



INSPECTOR GENERAL REPORT

2010-11-0295

December 13, 2012

IDOA FORGERY

Inspector General Staff Attorney Kristi Shute, after an investigation by Special Agent Mark Mitchell, reports as follows:

The Office of the Inspector General (“OIG”) received a referral from the Marion County Prosecutor’s Office (“MCPO”) regarding an investigation pertaining to possible forgery. Specifically, it was alleged that an employee of a private company (“Company”) forged the name of the Indiana Department of Administration’s (“IDOA”) Deputy Commissioner to a contract. The MPCO asked for the OIG’s assistance to conduct a criminal investigation into the allegations.

Initially, Special Agent Mitchell met with the Company’s Asset Protection Analyst to discuss his investigation and to request several documents from the Company including the original signature page of the contract which bore a printed and signature misspelling of the Deputy Commissioner’s last name. SA Mitchell learned that the Asset Protections Analyst collected known handwriting exemplars from several Company employees, including the suspect, and sent the

exemplars, along with the questionable document, to a Forensic Document Examiner. She determined that it was highly probable that the suspect employee executed the Deputy Commissioner's signature, the Deputy Commissioner's hand printed name, the title "Dep Commissioner," and the date "10-21-2009" on the contract in question.

Subsequently, SA Mitchell interviewed the Deputy Commissioner who pointed out that his signature on the contract was not in his script, his last name was misspelled and the primary contact information was incorrect.

SA Mitchell contacted the suspect employee and requested a meeting at the OIG offices so he could complete handwriting exemplar forms for submission to the Indiana State Police Laboratory's Forensic Section for examination. The suspect employee requested that the meeting take place at a local restaurant near his house because he needed to be close to home due to his daughter's medical needs. SA Mitchell met the suspect employee at a local restaurant, showed the suspect employee a copy of the contract and told him that was what he needed to discuss with him. The suspect employee acknowledged he was aware of the document and said he understood. SA Mitchell went on to explain that the purpose of the meeting was to ask the suspect employee to come to the OIG offices to fill out handwriting exemplar forms for analysis to determine whether he could be eliminated as a suspect in the case. At that point, the suspect employee stated that the exemplars would not be necessary because they would show he filled in the Deputy Commissioner's name on the contract. The suspect

employee also noted that he could not pass a polygraph examination because it would show that he signed the Deputy Commissioner's signature.

The suspect employee further stated that he wanted to put the situation behind him and that's why he disclosed that he signed the document. Upon the meeting's completion, it was agreed that the suspect employee would come to the OIG offices to provide a longer and more formal statement. Subsequent to the meeting, the suspect employee obtained an attorney who advised him to cease cooperating with the investigation.

This case was submitted to the MCPO. The suspect employee was charged with one count of Forgery and an arrest warrant was issued on December 7, 2012. Accordingly, this case is closed.

Dated this 13th day of December, 2012.

APPROVED BY:

/s/ David O. Thomas, Inspector General