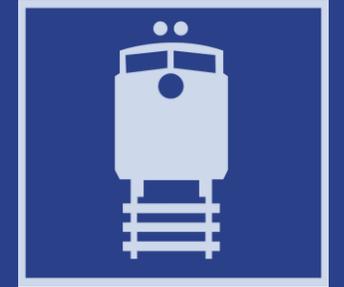


INDIANA DEPARTMENT OF TRANSPORTATION

DBE Reevaluation Process- what you need to know



Understand the Interim Final Rule

Key changes

- Race and gender no longer automatically qualify as social disadvantage
 - IFR removes all race and sex-based presumptions of social and economic disadvantage
 - Burden of proof is on the applicant
- All firms must submit a Personal Narrative Statement proving proof social and economic disadvantage
- All firms must submit a Personal Net Worth using new form

Personal Narrative

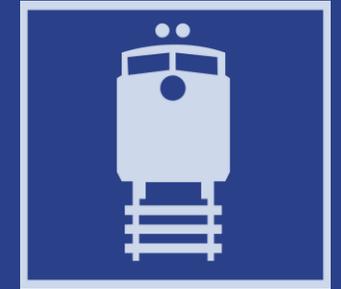
Highlights

- Must prove social disadvantage by a preponderance of the evidence
- Based on individual owner(s) experience and circumstances in American society

Per 49 CFR 26.67 Personal Narrative must include

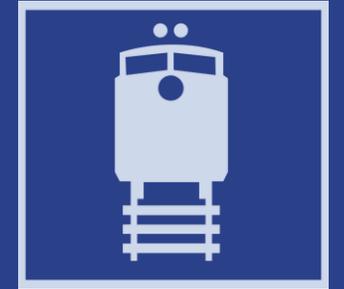
- Existence of disadvantaged based on individualized proof regarding specific instances of
 - Economic hardship
 - Systemic barriers
 - Denied opportunitiesThat impede the owner's progress in
 - Education
 - Employment
 - Business

The personal narrative must state how and to what extent the impediments caused the owner economic harm - including a full description of type and magnitude of harm



Personal Narrative Tips

- Generally, a personal narrative should be 2-3 pages
- Focus on your personal experiences when writing your narrative.
- Include as many specific instances as possible (Economic Hardship, Systemic barriers, Denied Opportunities)
 - Examples can be from any point in your life.
 - You do not need examples of each instance, but at least one from **any** category is required
 - Detail is critical. Include the who, what, when, where, why, and how
 - You are not required to include full names. You may change names or use initials



Personal Narrative Equation

How the general flow of your personal narrative should go:

Specific Instances of

- Economic hardship
- Systemic Barriers
- Denied Opportunities

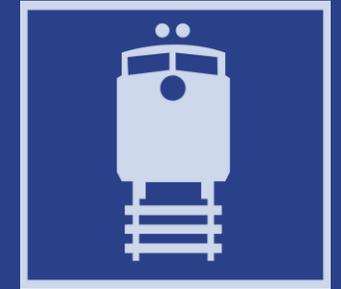


Impediments in

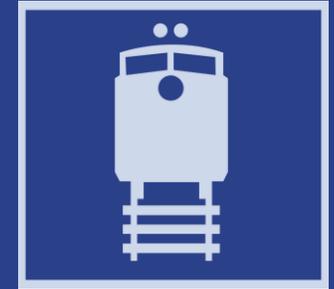
- Education
- Employment
- Business



Social / Economic Harm



Personal Net Worth (PNW) statement



OMB APPROVAL NO: 2105-0586
EXPIRATION DATE: (05/31/2027)

Personal Net Worth Statement

As of _____

This form is used by all participants in the U.S. Department of Transportation's Disadvantaged Business Enterprise (DBE) and Airport Concession DBE (ACDBE) Programs. Each individual owner of a firm applying to participate as a DBE or ACDBE, whose ownership and control are relied upon for DBE certification must complete this form. Each person signing this form authorizes the certifying agency to make inquiries as necessary to verify the accuracy of the statements made. The agency you apply to will use the information provided to determine whether an owner is economically disadvantaged as defined in the DBE program regulations 49 C.F.R. Parts 23 and 26. Return form to appropriate certifying agency, not U.S. DOT.

