLANS</p> <p>The local government entity's copy of all Disaster Recovery / Continuity Plans, including those for electronic systems, as well as supporting documentation used in the development of the plans. Disclosure of these records may be affected by IC 5-14-3-4(b)(19).</p>	Adopted Plans: PERMANENT. See Retention Schedule Instructions for format and transfer options. Supporting documentation for previous Plans: DESTROY three (3) years after the adoption of the next Plan.
10	GEN 16-02	<p>BUSINESS APPLICATION LICENSES</p> <p>Includes all applications for licenses to operate specific categories of business, as determined by the county, city or town.</p>	DESTROY three (3) years after the date of license expiration.
11	GEN 20-01	<p>DOCUMENTATION OF PUBLIC RECORDS DISPOSITION</p> <p>All records regarding the transfer, destruction, or format conversion of county/local public records, whether located in the originating office, or in the office of the Secretary of the County Commission of Public Records.</p> <p>Files include but are not limited to: SF 44905, Notice of Destruction of County/Local Government Records in Accordance with an Approved Retention Schedule, SF 30505, Request for Exception to County/Local Retention Schedule or Permission to Dispose Of Non-Scheduled County/Local Public Records (PR-1), SF 52408, Microfilm Transmittal and Receipt, SF 48883, State Archives Transmittal and Receipt, or equivalent transfer form provided by a local historical entity.</p> <p>Also includes all records documenting the conversion of public records from one format to another while maintaining prescribed standards of quality. (Microfilming, imaging, digitization of audiovisual materials, etc.)</p>	PERMANENT. Maintain in office; these records may not be transferred to the INDIANA ARCHIVES unless requested by Indiana Archives staff. See Retention Schedule Instructions for format options.
12	GEN 23-04	<p>MAIL AND PACKAGE DELIVERY RECORDS</p>	DESTROY two (2) years after the month of mailing.

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
13	GEN 23-07	EDUCATION AND OUTREACH MATERIALS Records of non-staff training and presentations offered by an agency. (Staff training records fall under Record Series GEN 23-05.) May include registration and attendance records, handouts, PowerPoint slides, electronic course files, and other supporting documentation. If any of the materials handed out are an official publication of the agency, one (1) copy of that publication should be managed under Record Series GEN 20-03.	MAINTAIN records of any certifications granted for attending a training event until three (3) years after the certification expires. DESTROY all other materials three (3) years after presentation or outreach event.
14	GEN 23-09	ELECTRONIC SYSTEM DOCUMENTATION System documentation and configuration records on active or legacy electronic systems managed by county/local government offices or contracted vendors. This Record Series does not apply to data stored within a system, only the configuration and workflow information for the system itself. Records may include, but are not limited to: documentation of system requirements, fields, functions, workflow, backups, security, user access, requests for change, development history, and logs/reports on the status and activity of the system.	MAINTAIN system documentation file for the life of the system. DESTROY individual documents in the file three (3) years after they have been updated or replaced. DESTROY system logs/reports after three (3) months.
ACCOUNTING & FINANCE			
15	GEN 10-10	BASIC ACCOUNTING RECORDS - REVENUE ALL records and supporting documents for revenue accruing to a government office, unless those records are maintained under an office-specific Record Series with an equal or greater retention period. Records may include but are not limited to: receipts, quietus, cash register tapes, collections, fees, sales tax, public record copy requests, interdepartmental bills, transmittals, checks (for payments made to agency), records of deposit, special use or right of way permits, and all related books, ledgers, registers, journals & reports. May be created or received in paper or electronic format. Records which contain both revenue and expenditure information should be maintained under Record Series GEN 10-11. Retention based on IC 34-11-2-6.	DESTROY after six (6) years and after receipt of STATE BOARD OF ACCOUNTS Audit Report and satisfaction of unsettled charges.



