

RESOLUTION 1996- 11

A RESOLUTION ADOPTING AND PRESCRIBING VARIOUS FORMS FOR USE BY THE COMMISSION

The Indiana Gaming Commission ("Commission") adopts the following resolution pursuant to the authority granted to it under IC 4-33 and pursuant to 68 IAC 1-2-6.

The following factors have been considered by the Commission:

1. The Commission has adopted proposed rules concerning excursions. As a part of that rule, the riverboat licensee is to file a written report with the Commission advising the Commission if the boat remained at the dock under IC 4-33-9-2 and the type of excursion conducted. The Commission has promulgated rules concerning publicly traded companies and the transfer of ownership of publicly traded companies. Within this rule, the Commission requires that institutional investors file certain information with the Commission.
2. The following forms have been prepared by Commission staff:

Daily Excursion Report

Institutional Investor Form

**NOW, THEREFORE, BE IT RESOLVED BY THE INDIANA GAMING
COMMISSION, THAT THE FOLLOWING RESOLUTION IS ADOPTED:**

SECTION 1. DEFINITIONS.

The definitions set forth in IC 4-33-2 and 68 IAC apply to this resolution.

SECTION 2. ADOPTION OF THE TRANSFER OF OWNERSHIP INTEREST IN RIVERBOAT OWNER'S LICENSE APPLICATION FORM.

The Commission adopts the Daily Excursion Report and the Institutional Investor Form (copies attached to original). The Daily Excursion Report is to be filed in accordance with IC 4-33-9-2 and 68 IAC 8-1. The Institutional Investor Form is to be filed in accordance with 68 IAC 5-1.

SECTION 3. EFFECTIVE DATE.

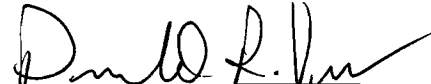
This RESOLUTION is effective immediately.

ADOPTED, THIS THE 12TH DAY OF FEBRUARY, 1996:

THE INDIANA GAMING COMMISSION:


Alan I. Klineman, Chair

ATTEST:


Donald R. Vowels, Secretary