Name			
Residence (As reported to the IRS) Address, City, State, and Zip Code			
Company's Legal Name		Phone:	
Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married/Domestic Partnership		Business Phone:	
Assets	(Omit Cents)	Liabilities	(Omit Cents)
1. Cash and Cash Equivalents (checking and savings accounts, CDs etc.) (Complete Worksheet 1)		10. Mortgages on Real Estate Other Than Primary Residence (Complete Worksheet 10)	
2. Investment Accounts and Individual Securities (Complete Worksheet 2)		11. Loans on Life Insurance (Complete Worksheet 11)	
3. Value of Your Ownership Interest in Real Estate, Excluding Primary Residence (Complete Worksheet 3)		12. Other Liabilities (Complete Worksheet 12)	
4. Personal Property and Other Assets (Complete Worksheet 4)			
5. Ownership in Other Businesses (Complete Worksheet 5)			
6. Life Insurance (Cash Surrender Value) (Complete Worksheet 6)			
7. Amounts Owed to You (Complete Worksheet 7)			
8. Assets Held in Trust (Complete Worksheet 8)			
9. Assets Transferred to Related Parties Within the Past Two Years (Complete Worksheet 9)			
Total Assets:	\$ 0.00	Total Liabilities:	\$ 0.00

Personal Net Worth: \$ 0.00

We will be using the April 2024 PNW.

The personal net worth of the owner cannot exceed \$2,047,000

Included in PNW Calculation

- Full value of the contents in your primary residence (or 50% if cohabitating with a partner)
- Value of all motor vehicles titled in your name
- Assets transferred to relatives within the last two years if the aggregate is over \$20,000

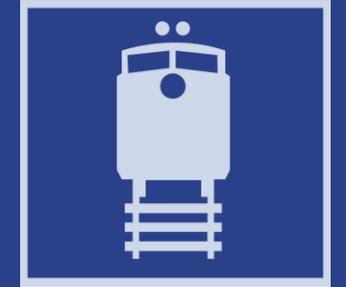
Excluded from PNW Calculation

- Ownership interest in the applicant firm
- Share of equity in your primary residence
- Assets in qualified retirement accounts



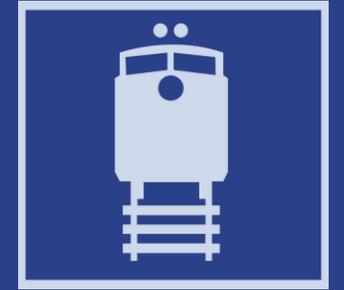
Application Process Logistics

- New applications, reevaluation applications, and interstate applications will be accepted at the same time
- All applications will be reviewed on a first come first serve basis
- There is no submission deadline, however we'll be requesting program reinstatement after 60 days
- DBE program and goal setting remains suspended during this period. Communication will be sent out when goals resume.
- INDOT will provide periodic updates about the program via email and the “News and Updates” online page

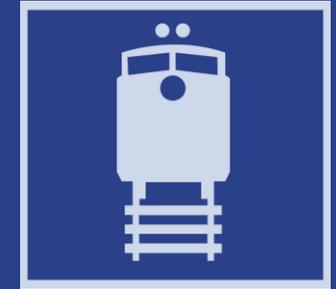
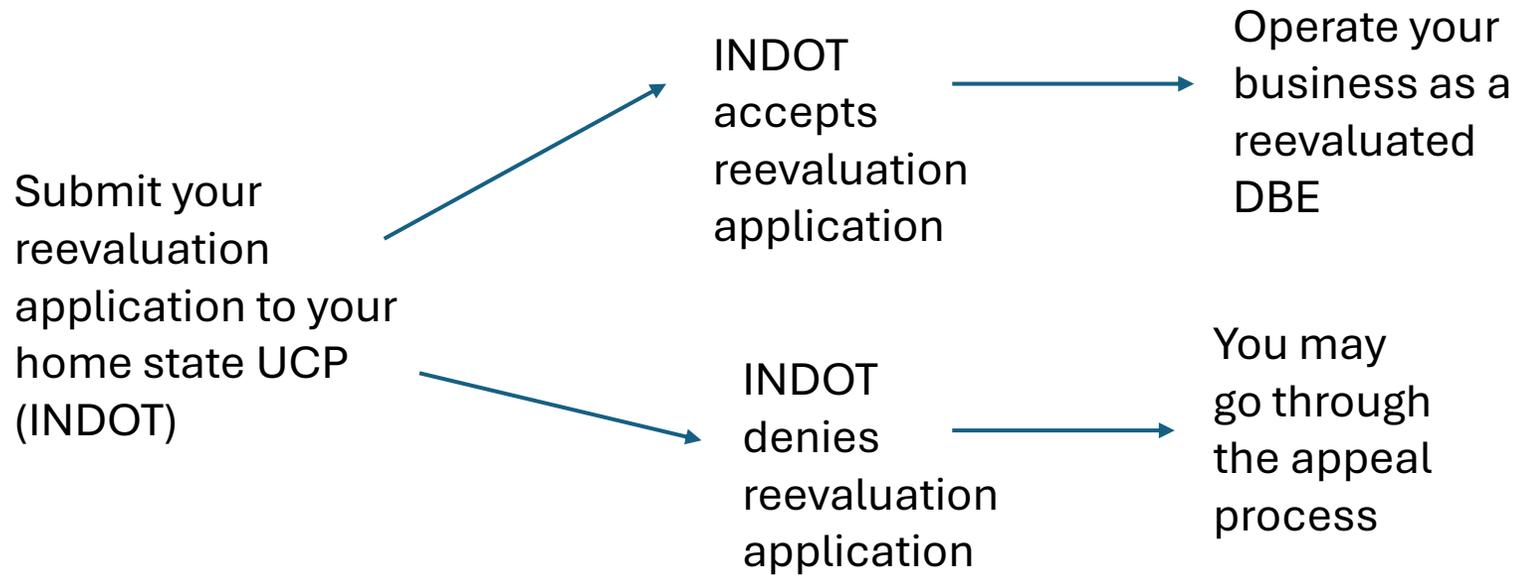


