



INDIANA DEPARTMENT OF TRANSPORTATION

AFFIDAVIT OF CONTINUED DBE CERTIFICATION ELIGIBILITY

Form with fields: Name of Firm, Contact Person, Address of Firm, Business Telephone Number, Cellular Telephone Number, E-Mail Address, Alternative Telephone Number, FAX Telephone Number.

No Change Affidavit

I swear (or affirm) that there have been no changes in the circumstances of [redacted] affecting its ability to meet the size, disadvantaged status, ownership or control requirements of 49 CFR Part 26. There have been no material changes in the information provided with [redacted] application for certification, except for any changes about which I have provided written notice to the Indiana Department of Transportation under §26.83(i).

[redacted] meets Small Business Administration (SBA) criteria for being a small business concern, and its average annual gross receipts do not exceed the maximum dollar limit, as defined by SBA rules (13 CFR 121.402), over the firm's previous three fiscal years. The Secretary of Transportation adjusts this amount for inflation from time to time, and will vary with the type of firm.

I certify that my personal net worth does not exceed \$1,320,000, and that I am economically disadvantaged because my ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business that are not socially and economically disadvantaged.

I declare under penalty of perjury that this information provided in this application and the supporting documents is true and correct.

FOR USE OF NOTARY PUBLIC

Notary Public

Signature of Majority Owner

Date

Title

"Please note, if there have been changes in ownership and/or control, the following documents will be required before your information can be processed. Other documentation may be requested if additional questions arise."

For Ownership Changes:

- 1. Board of Directors meeting minutes;
2. Stock Ledgers and/or copies of stock certificates;
3. Investment proof (minority and female owners only), cancelled checks, bank withdrawals/transfers, etc.

For Control Changes:

- 1. Board of Directors meeting minutes;
2. Current listing of officers



SUPPORTIVE DOCUMENTATION REQUIRED

Identify all current owners/partners of the firm and the percentage of ownership by each:

	Name	Ethnic Group/Sex	Percent Owned	Date Acquired
A.				
B.				
C.				
D.				

Personal Net Worth (PNW) - Each minority and female owner constituting the 51% must affirm the range of PNW:

Owner A

Owner B

Owner C

Owner D

- | | | | |
|--|--|--|--|
| <input type="checkbox"/> Less than \$500,000 |
| <input type="checkbox"/> \$500,000-\$750,000 | <input type="checkbox"/> \$500,000-\$750,000 | <input type="checkbox"/> \$500,000-\$750,000 | <input type="checkbox"/> \$500,000-\$750,000 |
| <input type="checkbox"/> \$750,000-\$1,320,000 | <input type="checkbox"/> \$750,000-\$1,320,000 | <input type="checkbox"/> \$750,000-\$1,320,000 | <input type="checkbox"/> \$750,000-\$1,320,000 |
| <input type="checkbox"/> Over \$1,320,000 |

If the firm is a corporation, identify all current stockholders/members:

	Name of Shareholder	Percent of Stock Owned	Date Acquired
A.			
B.			
C.			
D.			

Report adjusted gross income (AGI) for majority owner for the past year:	Report average number of employees employed by firm:
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The company's nature of business remains the same.

Identify any management changes that have occurred in the past year:

Annual Gross Receipts

A **complete** copy (including all schedules, attachments, and Form 1099s) of the firm's last year's tax returns must be attached.

Personal (if **schedule C** filed)

Business / Corporate