# Your score is: 69 Early Demonstration

# Part 1: Background Information **Preparer Information** County: Blackford, IN Incident, Planned Event, or Exercise Information Type of Event: Exercise Event Name: Simulated Exercise Event Date: Wed, 2011-09-28 Event Address: 110 W. Washington Street Event Address Line 2: Hartford City, IN 47348 List total number of agencies involved in the incident, planned event, or exercise: Federal No State No Local 3 Non-governmental No

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Hartford City Fire Department, Blackford County Sheriff's Office, Blackford County EMA

Briefly describe the incident, planned event, or exercise: Testing of the local radio system. Testing included multiple capabilities assessment to ensure smoth transitions to other forms of communication in the event of outages.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Swap Radios Shared Channels Cellular **Mobile Data** 

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	No
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	
<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In most cases
SEC 1.2 Were they written?	In most cases
Success Factors & Challenges	

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<u>SEC 2.2</u> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In most cases
SEC 2.3 If so, were they followed? [Information Only]	Some were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<u>SEC 3.1</u> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	Most of the time
<b><u>SEC 6.2</u></b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<u>SEC 6.3</u> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	Most of the time
SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 8.1</u></b> Were common names used by all responding agencies for interagency communications channels?	Most of the time
<u>SEC 8.2</u> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

**Responder Roles & Responsibilities** 

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each Yes operational period? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any No time? SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than In no cases seven subordinates at any time? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or No exercise? SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or None were Unified Command), the COML, or another designee? SEC 11.3 Who by position or function carried out the responsibilities? SEC 11.4 Were necessary communications resources effectively ordered? N/A SEC 11.5 Were they ordered using documented procedures? N/A SEC 11.6 Was a communications plan established by procedure or developed early in the incident, N/A planned event, or exercise? SEC 11.7 Did the communications plan meet the communications needs of the primary operational

leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

<u>SEC 12.1</u> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 14.1</u></b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	Most of the time

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### Established Demonstration (70-84)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:69 Early Demonstration

# Your score is: 78 Established Demonstration

Part 1: Background Information	
Preparer Information	
County:	Delaware, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Planned event
Event Name:	Ironman 70.3 Triathlon
Event Date:	Fri, 2011-07-08
Event Address:	Prairie Creek Reservoir
Event Address Line 2:	
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	0
State	2
Local	14
Non-governmental	15

Which other counties, if any, had significant participation in the event?

Henry, IN Randolph, IN

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Indiana State Police and INDOT/Delaware County: Sheriff, EMA, EMS, Highway/ Henry County: Sheriff, Highway, EMS/Randolph County Sheriff, EMS/ Wayne county EMS (Culversons EMS)/ Muncie: Police, Parks Department.

Briefly describe the incident, planned event, or exercise:

About Muncie 70.3 Athletes will begin with a 1.2 miles swim in the calm waters of Prairie Creek Reservoir. The 56 mile bike course takes athletes onto the Cardinal Greenway that winds throughout rural Indiana. The race finishes with a challenging run around the south side of Prairie Cree Resevoir over rolling country roads. Takes place in three Indiana counties.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Swap Radios Proprietary Shared System Cellular

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes

## Part 3: Secondary Evaluation Criteria

#### **Common Policies & Procedures**

SEC 1.1 Did policies and procedures exist for interagency communications between the involved	In all needed cases
jurisdictions, agencies, and disciplines?	

SEC 1.2 Were they written?

In most cases

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional):

# Individuals knowing how to access those channels. Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	All of the time
SEC 2.2 Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In all needed cases
SEC 2.3 If so, were they followed? [Information Only]	N/A (none needed)
Success Factors & Challenges	
Success Factors (Optional): <b>Good coverage for all from Hoosier Safe T</b> Challenges (Optional): Recommendations (Optional):	
SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges	
Success Factors (Optional): <b>Plain talk was utilized.</b> Challenges (Optional): Recommendations (Optional):	
SEC 4.1 Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<u>SEC 5.1</u> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the	N/A

## incident, planned event, or exercise?

Success Factors & Challenges

Success Factors (Optional): All comms with EOC were for public safety only other communications were on marine and Nexter members. Challenges (Optional): Recommendations (Optional):	el for race team
SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	All of the time
SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<u>SEC 6.3</u> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	All of the time
SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	All of the time
Success Factors & Challenges	
Success Factors (Optional): <b>All emergency communications were done through moible EOC.</b> Challenges (Optional): Recommendations (Optional):	
SEC 8.1 Were common names used by all responding agencies for interagency communications channels?	Most of the time
<u>SEC 8.2</u> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	None of the time
Success Factors & Challenges	

Success Factors (Optional):

Challenges (Optional): Recommendations (Optional):

## **Responder Roles & Responsibilities**

<b>SEC 9.1</b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time?	Yes
SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In most cases
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	Yes
<b><u>SEC 11.2</u></b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	Most were
SEC 11.3 Who by position or function carried out the responsibilities?	
SEC 11.4 Were necessary communications resources effectively ordered?	None were
SEC 11.5 Were they ordered using documented procedures?	All were
SEC 11.6 Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes

**SEC 11.7** Did the communications plan meet the communications needs of the primary operational leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

<u>SEC 12.1</u> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?

SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

All of the time

Yes

Yes

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

### Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational

protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

## Your Score:78 Established Demonstration

# **Event: Court House Exercise**

# Your score is: 71 Established Demonstration

Part 1: Background Information	
Preparer Information	
County:	Fayette, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Exercise
Event Name:	Court House Exercise
Event Date:	Thu, 2012-03-15
Event Address:	111 West 4th Street
Event Address Line 2:	401 Central Ave.
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	0
State	2
Local	6
Non-governmental	2

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Fayette County Sherrif, Emergency Management, Fayette County 911, fayette County EMS Connersville Police Dept. Connersville, Fire Everton, Bentonville, Glenwood Fire depts.

Briefly describe the incident, planned event, or exercise: Court House: Multiple events, structural damage, extraction, chemical release, court room attack

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Swap Radios Shared Channels Broadband Cellular

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes

## Part 3: Secondary Evaluation Criteria

**Common Policies & Procedures** 

SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?

SEC 1.2 Were they written?

In all needed cases

Success Factors & Challenges

Success Factors (Optional): TBD Challenges (Optional): TBDE Recommendations (Optional): **TBD** 

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise? Most of the time

SEC 2.2 Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?

SEC 2.3 If so, were they followed? [Information Only]

Success Factors & Challenges

Success Factors (Optional): TBD Challenges (Optional): TBD Recommendations (Optional): TBD

SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?

Success Factors & Challenges

Success Factors (Optional): TBD Challenges (Optional): TBD Recommendations (Optional): TBD

SEC 4.1 Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)? Yes

SEC 4.2 Was this prioritization of communications resource use followed?

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?

All of the time

In all needed cases

Most were

Yes

SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the N/A incident, planned event, or exercise? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 6.1 Was plain language used throughout the incident, planned event, or exercise? Most of the time SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack No of common terminology? SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel No during the incident, planned event, or exercise due to a lack of common terminology? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership? Most of the time SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel Most of the time throughout the incident, planned event, or exercise? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): Some of the time SEC 8.1 Were common names used by all responding agencies for interagency communications channels? SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) Some of the time used for Federal Communications Commission (FCC)-designated interoperability channels? Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Responder Roles & Responsibilities**

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	None were
SEC 11.3 Who by position or function carried out the responsibilities?	
SEC 11.4 Were necessary communications resources effectively ordered?	N/A
<u>SEC 11.5</u> Were they ordered using documented procedures?	N/A
<u>SEC 11.6</u> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	N/A

SEC 11.7 Did the communications plan meet the communications needs of the primary operational

leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 14.1</u></b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	All of the time
Success Factors & Challenges	

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated

#### jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

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#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

## Your Score:71 Established Demonstration

# Event: Walmart DC Anhydrous Ammonia release

# Your score is: 68 Early Demonstration

# Part 1: Background Information **Preparer Information** County: Grant, IN Incident, Planned Event, or Exercise Information Type of Event: Exercise Walmart DC Anhydrous Event Name: Ammonia release Event Date: Wed, 2011-06-22 Wal mart DC 100 America Event Address: Road Event Address Line 2: Gas City, IN 46938 List total number of agencies involved in the incident, planned event, or exercise: Federal No State 1 Local 5 2 Non-governmental

Which other counties, if any, had significant participation in the event?

Grant, IN

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: IDHS District rep, Marion Fire Hazmatm Gas City Rescue, Gas City Fire, Grant County EMS, Indiana Guard Reserve, Grant County Dispatch, Grant County EMA, Marion General Hospital, Gas City Police Department, Grant County Sheriff Dept.

Briefly describe the incident, planned event, or exercise: Anhydrous Ammonia release after a tanker truck ran into the side of the Walmart DC ammonia tank causing a release

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Swap Radios Shared Channels Broadband Cellular

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	

SEC 1.2 Were they written?

