

Indiana
Economic Development Corp.

FILED

IC 4-2-6-11

Post-employment waiver

AUG 10 2023

INDIANA STATE
ETHICS COMMISSION

As the Appointing Authority of the Indiana Economic Development Corporation (IEDC) I am filing this waiver of the application of the Code of Ethics' post-employment restriction as it applies to **Julie Heath** in her post-employment with Indiana University.

I understand that I must file and present this waiver to the State Ethics Commission at their next available meeting. I further understand that this waiver is not final until approved by the State Ethics Commission.

- A. This waiver is provided pursuant to IC 4-2-6-11(g) and specifically waives the application of
(Please indicate the specific restriction in 42 IAC 1-5-14 (IC 4-2-6-11) you are waiving):

IC 4-2-6-11(b)(2): 365 day required "cooling off" period before receiving compensation from an employer for whom the state employee or special state appointee was engaged in the negotiation or administration of a contract and was in a position to make a discretionary decision affecting the outcome of such negotiation or administration.

- B. IC 4-2-6-11(g)(2) requires that an agency's appointing authority, when authorizing a waiver of the application of the post-employment restrictions in IC 4-2-6-11(b)-(c), also include specific information supporting such authorization. Please provide the requested information in the following five (5) sections to fulfill this requirement.

1. Please explain whether the employee's prior job duties involved substantial decision-making authority over policies, rules, or contracts:

As the IEDC VP of Entrepreneurial Ecosystems, Julie had decision making authority regarding the entities with which the IEDC contracted within the particular division of the IEDC she oversaw.

2. Please describe the nature of the duties to be performed by the employee for the prospective employer:

Duties to be performed include developing and implementing programs to support and accelerate entrepreneurial and commercialization activities at Indiana University, with the primary goal to increase student and faculty entrepreneurship.

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3. Please explain whether the prospective employment is likely to involve substantial contact with the employee's former agency and the extent to which any such contact is likely to involve matters where the agency has the discretion to make decisions based on the work product of the employee:

The prospective employment is unlikely to involve substantial contact with the former agency.

4. Please explain whether the prospective employment may be beneficial to the state or the public, specifically stating how the intended employment is consistent with the public interest:

Yes, the prospective employment is beneficial to Indiana. Increasing entrepreneurship at Indiana University is a core component of the university's new strategic direction (Plan 2030), which includes service to the State of Indiana.

5. Please explain the extent of economic hardship to the employee if the request for a waiver is denied:

The economic hardship to the prospective employee would be moderate/considerable in nature if denied.

C. Signatures

1. Appointing authority/state officer of agency

By signing below I authorize the waiver of the above-specified post-employment restrictions pursuant to IC 4-2-6-11(g)(1)(A). In addition, I acknowledge that this waiver is limited to an employee or special state appointee who obtains the waiver before engaging in the conduct that would give rise to a violation.

Robert J. Paglia

Robert Paglia

Aug 2, 2023

DATE

2. Ethics Officer of agency

By signing below I attest to the form of this waiver of the above-specified post-employment restrictions pursuant to IC 4-2-6-11(g)(1)(B).

Phillip Fowler

Phil Fowler

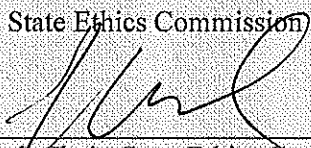
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Aug 2, 2023

DATE

D. Approval by State Ethics Commission

FOR OFFICE USE ONLY	
Approved by State Ethics Commission	
	
Katherine Noel, Chair, State Ethics Commission	<u>8-10-23</u> Date

Mail to:

Office of Inspector General
315 West Ohio Street, Room 104
Indianapolis, IN 46202

OR

Email scanned copy to: info@ig.in.gov

Upon receipt you will be contacted with details regarding the presentation of this waiver to the State Ethics Commission.