Event: Elkhart County 4H Fair

Your score is: 57
Did Not Demonstrate

Part 1: Background Information

Preparer Information

County: Elkhart, IN

Incident, Planned Event, or Exercise Information

Type of Event: Planned event

Event Name: Elkhart County 4H Fair

Event Date: Fri, 2011-07-22

Event Address: 17746 CR 34

Event Address Line 2: Goshen, IN 46528

List total number of agencies involved in the incident, planned event, or exercise:

Federal 0

State 3

Local 30

Non-governmental 2
Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:

Briefly describe the incident, planned event, or exercise:
Communications between first responders, mobile command unit, fair board, and dispatch using various frequencies.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:
Other

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise? Yes

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)? Yes

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications? Yes

**Part 3: Secondary Evaluation Criteria**

**Common Policies & Procedures**

**SEC 1.1** Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines? In most cases

**SEC 1.2** Were they written? In some cases

**Success Factors & Challenges**

*Success Factors (Optional):*

*Challenges (Optional):*

Too many agencies on different frequencies
Recommendations (Optional):

**Funding**

**SEC 2.1** Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?  
*Most of the time*

**SEC 2.2** Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?  
*In some cases*

**SEC 2.3** If so, were they followed? [Information Only]  
*Some were*

Success Factors & Challenges

**Success Factors (Optional):**
- Identified short falls

**Challenges (Optional):**
- Too many different frequencies.

Recommendations (Optional):

**Funding**

**SEC 3.1** Were interagency communications policies and procedures across responding agencies consistent with NIMS?  
*Some were*

Success Factors & Challenges

**Success Factors (Optional):**
- Command was in place and utilized.

**Challenges (Optional):**
- Signals and 10 codes still used.

Recommendations (Optional):

**Funding**

**SEC 4.1** Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?  
*Yes*

**SEC 4.2** Was this prioritization of communications resource use followed?  
*All of the time*

Success Factors & Challenges

**Success Factors (Optional):**

**Challenges (Optional):**

Recommendations (Optional):

**Funding**

**SEC 5.1** Was a primary interagency communications talk path clearly established by procedures used  
*Yes*
during the incident, planned event, or exercise?

**SEC 5.2** If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise? N/A

Success Factors & Challenges

**Success Factors (Optional):**  
**Challenges (Optional):**  
*Responders still wanting to carry multiple radios*  
**Recommendations (Optional):**  
*Training*

**SEC 6.1** Was plain language used throughout the incident, planned event, or exercise?  
Most of the time

**SEC 6.2** Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?  
Yes

**SEC 6.3** Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?  
Yes

Success Factors & Challenges

**Success Factors (Optional):**  
**Challenges (Optional):**  
*Buy in by Law Enforcement*  
**Recommendations (Optional):**  
*Training and Funding*

**SEC 7.1** Were clear unit identification procedures used amongst the primary operational leadership?  
Most of the time

**SEC 7.2** Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?  
Most of the time

Success Factors & Challenges

**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**  
*Training*

**SEC 8.1** Were common names used by all responding agencies for interagency communications channels?  
Some of the time
SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels? None of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Training

Responder Roles & Responsibilities

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each operational period? No

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Training

SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time? No

SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time? In no cases

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise? No

SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee? Some were

SEC 11.3 Who by position or function carried out the responsibilities? RACES, Dispatchers, EMA Deputy

SEC 11.4 Were necessary communications resources effectively ordered? Most were
SEC 11.5 Were they ordered using documented procedures? None were

SEC 11.6 Was a communications plan established by procedure or developed early in the incident, planned event, or exercise? Yes

SEC 11.7 Did the communications plan meet the communications needs of the primary operational leadership? [Information only] Yes

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Quality & Continuity

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership? No

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode? Yes

SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only] No

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 14.1 Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise? Most of the time
Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between “Established” and “Advanced” demonstration. The quartile division between levels results largely from many criteria having four possible responses.

Advanced Demonstration (85-100)
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

Early Demonstration (60-69)
Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)
The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

NECP Goals: Successful Demonstration

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the
secondary evaluation criteria. Answers consistently indicating that criteria elements were met "Most of the time" during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing "Established," "Advanced," or "Early" demonstration are considered to be successful demonstrations.