In some cases

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	N/A (none exist)
SEC 2.3 If so, were they followed? [Information Only]	N/A
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?	Most were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	No
SEC 4.2 Was this prioritization of communications resource use followed?	N/A
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<b>SEC 6.1</b> Was plain language used throughout the incident, planned event, or exercise?	All of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<b>SEC 6.3</b> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	Most of the time
<b>SEC 7.2</b> Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 8.1</u></b> Were common names used by all responding agencies for interagency communications channels?	All of the time
<b>SEC 8.2</b> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	N/A (no such channels used)
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

**Responder Roles & Responsibilities** 

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each No operational period? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any No time? SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than In no cases seven subordinates at any time? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or No exercise? SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Most were Unified Command), the COML, or another designee? SEC 11.3 Who by position or function carried out the responsibilities? **Unified Command** SEC 11.4 Were necessary communications resources effectively ordered? N/A (none needed) SEC 11.5 Were they ordered using documented procedures? N/A (none needed) SEC 11.6 Was a communications plan established by procedure or developed early in the incident, Yes planned event, or exercise? SEC 11.7 Did the communications plan meet the communications needs of the primary operational Yes leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

<b>SEC 12.1</b> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	Yes
SEC 13.3 If so, was a back-up effectively provided?	3
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 14.1</b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	Most of the time

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### Established Demonstration (70-84)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:68 Early Demonstration

# Event: Fatal Accident

# Your score is: 72 Established Demonstration

Part 1: Background Information	
Preparer Information	
County:	Henry, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Real-world incident
Event Name:	Fatal Accident
Event Date:	Tue, 2011-07-19
Event Address:	SR 3 / Trojan
Event Address Line 2:	New Castle Indiana
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	No
State	1
Local	4
Non-governmental	No

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: New Castle Police, Fire & EMS, Henry County Sheriff, Indiana State Police

Briefly describe the incident, planned event, or exercise: It was a 2 car fatal car accident with 2 fatailites

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Shared Channels Standards-Based Shared System Mobile Data

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	No
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	

SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?

SEC 1.2 Were they written?

In some cases

Success Factors & Challenges

Success Factors (Optional): **Communications between agenices were fairly smooth** Challenges (Optional): **Handing the multiple 911 calls about the same incident while also maintaining a presence for the other calls for service coming into the dispatch center** Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In most cases
SEC 2.3 If so, were they followed? [Information Only]	Most were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?	Most were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 5.1</b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	Some of the time
SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a	No
lack of common terminology?	No
SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional):	
Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	All of the time
SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency	All of the time
personnel throughout the incident, planned event, or exercise?	All of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional):	
Recommendations (Optional):	
SEC 8.1 Were common names used by all responding agencies for interagency communications	
channels?	All of the time
<b><u>SEC 8.2</u></b> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	N/A (no such channels used)
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional):	
Recommendations (Optional):	

**Responder Roles & Responsibilities** 

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each No operational period? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time? No SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven In no cases subordinates at any time? Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise? No SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Some were Unified Command), the COML, or another designee? Highest ranking officer on SEC 11.3 Who by position or function carried out the responsibilities? the scene N/A (none needed) SEC 11.4 Were necessary communications resources effectively ordered? SEC 11.5 Were they ordered using documented procedures? N/A (none needed) SEC 11.6 Was a communications plan established by procedure or developed early in the incident, No planned event, or exercise? SEC 11.7 Did the communications plan meet the communications needs of the primary operational

leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

SEC 14.1 Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### Established Demonstration (70-84)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:72 Established Demonstration

# Event: Hazmat-Health Exercise - School Bus Accident

# Your score is: 84 Established Demonstration

Part 1: Background Information	
Preparer Information	
County:	Howard, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Exercise
Event Name:	Hazmat-Health Exercise - School Bus Accident
Event Date:	Wed, 2011-05-04
Event Address:	Smith Road, County Road 50 East
Event Address Line 2:	
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	0
State	1
Local	9
Non-governmental	2

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Indiana Health Department, Howard County Sheriff, Kokomo Police, Galveston Fire Dept., Kokomo Fire Dept., Howard County Health Dept., Howard Regional Hospital., St. joseph Hospital., Red Cross, Kokomo-Howard Emergency Management., Kokomo Center Schools, Haynes-International Corporation.

Briefly describe the incident, planned event, or exercise:

Occupied Kokomo Center School bus involved in an accident with an unmarked panel van, occuring in the Galveston Fire District, Howard County. After fire department arrived on scene, determined that van was carrying airbourne agent, requested Kokomo Fire Department Hazmat. Both hospitals transported injured and completed procedures at hospital. Kokomo FD and Haynes International performed decon of students and affected employees. County and State Health Departments and Red Cross completed drive through evaluations during second day of event. Sheriff, Police and EMA for traffic.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

#### Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	
SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In most cases

SEC 1.2 Were they written?

In most cases

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional):

## Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In most cases
SEC 2.3 If so, were they followed? [Information Only]	All were
Success Factors & Challenges	
Success Factors (Optional): <b>Operation of ACU 1000 Gateway worked to patch County EDACS and IPSC radios</b> Challenges (Optional): Recommendations (Optional):	
SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 4.1</u></b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders	N/A

early in the incident, planned event, or exercise?

