



INDIANA STATE ETHICS COMMISSION  
**CONFLICTS OF INTEREST - CONTRACTS  
ETHICS DISCLOSURE STATEMENT**  
State Form 53345 (R2 / 6-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-10.5  
SEP 26 2019

Mail to:  
**OFFICE OF INSPECTOR GENERAL**  
315 West Ohio Street, Room 104  
Indianapolis, IN 46202  
Telephone: (317) 232-3850  
E-mail scanned copy to: [info@ig.in.gov](mailto:info@ig.in.gov)

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

**PART 1 - GENERAL INFORMATION**

Last name Lechleiter	First name John	Middle initial C.
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Address of office (number and street, city, state, and ZIP code)  
1 N. Capitol Avenue, Suite 700, Indianapolis, IN 46204

Title or position within agency Board Member (special state appointee)	Name of agency Indiana Economic Development Corporation (IEDC)
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**PART 2 - CONTRACT**

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Indiana Biosciences Research Institute ("IBRI") & Lilly Endowment	Name of entity contact person (first name and last name) Dr. John Lechleiter
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or
- not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here. Industrial Recovery Tax Credit ("IRTC") agreements are not subject to public bidding requirements as taxpayers apply to the IEDC for the tax credit. See Indiana Code chapter 6-3.1-11. This tax credit agreement, however, was subject to the approval of the IEDC Board of Directors, and was initially presented and reviewed by the Board's Regional Economic Development Committee at its public meeting on September 17, 2019. Due to Dr. Lechleiter's potential conflict and pursuant to IEDC policy and the Ethics Commission's Advisory Opinion 17-I-2, the matter was considered by the entire IEDC Board at its public meeting on September 17, 2019. Dr. Lechleiter was completely screened from any discussion, decision, or vote related to the matter at both the Regional Economic Development Committee and IEDC Board meetings, and his recusal will be noted in the minutes of both meetings.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

The IRTC is intended to encourage investment in once active industrial facilities requiring significant rehabilitation expenses. The IRTC agreement specifies the terms of the tax credit against the taxpayer's Indiana state tax liability.

**Description of the Financial Interest:** *(Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)*

On September 17, 2019, the IEDC Board of Directors and its Regional Economic Development Committee considered an application from 16 Tech Developer, LLC for the approval of an IRTC to support the development of the Citizens Energy Group Building (the "Project"), one of four buildings that is part of Phase 1 of the 16 Tech Innovation District planned development. Dr. Lechleiter serves as an IEDC Board member and as a member of the Regional Economic Development Committee. Prior to the meetings, Dr. Lechleiter notified the IEDC's Ethics Officer that he serves on the board of the Lilly Endowment, a financial contributor to the Project, and IBRI, one of the entities that will participate in the activities being supported by the investments made in 16 Tech. Dr. Lechleiter, by virtue of his board positions with the Lilly Endowment and IBRI, has a potential financial interest (as defined in Indiana Code section 4-2-6-1(a)(11)) in 16 Tech Developer's application to the IEDC for IRTC. In the interest of transparency and out of an abundance of caution, the IEDC's Ethics Officer identified this as a potential financial interest requiring an ethical screen and disclosure.

The approximate dollar value of the financial interest to the Lilly Endowment and IBRI is not discernible. However, the proposed value of the IRTC to 16 Tech Developer is not expected to exceed \$16,000,000. In the interests of transparency and out of an abundance of caution, Dr. Lechleiter has taken the appropriate steps to recuse himself and be completely screened from any discussion, decision, and vote on the pending IRTC agreement.

**ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES**

**PART 3 – AGENCY CERTIFICATION**

Approval of appointing authority

Being the \_\_\_\_\_ of \_\_\_\_\_  
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of \_\_\_\_\_  
(Name of the Contracting Agency)


is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority	Date signed (month, day, year)
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Name of Appointing Authority

**PART 4 – AFFIRMATION**

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature 	Date signed (month, day, year)
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09-05-19