Application Process Tips

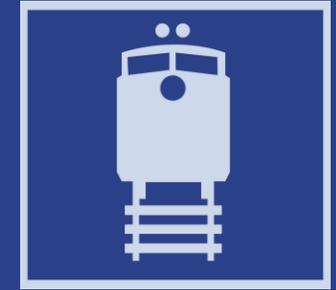
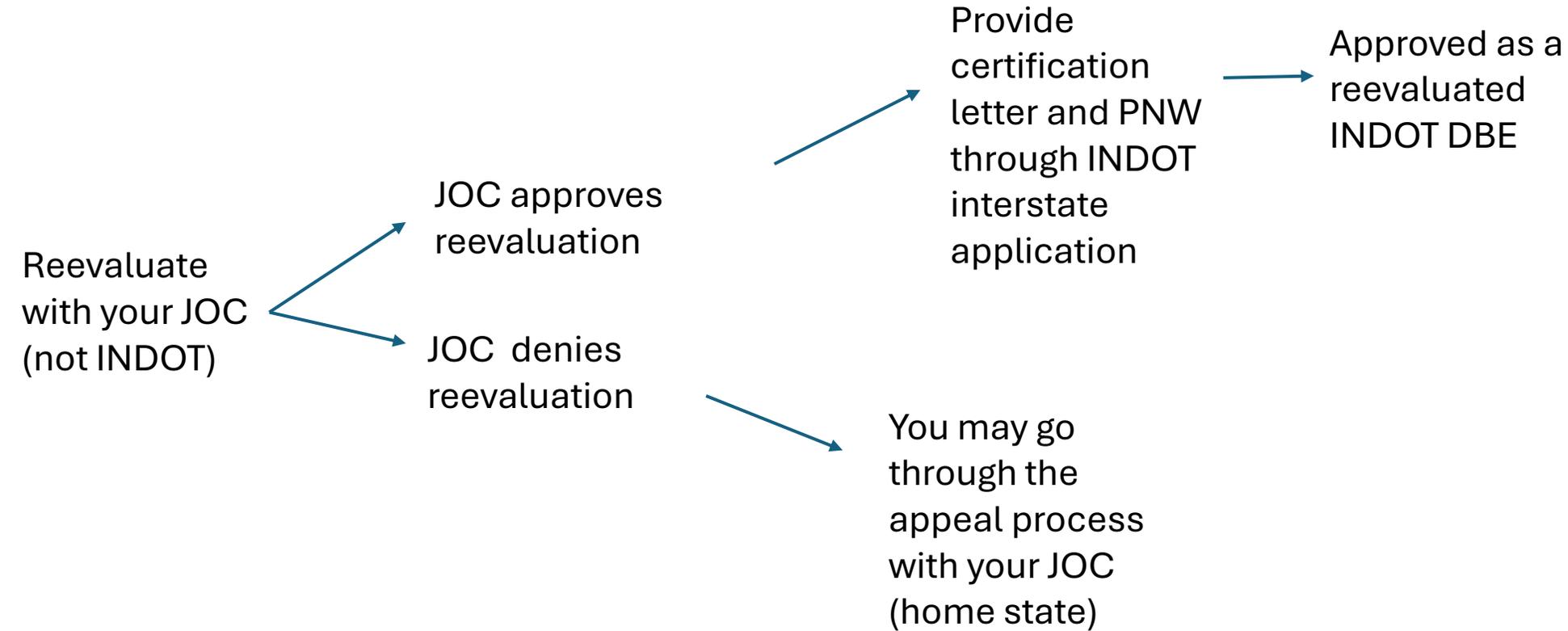
- Make sure you create an Access Indiana account or have your login information available
- You will also need an ITAP account and login information available