Success Factors & Challenges

Success Factors (Optional): **Multiple fire grounds were in use by seperate agencies within the Communications Center, creating some confusion when ambulances were talking to county or city dispatch.** Challenges (Optional): Recommendations (Optional):

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	All of the time
<u>SEC 6.2</u> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	All of the time
SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	All of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<u>SEC 8.1</u> Were common names used by all responding agencies for interagency communications channels?	Most of the time
SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	Most of the time

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Responder Roles & Responsibilities**

<b><u>SEC 9.1</u></b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 10.1</u></b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
<b><u>SEC 11.2</u></b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	All were
SEC 11.3 Who by position or function carried out the responsibilities?	Incident Commander
SEC 11.4 Were necessary communications resources effectively ordered?	Most were
SEC 11.5 Were they ordered using documented procedures?	All were

SEC 11.6 Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes
<b><u>SEC 11.7</u></b> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
Quality & Continuity	
<b>SEC 12.1</b> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 13.1</b> Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 14.1</u></b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	Most of the time

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional):

#### Recommendations (Optional):

#### Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

#### **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:84 Established Demonstration

## Event: Jay County Tractor and Engine Show

## Your score is: 85 Advanced Demonstration

Part 1: Background Information	
Preparer Information	
County:	Jay, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Planned event
Event Name:	Jay County Tractor and Engine Show
Event Date:	Wed, 2011-08-24
Event Address:	w Votaw Street
Event Address Line 2:	Portland
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	No
State	1
Local	7
Non-governmental	No

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: ISP, Portland Fire, Portland PD, Jay County EMS, Jay County EMA, Jay County Sheriff, Jay County Health

Briefly describe the incident, planned event, or exercise: Provide for fire safety, law enforcement and medical health

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Shared Channels Standards-Based Shared System Cellular

### Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes	
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes	
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes	

## Part 3: Secondary Evaluation Criteria

**Common Policies & Procedures** 

SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?

SEC 1.2 Were they written?

In most cases

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
SEC 2.2 Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In all needed cases
SEC 2.3 If so, were they followed? [Information Only]	Some were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 3.1</b> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<u>SEC 4.1</u> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<u>SEC 5.1</u> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A

Success Factors & Challenges

Success Factors (Optional): <b>All departments were able to communicate as needed. No problems</b> Challenges (Optional): <b>More communication with main event crew</b> Recommendations (Optional): <b>Provide two mobile radios, one for each separate section of event.</b>	
SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<b>SEC 6.3</b> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?	All of the time
SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 8.1</u></b> Were common names used by all responding agencies for interagency communications channels?	Most of the time
<b>SEC 8.2</b> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	Most of the time
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

#### **Responder Roles & Responsibilities**

<u>SEC 9.1</u> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 10.1</u></b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	All were
SEC 11.3 Who by position or function carried out the responsibilities?	Operation Section Chief
SEC 11.4 Were necessary communications resources effectively ordered?	All were
SEC 11.5 Were they ordered using documented procedures?	Most were
<u>SEC 11.6</u> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes
SEC 11.7 Did the communications plan meet the communications needs of the primary operational	Yes

leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

### **Quality & Continuity**

<b>SEC 12.1</b> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 14.1</b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	All of the time
Success Factors & Challenges	

Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated

#### jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### Advanced Demonstration (85-100)

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### Early Demonstration (60-69)

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

#### **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

#### Your Score:85 Advanced Demonstration

## **Event: Operation Bulldog**

## Your score is: 88 Advanced Demonstration Part 1: Background Information

## **Preparer Information**

-	
County:	Madison, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Exercise
Event Name:	Operation Bulldog
Event Date:	Tue, 2011-05-10
Event Address:	1850 S, 900 W
Event Address Line 2:	Lapel, IN 46051
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	0
State	1
Local	12
Non-governmental	0

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Madison County EMA Lapel Police Lapel Fire Lapel EMS Madison County Sheriff Delaware County Sheriff Pendleton Police Chesterfield Police Anderson Police Frankton Police Elwood Police Summitville Police Indiana State Police

Briefly describe the incident, planned event, or exercise: **Threats to shoot staff and students and blow up the high school** 

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Swap Radios Gateways Shared Channels Other

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?

Yes

Yes

Yes

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)compliant Incident Command System (ICS)?

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?

