

INDIANA WASTEWATER STATE REVOLVING FUND (WWSRF) LOAN PROGRAM  
 2017 Project Priority List, October 3, 2016, 2nd Quarter  
 Projects Applying for Financial Assistance (20 year loan) in State Fiscal Year 2017 (July 1, 2016 - June 30, 2017)  
 List A: Small Systems: Population less than 10,000

Preliminary Engineering Reports

| PPL Rank <sup>1</sup> | PPL Score | Participant       | MHI <sup>2</sup> | Population | NPDES #   | SRF Project No. | Project Description   | Needs Category <sup>3</sup> | Sustainability Policy Category <sup>4</sup> | Estimated Green Project Reserve Cost | Green Project Reserve Category <sup>5</sup> | Estimated Post-Project User Rate <sup>2</sup> | Estimated Total Project Cost | Cumulative Total |
|-----------------------|-----------|-------------------|------------------|------------|-----------|-----------------|---|-----------------------------|---|--------------------------------------|---|---|------------------------------|------------------|
| 1                     | 42        | Oxford            | \$ 41,972        | 1,163      | IN0021342 | WW131904 02     | Overflow from CSO points. Addition of wetland treatment for overflow.                               | I, V                        | 1,2,3                                       | \$0                                  | NA  | \$61  | \$3,000,000                  | \$3,000,000      |
| 2                     | 42        | Woodburn          | \$ 40,707        | 1,520      | IN0021407 | WW162202 02     | Permit changes requires WWTP improvements. Failing septic require new sewer lines.                  | I, IV-A                     | 1   | \$0                                  | NA  | TBD   | \$5,238,700                  | \$8,238,700      |
| 3                     | 40        | Cayuga            | \$ 46,953        | 1,162      | IN0060461 | WW140983 02     | WWTP is at 90% capacity. WWTP Expansion.  | I, II                       | 1, 2  | TBD                                  | TBD   | TBD   | \$2,669,000                  | \$10,907,700     |
| 4                     | 40        | Cromwell          | \$ 27,321        | 512        | IN0021814 | WW151457 01     | The system age requires upgrades to the WWTP and collection system.                                 | I, III-A, III-B             | 1, 2, 3                                     | TBD                                  | TBD   | \$52  | \$1,903,700                  | \$12,811,400     |
| 5                     | 40        | Rushville         | \$ 37,023        | 6,341      | IN0021270 | WW161570 02     | CSO LTCP requires plant expansion and improvements.   | I, II                       | 1, 2, 3                                     | TBD                                  | TBD   | \$53  | \$5,300,000                  | \$18,111,400     |
| 6                     | 38        | Romney RSD        | \$ 50,938        | 377        | TBD       | WW102079 01     | Failing septic systems. Install new WWTP and Sewers.  | I, IV-A                     | 2   | TBD                                  | TBD   | \$177   | \$3,832,605                  | \$21,944,005     |