Your Score: 57
Did Not Demonstrate
Event: HazMat INC

Your score is: 74
Established Demonstration

Part 1: Background Information

Preparer Information

County: Fulton, IN

Incident, Planned Event, or Exercise Information

Type of Event: Exercise

Event Name: HazMat INC

Event Date: Sat, 2011-08-13

Event Address: 600 North and 800 West

Event Address Line 2:

List total number of agencies involved in the incident, planned event, or exercise:

Federal 0

State 0

Local 9

Non-governmental 0
Which other counties, if any, had significant participation in the event?

List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:
Red Cross, Medic 1 Fulton County EMS, Fulton County EMA, Fulton County Communications, Fulton County Sheriff, Rochester FD, Wayne FD, Union FD, Aubbee FD

Briefly describe the incident, planned event, or exercise:
Hemat spill with secondary house fire. Walking wounded, 1 fatality, 2 paramedic assist and decontamination.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:
- Shared Channels
- Cellular
- Other

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?  
**Yes**

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?  
**Yes**

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?  
**Yes**

**Part 3: Secondary Evaluation Criteria**

Common Policies & Procedures

**SEC 1.1** Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?  
**In all needed cases**

**SEC 1.2** Were they written?  
**In all needed cases**

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Too much traffic on a single channel
Recommendations (Optional):
Additional exercise.
SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?  
Most of the time

SEC 2.2 Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?  
In most cases

SEC 2.3 If so, were they followed? [Information Only]  
Most were

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Additional Exercises

SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS?  
Most were

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Additional exercises.

SEC 4.1 Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?  
Yes

SEC 4.2 Was this prioritization of communications resource use followed?  
Most of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Additional Training

SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?  
Yes

SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?  
N/A
Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Additional Training

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise? Some of the time

SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology? Yes

SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology? Yes

Success Factors (Optional):
Challenges (Optional): Working on plain language
Recommendations (Optional):
Implementation of plain language in our daily work environment.

SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership? All of the time

SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise? All of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 8.1 Were common names used by all responding agencies for interagency communications channels? All of the time

SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels? Most of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):
Responder Roles & Responsibilities

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each operational period? Yes

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time? No

SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time? In no cases

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise? Yes

SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee? All were

SEC 11.3 Who by position or function carried out the responsibilities? N/A

SEC 11.4 Were necessary communications resources effectively ordered? N/A (none needed)

SEC 11.5 Were they ordered using documented procedures? None were

SEC 11.6 Was a communications plan established by procedure or developed early in the incident, planned event, or exercise? No

SEC 11.7 Did the communications plan meet the communications needs of the primary operational
Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Quality & Continuity

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership? No

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode? Yes

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**SEC 13.2** Did the primary mode fail during the incident, planned event, or exercise at any time? [Information only] No

**SEC 13.3** If so, was a back-up effectively provided? No

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**SEC 14.1** Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise? All of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated
jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between “Established” and “Advanced” demonstration. The quartile division between levels results largely from many criteria having four possible responses.

Advanced Demonstration (85-100)
Response indicative of county’s capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)
Response indicative of county’s capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

Early Demonstration (60-69)
Response indicative of county’s capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)
The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

NECP Goals: Successful Demonstration

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met “Most of the time” during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing “Established,” “Advanced,” or “Early” demonstration are considered to be successful demonstrations.