County/Local General Retention Schedule (GEN)

INDIANA ARCHIVES AND RECORDS ADMINISTRATION (IARA) - County Records Management

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
16	GEN 10-11	<p>BASIC ACCOUNTING RECORDS - EXPENDITURES</p> <p>ALL records and supporting documents for expenditures both requested and completed, unless those records are maintained under an office-specific Record Series with an equal or greater retention period. Records may include but are not limited to: expenditure-related reports and ledgers, vouchers/claims and abstracts including Poor Relief, check/warrant registers, registers of Poor Relief claims, purchase orders, invoices, budgetary appropriations and allotments, requests for proposals, requisitions, invoices and expenditure receipts, accounts payable records, bank statements, reconciliation records and reports, time cards, payroll records not covered under Record Series GEN-10-16, travel and motor pool records and requests, real estate purchases, requests for supplies, and usage logs for paid services such as communications, software, and technical support. Records which contain both revenue and expenditure information should be maintained under this Record Series.</p> <p>Disclosure of these records may be affected by IC 5-23-18-4, 26 USC 6103(n), 26 USC 7213(a) and 42 USC 405(c) (viii) (I, II, III and IV). Retention based on IC 34-13-1-1.</p>	DESTROY after ten (10) years and after receipt of STATE BOARD OF ACCOUNTS Audit Report and satisfaction of unsettled charges.
17	GEN 10-16	<p>EMPLOYEE SERVICE AND EARNING RECORDS</p> <p>Applies ONLY to Forms 99A (Employee's Service Record), 99B (Employee's Earnings Record), 99C (Employee's Weekly Work Period Earnings Record), or substitute formats containing the same information: records that document the work and earnings history of an individual employee. These forms are prescribed and explained in the Indiana State Board of Accounts <i>Accounting And Uniform Compliance Guidelines Manual</i>. For all other payroll records, use Record Series GEN 10-11.</p>	PERMANENT. See Retention Schedule Instructions for format and transfer options.
18	GEN 10-24	<p>INVESTMENTS/INSURANCE REGISTER</p> <p>Applies ONLY to Form 350 (Register of Investments), a log of all purchases and sales of investments by a governmental unit, and Form 351 (Register of Insurance), a register of all policies purchased and premiums paid by a governmental unit, or substitute formats containing the same information. These forms are prescribed and explained in the Indiana State Board of Accounts <i>Accounting And Uniform Compliance Guidelines Manual</i>. For all other investment and insurance records, use Record Series GEN 10-25.</p>	PERMANENT. See Retention Schedule Instructions for format and transfer options.
19	GEN 24-01	<p>GENERAL OBLIGATION BOND REGISTER</p> <p>Applies ONLY to Form 53 (Bond Register), a log of all general obligation bonds issued by a governmental unit. For individual bonds, use Record Series GEN 10-25 and for elected official bond register, use Record Series RE 10-28.</p>	PERMANENT. See Retention Schedule Instructions for format and transfer options.

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
20	GEN 10-25	BONDS, BIDS, CONTRACTS AND LEASES All contracts with vendors or other units of government, including investments, insurance policies, grants, liens, and elected official bonds. Files also include working papers and similar attachments used by the office in this process. This record series also applies to an administrative entity receiving revenue through a contract or lease. Retention based on IC 34-13-1-1.	DESTROY ten (10) years after expiration of the contract and after receipt of STATE BOARD OF ACCOUNTS Audit Report and satisfaction of unsettled charges.
21	GEN 10-26	BUDGETS - AS-SUBMITTED AND WORKING PAPERS Includes new programs requested, justifications, breakdown of money requested, estimates, reports, and public notice.	DESTROY after five (5) years.
22	GEN 15-02	INTERNAL REVENUE SERVICE AND INDIANA DEPARTMENT OF REVENUE FORMS The governmental entity's original copy of forms filed with or issued by the United States Internal Revenue Service, or the Indiana Department of Revenue.	RETAIN for period required by the Internal Revenue Service or Indiana Department of Revenue, but for not less than six (6) years. DESTROY upon fulfillment of this retention period.
23	GEN 16-05	ANIMAL CARE AND CONTROL RECORDS Includes, but is not limited to: intake, animal adoptions, surrenders, euthanasia, and any other records related to the care of animals in the facility. Excludes revenue and expenditure records, which should be retained under Record Series GEN 10-10 and GEN 10-11.	DESTROY after three (3) years.
PERSONNEL			
24	GEN 10-27	PERSONNEL FILES Records documenting the job history of agency workers, including full-time, part-time and temporary employees, paid or unpaid interns, and paid or unpaid volunteers. Records may include application for employment with the government unit, PERF forms, request for leave, performance appraisals, memos, correspondence, complaint/grievance records, miscellaneous notes, examination records, copies of employee tax documents, benefit elections, and public employee union information. Disclosure of these records may be affected by IC 5-14-3-4(b)(2),(3),(4),(6), and (8).	DESTROY 10 years after employee separates from service AND after verifying that employee name, job title or classification, employment dates, and rehire eligibility status have been added to a permanent log of former employees under Record Series GEN 20-02.
25	GEN 10-28	EMPLOYEE MEDICAL RECORDS Typical record series could include Employer's Report of Injury, Report of Attending Physician, other medical information used to document work-related illnesses or injuries, and drug test results. Pursuant to United States Equal Opportunity Commission rules, this information "...shall be collected and maintained...in separate medical files..." Disclosure of these records may be affected by IC 5-14-3-4(a)(9), IC 5-14-3-4(b)(8) and 29 CFR 1630.14(b)(1).	DESTROY three (3) years after the employee leaves county/local government.
26	GEN 23-05	GENERAL PERSONNEL RECORDS This record series applies to personnel-related activities that are not specific to a single employee or do not mention specific employees at all. May include event planning and attendance, documentation of training and onboarding sessions, officewide calendars, and records of a similar nature. Disclosure of these records may be affected by IC 5-14-3-4 (b) (8).	DESTROY after three (3) years, and after any information pertinent to the work history of listed employees has been duplicated in their Personnel File (Record Series GEN 10-27).



