

ORDER 2026-069
IN RE SETTLEMENT AGREEMENT
GAMING ENTERTAINMENT (INDIANA),
LLC d/b/a RISING STAR CASINO RESORT
26-RR-02

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:



APPROVED

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

IT IS SO ORDERED THIS THE 25th DAY OF JUNE, 2026.

THE INDIANA GAMING COMMISSION:

A handwritten signature in black ink, appearing to read "T. Helman", written over a horizontal line.

Troy Helman, Vice Chair

ATTEST:

A handwritten signature in black ink, appearing to read "B.R. Lane", written over a horizontal line.

B.R. Lane, Secretary

**STATE OF INDIANA
INDIANA GAMING COMMISSION**

IN RE THE MATTER OF:)
) **SETTLEMENT**
GAMING ENTERTAINMENT (INDIANA),) 26-RR-02
LLC d/b/a RISING STAR CASINO RESORT)

SETTLEMENT AGREEMENT

The Indiana Gaming Commission (“Commission”) by and through its Deputy Director Mike Smith and Gaming Entertainment (Indiana), LLC d/b/a Rising Star Casino Resort (“Rising Star”), (collectively, the “Parties”) desire to enter into this settlement agreement (“Agreement”) prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree that the following facts are true:

FINDINGS OF FACT

1. 68 IAC 2-3-9.2(b)(1) provides that Riverboat licensees must advise the enforcement agent on a form prescribed or approved by the commission, when the occupational licensee's employment with the riverboat licensee is terminated for any reason.
2. 68 IAC 2-3-9.2(c) states that the form must be submitted to the enforcement agent within fifteen (15) days of the occurrence of the change or action.
3. On December 31, 2025, Gaming Agents discovered a former licensee who was still showing as “active” in LAM. After reviewing Rising Star’s daily gaming logs, Gaming Agents found that the individual in question was terminated in May of 2025. Gaming Agents contacted the Director of HR to question why this individual was still showing as “active” in LAM, and the Director of HR provided that they had mistakenly not sent out the termination email. Following this discovery, Rising Star conducted an audit and identified three (3) additional employees that had been terminated and were still showing as active. The separation dates for these three (3) individuals were August 30, 2025, September 5, 2025, and November 5, 2025. All four (4) errors were corrected and properly reflected within Rising Star’s system by January 2, 2026.

TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of Rising Star by and through its Agents as described herein constitute a breach of IC 4-33, 68 IAC and/or Rising Star’s approved internal control procedures. The Commission and Rising Star hereby agree to a monetary settlement of

the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against Rising Star.

Rising Star shall pay to the Commission a total of \$2,000 in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the findings of this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

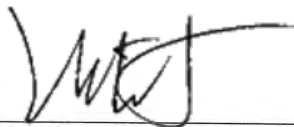
Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, Rising Star agrees to promptly remit payment in the amount of \$2,000 and shall waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and Rising Star.

IN WITNESS WHEREOF, the Parties have signed this Settlement Agreement on the date and year as set forth below.



Mike Smith, Deputy Director
Indiana Gaming Commission

June 9, 2026

Date



Jeff Michie, General Manager
Gaming Entertainment (Indiana), LLC

5/29/2026

Date