Your Score: 74
Established Demonstration
Event: 2011 Kosciusko County Fair

Your score is: 69
Early Demonstration

Part 1: Background Information

Preparer Information

County: Kosciusko, IN

Incident, Planned Event, or Exercise Information

Type of Event: Planned event

Event Name: 2011 Kosciusko County Fair

Event Date: Mon, 2011-07-11

Event Address: 1400 E. Smith St

Event Address Line 2: Warsaw, IN 46580

List total number of agencies involved in the incident, planned event, or exercise:

Federal No

State No

Local 8
List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:
Kosciusko County Sheriff, Warsaw Police, Winona Lake Police, Mentone Police, Warsaw Fire, Winona Lake Fire, Multi-Township EMS, Kosciusko County EMA

Briefly describe the incident, planned event, or exercise:
County 4-H fair with a large number of animals, A midway, two concerts with big name entertainers, tractor and truck pulls, all pulling crowds of 5,00 plus.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

- Shared Channels
- Broadband
- Cellular
- Mobile Data

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise? Yes

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)? Yes

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications? No

**Part 3: Secondary Evaluation Criteria**
Common Policies & Procedures

SEC 1.1 Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines? In all needed cases

SEC 1.2 Were they written? In some cases

Success Factors & Challenges

Success Factors (Optional):
All responders monitored the same 2 frequencies.

Challenges (Optional):
At times this resulted in some congestion.

Recommendations (Optional):
Limit radio traffic to team leaders

SEC 2.1 Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise? Most of the time

SEC 2.2 Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches? In most cases

SEC 2.3 If so, were they followed? [Information Only] Most were

Success Factors & Challenges

Success Factors (Optional):
Most responders kept radio traffic to a minimum and only as needed

Challenges (Optional):
One responder over-used the radio

Recommendations (Optional):
The one responder was retrained on proper procedures.

SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS? Most were

Success Factors & Challenges

Success Factors (Optional):
SEC 4.1 Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?

Yes

SEC 4.2 Was this prioritization of communications resource use followed?

All of the time

SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?

Yes

SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?

N/A

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise?

Most of the time

SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?

No

SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?

No
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**SEC 7.1** Were clear unit identification procedures used amongst the primary operational leadership?  
Most of the time

**SEC 7.2** Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?  
Most of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**SEC 8.1** Were common names used by all responding agencies for interagency communications channels?  
Most of the time

**SEC 8.2** Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?  
All of the time

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

**Responder Roles & Responsibilities**

**SEC 9.1** Did a single individual carry out the Operations Section Chief responsibilities in each operational period?  
Yes

Success Factors & Challenges

Success Factors (Optional):
Challenges (Optional):
**Recommendations (Optional):**

**SEC 10.1** Did the Operations Section Chief directly manage more than seven subordinates at any time?  
No

**SEC 10.2** Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?  
In no cases

Success Factors & Challenges

Success Factors (Optional):  
Challenges (Optional):  

Recommendations (Optional):

**SEC 11.1** Was the ICS COML position specifically filled during the incident, planned event, or exercise?  
No

**SEC 11.2** Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?  
None were

**SEC 11.3** Who by position or function carried out the responsibilities?

**SEC 11.4** Were necessary communications resources effectively ordered?  
N/A

**SEC 11.5** Were they ordered using documented procedures?  
N/A

**SEC 11.6** Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?  
N/A

**SEC 11.7** Did the communications plan meet the communications needs of the primary operational leadership? [Information only]

Success Factors & Challenges

Success Factors (Optional):
Quality & Continuity

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?  No

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?  Yes

SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time?  No  [Information only]

SEC 13.3 If so, was a back-up effectively provided?

SEC 14.1 Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?  All of the time
Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between “Established” and “Advanced” demonstration. The quartile division between levels results largely from many criteria having four possible responses.

Advanced Demonstration (85-100)

Response indicative of county’s capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)

Response indicative of county’s capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

Early Demonstration (60-69)

Response indicative of county’s capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)

The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

NECP Goals: Successful Demonstration

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the
secondary evaluation criteria. Answers consistently indicating that criteria elements were met “Most of the time” during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing “Established,” “Advanced,” or “Early” demonstration are considered to be successful demonstrations.

