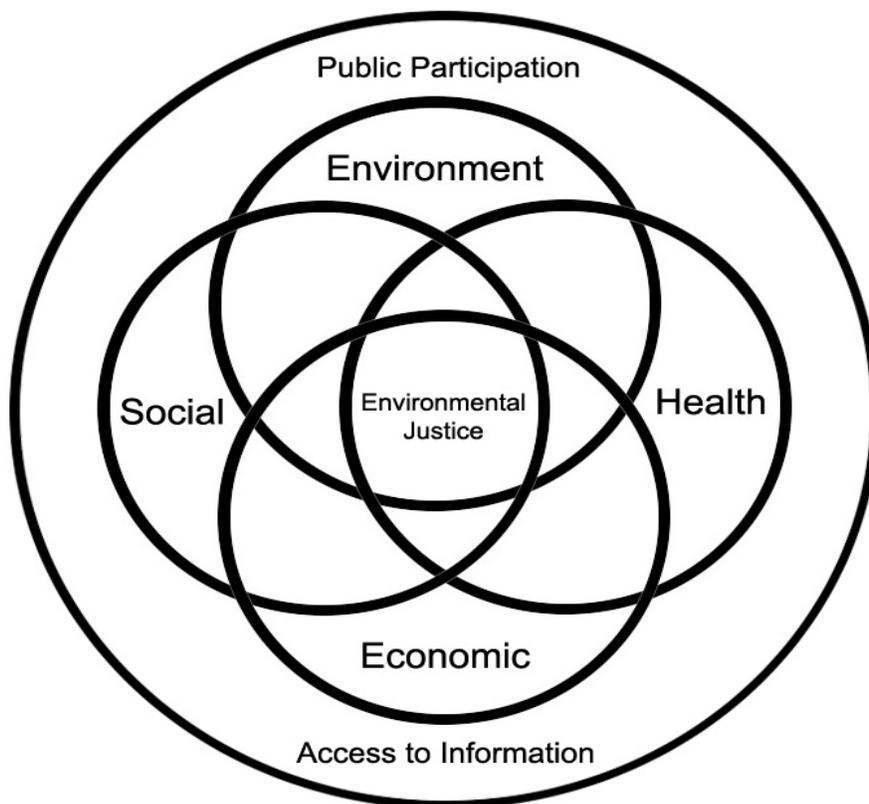




# ENVIRONMENTAL JUSTICE SMALL GRANTS PROGRAM

## APPLICATION GUIDANCE FY 2011



Office of Environmental Justice (2201A)

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**FOR ADDITIONAL COPIES CONTACT YOUR  
REGIONAL EJ COORDINATOR FOUND ON PAGES 24 - 26**

*Inside Front Cover*

## **OVERVIEW (OV) INFORMATION**

**AGENCY:** U. S. ENVIRONMENTAL PROTECTION AGENCY  
OFFICE OF ENVIRONMENTAL JUSTICE

**TITLE:** ENVIRONMENTAL JUSTICE SMALL GRANTS PROGRAM

**TYPE:** REQUEST FOR APPLICATIONS (RFA) - INITIAL ANNOUNCEMENT

**FUNDING NO.:** EPA-OECA-OEJ-11-01

**CFDA NO:** 66.604

**DATES:** ANNOUNCEMENT DATE: January 28, 2011  
CLOSING DATE: March 31, 2011

**EXECUTIVE SUMMARY:** The Environmental Justice Small Grants Program (EJSG) is designed to provide funding for eligible applicants for projects that address local environmental and public health issues within an affected community. The EJSG Program is a multi-statute program designed to help communities understand and address their exposure to multiple environmental harms and risks.

### **What types of projects are eligible for funding?**

Under this RFA, EPA will award grants that support two types of activities:

- 1) Activities designed to educate, empower and enable communities to understand the environmental and public health issues and to identify ways to address these issues at the local level under the first seven statutes listed in Section I.C.
- 2) **Research activities related to Comprehensive Environmental Response, Compensation and Liability Act, Section 311(c) (CERCLA)**- To support activities of a **research nature only** that examine issues related to a community's exposure to multiple environmental harms and risks, i.e., survey, research, collecting and analyzing data which will be used to expand scientific knowledge or understanding of the subject studied. Research projects, however, need not be limited to academic studies. The EPA has interpreted "research" to include studies that extend to socioeconomic, institutional, and public policy issues, as well as the "natural" sciences. The application must include a description of how the research projects will examine and address the issue of multiple environmental harms and risks.

