



INSPECTOR GENERAL REPORT

2011-02-0031

September 22, 2011

SPD AUDIT INVESTIGATION #5

Inspector General Staff Attorney Amanda Lufkin, after an investigation by Special Agent Charles Coffin, reports as follows:

On February 3, 2011, the Office of the Inspector General (“OIG”) received information from the State Personnel Department (“SPD”) stating that a former Department of Corrections (“DOC”) employee possibly carried ineligible dependents on her state provided health insurance. The SPD requested the OIG’s assistance in determining the dependents’ eligibility.

The SPD conducted a dependent eligibility verification audit using third party administrator AON Consulting. On June 29, 2010, AON Consulting sent the former DOC employee a letter requesting that she complete and return the enclosed Affidavit Signature Form and provide documentation for her child and husband to verify their eligibility. Copies of the marriage certificate, 2009 federal income tax return, and the child’s birth certificate and were required to complete the audit. She did not respond to this request.

The former DOC employee was given two additional extensions, but, as of February 3, 2011, had not responded to or complied with the audit. Special Agent Coffin contacted the former DOC employee who explained that her husband was an over-the-road trucker and that they were in the process of filing a combined 2009/2010 tax return. She stated that she would send the documents when her husband returned.

The former employee provided SA Coffin with copies of her marriage certificate and her child's birth certificate. She stated that she is in the process of filing their 2009/2010 tax return and would forward a copy of the front page of the return when it was completed.

Since it has been determined that the claimed dependents were eligible to be on the former employee's state provided health insurance and no fraud was discovered, this investigation is closed.

Dated this 22nd day of September, 2011.

APPROVED BY:

/s/ David O. Thomas, Inspector General