Part 3: Secondary Evaluation Criteria

### **Common Policies & Procedures**

<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In most cases
SEC 1.2 Were they written?	In most cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 2.1</b> Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In most cases
<b><u>SEC 2.3</u></b> If so, were they followed? [Information Only]	Most were
Success Factors & Challenges Success Factors (Optional):	

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<b>SEC 3.1</b> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
<b><u>SEC 4.2</u></b> Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 5.1</u></b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 6.1</u></b> Was plain language used throughout the incident, planned event, or exercise?	All of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
	No
SEC 6.3       Did any communications problems arise amongst other response-level emergency personnel during the	
common terminology?          SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?         Success Factors & Challenges         Success Factors (Optional):         Challenges (Optional):	
common terminology?          SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?         Success Factors & Challenges         Success Factors (Optional):         Challenges (Optional):         Recommendations (Optional):	No
common terminology?         SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?         Success Factors & Challenges         Success Factors (Optional):         Challenges (Optional):         Recommendations (Optional):         SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?         SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel	No Most of the time
common terminology?         SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?         Success Factors & Challenges         Success Factors (Optional):         Challenges (Optional):         Recommendations (Optional):         SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?         SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?         Success Factors & Challenges         Success Factors (Optional):         Challenges (Optional):         Success Factors (Optional):         Challenges (Optional):         Challenges (Optional):         Challenges         Success Factors (Optional):         Challenges (Optional):         Challenges (Optional):         Challenges (Optional):         Challenges (Optional):	No Most of the time
<ul> <li>common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges <ul> <li>Success Factors (Optional):</li> <li>Challenges (Optional):</li> </ul> </li> <li>SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?</li> <li>SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?</li> <li>Success Factors &amp; Challenges <ul> <li>Success Factors &amp; Challenges</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors (Optional):</li> </ul> </li> </ul>	No Most of the time Most of the time

Success Factors & Challenges Success Factors (Optional): Challenges (Optional):

## **Responder Roles & Responsibilities**

<b><u>SEC 9.1</u></b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 10.1</u></b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In most cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	Yes
<b>SEC 11.2</b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	All were
<b><u>SEC 11.3</u></b> Who by position or function carried out the responsibilities?	Mike Dewey, COM-L
<b><u>SEC 11.4</u></b> Were necessary communications resources effectively ordered?	Most were
<b><u>SEC 11.5</u></b> Were they ordered using documented procedures?	N/A (none needed)
<b>SEC 11.6</b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes
<b>SEC 11.7</b> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
Quality & Continuity	
<b>SEC 12.1</b> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in	

**SEC 13.1** Was a back-up resource avait case of failure of the primary mode?

**<u>SEC 13.2</u>** Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only] **No** 

Yes

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

All of the time

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

## Your Score:88 Advanced Demonstration

## Your score is: 64 Early Demonstration Part 1: Background Information

# **Preparer Information** County: Randolph, IN Incident, Planned Event, or Exercise Information Type of Event: Exercise Event Name: November Straight Line Winds Event Date: Tue, 2010-11-09 Event Address: Huntsville Rd and Bloomingsport Rd Winchester, In Event Address Line 2: 473 Greenville Ave Winchester, IN List total number of agencies involved in the incident, planned event, or exercise: Federal 0 State 1 Local 6 Non-governmental 1

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:

Indiana Department of Homeland Security; Randolph County Homeland Security; Randolph County Highway Department; Randolph County EMS; Randolph County Sheriff's Department; Winchester Fire Department; Winchester Police Department; St. Vincent Randolph Hospital

Briefly describe the incident, planned event, or exercise:

This exercise was a mass casualty/patient surge event designed to test Randolph County's mass casualty plan along with St. Vincent's patient surge capabilities. The exercise was designed around a straight line wind event with multiple county highway employees injured due to flying debris and structure failure.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Shared Channels Cellular Other

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	
<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In some cases
<b>SEC 1.2</b> Were they written?	N/A (none exist)
Success Factors & Challenges	
Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
Challenges (Optional):	Most of the time
Challenges (Optional):         Recommendations (Optional):         SEC 2.1         Were established interagency communications policies and procedures followed	Most of the time N/A (none exist)

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<ul> <li>SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?</li> <li>Success Factors &amp; Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):</li> </ul>	All were
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
SEC 4.2 Was this prioritization of communications resource use followed?	N/A (none needed)
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 5.1</b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?	Some of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<b>SEC 6.3</b> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 7.1</b> Were clear unit identification procedures used amongst the primary operational leadership?	All of the time
<b>SEC 7.2</b> Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	All of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

<b>SEC 8.1</b> Were common names used by all responding agencies for interagency communications channels?	All of the time
<b>SEC 8.2</b> Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?	All of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
Responder Roles & Responsibilities	
<b><u>SEC 9.1</u></b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 10.1</b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 11.1</b> Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
<b>SEC 11.2</b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	None were
<b>SEC 11.3</b> Who by position or function carried out the responsibilities?	
<b>SEC 11.4</b> Were necessary communications resources effectively ordered?	N/A
<b>SEC 11.5</b> Were they ordered using documented procedures?	N/A
<b>SEC 11.6</b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	N/A
<b>SEC 11.7</b> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