The long-term goals of the EJSG Program are to help build the capacity of the communities with environmental justice concerns and create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

### **Who is eligible under the EJSG Program to receive an award?**

An eligible applicant **MUST BE** one of the following entities:

1. An Incorporated non-profit community-based organizations—including environmental justice networks, faith based organizations and those affiliated with religious institutions,

2. Federally recognized tribal governments OR
3. tribal organizations

**AND**

located within the same state, territory, commonwealth, or tribe that the proposed project will be located.

In addition, an eligible applicant must be able to demonstrate that it has worked directly with the affected community. An “affected community,” for the purposes of this assistance agreement program, is a vulnerable community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are INELIGIBLE to receive an award but we encourage partnerships with these organizations for technical assistance:

- colleges and universities;
- hospitals;
- state governments and their entities;
- quasi-governmental entities (e.g., water districts, utilities)\*;
- national organizations and chapters of the aforementioned organizations; and
- non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995

\* Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

**What is the amount of funding available?**

The total estimated funding available for awards under this competitive opportunity is;

- \$1,000,000 for projects related to one of the first seven statutes listed in Section I.C. , 1 - 4 grants per region in amounts per award of up to \$25,000 for one year; and
- \$200,000 for research projects related to CERCLA, 1 - 4 grants in amounts per award of up to \$50,000 for up to two years.

The EJSG Program is very competitive. In the 2010 competition, 200 applications were received and 78 projects were funded.

EPA reserves the right to increase or decrease the total number of grants awarded or reject all applications and make no awards under this announcement. Such changes may be necessary as a response to the quality of applications received by EPA or the amount of funds available.

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## **SECTION I – FUNDING OPPORTUNITY DESCRIPTION**

### **A. DEFINITION OF ENVIRONMENTAL JUSTICE**

The U.S. Environmental Protection Agency (EPA) defines “environmental justice” as the ***fair treatment*** and ***meaningful involvement*** of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. ***Fair treatment*** means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of Federal, state, local, and tribal environmental programs and policies.

***Meaningful involvement*** means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public’s contribution can influence the regulatory agency’s decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected.

### **B. BACKGROUND**

The EPA’s Office of Environmental Justice (OEJ) established the Environmental Justice Small Grants Program in fiscal year (FY) 1994. The purpose of this grant program is to support and empower communities that are working to understand and find solutions to local environmental and public health issues.

EPA continues to fund projects that support collaborative partnerships that address local environmental issues such as residents’ and children’s exposure to air toxics and lead, farm worker and resident’s exposure to pesticides and youth involvement in education projects. All proposed projects should include activities designed to educate, empower and enable communities to understand the environmental and public health issues and to identify ways to address these issues at the local level **as they relate to the first seven statutes listed in Section I.C.**

**All proposed projects** considered for funding under this announcement should include a work plan that includes strategies for addressing local environmental issues, educating and empowering the community about the issues, building consensus, and setting community priorities. In addition, the work plan should also demonstrate how the applicant will collaborate with other stakeholders (e.g., other community-based organizations, environmental groups, businesses, industry, Federal, tribal, state and local governments, and academic institutions) to realize their project goals and objectives.

Summaries of all the EJSG projects funded in FY 2010 and in several prior years are available on the OEJ website:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html>

### **Purpose of the Research Funding under CERCLA**

Research funding under CERCLA provides financial assistance to eligible community-based organizations and tribal governments that are examining and/or assessing the environmental and/or public health concerns in their community as it relates to exposure to multiple environmental harms and risks. The environmental justice issues and challenges often involve multiple sources of contamination, with cumulative impacts on the environment, and on human health. Some of the sources of contamination may include multiple industrial facilities and the various contaminants they emit, environmental hazards at the workplace or home, transportation-related pollution, contamination from drinking water, or contamination resulting from the consumption of fish or other subsistence food. These situations may occur in urban, suburban, rural or tribal settings. A holistic approach to environmental protection goes beyond setting limits for individual pollutants and facilities. Research must take into account the multiple impacts of all pollutants in the environment. In environmentally overburdened low-income, minority or tribal communities, a focus on the impacts from multiple environmental harms and risks can greatly assist the communities in understanding their environmental issues and developing more effective solutions to their environmental and/or public health concerns.