County/Local General Retention Schedule (GEN)

INDIANA ARCHIVES AND RECORDS ADMINISTRATION (IARA) - County Records Management

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
27	GEN 10-30	FAMILY AND MEDICAL LEAVE ACT OF 1993 RECORDS Records may contain applications for Family and Medical Leave (SF 48370 or its equivalent), and any information related to use of the Family and Medical Leave Act (FMLA). Disclosure of these records may be affected by 29 CFR 825.500(g). Retention based on 29 CFR 825.400(b).	DESTROY records after three (3) years if no other Record Series with a longer retention period applies to them. If records are part of another Record Series with a longer retention, follow the retention instruction for that Record Series.
28	GEN 10-31	EMPLOYMENT APPLICATIONS-NOT HIRED Series includes applications from persons seeking employment who are not hired. Series also contains vacancy notices, job information bulletins, unsolicited resumes, rejection correspondence, examination material, drug test results, and other related materials. Disclosure of these records may be affected by IC 5-14-3-4 (b)(8)(b). Retention based on IC 4-15-2-15 (b)(4).	DESTROY three (3) years after the decision not to hire.
29	GEN 10-32	EMPLOYEE HAZARDOUS EXPOSURE RECORDS Typical records could include employee exposure records and/or analyses using exposure or medical records. Disclosure of these records may be affected by IC 5-14-3-4(a) (9).	DESTROY thirty-five (35) years after employee termination.
30	GEN 10-33	WORK-RELATED INJURIES AND ILLNESSES Includes OSHA Form 300: Log of Work-Related Injuries and Illnesses, OSHA Form 300A, Summary of Work-Related Injuries and Illnesses, and OSHA Form 301, Injury and Illness Incident Report, as well as any related reports, correspondence, and supporting documents. Disclosure of these records may be affected by 29 CFR 1904.29 and IC 5-14-3-4 (a) (3). Retention based on 29 CFR 1904.33.	DESTROY five (5) years after the end of the calendar year that the records cover.
31	GEN 20-02	EMPLOYMENT LOGS Permanent list of previous employees including employee name, job title or classification, employment dates, and rehire eligibility status. May include Form 100R - Certified Report of Names, Addresses, Duties and Compensation of Employees.	PERMANENT. Maintain permanently within each government office. See Retention Schedule Instructions for format options.
PUBLICATIONS			
32	GEN 10-34	OVERSIGHT COMMITTEE ON PUBLIC RECORDS APPROVED RECORDS RETENTION AND DISPOSITION SCHEDULES Contains a description of all records maintained by a county/local office, and specifies when and how they may dispose of their records.	DESTROY after replaced by revised schedule.
33	GEN 10-36	MATERIAL SAFETY DATA SHEETS (MSDS) These reports and data sheets are supplied by the manufacturer of hazardous chemicals and submitted to businesses and other organizations receiving and using the substances. One (1) report or MSDS is submitted for each chemical in accordance with federal OSHA requirements. General information, ingredients, physical data, fire and explosion hazard data and other precautions are listed to inform and protect individuals who use or are exposed to these substances and chemicals. Disclosure of these records may be affected by 29 CFR 1910.1020(f)(1) and (f)(2), and IC 5-14-3-4(a)(4). Retention based on 29 CFR 1910.1020(d)(1)(ii)(B).	DESTROY thirty (30) years after the date the substance was last received, used, or stored in the workplace.