## **Quality & Continuity**

communications attempts amongst the primary operational leadership?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<b><u>SEC 13.1</u></b> Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?	Yes
<b>SEC 13.2</b> Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]	No
SEC 13.3 If so, was a back-up effectively provided?	
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 14.1</u></b> Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?	All of the
Success Factors & Challenges	

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

### **Levels of Demonstration**

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

time

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- · Operational leadership was able to manage resources and make timely decisions without significant

communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:64 Early Demonstration

Event: Williamstown Field Fire	
Your score is: 36 Did Not Demonstrate Part 1: Background Information	
Preparer Information	
County:	Rush, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Real-world incident
Event Name:	Williamstown Field Fire
Event Date:	Thu, 2010-09-23
Event Address:	1100 South and State Road 3
Event Address Line 2:	Rush County, IN
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	No
State	2
Local	19
Non-governmental	7

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Anderson Twp. Vol.FD, Clarksburg FD, Waldron FD, Rushville Twp FD, Glenwood FD, Raleigh FD, Manilla FD, Adams FD, Washington Twp. FD, Greensburg FD, New Point FD, St. Paul FD, Letts FD, Rush County EMA, Rush County Sheriff Dept, Indiana State Police, Decatur County EMA, Decatur County EMS, Decatur County Sheriff Dept. Shelby County EMA, State Fire Marshal Briefly describe the incident, planned event, or exercise: Large field fire covering 250 acres with strong winds (25-30 mph)in the direction of homes in that had to be evacuated. Fire was in Rush

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Gateways Shared Channels Cellular

and Decatur Counties.

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes

## Part 3: Secondary Evaluation Criteria

#### **Common Policies & Procedures**

<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	N/A (none exist)
<b>SEC 1.2</b> Were they written?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 2.1</b> Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	N/A (none exist)
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	N/A (none exist)
SEC 2.3 If so, were they followed? [Information Only]	N/A

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS? Some were Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): **SEC 4.1** Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)? Yes SEC 4.2 Was this prioritization of communications resource use followed? Some of the time Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise? No SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise? No Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): Most of the time **SEC 6.1** Was plain language used throughout the incident, planned event, or exercise? SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology? No SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology? No Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership? Most of the time SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise? Most of the time Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): **SEC 8.1** Were common names used by all responding agencies for interagency communications channels? Most of the time

SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide None of the time

(NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

### **Responder Roles & Responsibilities**

<b><u>SEC 9.1</u></b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 10.1</u></b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	Yes
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In most cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 11.1</u></b> Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
<b>SEC 11.2</b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	Some were
<b>SEC 11.3</b> Who by position or function carried out the responsibilities?	Incident Commander
SEC 11.4 Were necessary communications resources effectively ordered?	Most were
<b>SEC 11.5</b> Were they ordered using documented procedures?	None were
<b><u>SEC 11.6</u></b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	No
<b>SEC 11.7</b> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	
Survey Factors 9, Challenges	

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): **SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?

Yes

No

**SEC 13.2** Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

Some of the time

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

• Communications systems faced technical difficulties and little consideration was given to reliable backup methods.

• Operational leadership was able to manage resources and make decisions despite communications impediments.

#### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:36 Did Not Demonstrate

Event: Tipton county Pork Festival		
Your score is: 52 Did Not Demonstrate Part 1: Background Information		
Preparer Information		
County:	Tipton, IN	
Incident, Planned Event, or Exercise Information		
Type of Event:		Exercise
Event Name:		Tipton county Pork Festival
Event Date:		Fri, 2011-09-09
Event Address:		Downtown Tipton
Event Address Line 2:		
List total number of agencies involved in the incident, planned event, or exercise:		

	No
State	No
Local	6
Non-governmental	No

Which other counties, if any, had significant participation in the event?

Federal

#### Hamilton, IN

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise: Tipton City Fire; Tipton PD; Tipton Co. Sheriff; Seals Ambulance; Cicero Twp. Fire; Madison Co. Mobile Command

Briefly describe the incident, planned event, or exercise: The annual festival is used to train on the cooperation/communication between the departments.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Gateways Shared Channels Proprietary Shared System Standards-Based Shared System Broadband Cellular Mobile Data

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes

## Part 3: Secondary Evaluation Criteria

## **Common Policies & Procedures**

SEC 2.3 If so, were they followed? [Information Only]	Most were
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In all needed cases
<b>SEC 2.1</b> Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 1.2 Were they written?	In some cases
<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In most cases