| 7                     | 36        | Schneider         | \$ 38,889        | 276        | IN0040592 | WW130945 01     | Deterioration due to age of system. WWTP and Sewer improvements.                                    | I, III-B                    | 1, 2  | TBD                                  | TBD   | \$41  | \$791,800                    | \$22,735,805     |
| 8                     | 35        | Huntertown        | \$ 70,644        | 7,879      | IN0064289 | WW142402 01     | Inadequate capacity with existing connection. New WWTP and effluent line.                           | I, II, IV-A                 | 1   | TBD                                  | TBD   | \$61  | \$20,000,000                 | \$42,735,805     |
| 9                     | 34        | Chandler          | \$ 43,028        | 2,887      | IN0020435 | WW141187 03     | Failing septic systems. Install new sewers for connection to existing system.                       | IV-A                        | 1, 2  | TBD                                  | TBD   | TBD   | \$1,370,000                  | \$44,105,805     |
| 10                    | 34        | Western Wayne RSD | \$ 34,885        | 3,677      | IN0054402 | WW141789 01     | Performance issues with undersized and aged WWTP. WWTP upgrades and rehabilitation.                 | I, II                       | 1, 2, 3                                     | \$2,344,000                          | EE, EI                                      | TBD   | \$12,875,000                 | \$56,980,805     |
| 11                    | 33        | Winchester        | \$ 35,625        | 4,935      | IN0021024 | WW161668 01     | Age of the WWTP requires upgrades to the sludge drying beds and replacement of the power generator. | I                           | 1, 2, 3                                     | TBD                                  | TBD   | \$34  | \$2,045,000                  | \$59,025,805     |
| 12                    | 32        | Palmyra           | \$ 47,188        | 930        | IN0039403 | WW150931 01     | Excessive flow entering the system and SSO occurrences. Sewer rehabilitation.                       | IIIB                        | 1, 2, 3                                     | \$1,215,500                          | EE  | TBD   | \$1,215,500                  | \$60,241,305     |
| 13                    | 32        | Andrews           | \$ 33,333        | 1,149      | IN0022268 | WW160935 01     | Age of plant and size of community require upgrades to the WWTP.                                    | 1                           | 1, 2  | TBD                                  | TBD   | \$56  | \$4,488,000                  | \$64,729,305     |
| 14                    | 30        | Kirklin           | \$ 52,823        | 788        | IN0020630 | WW161312 02     | WWTP operational problems requires replacement pf pumps and controls in the clarifiers and piping.  | I, II                       | 1, 2, 3                                     | TBD                                  | TBD   | \$69  | \$889,500                    | \$65,618,805     |