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
34	GEN 20-03	LOCAL GOVERNMENT PUBLICATIONS Publications issued by local government entities that are not solely for internal use. Consult IC 4-23-7.1-28 and Indiana State Library staff for information on voluntary transfer of additional copies to the state's publications depository.	PERMANENT: one (1) copy must be retained permanently; DESTROY additional copies when outdated or replaced. See Retention Schedule Instructions for format and transfer options.
35	GEN 20-04	LOCAL GOVERNMENT ANNUAL REPORTS Reports documenting the annual activities of a local government entity, created by that entity for publication or submission to a regulatory body or higher government office. They may contain fiscal, narrative, or statistical information, depending on the activities documented. Consult IC 4-23-7.1-28 and Indiana State Library staff for information on voluntary transfer of additional copies to the state's publications depository.	PERMANENT: one (1) copy must be retained permanently; DESTROY additional copies when outdated or replaced. See Retention Schedule Instructions for format and transfer options.
AUDIO, VIDEO & GENERAL MEDIA			
36	GEN 10-40	MICROFILM DOCUMENTATION FILE A written documentation list created and maintained for the microfilm based on the approved retention schedule (60 IAC 2-2-3). See 60 IAC 2 for required contents of the file.	PERMANENT. See Retention Schedule Instructions for format options.
37	GEN 10-41	PHOTOGRAPHS, VISUAL ART, VIDEO AND FILM Still or moving images created for or recorded at special events and activities of the office, general circulation or special purpose periodicals, and intra-office news.	PERMANENT. See Retention Schedule Instructions for format and transfer options.
38	GEN 23-08	AERIAL PHOTOGRAPHS AND AREA MAPS Aerial photographs and maps created as part of various government office projects and surveys, which are not already included as part of a more specific office-specific or general retention schedule record series.	PERMANENT. See Retention Schedule Instructions for format and transfer options.
39	GEN 10-43	ROUTINE SURVEILLANCE RECORDINGS Disclosure of these records may be affected by IC 5-14-3-4(a) and IC 5-14-3-4(b) (1) & (6).	DESTROY after 30 days without the necessity of filing a Notice of Destruction unless one of the following conditions occurs before that time period elapses: (1) If the agency receives notice, actual or constructive, that evidence of illegal activity was captured, TRANSFER records to Record Series PSA 17-33 and follow the appropriate listed retention. (2) If the agency receives notice, actual or constructive, that evidence was captured resulting in a timely notice of tort claim under IC 34-13-3-8, DESTROY three (3) years after action accrues. (3) If litigation occurs for which the record is admitted into evidence, the record becomes the responsibility of the court and is subject to Indiana Rules of Court, Administrative Rule 7.
INFRASTRUCTURE INFORMATION			



County/Local General Retention Schedule (GEN)