Your Score: 69
Early Demonstration
Part 1: Background Information

Preparer Information

County: Marshall, IN

Incident, Planned Event, or Exercise Information

Type of Event: Real-world incident

Event Name: Propane Tank Fire

Event Date: Wed, 2011-07-06

Event Address: 13252 Filber Road

Event Address Line 2:

List total number of agencies involved in the incident, planned event, or exercise:

Federal 0

State 0

Local 8

Non-governmental 1

Which other counties, if any, had significant participation in the event?
List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:
1. Marshall County Sheriff's Department
2. Bourbon Police Department
3. Bourbon Fire Department
4. Argos Fire Department
5. Plymouth Fire Department
6. Tippecanoe Fire Department
7. Plymouth Ambulance Service
8. Bourbon Ambulance Service

Briefly describe the incident, planned event, or exercise:
A female subject on a mower struck a service line to a 1000 gallon propane tank igniting the propane. The victim was severely burned. The fire spread to a corn silo and corn drier. A second 1000 gallon tank of propane was located next to the first tank and was feeding into it.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

- Shared Channels
- Proprietary Shared System
- Cellular
- Mobile Data

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?  
Yes

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?  
Yes

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?  
Yes

**Part 3: Secondary Evaluation Criteria**

**Common Policies & Procedures**

**SEC 1.1** Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?  
In all needed cases

**SEC 1.2** Were they written?  
In all needed cases

Success Factors & Challenges
*Success Factors (Optional):*
*A communications plan had been created with the participation of the local fire departments.*
*Challenges (Optional):*
*Recommendations (Optional):*

**SEC 2.1** Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?  
All of the time

**SEC 2.2** Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?  
In all needed cases

**SEC 2.3** If so, were they followed? [Information Only]  
N/A (none needed)

Success Factors & Challenges
*Success Factors (Optional):*
**SEC 3.1** Were interagency communications policies and procedures across responding agencies consistent with NIMS?  
Success Factors & Challenges
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):

**SEC 4.1** Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?

**SEC 4.2** Was this prioritization of communications resource use followed?

Success Factors & Challenges
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):

**SEC 5.1** Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?  
Yes

**SEC 5.2** If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?  
N/A

Success Factors & Challenges
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):

**SEC 6.1** Was plain language used throughout the incident, planned event, or exercise?  
All of the time

**SEC 6.2** Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?  
No

**SEC 6.3** Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?  
No

Success Factors & Challenges
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):

**SEC 7.1** Were clear unit identification procedures used amongst the primary operational leadership?  
All of the time

**SEC 7.2** Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?  
All of the time

Success Factors & Challenges
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):

**SEC 8.1** Were common names used by all responding agencies for interagency communications channels?  
All of the time

**SEC 8.2** Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?  
N/A (no such channels used)
Responder Roles & Responsibilities

**SEC 9.1** Did a single individual carry out the Operations Section Chief responsibilities in each operational period?  
No

**SEC 10.1** Did the Operations Section Chief directly manage more than seven subordinates at any time?  
No

**SEC 10.2** Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?  
In no cases

**SEC 11.1** Was the ICS COML position specifically filled during the incident, planned event, or exercise?  
No

**SEC 11.2** Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?  
All were

**SEC 11.3** Who by position or function carried out the responsibilities?  
The Incident Commander

**SEC 11.4** Were necessary communications resources effectively ordered?  
N/A (none needed)

**SEC 11.5** Were they ordered using documented procedures?  
N/A (none needed)

**SEC 11.6** Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?  
Yes

**SEC 11.7** Did the communications plan meet the communications needs of the primary operational leadership? [Information only]  
Yes

Quality & Continuity

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?  
No

**SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?  
Yes
SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time?  
[Information only]  
No

SEC 13.3 If so, was a back-up effectively provided?

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 14.1 Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?  
All of the time

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between “Established” and “Advanced” demonstration. The quartile division between levels results largely from many criteria having four possible responses.

Advanced Demonstration (85-100)
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

Early Demonstration (60-69)
Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)
The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

**NECP Goals: Successful Demonstration**

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met “Most of the time” during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing “Established,” “Advanced,” or “Early” demonstration are considered to be successful demonstrations.