CERCLA hazardous substances are substances that are considered [harmful to human health and the environment](#). Many are commonly used substances which are harmless in their normal uses, but are quite dangerous when released. They are defined in terms of those substances either specifically designated as hazardous under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), commonly known as the Superfund law, or those substances identified under other laws. In all, the [Superfund law](#) designates more than 800 substances as hazardous, and identifies many more as potentially hazardous due to their characteristics and the circumstances of their release.

<http://www.epa.gov/osweroe1/content/hazsubs/cercsubs.htm>

Superfund's definition of a hazardous substance includes the following:

- Any element, compound, mixture, solution, or substance designated as hazardous under section 102 of CERCLA.
- Any hazardous substance designated under section 311(b)(2)(a) of the Clean Water Act (CWA), or any toxic pollutant listed under section 307(a) of the CWA. There are over 400 substances designated as either hazardous or toxic under the CWA.
- Any hazardous waste having the characteristics identified or listed under section 3001 of the Resource Conservation and Recovery Act.
- Any hazardous air pollutant listed under section 112 of the Clean Air Act, as amended. There are over 200 substances listed as hazardous air pollutants under the Clean Air Act (CAA).
- Any imminently hazardous chemical substance or mixture which the EPA Administrator has "taken action under" section 7 of the Toxic Substances Control Act.

Hazardous waste is defined under the Resource Conservation and Recovery Act (RCRA) as a solid waste (or combination of solid wastes) which, because of its quantity, concentration, or physical, chemical, or infectious characteristics, may:

- Cause or contribute to an increase in mortality or an increase in serious irreversible, or incapacitating illness; or
- Pose a substantial present or potential hazard to human health or the environment when improperly treated, stored, transported, disposed of, or otherwise managed.

In addition, under RCRA, EPA establishes four characteristics that will determine whether a substance is considered hazardous, including; (1) ignitability, (2) corrosiveness, (2) reactivity, and (4) toxicity. Any solid waste that exhibits one or more of these characteristics is classified as a hazardous waste under RCRA and, in turn, as a hazardous substance under Superfund.

In addition to the hazardous substances identified under the Superfund law, the Title III amendments to Superfund, also known as the [Emergency Planning and Community Right-to-Know Act \(EPCRA\)](#), identify several hundred hazardous substances for their extremely toxic properties. EPA designated them as "extremely hazardous substances" to help focus initial chemical emergency response planning efforts.

### **Goal for Research Projects**

Grant funds shall be used to support research activities that examine issues related to a community's exposure to multiple environmental harms and risks. **Projects must do research only**, e.g., survey, and investigate, collecting and analyzing data which will be used to expand scientific knowledge or understanding of the subject studied. Research projects, however, need not be limited to academic studies. The EPA's grant regulations define "research" as "systematic study directed toward fuller scientific knowledge or understanding of the subject studied," 40 CFR 30.2(dd). Research activities under this grant program do not include "development" as defined in 40 CFR 30.2 (dd),"research and development means all research activities, both basic and applied, and all development activities that are supported at universities, colleges, and other non-profit institutions. "Research" is defined as a systematic study directed toward fuller scientific knowledge or understanding of the subject studied. "Development" is the systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes. The term research also includes activities involving the training of individuals in research techniques where such activities utilize the same facilities as other research and development activities and where such activities are not included in the instruction function." The EPA has interpreted "research" to include studies that extend to socioeconomic, institutional, and public policy issues and the "natural" sciences.

In addition to the special research requirements for grants under CERCLA, Section 311(c) outlined above, the application must include a description of how the research project will examine and address the issue of multiple environmental harms and risks.

### **C. QUALIFIED ENVIRONMENTAL STATUTES**

**The project must include activities that are authorized by one of the following federal environment statutes. Any failure to clearly and conclusively identify and cite the statutes in your work plan shall result in your project not receiving the maximum points eligible under the Ranking Criteria.**

- 1) **Clean Water Act**, Section 104(b) (3): conduct and promote the coordination of research, investigations, training, demonstration projects, surveys, and studies (including monitoring) relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution.
- 2) **Safe Drinking Water Act**, Section 1442(c)(3): develop and expand the capability to carry out a program (that may combine training, education, and employment) for occupations relating to the public health aspects of providing safe drinking water.

