### **ORDER 2019-139**

### IN RE SETTLEMENT AGREEMENT

# HALIFAX SECURITY, INC. d/b/a NORTH AMERICAN VIDEO 19-NAV-01

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:



the proposed terms of the Settlement Agreement.

IT IS SO ORDERED THIS THE 28th DAY OF AUGUST, 2019.

THE INDIANA GAMING COMMISSION:

Michael B. McMains, Chair

ATTEST:

Joseph Svetahoff, Secretary

## STATE OF INDIANA INDIANA GAMING COMMISSION

IN RE THE MATTER OF:	)	
	)	SETTLEMENT
HALIFAX SECURITY, INC.	)	19-NAV-01
d/b/a NORTH AMERICAN VIDEO	)	

## **SETTLEMENT AGREEMENT**

The Indiana Gaming Commission ("Commission") by and through its Executive Director Sara Gonso Tait and Halifax Security, Inc., d/b/a North American Video ("NAV") (collectively, the "Parties") desire to enter into this settlement agreement ("Agreement") prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree to the following:

## **FINDINGS OF FACT**

- 1. 68 IAC 2-2-6.1(d) states except as outlined in this section, occupational licensing of an employee, independent contractor, agent, or subagent of a supplier licensee shall be governed by 68 IAC 2-3.
- 2. 68 IAC 2-3-9.2(b)(1) requires the occupational licensee to notify the Commission of separation on a form prescribed or approved by the Commission.
- 3. 68 IAC 2-3-9.2(c) requires the notification must be submitted to the Commission within fifteen (15) days of the occurrence of the change or action.
- 4. On June 5, 2019, a separation of service form was received from NAV for an Engineer and a Technician 1.
- 5. On October 5, 2018, the Engineer separated from NAV.
- 6. On February 22, 2019, the Technician 1 separated from NAV.

#### **TERMS AND CONDITIONS**

Commission staff alleges that the acts or omissions of NAV by and through its agents as described herein constitute a breach of IC 4-33, IC 4-35, and/or 68 IAC. The Commission and NAV hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against NAV.

NAV shall pay to the Commission a total of \$2,000 in consideration for the Commission foregoing disciplinary action based on the facts specifically described in each count of this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, NAV agrees to promptly remit payment in the amount of \$2,000 and shall waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement shall be binding upon the Commission and NAV.

IN WITNESS WHEREOF, the parties have signed this Agreement on the date and year as set forth below.

Sara Conso Tait, Executive Director Indiana Gaming Commission

Jason Oakley, CEO Halifax Security, Inc. d/b/a North American Video

8/11/19

Date

8/22/2019.