**FORM C**

**QUESTIONNAIRE**

**Proposer:**

**Name of Firm Completing this Form:**

## Questions

Proposer/Equity Member/Major Participant shall respond either “yes” or “no” to each of the following questions. If the response is “yes” to any question, a detailed explanation of the circumstances shall be provided. Proposer/Equity Member/Major Participant shall attach additional documentation as necessary to fully explain said circumstances. Failure to either respond to the questions or provide adequate explanations may preclude consideration of the proposal and require its rejection. For a limited partnership, Equity Member means the general partners only. (all periods of time referring to the past are measured from the date of issuance of the RFQ unless stated otherwise)

1. Has the firm or any affiliate, or any current officer, director or employee of either the firm or any affiliate, been indicted or convicted, pled nolo contendere or received probation under federal, state, or municipal law of bid (i.e., fraud, bribery, collusion, conspiracy, antitrust, etc.) or other contract related crimes or violations or any other felony or serious misdemeanor within the past 10 years?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

2. Has the firm or any affiliate ever sought protection under any provision of any bankruptcy act within the past 10 years?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

3. Has the firm or any affiliate ever been disqualified, removed, debarred or suspended from performing work for the federal government, any state or local government, or any foreign governmental entity within the past 10 years?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

4. Has the firm or any affiliate ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or other material misrepresentation to a public entity within the past 10 years?

Yes  No

If yes, as to each such inquiry, state the name of the public agency, the date of the inquiry, the grounds on which the public agency based the inquiry, and the result of the inquiry on a separate page with reference to this question.

5. Has any construction project performed or managed by the firm or, to the knowledge of the undersigned, any affiliate\* involved serious, repeated or multiple failures to comply with safety rules, regulations, or requirements within the past 10 years?

Yes  No

If yes, please identify the team members and the projects, provide an explanation of the circumstances, and provide owner contact information including telephone numbers and e-mail addresses on a separate page with reference to this question.

6. Has the firm or any affiliate been found, adjudicated or determined by any federal or state court or agency (including, but not limited to, the Equal Employment Opportunity Commission, the Office of Federal Contract Compliance Programs and any applicable Indiana governmental agency) to have violated any laws or Executive Orders relating to employment discrimination or affirmative action within the past 10 years (measured from the date of issuance of this RFQ), including but not limited to Title VII of the Civil Rights Act of 1964, as amended (42 U.S.C. Sections 2000 *et seq*.); the Equal Pay Act (29 U.S.C. Section 206(d)); and any applicable or similar Indiana law?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

7. Has the firm or any affiliate been found, adjudicated, or determined by any state court, state administrative agency, including, but not limited to, the Indiana Department of Labor, federal court or federal agency, to have violated or failed to comply with any law or regulation of the United States or any state within the past 10 years governing any of common construction wages, (prevailing wages) (including but not limited to payment for health and welfare, pension, vacation, travel time, subsistence, apprenticeship or other training, or other fringe benefits) or overtime compensation?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

8. With respect to each of Questions 1-7 above, if not previously answered or included in a prior response on this form, is any proceeding, claim, matter, suit, indictment, etc. currently pending against the firm that could result in the firm being found liable, guilty or in violation of the matters referenced in Questions 1-7 above and/or subject to debarment, suspension, removal or disqualification by the federal government, any state or local government, or any foreign governmental entity?

Yes  No

If yes, please explain and provide the information requested as to such similar items set forth in Questions 1-7 above on a separate page with reference to this question.

9. Has there been any instance where the firm or any affiliate, or its owners, officers, or managing employees submitted a bid on a public works project and were found to be nonresponsive or were found by an awarding body not to be a responsible bidder?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

10. Has there been any settled adverse claim, dispute or lawsuit between the owner of a public works project and the firm or any affiliate during the last five years in which the claim, settlement or judgment exceeded two hundred fifty thousand dollars ($250,000)?

Yes  No

If yes, please explain (and include the amount of the claim, settlement, or judgment and other relevant details) on a separate page with reference to this question.

11. In the past five years has the firm or any affiliate had liquidated damages or equivalent monetary consequences (e.g., noncompliance points) in excess of $100,000 assessed against it during or after completion of a contract?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

12. Has a surety for the firm or any affiliate completed a contract on the entity’s behalf or paid for completion because the entity was in default and terminated by the project owner within the last five years?

Yes  No

If yes, please include an explanation on a separate page with reference to this question.

13. In the past five years, has the firm or any affiliate\* had any license, credential, or registration revoked or suspended?

Yes  No

If yes, please provide specific details including date, reason, for revocation or suspension, whether same was reinstated, and any conditions thereto on a separate page with reference to this question.

Under penalty of perjury, I certify that the foregoing is true and correct, that, to the best of my knowledge, all of the required information is included; that no Proposer team member has been disqualified, removed, or is currently debarred or suspended from performing work for INDOT, and that none of the information disclosed materially adversely affects our ability to carry out any and all Project responsibilities and that I am the firm’s Official Representative:

By:

Print Name:

Title:

Date:

[*Please make additional copies of this form as needed for each entity required to complete this form.*]