



INSPECTOR GENERAL REPORT

2011-02-0046

March 3, 2011

SPD AUDIT INVESTIGATION #16

Inspector General Staff Attorney Kristi Shute, after an investigation by Special Agent Mike Mischler, reports as follows:

On February 7, 2011, the Office of the Inspector General (“OIG”) received information from the State Personnel Department (“SPD”) stating that a former Indiana Department of Transportation (“INDOT”) employee possibly carried a former spouse and an ineligible dependent on her state provided health insurance. The SPD requested the OIG’s assistance in determining the spouse’s and dependent’s eligibility.

The SPD conducted a dependent eligibility verification audit using third party administrator AON Consulting. On June 29, 2010, AON Consulting sent the former INDOT employee a letter requesting that she complete and return the enclosed Affidavit Signature Form and provide documentation for her spouse and child to verify their eligibility. A copy of her child’s birth certificate, her marriage license and the front page of her 2009 federal tax return showing her marital status as married were required to complete the audit. The former INDOT

employee did not respond to this request.

Due to the lack of response, a second letter was sent to the former employee extending the deadline for providing documentation. She returned her signed Affidavit, marriage license and her child's birth certificate. She did not, however, provide a copy of her 2009 federal tax form. A final extension was made with a deadline of September 27, 2010. As of February 7, 2011, the former employee had not provided the remaining documentation, therefore not complying with the audit.

Special Agent Mischler contacted the former employee by phone several times and by letter. Since there was no response, he searched the records of several local County Clerk's offices to determine whether the former employee had divorced her husband. He could not find a record that the couple divorced. Subsequently, the former employee e-mailed the required tax form.

Since it has been determined that the claimed spouse and child were eligible to be on the former employee's state provided health insurance and no fraud was discovered, this investigation is closed.

Dated this 3rd day of March, 2011.

APPROVED BY:

/s/ David O. Thomas, Inspector General