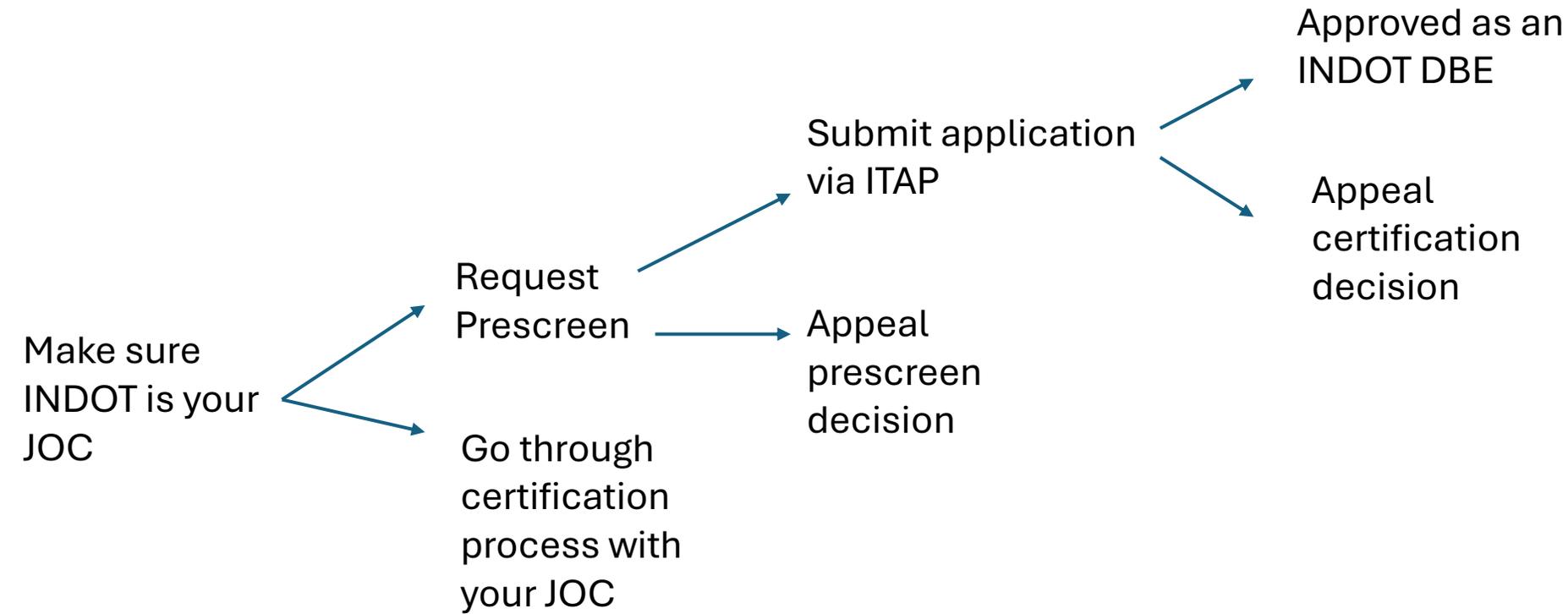
Jurisdiction of Original Certification (Home State) Process



Interstate Process

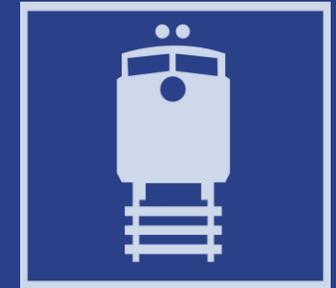


New Application Process



Note

- New applications, revaluation applications, and interstate applications will be accepted at the same time



Resources

USDOT Final Rule

<https://www.federalregister.gov/documents/2025/10/03/2025-19460/disadvantaged-business-enterprise-program-and-disadvantaged-business-enterprise-in-airport>

USDOT Final Rule FAQs

<https://www.transportation.gov/mission/civil-rights/disadvantaged-business-enterprise/dbe-ifr-faqs-updated-12-1-2025>

Personal Net Worth Statement

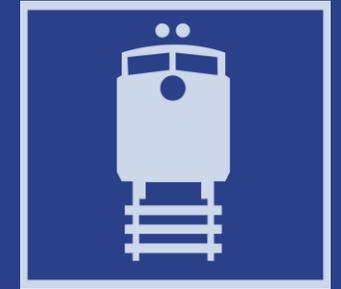
<https://www.transportation.gov/civil-rights/disadvantaged-business-enterprise/new-dbe-personal-net-worth-statement>

BOI News and Updates

<https://www.in.gov/indot/doing-business-with-indot/Business-Opportunity-Initiative-Division/news-and-updates2/>

BOI Team

BOlinquiry@indot.in.gov



Questions

Please Send Questions to
BOlinquiry@indot.in.gov or put them in the chat

