



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

INDIANA  
STATE ETHICS COMMISSION  
NOV 20 2015  
FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Wuchner	Name (first) Brian	Name (middle) S
Name of office or agency Indiana Office of Technology	Job title Deputy/Asst. IT Director	
Address of office (number and street) 100 N. Senate Ave Room N551	City Indianapolis	ZIP code IN
Office telephone number ( 317 ) 232-4609	Office e-mail address (required) brwuchner@iot.in.gov	
Describe the conflict of interest: I work at the Indiana Office of Technology as a Deputy/Assistant IT Director. I supervise approximately fifteen employees in this role. I am supervised in turn by Jim Rose, IOT's Deputy CIO, Enterprise Architecture & Hosting Services. A number of years ago, IOT entered into a contract - the Enterprise License Agreement - with a third-party vendor, VMware. The ELA has been renewed at least once. The current version of the ELA will expire in 2016, and IOT is negotiating a successor agreement with VMware. Recently I was contacted by VMware because they thought I would be a good choice for an open Technical Account Manager position that they would like to fill. I have not yet decided whether I will take the position. That said, I informed my supervisor - as well as his supervisor, Dewand Neely, the CIO of IOT - that VMware had approached me about the position. Jim and Dewand immediately removed me from negotiations on the successor agreement with VMware, and - with the exception of employment discussions - I have had no contact with VMware and have made no decision on behalf of IOT related to VMware since they did so. I am informing the Office of the Inspector General about my situation as well so as to avoid the appearance of any impropriety.		

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

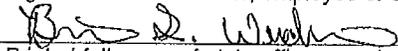
As I mentioned above, Jim Rose and Dewand Neely immediately removed me from negotiations on the successor agreement with IOT and - with the exception of employment discussions - I have had no contact with VMware and have made no decisions on behalf of IOT related to VMware since they did so. Additionally, IOT's General Counsel & Ethics Officer is Jim Ehrenberg. He sent an email to all of IOT's officers, all of my direct reports, and other key personnel regarding the need for an ethical screen. A copy of the email that was sent to these persons is attached to this form. Additionally, he notified VMware of the screen. A copy of that email is also attached. Basically, I have been screened from any matter here at IOT which involves VMware.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)



11/20/2015

Printed full name of state officer, employee or special state appointee

Brian S. Wuchner

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)



11-20-2015

Printed full name of ethics officer

James A. Ehrenberg

## Ehrenberg, James F

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**From:** Ehrenberg, James F  
**Sent:** Friday, November 20, 2015 8:39 AM  
**To:** Neely, Dewand; Bradshaw, Terry; Lubsen, Graig; Sparks, James; Stahl, Tad; Shelton, Anne; Paglia, Robert; Rose, Jim; Halstead, Diana; Toole, John; Harden, Scarlett; Edwards, Steve; Baker, Mitch; Gardner, Kevin; Turner, Nick; Bonnett, James; Neuenschwander, Johnathon L; Thompson, Steve - IOT; Ridgway, Darrell; Yeager, Mitch; Griffith, Rocky A; Kraus, Kevin; Reuter, Donna; White, Perry; Connell, Scott M (IOT); Briggeman, Curt (IOT); James, Michael (IOT); Nolan, Jon  
**Cc:** Wuchner, Brian (IOT)  
**Subject:** Ethical Screen related to VMware and Brian Wuchner

Hello everyone:

Some of you know me already. For those who don't, I am IOT's new General Counsel & Ethics Officer. I am writing to make you aware of an ethical screen that has been established related to Brian Wuchner and VMware. VMware is a vendor that has a contract with IOT, of course. VMware has approached Brian about taking a job with them. To allow Brian to explore this job opportunity, he must be screened completely from any contact with VMware that is related to IOT or the State. (He is free to continue to discuss possible employment with VMware, however. The screen does not apply to those discussions.) As a result, all of us will need to refrain from discussing matters involving VMware with Brian. Going forward, any matters involving VMware that Brian would have handled previously should be directed to Jim Rose instead.

This ethical screen is required by Indiana Code § 4-2-6-9 and will remain in place until I notify you otherwise. If you have any questions, please contact me. Thanks and regards

Jim

James F. Ehrenberg  
General Counsel & Ethics Officer  
Indiana Office of Technology  
100 N. Senate Avenue  
Indianapolis, IN 46204  
(317) 232-2727  
JEhrenberg@iot.IN.gov

## Ehrenberg, James F

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**From:** Ehrenberg, James F  
**Sent:** Friday, November 20, 2015 8:39 AM  
**To:** 'dwoidan@vmware.com'  
**Subject:** Ethical Screen related to VMware and Brian Wuchner

Dear Mr. Woidan:

I am General Counsel & Ethics Officer at the Indiana Office of Technology. I am writing to you to make you aware of an ethical screen that has been established by IOT related to VMware and Brian Wuchner. Brian is IOT's Deputy/Assistant IT Director, as you know, and VMware recently reached out to Brian regarding the possibility of him joining its team as a Technical Account Manager. Brian is free to discuss potential employment with VMware, of course. However, he must be "screened" from any IOT work involving VMware during the pendency of those discussions. In other words, with the exception of potential employment discussions, Brian and representatives of VMware should have no contact with each other. This includes all forms of communication – including telephone, email, and in-person.

Please respond to this email to confirm that you have received it on behalf of VMware and that VMware will agree to abide by the terms of the ethical screen. If you have any questions, please direct them to me. Thank you and regards.

James F. Ehrenberg  
General Counsel & Ethics Officer  
Indiana Office of Technology  
100 N. Senate Avenue  
Indianapolis, IN 46204  
(317) 232-2727  
JEhrenberg@iot.IN.gov