

**RESOLUTION 1997- 6**

**A RESOLUTION ADOPTING 68 IAC 1-14; 68 IAC 10-8;  
68 IAC 10-9; 68 IAC 11-9; AND AMENDING 68 IAC 1-9-3;  
68 IAC 1-9-4; 68 IAC 2-2-1; 68 IAC 2-3-1; 68 IAC 2-3-1.1;  
68 IAC 2-3-4; 68 IAC 2-3-9; 68 IAC 2-6-1; 68 IAC 2-6-5;  
68 IAC 2-6-6; 68 IAC 8-1-2; 68 IAC 9-1-15.1; 68 IAC 9-4-2;  
68 IAC 9-4-6.1; 68 IAC 10-1-6.1; 68 IAC 11-7-4.1; 68 IAC 12-1-4;  
68 IAC 12-1-5; 68 IAC 12-1-6; 68 IAC 14-3-4; 68 IAC 15-1-1;  
68 IAC 15-2-2; 68 IAC 15-6-2; 68 IAC 15-8-1; 68 IAC 15-11-3.1;  
AND 68 IAC 17-1-1 FOR PUBLICATION AS PROPOSED RULES**

The Indiana Gaming Commission (“Commission”) adopts the following resolution pursuant to the authority granted to it under IC 4-33 and pursuant to 68 IAC 1-2-6.

The following factors have been considered by the Commission:

1. The Commission has begun promulgation of these rules, or plans to begin promulgation of these rules pursuant to IC 4-22. All of these rules are to be published in the *Indiana Register* as soon as all of the statutory requirements of IC 4-22 are met. A public hearing is to be held on these rules in compliance with IC 4-22.
2. The promulgation process for the final promulgation of these rules will take a minimum of four (4) to six (6) months.

**NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING COMMISSION, THAT THE FOLLOWING RESOLUTION IS ADOPTED:**

**SECTION 1. SCOPE.**

This resolution applies to each applicant, each licensee, each riverboat license applicant, any person who does business with an applicant or licensee, and any person who is a patron of a Riverboat Gambling Operation.

**SECTION 2. DEFINITIONS.**

The definitions set forth in IC 4-33-2 and 68 IAC apply to this resolution.

**SECTION 3. ADOPTION OF THE PROPOSED RULES AND AMENDMENTS.**

The Commission adopts the following proposed rules pending the promulgation process:

**Article 1 General Provisions**

Rule 14 Reimbursement for State Police Personnel assigned to Gaming Enforcement Section.

**Article 10 Conduct of Gaming**

Rule 8 Pai Gow Poker

Rule 9 Baccarat

**Article 11 Internal Control Procedures**

Rule 9 Opening and Closing of Live Gaming Device

The Commission adopts the following amendments to rules that have been fully promulgated:

**Article 1 General Provisions**

68 IAC 1-9-3 Commission dockside office.

68 IAC 1-9-4 Processing area.

**Article 2 Licenses and Approvals**

68 IAC 2-2-1 Supplier's license required.

68 IAC 2-3-1 Occupational license required.

68 IAC 2-3-1.1 Surveillance department requirements.

68 IAC 2-3-4 Applications.

68 IAC 2-3-9 Duty to maintain suitability; duty to disclose.

68 IAC 2-6-1 General provisions [of electronic gaming devices].

68 IAC 2-6-5 Security and audit specifications [of electronic gaming devices].

68 IAC 2-6-6 Electronic gaming device inventory requirements; conversion notification.

**Article 8 Public Safety and Excursions**

68 IAC 8-1-2 General provisions.

**Article 9 Ethics**

68 IAC 9-1-15.1 Post-tenure restrictions.

68 IAC 9-4-2 Restrictions on gaming by members, employees, and agents.

68 IAC 9-4-6.1      Restriction on gaming by persons holding an ownership interest in the riverboat.

Article 10 Conduct of Gaming

68 IAC 10-1-6.1      Approval of live gaming device tournaments.

Article 11 Internal Control Procedures

68 IAC 11-7-4.1      Maintenance of keys by Master of riverboat.

Article 12 Security and Surveillance

68 IAC 12-1-4      Required surveillance.

68 IAC 12-1-5      Surveillance system coverage.

68 IAC 12-1-6      Surveillance system requirements.

Article 14 Gaming Equipment

68 IAC 14-3-4      Removal of dice and cards.

Article 15 Accounting Records and Procedures

68 IAC 15-1-1      Applicability; general provisions.

68 IAC 15-2-2      Cash transactions.

68 IAC 15-6-2      Admissions.

68 IAC 15-8-1      Applicability; general provisions [of Internal Audit Procedures].

68 IAC 15-11-3.1      Hopper fill procedures from reserve hopper compartment.

Article 17 Movement of Gaming Equipment

68 IAC 17-1-1      General provisions.

The Commission adopts the rules which will be forwarded to Legislative Services Agency as soon as the requirements of IC 4-22 are complete, and further adopts any stylistic, grammatical, typographical, or other nonsubstantive changes which the Commission or the Legislative Services Agency may make to these rules.

**SECTION 4. EFFECTIVE DATE.**

This RESOLUTION is effective immediately.

**SECTION 5. EXPIRATION DATE.**

This RESOLUTION expires thirty (30) days from the date and time that the Secretary of State accepts the rules listed in Section 3 for filing.

**ADOPTED, THIS THE 21ST DAY OF FEBRUARY, 1997:**

**THE INDIANA GAMING COMMISSION:**



**Alan I. Klineman, Chair**

**ATTEST:**



**Donald R. Vowels, Secretary**