- 3) **Solid Waste Disposal Act**, Section 8001(a): conduct and promote the coordination of research, investigations, experiments, training, demonstration projects, surveys, public education programs, and studies relating to solid waste (e.g., health and welfare effects of exposure to materials present in solid waste and methods to eliminate such effects).
- 4) **Clean Air Act**, Section 103(b)(3): conduct research, investigations, experiments, demonstration projects, surveys, and studies (including monitoring) related to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution.
- 5) **Toxic Substances Control Act**, Section 10(a): conduct research, development, monitoring, public education, training, demonstration projects, and studies on toxic substances.
- 6) **Federal Insecticide, Fungicide, and Rodenticide Act**, Section 20(a): conduct research, development, monitoring, public education, training, demonstration projects, and studies on pesticides.
- 7) **Marine Protection, Research, and Sanctuaries Act**, Section 203: conduct research, investigations, experiments, training, demonstration projects, surveys, and studies relating to the minimizing or ending of ocean dumping of hazardous materials and the development of alternatives to ocean dumping.
- 8) **Comprehensive Environmental Response, Compensation and Liability Act (CERCLA)**, **Section 311(c)**: “research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of [due to] hazardous substances and detection of hazardous substances in the environment.” The term “hazardous substances” in CERCLA Section 101(14) do not include many petroleum products.

#### **D. EPA STRATEGIC PLAN LINKAGE AND ANTICIPATED OUTPUTS AND OUTCOMES**

- 1) **Linkage to EPA Strategic Plan.** Tasks under this project support the EPA’s Strategic Plan Goal 4: Healthy Communities and Ecosystems, Objective 4.2: Communities, Sub-objective 4.2.2: Restore Community Health. A copy of the EPA’s Strategic Plan is available online at <http://www.epa.gov/ocfo/plan/plan.htm>. Therefore, EJSG project activities lead to outputs and outcomes that will result in the reduction of harmful exposures and health risks to children and underserved, disproportionately impacted low-income, minority, and tribal communities, and support community efforts to build healthy neighborhoods.
- 2) **Outputs.** The term “output” means an environmental activity, effort, and/or associated work product related to an environmental/public health goal and objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.

Here are examples of expected outputs for this program although they are not limited to the following:

- The number of residents trained by an environmental/public health program to reduce resident exposure to environmental/public health hazards (e.g., number of residents trained on safe shellfish harvesting)
  - Creation of an environmental/public health/climate change program to achieve and sustain environmental/public health/climate change improvements (e.g., a community-wide asthma coalition; community weatherization program)
- 3) **Outcomes.** The term “outcome” means the result, effect, or consequence that will occur from carrying out an environmental/public health program or activity that is related to an

environmental/public health programmatic goal or objective. Outcomes may be environmental, behavioral, health-related, or programmatic in nature, but must be quantitative. They may not necessarily be achievable within an assistance agreement funding period.

Here are some expected outcomes from the above output examples:

- The number of residents that actually adopt safe shellfish harvesting
- The number of community members that utilize an asthma action plan to manage asthma at home, work, and/or school
- The number of families that have reduced exposure to lead paint as result of their homes undergoing a lead abatement program
- The number of residents that install home weatherization
- Reduction of energy used in buildings (school/apartment building/business/homes)

**4) CERCLA Research Projects** output and outcomes may include the following activities:

- Research related to the detection, assessment, and evaluation of the effects on and risks to human health from hazardous substances;
- Demonstrate field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and public health problems;
- Identification and assessment of multiple environmental harms and risks and/or public health concerns in the community;
- Case studies on practices and techniques for detecting and effectively responding to hazardous substance contamination; and
- Identification of institutional and public policy barriers to detecting, assessing and evaluating hazardous substance contamination in communities.

Research cannot relate to contamination from petroleum products in accordance with the definition of hazardous substances indicated in the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 101(14). Projects that involve incidental petroleum contamination that is “mixed” with other contaminants may be considered on a case-by-case basis. Any training activities must be limited to training in research techniques. Funding under this assistance program is not available for general organizational support, capacity building, program development or other activities unrelated to research.