**Your Score:** 89

**Advanced Demonstration**
Event: tornado

Your score is: 60

Early Demonstration

Part 1: Background Information

Preparer Information

County: Pulaski, IN

Incident, Planned Event, or Exercise Information

Type of Event: Planned event

Event Name: tornado

Event Date: Sat, 2011-06-04

Event Address: Francesville, IN

Event Address Line 2: Winamac, IN

List total number of agencies involved in the incident, planned event, or exercise:

Federal No

State No

Local 10

Non-governmental 1

Which other counties, if any, had significant participation in the event?
List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:
Francesville First Responders, Francesville Fire, Francesville EMS, Francesville PD, Pulaski County Sheriff's Dept., Winamac First responders, Winamac fire, Winamac EMS, Winamac PD, REACT, EMA

Briefly describe the incident, planned event, or exercise:
Weather spotters were dispatched for the entire County ref Nat'l weather service adv'd Tornado Warning. The tornado did strike in the town of Francesville, taking down several buildings and uprooting several trees. The tornado continued across the County into Winamac were additional damage occurred along with additional power outages. All emergency responders were dispatched out with additional law enforcement units called out.

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:
- Shared Channels
- Proprietary Shared System
- Standards-Based Shared System
- Cellular

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise? Yes

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)? Yes

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications? Yes

**Part 3: Secondary Evaluation Criteria**

**Common Policies & Procedures**

**SEC 1.1** Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines? In most cases

**SEC 1.2** Were they written? In some cases

Success Factors & Challenges
*Success Factors (Optional):*
*Challenges (Optional):*
*Recommendations (Optional):*

**SEC 2.1** Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise? Most of the time

**SEC 2.2** Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches? In some cases

**SEC 2.3** If so, were they followed? [Information Only] Some were

Success Factors & Challenges
*Success Factors (Optional):*
Challenges (Optional):
Recommendations (Optional):

SEC 3.1 Were interagency communications policies and procedures across responding agencies consistent with NIMS? Some were

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 4.1 Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)? No

SEC 4.2 Was this prioritization of communications resource use followed? N/A

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 5.1 Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise? Yes

SEC 5.2 If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise? N/A

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 6.1 Was plain language used throughout the incident, planned event, or exercise? All of the time

SEC 6.2 Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology? No

SEC 6.3 Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology? No

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 7.1 Were clear unit identification procedures used amongst the primary operational leadership? Most of the time

SEC 7.2 Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise? Most of the time

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

SEC 8.1 Were common names used by all responding agencies for interagency communications channels? Most of the time

SEC 8.2 Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels? N/A (no such channels used)
Responder Roles & Responsibilities

**SEC 9.1** Did a single individual carry out the Operations Section Chief responsibilities in each operational period? No

**SEC 10.1** Did the Operations Section Chief directly manage more than seven subordinates at any time? No

**SEC 10.2** Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time? In no cases

**SEC 11.1** Was the ICS COML position specifically filled during the incident, planned event, or exercise? No

**SEC 11.2** Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee? None were

**SEC 11.3** Who by position or function carried out the responsibilities?

**SEC 11.4** Were necessary communications resources effectively ordered? N/A

**SEC 11.5** Were they ordered using documented procedures? N/A

**SEC 11.6** Was a communications plan established by procedure or developed early in the incident, planned event, or exercise? N/A

**SEC 11.7** Did the communications plan meet the communications needs of the primary operational leadership? [Information only]

Quality & Continuity

**SEC 12.1** Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership? No

**SEC 13.1** Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode? Yes
SEC 13.2 Did the primary mode fail during the incident, planned event, or exercise at any time?  
[Information only]  
Yes

SEC 13.3 If so, was a back-up effectively provided?  
3

Success Factors & Challenges  
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):  

SEC 14.1 Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?  
All of the time

Success Factors & Challenges  
Success Factors (Optional):  
Challenges (Optional):  
Recommendations (Optional):  

Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

Based on the range of scores possible in using the evaluation criteria presented here, the following levels of demonstration in providing and supporting response-level emergency communications are offered below.

While individual scores, themselves, provide more information, these levels of demonstration may be useful for representing a baseline, current status, or trend more generally to executive audiences or others less familiar with the complexities of communications interoperability. Four levels limit the degree of granularity possible, so recognize that the difference between, say, a score of 83 and 85 is marginal even if here it represents crossing the threshold between “Established” and “Advanced” demonstration. The quartile division between levels results largely from many criteria having four possible responses.