2017 SFY Fundable Range: \$50 Million

INDIANA WASTEWATER STATE REVOLVING FUND (WWSRF) LOAN PROGRAM  
 2017 Project Priority List, October 3, 2016, 2nd Quarter  
 Projects Applying for Financial Assistance (20 year loan) in State Fiscal Year 2017 (July 1, 2016 - June 30, 2017)  
 List A: Small Systems: Population less than 10,000

| PPL Rank <sup>1</sup> | PPL Score | Participant                 | MHI <sup>2</sup> | Population | NPDES #   | SRF Project No. | Project Description   | Needs Category <sup>3</sup> | Sustainability Policy Category <sup>4</sup> | Estimated Green Project Reserve Cost | Green Project Reserve Category <sup>5</sup> | Estimated Post-Project User Rate <sup>2</sup> | Estimated Total Project Cost | Cumulative Total |
|-----------------------|-----------|-----------------------------|------------------|------------|-----------|-----------------|---|-----------------------------|---|--------------------------------------|---|---|------------------------------|------------------|
| 15                    | 29        | Uniondale                   | \$ 52,500        | 310        | IN0021098 | WW162390 01     | New phosphorous permit limits require WWTP upgrade.                           | I, II                       | 1, 2  | TBD                                  | TBD   | TBD   | \$1,415,000                  | \$67,033,805     |
| 16                    | 28        | Wadesville-Blairsville      | \$ 51,705        | 1,250      | TBD       | WW101665 01     | Failing septic systems. Install new WWTP and sewers.                          | IV-A                        | 2   | \$0                                  | NA  | TBD   | \$10,549,598                 | \$77,583,403     |
| 17                    | 28        | Cannelton                   | \$ 25,121        | 1,563      | IN0021016 | WW140562 01     | CSO LTCP Requirements. WWTP and collection system improvements.               | V                           | 1, 2  | \$0                                  | NA  | \$50  | \$3,508,500                  | \$81,091,903     |
| 18                    | 28        | Georgetown                  | \$ 69,125        | 3,700      | IN0063371 | WW160522 02     | WWTP is at 80% capacity and requires expansion.                               | 1                           | 1, 3  | TBD                                  | TBD   | \$65  | \$3,400,000                  | \$84,491,903     |
| 19                    | 27        | Northwest Shelby County RSD | \$ 49,965        | 2,015      | IN0032867 | WW150573 01     | Failing septic systems. Install new sewers and connect to a municipal system. | IV-A                        | 2, 3  | TBD                                  | TBD   | TBD   | \$8,621,000                  | \$93,112,903     |
| 20                    | 27        | New Palestine               | \$ 66,339        | 2,055      | IN0042358 | WW161730 01     | Age of system requires WWTP and collection system upgrades.                   | 1                           | 1, 2, 3                                     | TBD                                  | TBD   | \$59  | \$2,214,000                  | \$95,326,903     |
| 21                    | 27        | Mount Vernon                | \$ 44,485        | 6,687      | IN0035696 | WW161865 05     | New phosphorous limits require WWTP improvements.                             | I                           | 1, 2  | TBD                                  | TBD   | TBD   | \$2,506,900                  | \$97,833,803     |
| 22                    | 27        | Bryant                      | \$ 41,250        | 252        | IN0055158 | WW162438 01     | New phosphorous limits require WWTP improvements.                             | I                           | 1, 2  | TBD                                  | TBD   | TBD   | \$889,000                    | \$98,722,803     |
| 23                    | 26        | Advance                     | \$ 47,500        | 562        | IN0039705 | WW141206 01     | Excessive wet weather flow and aged WWTP require EQ Tank and WWTP upgrades.   | I                           | 1, 2  | \$0                                  | NA  | \$72  | \$769,000                    | \$99,491,803     |
| 24                    | 22        | Linden                      | \$ 43,625        | 700        | IN0040274 | WW110854 01     | Capacity and operational problems. WWTP upgrade and improvements.             | I                           | 1, 2, 3                                     | \$74,500                             | EE  | \$42  | \$1,104,050                  | \$100,595,853    |
| 25                    | 19        | Lynn                        | \$ 42,750        | 1,097      | IN0040967 | WW161068 01     | Age of WWTP requires upgrades and improvements.                               | I, II                       | 1, 2, 3                                     | TBD                                  | TBD   | \$43  | \$947,000                    | \$101,542,853    |
| 26                    | 40        | Michigantown                | \$ 54,583        | 467        | IN0040355 | WW170112 01     | New phosphorous limits require WWTP improvements.                             | I                           | 1,2,3                                       | TBD                                  | TBD   | \$56  | \$1,020,000                  | \$102,562,853    |
| 27                    | 34        | Portland                    | \$ 33,726        | 6,223      | IN0020095 | WW162138 03     | WWTP is not meeting permit limits. WWTP modifications to meet limits.         | I                           | 1,2,3                                       | TBD                                  | TBD   | \$47  | \$12,800,000                 | \$115,362,853    |

TOTAL PRELIMINARY ENGINEERING REPORTS SUBMITTED

\$3,634,000

\$115,362,853

INDIANA WASTEWATER STATE REVOLVING FUND (WWSRF) LOAN PROGRAM  
 2017 Project Priority List, October 3, 2016, 2nd Quarter  
 Projects Applying for Financial Assistance (20 year loan) in State Fiscal Year 2017 (July 1, 2016 - June 30, 2017)  
 List A: Small Systems: Population less than 10,000