## **SECTION II – AWARD INFORMATION**

### **A. FUNDING AVAILABILITY AND PROJECT PERIOD**

The total estimated amount of funding available for awards under this solicitation for FY 2011 is approximately \$1,000,000 for activities related to the first seven statutes listed in Section I.C.; and \$200,000 for CERCLA research activities.

Awards will be made in the form of a Federal grant for one year in amounts of up to \$25,000 for projects related to at least one of the first seven statutes listed in Section I.C and up to \$50,000 for two years for CERCLA research projects. All awards will be fully funded at the time of award.

## **B. NUMBER OF ANTICIPATED AWARDS**

EPA anticipates awarding 1-4 grants per region for projects related to at least one of the first seven statutes listed in Section I.C.; and 1-4 grants in total for CERCLA research projects, subject to the availability of funds and the quality of the applications submitted. For a listing of EPA's ten regions and the states for which they are responsible, please see Section VII. EPA reserves the right to reject all applications and make no awards under this announcement. EPA reserves the right to make additional awards under this announcement, consistent with Agency policy and guidance, if additional funding becomes available after the original selections are made. Any additional selections for awards will be made no later than six months after the original selection decisions.

## **SECTION III – ELIGIBILITY INFORMATION**

### **A. ELIGIBLE APPLICANTS BASED ON APPLICABLE CFDA**

The applicable Catalog of Federal Domestic Assistance (CFDA) for this program is 66.604. Assistance under this program is only available to the following types of applicants.

An eligible applicant **MUST BE** one of the following entities to receive a grant:

- (1) Incorporated non-profit community-based organizations—including environmental justice networks, faith based organizations and those affiliated with religious institutions;
- (2) Federally recognized tribal governments; OR
- (3) Tribal organizations.

### **AND**

(4) located within the same state, territory, commonwealth, or tribe that the proposed project will be located.

In addition, an eligible applicant must be able to demonstrate that it has worked directly with the affected community. An “affected community,” for the purposes of this assistance agreement program, is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are **INELIGIBLE** to receive a grant however we encourage partnerships with these organizations for technical assistance:

- colleges and universities;
- hospitals;
- state governments and their entities;
- quasi-governmental entities (e.g., water districts, utilities)\*;
- national organizations and their chapters ; and
- non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995

\* Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

## **B. THRESHOLD ELIGIBILITY CRITERIA**

These are requirements that if not met by the time of application submission will result in elimination of the application from consideration for funding. Only proposals from eligible entities (see above) that meet all of these criteria will be evaluated against the ranking factors in Section V of this announcement. Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

1. a. Applications must substantially comply with the application submission instructions and requirements set forth in Section IV of this announcement or else they will be rejected. However, where a page limit is expressed in Section IV with respect to the narrative proposal, pages in excess of the page limitation will not be reviewed.  
  
b. Applications must be received by the EPA or through [www.grants.gov](http://www.grants.gov) as specified in Section IV of this announcement on or before the application submission deadline published in Section IV of this announcement. Applicants are responsible for ensuring that their application reaches the designated person/office specified in Section IV of the announcement by the submission deadline.  
  
c. Applications received after the submission deadline will be considered late and returned to the sender without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with [www.grants.gov](http://www.grants.gov). Where Section IV requires proposal receipt by a specific person/office by the submission deadline, receipt by an agency mailroom is not sufficient. Applicants should confirm receipt of their application as soon as possible after the submission deadline—failure to do so may result in your application not being reviewed.
2. **Project Purpose:** The project's primary purpose must be to help those communities facing environmental justice challenges.
3. **Applicant Eligibility:** The organization must demonstrate that they are an eligible applicant under this program. Eligibility under this program is limited to incorporated non-profit community-based organizations—including environmental justice networks, faith based organizations and those affiliated with religious institutions, federally recognized tribal governments or tribal organizations.
4. **Eligible Activities.** The applicant must demonstrate that the project will carry out eligible activities under one of the above referenced environmental statutes and explain how the project is tied to the local environmental/public health issue in the community your project will serve. (see Section I. C). **Proposed projects must not be used for the purposes of routine program implementation, implementation of routine environmental protection restoration measures, or completion of work which was to have been completed under a prior grant.**
5. **Budget:** EPA will make one year awards of up to \$25,000 for projects related to at least one of the first seven statutes listed in Section I.C.; and two year awards of up to

\$50,000 for projects related to CERCLA research. Applications submitted with budgets over \$25,000 for projects related to the first seven statutes listed in Section I.C, and budgets over \$50,000 for projects related to CERCLA research will not be considered for award.