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<b>SEC 3.1</b> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	Some were
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	No
<b>SEC 4.2</b> Was this prioritization of communications resource use followed?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 5.1</b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 6.1</b> Was plain language used throughout the incident, planned event, or exercise?	None of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<b>SEC 6.3</b> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 7.1</b> Were clear unit identification procedures used amongst the primary operational leadership?	Some of the time
<b>SEC 7.2</b> Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 8.1</b> Were common names used by all responding agencies for interagency communications channels?	Some of the time

SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) Most of the time

used for Federal Communications Commission (FCC)-designated interoperability channels?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Responder Roles & Responsibilities**

<b>SEC 9.1</b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 10.1</b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	No
<b>SEC 11.2</b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	None were
<b>SEC 11.3</b> Who by position or function carried out the responsibilities?	
<b>SEC 11.4</b> Were necessary communications resources effectively ordered?	N/A
<b>SEC 11.5</b> Were they ordered using documented procedures?	N/A
<b>SEC 11.6</b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	N/A
SEC 11.7 Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	
Success Factors & Challenges Success Factors (Optional):	

Challenges (Optional): Recommendations (Optional):

## **Quality & Continuity**

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): **SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?

**SEC 13.2** Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only]

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

All of the time

No

No

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Levels of Demonstration**

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

Communications systems faced technical difficulties and little consideration was given to reliable backup methods.

• Operational leadership was able to manage resources and make decisions despite communications impediments.

#### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

### **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:52 Did Not Demonstrate

# Your score is: 70 Established Demonstration Part 1: Background Information

# **Preparer Information**

County:

Union, IN

# Incident, Planned Event, or Exercise Information

Type of Event:

Event Name:

Event Date:

Event Address:

Event Address Line 2:

List total number of agencies involved in the incident, planned event, or exercise:

Federal	No
State	No
Local	9
Non-governmental	No

Which other counties, if any, had significant participation in the event?

Planned event

LEPC Propane Leak Training

Sat, 2011-10-15

Cottate Grove Indiana Co-Op List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:

Briefly describe the incident, planned event, or exercise:

LEPC and EMA done a training for ALL emergency personal in Union County Indiana. We done a table top prior the training session. We had out dispatch tone out both Liberty and College Corner Fire Departments along with EMS Police Sheriff Coroner to the scene. I set up an EOC near the scene and basically done a walk through guided buy a CO-OP Rep that knew alot about Propane Tanks at all CO-OP Locations. He showed up where all shut off valves to tanks and trucks were at and along with several keys to a propane emergency. As all departments walked through each step as of what they would do in an actual situation.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Shared Channels Cellular

# Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	No
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?	Yes

### Part 3: Secondary Evaluation Criteria

## **Common Policies & Procedures**

Recommendations (Optional):

<b>SEC 1.1</b> Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	N/A (none exist)
SEC 1.2 Were they written?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 2.1</b> Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	N/A (none exist)
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In some cases
<b>SEC 2.3</b> If so, were they followed? [Information Only]	All were
Success Factors & Challenges Success Factors (Optional): Challenges (Optional):	

<b>SEC 3.1</b> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	All were
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
<b>SEC 4.2</b> Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 5.1</b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 6.1</b> Was plain language used throughout the incident, planned event, or exercise?	Some of the time
<b>SEC 6.1</b> Was plain language used throughout the incident, planned event, or exercise? <b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	Some of the time No
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of	
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during</li> </ul>	No
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors (Optional):</li> <li>Challenges (Optional):</li> </ul>	No
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):</li> </ul>	No No
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional): SEC 7.1 Were clear unit identification procedures used amongst other response-level emergency personnel SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel</li></ul>	No No All of the time
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors (Optional):</li> <li>Challenges (Optional):</li> <li>Recommendations (Optional):</li> <li>SEC 7.1 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors (Optional):</li> <li>Center of the incident, planned event, or exercise?</li> <li>Success Factors &amp; Challenges</li> <li>Success Factors (Optional):</li> <li>Challenges (Optional):</li> <li>Challenges (Optional):</li> <li>Challenges (Optional):</li> <li>Challenges (Optional):</li> </ul>	No No All of the time
<ul> <li>SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?</li> <li>SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?</li> <li>Success Factors &amp; Challenges <i>Success Factors (Optional): Challenges (Optional):</i></li> <li>SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership?</li> <li>SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?</li> <li>Success Factors &amp; Challenges <i>Success Factors (Optional): Challenges (Optional):</i></li> <li>Recommendations (Optional):</li> <li>Success Factors &amp; Challenges <i>Success Factors (Optional): Recommendations (Optional): Recommendations (Optional):</i></li> </ul>	No No All of the time All of the time

Success Factors & Challenges Success Factors (Optional): Challenges (Optional):