Advanced Demonstration (85-100)  
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies and effectively address a significant incident were it to occur. Indicators may include:

- Jurisdictions demonstrated strong communications planning using established policies and procedures.
- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)  
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

Early Demonstration (60-69)  
Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)
The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

**NECP Goals: Successful Demonstration**

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met “Most of the time” during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing “Established,” “Advanced,” or “Early” demonstration are considered to be successful demonstrations.

**Your Score:** 60

**Early Demonstration**
Event: Knox PD

Your score is: 75

Established Demonstration

Part 1: Background Information

Preparer Information

County: Starke, IN

Incident, Planned Event, or Exercise Information

Type of Event: Exercise

Event Name: Knox PD

Event Date: Sat, 2011-12-10

Event Address: #1 Redskin Trail

List total number of agencies involved in the incident, planned event, or exercise:

Federal

No

State

No

Local

12

Non-governmental

1

Which other counties, if any, had significant participation in the event?
List all Federal, State, local, or tribal agencies involved in the incident, planned event, or exercise:

Briefly describe the incident, planned event, or exercise:
Active Shooter in School

Indicate all communications technologies used in the incident, planned event, or exercise covered by this evaluation:

Standards-Based Shared System
Cellular
Mobile Data

**Part 2: Incident Selection Guidance**

Did the response involve multiple agencies and emergency response disciplines within one hour of the incident, planned event, or exercise?  
No

Was the incident, planned event, or exercise managed under a National Incident Management System (NIMS)-compliant Incident Command System (ICS)?  
No

Does sufficient documentation exist to provide for independent validation and verification of the adequacy of response-level emergency communications?  
Yes

**Part 3: Secondary Evaluation Criteria**

**Common Policies & Procedures**

**SEC 1.1** Did policies and procedures exist for interagency communications between the involved jurisdictions, agencies, and disciplines?  
In most cases

**SEC 1.2** Were they written?  
In most cases

Success Factors & Challenges
Success Factors (Optional): 
Challenges (Optional): 
Recommendations (Optional):

**SEC 2.1** Were established interagency communications policies and procedures followed throughout the incident, planned event, or exercise?  
Most of the time

**SEC 2.2** Did established policies and procedures exist between responding agencies for request, activation, accountability, deactivation, and problem resolution of deployable interagency communications resources, such as mobile communications centers, gateways, and radio caches?  
In most cases

**SEC 2.3** If so, were they followed?  [Information Only]  
Most were

Success Factors & Challenges
Success Factors (Optional): 
Challenges (Optional): 
Recommendations (Optional):
**SEC 3.1** Were interagency communications policies and procedures across responding agencies consistent with NIMS?  
Most were

**Success Factors & Challenges**  
**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**

**SEC 4.1** Does a priority order exist for use of interagency communications resources (e.g., life safety before property protection)?  
Yes

**SEC 4.2** Was this prioritization of communications resource use followed?  
Most of the time

**Success Factors & Challenges**  
**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**

**SEC 5.1** Was a primary interagency communications talk path clearly established by procedures used during the incident, planned event, or exercise?  
Yes

**SEC 5.2** If not, was such a talk path established ad hoc and communicated to responders early in the incident, planned event, or exercise?  
N/A

**Success Factors & Challenges**  
**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**

**SEC 6.1** Was plain language used throughout the incident, planned event, or exercise?  
Most of the time

**SEC 6.2** Did any communications problems arise amongst the primary operational leadership due to a lack of common terminology?  
No

**SEC 6.3** Did any communications problems arise amongst other response-level emergency personnel during the incident, planned event, or exercise due to a lack of common terminology?  
No

**Success Factors & Challenges**  
**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**

**SEC 7.1** Were clear unit identification procedures used amongst the primary operational leadership?  
Most of the time

**SEC 7.2** Were clear unit identification procedures used amongst other response-level emergency personnel throughout the incident, planned event, or exercise?  
Most of the time

**Success Factors & Challenges**  
**Success Factors (Optional):**  
**Challenges (Optional):**  
**Recommendations (Optional):**