**6. Multiple Proposals:** Applicants may submit only one application under this solicitation. However an applicant organization can be a partner on an application from another organization.

### **C. COST-SHARING OR MATCHING FUNDS**

No cost-sharing or matching is required as a condition of eligibility or, otherwise, considered for evaluating applications for award.

## **SECTION IV – APPLICATION AND SUBMISSION INFORMATION**

### **A. ADDRESS TO REQUEST APPLICATION FORMS REQUIRED FOR THIS SOLICITATION**

All required forms and optional templates are available at:

<http://www.epa.gov/compliance/environmentaljustice/grants/index.html>.

The applicant can also receive a hard-copy through a regional or Headquarter (HQ) contact listed in Section VII.

### **B. CONTENT AND FORM OF APPLICATION SUBMISSION**

Applications submitted via U.S. Postal or courier service must contain the original application and **two** copies. Applications submitted electronically via [www.grants.gov](http://www.grants.gov) do not require two copies. No matter how an application is submitted, it **MUST** include the following required forms and documents:

- 1) One-Page Threshold Eligibility Form (Appendix A)
- 2) SF-424 Application for Federal Assistance (Appendix B)
- 3) Key Contacts List EPA Form 5700-54 (Appendix C)
- 4) Workplan (Optional template in Appendix D)
- 5) Detailed Budget (Optional logic model template and example in Appendices E and F)
- 6) Resumes of the PI/PM and other key personnel (Principal Investigator/Project Manager).
- 7) Project Performance Measures (Optional template and example in Appendices G & H)
- 8) Proof of Non-Profit Status

**The following is a detailed description of all the required forms and documents.**

- 1) SF-424 APPLICATION FOR FEDERAL ASSISTANCE, with original signature (Form is available in Appendix B)**

The SF-424 is the official form for all Federal grants and cooperative agreements. It requests basic information about your organization and the proposed project. To complete this form you must provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. The applicant can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS number request line at 1-800-627-3867, or visiting the D&B website at <http://www.dnb.com>.

**2) KEY CONTACTS LIST, EPA Form 5700-54 (Attached as Appendix C)**

**3) Workplan (Optional template is available in Appendix D):**

The workplan is the most important part of your application package because it describes the project. Workplans that are focused and succinct are stronger than ones that try to address too many issues. The workplan should not exceed ten (10), single-spaced typewritten pages. Anything over ten (10) pages will not be read by the Review Panel. The pages of the workplan should be letter-size (8 1/2 X 11 inches), single-spaced, with normal type size (10 or 12 characters per inch), and at least 1 inch margins. There will be no penalty for using a different page size or font type, but it is **strongly discouraged**. Do not use spiral binding, separation tabs, or plastic covers. The applicant may bind the paperwork with a staple. ***Do not include videos or DVDs.***

***The 10 page work plan must contain the following components and should be identified by the headings in the exact order as listed below.*** The headings correspond with the criteria in Section V that will be used to evaluate each eligible application.

**I. Project Title and Project Purpose Statement (approximately 1/2 page). Provide the following information:**

- A title for the project; and
- A summary description of the proposed project that should identify the related environmental statute from the list in Section I.C.

**II. Environmental and/or Public Health information about the affected Community (approximately half page to 1 1/2 pages).**

**Please describe the following:**

- The local environmental/public health issue(s) that the project seeks to address;
- The characteristics of the affected community that the organization is working with;
- How the affected community is impacted by issue(s); and
- How the affected community will benefit from the results of the project.

The application must include relevant information such as demographics, geographic location, and community history.

**III. Organization's Historical Connection to the Affected Community (approximately 1 page). Describe the following:**

- The history of the organization's involvement with the affected community, including the length of your involvement and how you became involved;
- How the organization has worked with the affected community's residents and/or organizations to address local environmental and public health issues;
- How the residents of the affected community are part of the decision-making process;

- How the organizations' efforts have increased the community's capacity to address local environmental and public health issues; and
- How the organization maintains and sustains an ongoing relationship with the affected community's residents and/or organizations.