INDIANA ARCHIVES AND RECORDS ADMINISTRATION (IARA) - County Records Management

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
40	GEN 23-06	<p>HISTORICAL DATA ON GOVERNMENT BUILDINGS AND PROPERTIES</p> <p>Records include, but are not limited to: deeds, real property titles, mortgages, abstracts, appraisals, proposals, funding and contract history, blueprints, building plans, specifications, change orders, alterations and repairs, surveys, property maps, and other supporting historical information for projects managed or owned by a government entity, including buildings, properties, and infrastructure -- unless the information is determined to be CRITICAL INFRASTRUCTURE INFORMATION (Record Series GEN 10-47) or PROTECTED CRITICAL INFRASTRUCTURE INFORMATION (Record Series GEN 10-46).</p> <p>THIS IS A CRITICAL RECORD.</p>	PERMANENT and CRITICAL. See Retention Schedule Instructions for format and transfer options.
41	GEN 10-46	<p>PROTECTED CRITICAL INFRASTRUCTURE INFORMATION</p> <p>Information received by the County and/or local Emergency Management Agency and/or partner agencies including public safety, health, fire and emergency medical services, from the Indiana Department of Homeland Security and/or the US Department of Homeland Security pursuant to 6 USC 131-135 and 6 CFR 29 regarding the security of critical infrastructure and protected systems, analysis, warning, interdependency study, recovery, reconstitution, and related purposes. Records include correspondence, reports, assessments, strategies, grant applications, drawings, specifications, plans, and risk planning documents in paper or electronic form. Disclosure of these records is affected by 6 USC 133(a)(1)(A)-(E) and 6 CFR 29.8. Retention period is based on high security-level of information and its duplicate existence at the Federal level.</p>	DESTROY when outdated or replaced by subsequent records received from the Indiana Department of Homeland Security and/or the US Department of Homeland Security.
42	GEN 10-47	<p>CRITICAL INFRASTRUCTURE INFORMATION</p> <p>Homeland security and counterterrorism records which may be intra-agency or interagency advisory or deliberative material (including material developed by a private contractor under a contract with a public agency). These may be expressions of opinion or of a speculative nature, and include: 1) administrative or technical information that would jeopardize a record keeping or security system, 2) computer programs, codes, filing systems, and other software, 3) portions of electronic maps entrusted to a public agency by a utility, and 4) school safety and security measures, plans, and systems, including emergency preparedness plans developed under 511 IAC 6.1-2-2.5. Records may include correspondence, reports, assessments, strategies, grant applications, drawings, specifications, plans, and risk planning documents in paper or electronic form, as further described in IC 5-14-3-4(b)(6), (10), (11), (18) and 19. Disclosure of these records may be affected by the previously listed statutes. Retention is based on a reasonable likelihood of threatening public safety by exposing a vulnerability to terrorist attack should records be improperly disclosed.</p>	DESTROY when outdated or replaced.
UTILITY RECORDS (formerly on the Special Districts retention schedule)			
43	GEN 23-01	<p>UTILITY VOUCHER REGISTERS AND JOURNALS</p> <p>Includes Forms 302 and 303 (Water Utility Voucher Register Class A and B, short and long forms), Form 304 (Water Utility Journal Class C), and Form 309 (Wastewater Utility Journal). Formerly Record Series SD 10-03.</p>	PERMANENT. See Retention Schedule Instructions for format and transfer options.

ITEM #	RECORD SERIES	TITLE/DESCRIPTION	RETENTION PERIOD
SCIENTIFIC AND ENGINEERING RECORDS (formerly on the Special Districts retention schedule)			
44	GEN 23-02	SCIENTIFIC OR ENGINEERING RECORDS/ LABORATORY BENCH SHEETS AND OPERATION DATA Daily and monthly records. Formerly Record Series SD 10-09.	DESTROY three (3) years after information has been transferred to data summary sheet or final report.
45	GEN 23-03	SCIENTIFIC OR ENGINEERING RECORDS/LAND AND OPERATION DATA Daily and monthly reports. Formerly Record Series SD 10-11.	DESTROY three (3) years after information has been transferred to final report.
OBSOLETE RECORDS (no longer created, but older records may still exist)			
46	GEN 10-44	PERMANENT OBSOLETE RECORDS Chattel Mortgage Record [to 6/30/1935] Index to Chattel Mortgage Record [to 6/30/1935] Sire Lien Record [1889-1984] Stock Mark Record Apprentice Indenture Record PR-6 (Township Trustee ONLY) - Register of Poor Relief Claims Twp. PR-7 - Poor Relief Statistical Report Twp. Form 369 - General Fixed Asset Account Group SD Form 309A/B - Cash Journal, Municipal Sewage Utility (short & long forms) SD Form 329A/B - Sewage Utility Voucher Register (short & long forms) County Clerk's copy of Coroner's Inquest Verdict and Written Report of Death (copy sent to County Clerk prior to 7/1/1994)	PERMANENT. See Retention Schedule Instructions for format and transfer options.
47	GEN 10-45	NON-PERMANENT OBSOLETE RECORDS Chattel Mortgage Minute Book [1 July 1935 - 30 June 1964] Index to Chattel Mortgage Receipts [1 July 1935 - 30 June 1964] Chattel Mortgage Receipts [1 July 1935 - 30 June 1964] Entry Book of Old-Age Pensions [1936-1945] Inheritance Tax Record [1913-1931] Fee Docket Premarital Examination Certificate [Confidential and NOT open to public inspection] Hunting and Fishing Report Twp. PR-1 - Application for Township Assistance Twp. PR-1A - Notice of Poor Relief Action Twp. PR-1B - Application for Additional or Continuing Township Assistance Twp. PR-2 - Purchase Order for Medical Relief Twp. PR-3 - General Purchase Order for Poor Relief Twp. PR-4 - Report of Medical Aid Rendered Twp. PR-7M - Mileage Claim for Poor Relief Investigation Twp. Form 7 - Estimate of Poor Relief Requirements Twp. PR-8 - Quarterly Poor Relief Report of Actual and Estimated Receipts	DESTROY.