**SEC 8.1** Were common names used by all responding agencies for interagency communications channels?  
None of the time

**SEC 8.2** Were standard names as identified in the National Interoperability Field Operations Guide (NIFOG) used for Federal Communications Commission (FCC)-designated interoperability channels?  
Some of the time

**Success Factors & Challenges**  
**Success Factors (Optional):**
Responser Roles & Responsibilities

SEC 9.1 Did a single individual carry out the Operations Section Chief responsibilities in each operational period?  
Yes

SEC 10.1 Did the Operations Section Chief directly manage more than seven subordinates at any time?  
No

SEC 10.2 Did first-level subordinates to the Operations Section Chief directly manage more than seven subordinates at any time?  
In no cases

SEC 11.1 Was the ICS COML position specifically filled during the incident, planned event, or exercise?  
No

SEC 11.2 Were COML roles and responsibilities carried out, either by the Incident Commander (or Unified Command), the COML, or another designee?  
Most were

SEC 11.3 Who by position or function carried out the responsibilities?  

SEC 11.4 Were necessary communications resources effectively ordered?  
Most were

SEC 11.5 Were they ordered using documented procedures?  
Most were

SEC 11.6 Was a communications plan established by procedure or developed early in the incident, planned event, or exercise?  
Yes

SEC 11.7 Did the communications plan meet the communications needs of the primary operational leadership? [Information only]  
Yes

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?  
No

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?  
Yes

Quality & Continuity

SEC 12.1 Were more than one out of every 10 transmissions repeated due to failure of initial communications attempts amongst the primary operational leadership?  
No

SEC 13.1 Was a back-up resource available for communications amongst the primary operational leadership in case of failure of the primary mode?  
Yes
Did the primary mode fail during the incident, planned event, or exercise at any time?  
[Information only]  
No

If so, was a back-up effectively provided?

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Overall, was the primary operational leadership able to communicate adequately to manage resources during the incident, planned event, or exercise?  
Most of the time

Success Factors & Challenges
Success Factors (Optional):
Challenges (Optional):
Recommendations (Optional):

Levels of Demonstration

The NECP establishes response-level emergency communications as the key performance indicator for communications interoperability. Stakeholders involved in its development stressed that the key outcome of improved governance structures, common operational protocols, technology standards, and all other NECP objectives was improved emergency response. Consequently, a summary score based on these criteria can be considered to represent broadly the state of communications interoperability across the evaluated jurisdictions.

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- Communications systems were effectively utilized and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without communications impediments.

Established Demonstration (70-84)
Response indicative of county's capability to consistently provide response-level communications during routine incidents, planned events, or exercises involving multiple jurisdictions, disciplines, and agencies. Indicators may include:

- Jurisdictions demonstrated some communications planning using policies and procedures, whether documented or ad hoc.
- Communications systems were utilized with few difficulties and backup solutions were available if needed.
- Operational leadership was able to manage resources and make timely decisions without significant communications impediments.

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Response indicative of county's capability to consistently provide response-level communications for incidents, planned events, or exercises but communications and coordination were largely ad hoc, with few documented plans or procedures. Other indicators may include:

- Communications systems faced technical difficulties and little consideration was given to reliable backup methods.
- Operational leadership was able to manage resources and make decisions despite communications impediments.

Did Not Demonstrate (0-59)
The jurisdictions involved did not demonstrate response-level emergency communications during the incident, planned event, or exercise observed due to communications impediments arising from a lack of planning, established policies and procedures, technical solutions, or a combination thereof.

**NECP Goals: Successful Demonstration**

A successful demonstration requires a “Yes” response to each primary evaluation criterion and a score greater than 59 on the secondary evaluation criteria. Answers consistently indicating that criteria elements were met “Most of the time” during the evaluated incident, planned event, or exercise will result in a score over 59. This is considered the threshold for successful demonstration of response-level emergency communications for NECP Goal 2. In other words, incidents, planned events, or exercises evaluated as showing “Established,” “Advanced,” or “Early” demonstration are considered to be successful demonstrations.

**Your Score:** 75

**Established Demonstration**