# **Responder Roles & Responsibilities**

<b>SEC 9.1</b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 10.1</u></b> Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In no cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 11.1</b> Was the ICS COML position specifically filled during the incident, planned event, or exercise?	Yes
<b><u>SEC 11.2</u></b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	Some were
<b>SEC 11.3</b> Who by position or function carried out the responsibilities?	
SEC 11.4 Were necessary communications resources effectively ordered?	All were
SEC 11.5 Were they ordered using documented procedures?	N/A (none needed)
<b>SEC 11.6</b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes
<u>SEC 11.7</u> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
Quality & Continuity	
<b>SEC 12.1</b> Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode? Yes

SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time? [Information No

only]

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

All of the time

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Levels of Demonstration**

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise

observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:70 Established Demonstration

# Your score is: 90 Advanced Demonstration Part 1: Background Information

# **Preparer Information**

County:	Wayne, IN
Incident, Planned Event, or Exercise Information	
Type of Event:	Planned event
Event Name:	9/11 WTC Beam Memorial Ride
Event Date:	Sat, 2011-04-09
Event Address:	50 North 5th Street
Event Address Line 2:	Richmond, IN
List total number of agencies involved in the incident, planned event, or exercise:	
Federal	0
State	4
Local	13
Non-governmental	4

Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:

Ohio State Patrol Indiana State Police Indiana Department of Transportation Indiana National Guard Reserve Richmond Police Department Wayne County Sheriff's Department Richmond Fire Department Richmond Street Department Richmond Sanitary District Wayne County Emergency Management Agency Wayne County Emergency Communications Division Centerville Fire/ Rescue Fountain City Fire Department Economy Fire Department Hagerstown Fire Department Dublin Fire and EMS Cambridge City Fire Department American Legion Harry Ray Post Veterns of Foriegn Wars Wayne County ARES/ Amateur Radio

Briefly describe the incident, planned event, or exercise:

13,000 motorcycles escorting beams from the World Trade Center stopped in Richmond for a memorial program enroute to Indianapolis. Actions involved parking and organizing motorcycles and attendees and traffic/crowd control operations while maintaining emergency services and through traffic in the community.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Shared Channels Proprietary Shared System Cellular Mobile Data Other

## Part 2: Incident Selection Guidance

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?	Yes
Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?	Yes
Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response- level emergency communications?	Yes
Part 3: Secondary Evaluation Criteria	
Common Policies & Procedures	
SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?	In all needed cases
SEC 1.2 Were they written?	In all needed cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 2.2</b> Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?	In all needed cases

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

<b><u>SEC 3.1</u></b> Were interagency communications policies and procedures across responding agencies consistent with NIMS?	Most were
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 4.1</b> Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?	Yes
<b><u>SEC 4.2</u></b> Was this prioritization of communications resource use followed?	All of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 5.1</b> Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?	Yes
<b>SEC 5.2</b> If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?	N/A
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b><u>SEC 6.1</u></b> Was plain language used throughout the incident, planned event, or exercise?	Most of the time
<b>SEC 6.2</b> Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?	No
<b>SEC 6.3</b> Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?	No
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
<b>SEC 7.1</b> Were clear unit identification procedures used amongst the primary operational leadership?	Most of the time
<b>SEC 7.2</b> Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?	Most of the time
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

**<u>SEC 8.1</u>** Were common names used by all responding agencies for interagency communications channels?

Most of the time

**SEC 8.2** Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?

N/A (no such channels used)

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

### **Responder Roles & Responsibilities**

<b><u>SEC 9.1</u></b> Did a single individual carry out the Operations Section Chief responsibilities in each operational period?	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time?	No
<b>SEC 10.2</b> Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?	In some cases
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	
SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?	Yes
<b>SEC 11.2</b> Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?	All were
<b>SEC 11.3</b> Who by position or function carried out the responsibilities?	Emergency Communications Deputy Director
SEC 11.4 Were necessary communications resources effectively ordered?	All were
SEC 11.5 Were they ordered using documented procedures?	All were
<b>SEC 11.6</b> Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?	Yes
<b>SEC 11.7</b> Did the communications plan meet the communications needs of the primary operational leadership? [Information only]	Yes
Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):	

# **Quality & Continuity**

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): **SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?

SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only] No

**SEC 13.3** If so, was a back-up effectively provided?

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?

All of the time

Yes

Success Factors & Challenges Success Factors (Optional): Challenges (Optional): Recommendations (Optional):

## **Levels of Demonstration**

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between "Established" and "Advanced" demonstration. The quartile division between levels results largely from many criteria having four possible responses.

#### **Advanced Demonstration (85-100)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

#### **Established Demonstration (70-84)**

Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

#### **Early Demonstration (60-69)**

Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

#### **Did Not Demonstrate (0-59)**

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

## **NECP Goals: Successful Demonstration**

A successful demonstration requires a "Yes" response to each primary evaluation criterion *and* a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score:90 Advanced Demonstration