June 2024 Record Series Update Overview

THIS IS NOT PART OF THE RECORDS RETENTION SCHEDULE. It is only a detachable overview of the updates that were made to the County/Local General Retention Schedule in June 2024.

Not listed: There were no changes to these Record Series.

No background: This Record Series contains updates.

Dark Gray background: This is a brand new item.

(Listed in the order in which they appear on the retention schedule to which this overview is attached.)

IF YOU USED TO USE RECORD SERIES...	NOW YOU SHOULD USE RECORD SERIES...
GEN 10-01	GEN 10-01 (But language has been updated.)
GEN 10-02	GEN 10-02 (But language has been updated.)
GEN 10-03	GEN 10-03 (But language has been updated.)
GEN 10-05	GEN 10-05 (But language has been updated.)
GEN 14-01	GEN 14-01 (But language has been updated.)
GEN 10-06	GEN 10-06 (But language has been updated.)
GEN 23-10	GEN 23-10 (But language has been updated.)
GEN 10-08	GEN 10-08 (But language has been updated.)
GEN 20-01	GEN 20-01 (But language has been updated.)
GEN 23-07	GEN 23-07 (But language has been updated.)
GEN 10-10	GEN 10-10 (But language has been updated.)
GEN 10-11	GEN 10-11 (But language has been updated.)
GEN 10-16	GEN 10-16 (But language has been updated.)
GEN 10-24	GEN 10-24 (But language has been updated.)
GEN 10-25	GEN 10-25 (But language has been updated.)
GEN 15-02	GEN 15-02 (But language has been updated.)
GEN 16-05	GEN 16-05 (But language has been updated.)
GEN 10-27	GEN 10-27 (But language has been updated.)
GEN 23-05	GEN 23-05 (But language has been updated.)
GEN 20-02	GEN 20-02 (But language has been updated.)
GEN 10-36	GEN 10-36 (But language has been updated.)
GEN 20-03	GEN 20-03 (But language has been updated.)
GEN 20-04	GEN 20-04 (But language has been updated.)
GEN 10-40	GEN 10-40 (But language has been updated.)
GEN 10-41	GEN 10-41 (But language has been updated.)
GEN 23-08	GEN 23-08 (But language has been updated.)
GEN 23-06	GEN 23-06 (But language has been updated.)
GEN 23-01	GEN 23-01 (But language has been updated.)
GEN 23-02	GEN 23-02 (But language has been updated.)
GEN 23-03	GEN 23-03 (But language has been updated.)
GEN 10-44	GEN 10-44 (But language has been updated.)

NEW RECORD SERIES	CREATED FOR...
GEN 24-01	General Obligation Bond Register

SUMMARY

Amended: GEN 10-01, GEN 10-02, GEN 10-03, GEN 10-05, GEN 14-01, GEN 10-06, GEN 23-10, GEN 10-08, GEN 20-01, GEN 23-07, GEN 10-10, GEN 10-11, GEN 10-16, GEN 10-24, GEN 10-25, GEN 15-02, GEN 16-05, GEN 10-27, GEN 23-05, GEN 20-02, GEN 10-36, GEN 20-03, GEN 20-04, GEN 10-40, GEN 10-41, GEN 23-08, GEN 23-06, GEN 23-01, GEN 23-02, GEN 23-03, GEN 10-44.

Brand New: GEN 24-01

If you have questions about this overview or about the County/Local General Retention Schedule, please contact IARA's County Records Management section at 317-232-3380 or cty@iara.in.gov.