



CONFLICTS OF INTEREST - CONTRACTS
ETHICS DISCLOSURE STATEMENT INDIANA
 State Form 53345 (R2 / 6-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-10.5

Mall to:
OFFICE OF INSPECTOR GENERAL
 315 West Ohio Street, Room 104
 Indianapolis, IN 46202
 Telephone: (317) 232-3850
 E-mail scanned copy to: info@ig.in.gov

JUL 14 2016

Check if you are making a correction to a previously filed statement.

FILED

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

PART 1 - GENERAL INFORMATION

Last name Johnson II	First name Wayne	Middle initial F
Address of office (number and street, city, state, and ZIP code) 1569 S. Piazza Drive, Bloomington, IN 47401		
Title or position within agency Real Estate Appraiser Board Member	Name of agency IPLA	

PART 2 - CONTRACT

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity First Appraisal Group, Inc.	Name of entity contact person (first name and last name) Ashley Johnson-Wilcoxon
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This contract was (check one):

- made after public notice and, if applicable, through competitive bidding; or
 not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

A249-15-320213 (A) - INDOT Consulting Contract
 Date: 06-18-2014
 RFP: 140504p
 Term: Two-years with one two-year renewal option

Description of the Financial Interest: (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)

Wayne is employed by and partial owner of First Appraisal Group, Inc.

The contract is for "On Call Right-of-Way Appraising Services on an as needed basis." Payment for assigned services shall be compensated in accordance with the INDOT Real Estate Appraising Fee Schedule. The maximum amount payable under this contract is \$150,000.

To Date The Following has been billed:
\$41,725 (POs totaling \$78,300)

ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES

PART 3 – AGENCY CERTIFICATION

Approval of appointing authority

Being the _____ of _____
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of _____
(Name of the Contracting Agency)

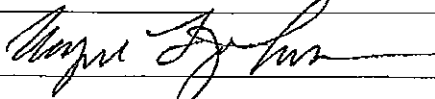
is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority _____ Date signed (month, day, year) _____

Name of Appointing Authority _____

PART 4 – AFFIRMATION

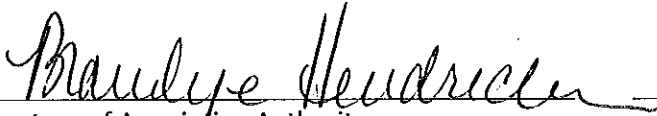
I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature  _____ Date signed (month, day, year) 3-9-16

SUPPLEMENT TO AGENCY CERTIFICATION
For Wayne F. Johnson II

This Attachment A is made an essential part of the PART 3 – AGENCY CERTIFICATION (the “Agency Certification”) of Brandye Hendrickson, the Commissioner and Appointing Authority of the Indiana Department of Transportation (the “Appointing Authority”), with respect to the “Conflicts of Interest-Contracts Ethics Disclosure Statement” (the “Statement”) affirmed and submitted to the Inspector General by Wayne F. Johnson II dated March 9, 2016.

The contract covered by the Statement and the Agency Certification is an on-call contract, in which particular appraisal assignments are made as needed from time to time. The affirmation of the Appointing Authority in the Agency Certification is clarified to mean that no other state officer, employee, or special state appointee of the Indiana Department of Transportation is or was available to perform the particular appraisal assignments at the time of the assignments under this on-call contract as part of the regular duties of the state officer, employee, or special state appointee.

 9/11, 2016
Signature of Appointing Authority