Applications Only: Not Scored and Unranked

| PPL Rank <sup>1</sup> | PPL Score | Participant                           | MHI <sup>2</sup> | Population | NPDES #   | SRF Project No. | Project Description   | Needs Category <sup>3</sup> | Sustainability Policy Category <sup>4</sup> | Estimated Green Project Reserve Cost | Green Project Reserve Category <sup>5</sup> | Estimated Post-Project User Rate <sup>2</sup> | Estimated Total Project Cost | Cumulative Total |
|-----------------------|-----------|---------------------------------------|------------------|------------|-----------|-----------------|---|-----------------------------|---|--------------------------------------|---|---|------------------------------|------------------|
| Application Only      | --        | Elberfeld                             | \$ 54,250        | 625        | IN0020788 | WW131687 04     | WWTP at capacity. Sewer experiences I/I. Upgrades to WWTP and Sewer rehabilitation is proposed. | I, III-A                    | 1, 2  | TBD                                  | TBD   | \$62  | \$3,040,000                  | \$3,040,000      |
| Application Only      | --        | Green Acres HOA                       | \$ 42,078        | 210        | IN0063754 | WW170434 01     | Purchase of WWTP from private owner to maintain service.  | 1                           | 1, 2, 3                                     | TBD                                  | TBD   | \$69  | \$1,910,000                  | \$1,910,000      |
| Application Only      | --        | Jennings Northwest Regional Utilities | \$ 44,128        | 4,315      | IN0056049 | WW160140 05     | WWTP is not meeting permit limits. WWTP modifications to meet limits.                           | 1                           | 1, 2, 3                                     | TBD                                  | TBD   | \$75  | \$5,820,000                  | \$7,730,000      |
| Application Only      | --        | North Vernon                          | \$ 35,417        | 6,636      | IN0020451 | WW161140 02     | Failing septic tanks require elimination. New sewers will be installed.                         | IV-A                        | 1   | TBD                                  | TBD   | TBD   | \$4,197,253                  | \$11,927,253     |
| Application Only      | --        | Osceola                               | \$ 58,034        | 1,859      | TBD       | WW131271 01     | Failing Septic tanks. Projects involves new WWTP and collection system.                         | I, IV-A                     | 1, 2  | TBD                                  | TBD   | \$65  | \$15,546,000                 | \$27,473,253     |
| Application Only      | --        | Scottsburg                            | \$ 40,571        | 6,747      | IN0020397 | WW131772 01     | Excessive I/I in the system. Project will identify and provide corrections in the system.       | III-B                       | 1   | TBD                                  | NA  | \$53  | \$1,000,000                  | \$28,473,253     |
| Application Only      | --        | Syracuse                              | \$ 45,000        | 2,854      | IN0021172 | WW143243 01     | Aging WWTP and poor operation. WWTP rehabilitation and upgrade.                                 | I                           | 1, 2  | TBD                                  | TBD   | \$24  | \$2,760,000                  | \$31,233,253     |

TOTAL APPLICATIONS ONLY SUBMITTED

\$31,233,253

TOTAL PRELIMINARY ENGINEERING REPORTS and APPLICATIONS SUBMITTED

\$3,634,000

\$146,596,106

Footnotes:

<sup>1</sup>A community must submit a complete Preliminary Engineering Report to the WWSRF Loan Program in order for the project to be scored and ranked on the PPL.

<sup>2</sup>Additional subsidization may be provided to participants who have a low MHI and/or high post-project user rates as outlined in the Intended Use Plan. The amount of the additional subsidization shall be determined and set forth in the financial assistance agreement.

<sup>3</sup> Needs Categories

- |  |  |   |   |
|--|--|---|---|
| I. Secondary Wastewater Treatment              | VI. Stormwater Management Programs         | VII-B. NPS Control: Agriculture (Animals)   | VII-J. NPS Control: Sanitary Landfills                  |
| II. Advanced Wastewater Treatment              | VI-A. Stormwater Conveyance Infrastructure | VII-C. NPS Control: Silviculture            | VII-K. NPS Control: Hydromodification                   |
| III-A. Infiltration/Inflow Correction          | VI-B. Stormwater Treatment Systems         | VII-E. NPS Control: Ground Water Protection | VII-M. NPS Control: Other Estuary Management Activities |
| III-B. Sewer Replacement/Rehabilitation        | VI-C. Green Infrastructure                 | VII-F. NPS Control: Marinas                 | X. Recycled Water Distribution                          |
| IV-A. New Collector Sewers and Appurtenances   | VI-D. General Stormwater Management        | VII-G. NPS Control: Resource Extraction     | XII. Decentralized Wastewater Treatment Systems         |
| IV-B. New Interceptors Sewer and Appurtenances | VII. Nonpoint Source (NPS) Control         | VII-H. NPS Control: Brownfields             |   |
| V. Combined Sewer Overflow (CSO) Correction    | VII-A. NPS Control: Agriculture (Cropland) | VII-I. NPS Control: Storage Tanks           |   |

<sup>4</sup>EPA's Clean Water and Drinking Water Infrastructure Sustainability Policy. Category 1: projects that are based on a "fix it first" approach that focuses on system upgrade and replacement in

<sup>5</sup>EE = Energy Efficiency, EI = Environmentally Innovative, GI = Green Infrastructure, WE = Water Efficiency, CR = Climate Resiliency.