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APR 25 2025



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA STATE
ETHICS COMMISSION

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Bautista	Name (first) Johnathan	Name (middle)	
Name of office or agency Indiana Department of Environmental Management		Job title Environmental Manager	
Address of office (number and street) 100 North Senate Avenue		City Indianapolis	ZIP code 46204
Office telephone number (317) 694-8691	Office e-mail address (required) jbautist@idem.in.gov		
Describe the conflict of interest: Johnathan Bautista (Bautista) is a compliance inspector in the Indiana Department of Environmental Management's (IDEM) Office of Air Quality's (OAQ) Compliance and Enforcement Branch. Bautista's job duties include inspecting the premises of permitted entities on behalf of IDEM to ensure compliance with environmental rules and permit restrictions. Bautista has recently enlisted with the Indiana National Guard, an entity he could potentially inspect while working for IDEM.			

Describe the screen established by your ethics officer: (Attach additional pages as needed.)
See attached screening protocol

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Johnathan Bautista

Date signed (month, day, year)

04/16/2025

Printed full name of state officer, employee or special state appointee

Johnathan Bautista

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

James M French

Date signed (month, day, year)

4/17/25

Printed full name of ethics officer

James M French

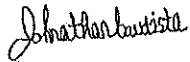
**Protocol to Screen
IDEM Office of Air Quality's Johnathan Bautista
from Any Matters Regarding the Indiana National Guard**

Johnathan Bautista (Bautista) is a compliance inspector in the Indiana Department of Environmental Management's (IDEM) Office of Air Quality's (OAQ) Compliance and Enforcement Branch. Bautista's job duties include inspecting the premises of permitted entities on behalf of IDEM to ensure compliance with environmental rules and permit restrictions.

Bautista has recently enlisted with the Indiana National Guard, an entity he could potentially inspect while working for IDEM. Per IC 4-2-6-9, IDEM is implementing the following to ensure that Bautista is screened from all matters concerning the National Guard.

1. Section Chief Kurt Graham (SC Graham) is Bautista's direct supervisor. SC Graham will not assign any current or future matter that may arise involving The Indiana National Guard, or any of its clients, to Bautista.
2. Bautista shall recuse himself from the portion of any meeting at IDEM in which discussions specific to the Indiana National Guard, or in which any of its clients are discussed or are expected to be discussed.
3. Bautista shall not discuss any matter involving the Indiana National Guard, or any of its clients, with any other IDEM employee.
4. SC Graham will notify in writing all employees in his supervisory chain that they are not to discuss any matters involving the Indiana National Guard, or any of its clients, with Bautista, nor send him any emails concerning the Indiana National Guard.
5. Bautista shall not participate in decisions regarding or relating to the Indiana National Guard, or any of its clients.
6. Bautista shall not access any correspondence or files relating to or involving the Indiana National Guard, or any of its clients, either during his hours of work at IDEM or by utilizing State equipment. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where Bautista does not have access to alter the documents.
7. Bautista shall immediately inform SC Graham and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
8. Bautista shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.

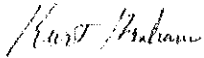
9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to ensure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.



Johnathan Bautista
Environmental Manager

04/16/2025

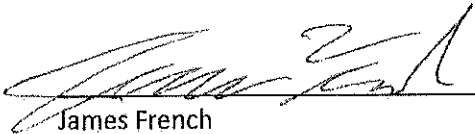
Date



Kurt Graham
Senior Environmental Manager

04/17/2025

Date



James French
Ethics Officer

4/17/25

Date



Outlook

Conflict of Interest Disclosure and Screening Protocol for OAQ's Johnathan Bautista - Indiana National Guard

From French, James M <JFrench@idem.IN.gov>

Date Fri 4/25/2025 11:10 AM

To Woods, Clint (IDEM Commissioner) <ClintWoods@idem.IN.gov>

📎 1 attachment (988 KB)

Bautista Disclosure and Screen - Indiana National Guard 2025.pdf;

Commissioner Woods,

This email serves as notification to the appointing authority per IC 4-2-6-9(b)(2)(D) that OAQ's Johnathan Bautista has a potential conflict of interest. Mr. Bautista has accepted a position with the Indiana National Guard, an entity regulated by IDEM. Per IC 4-2-6-9, IDEM is instituting the following to ensure Mr. Bautista is screened from any potential conflict of interest with the Indiana National Guard. Mr. Bautista and I have discussed IC 4-2-6-9, and I instructed him to file a written disclosure to the Office of the Inspector General and to implement a screening protocol here at IDEM, see attached. Mr. Bautista's supervisor, Kurt Graham, has been notified of the screening protocol. Please reach out if you have any questions, thanks.

Best,
Michael

James Michael French

Attorney | IDEM

Tel: (317) 234-2170

Email: jfrench@idem.in.gov

*****PRIVILEGED AND CONFIDENTIAL*****

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