**IV. Project Description (approximately 4 to 5 pages).**

The Project Description is an opportunity to describe the project goals, all of the components of the project, the timeframe to accomplish the proposed activities and the evaluation process for determining success (a timeline and/or milestone chart is strongly suggested). Also included should be the key roles and responsibilities of key organizations involved. It **MUST** address the following items:

**i) A concise description of the activities the project will undertake during the year to examine and address the environmental and public health issue(s), e.g., training, education/outreach programs, capacity-building efforts, research etc. Please describe the following:**

- The local environmental/public health results the project projects seeks to achieve; and
- How the project will achieve these results.
- How the project is related to the environmental statutes you identified in your Threshold Eligibility Form (see Section I.C and Appendix A). Please note each project must include activities that are authorized by one of the Federal environmental statutes listed in Section I.C.

**ii) A concise description of how the organization and its partner(s) will work together during the year to address the local issue(s). Please describe the following:**

- The role of your partner(s) in addressing the local environmental/public health issue(s);
- The nature of the organization(s), and what resources they bring to the partnership;
- How the partner(s) has a vested interest in working with this partnership [other than just getting income], commitments made, and specific activities it will be responsible for; and
- How the applicant plans to maintain and sustain the partnerships.

**V. Organizational Capacity and Programmatic Capability (approximately 1/2 page).**

**Please provide the following information:**

- The organizational and administrative systems (e.g., accounting programs) the organization has in place that will be used to appropriately manage, expend, and account for Federal funds;
- How the applicant has successfully managed these projects in the past;
- How the applicant plans to effectively manage and successfully complete this proposed project; and
- If the applicant has been a recipient of an EPA and/or other Federal grant/cooperative agreement in the last five years, please indicate past performance in meeting reporting requirements (e.g., progress reports, financial status). Please also include the name of the Project Officer overseeing the grant/cooperative agreement and the assistance agreement number associated with the project(s);

**If the applicant does not have prior experience in managing federal funds please**

indicate this in the workplan narrative, you will receive a neutral score in this area. Failure to do so will result in receiving a score of 0 for this element.

**VI. Qualifications of the Principal Investigator or Project Manager (PI/PM) (approximately 1 page). Please describe the following:**

- Why the PI/PM is qualified to undertake the project;
- How the PI/PM has ties to the community and/or organization; and
- Past activities that the PI/PM has worked on with the community.

**VII. Past Performance in Reporting on Outputs and Outcomes (approximately 1/2 page). Provide the following information:**

- A list of any Federal or non-Federal grants or cooperative agreements (not Federal contracts) of similar size, scope, and relevance to the proposed project that you worked on within the past three (3) years (no more than five (5) agreements, and preferably EPA agreements). Please include the grant or cooperative agreement number, title of the project, the amount of funding, funding agency or organization, and point-of-contact;
- A description of how you have documented and/or reported on progress towards achieving the expected outputs and outcomes under prior and/or current assistance agreements; and
- If you did not make any progress towards achieving the expected outputs and outcomes, describe whether you have documentation and/or reports satisfactorily explaining why.

**If the applicant does not have prior experience in reporting outputs and outcomes please indicate this in the proposal, a neutral score in this area is given. Failure to do so will result in receiving a score of 0 for this element.**

**VIII. Quality Assurance Project Plan (QAPP) Information (1 to 2 sentences). Please describe the following:**

- Indicate whether you believe that your project will involve the use of existing environmental data or the collection of new data (use the checklist in Appendix I to assist you in making this determination). For more information about Quality Assurance Project Plans, please consult the following website <http://www.epa.gov/quality/g5-docs/g5-final.pdf>.

**You are not required to develop a QAPP at this point.** You are only being asked to determine whether or not you will need a QAPP if your project is selected for funding. If required, then an approved QAPP must be in place *prior* to the initiation of activities.

**5) Detailed Budget (Optional template and example are available in Appendices E and F). You must include a detailed budget breakdown that does the following:**

- Shows how the funds will be specifically used in terms of personnel, fringe benefits, travel, equipment, supplies, contractor costs, other costs, and indirect costs; and
- Itemizes each cost.

**Funding Restrictions.** EPA grant funds can only be used for the purposes set forth in the assistance agreement, and must be consistent with the statutory authorities for the award. All costs identified in the budget must conform to applicable Federal Cost Principles

contained in OMB Circular A-87 and A-122; as appropriate. Copies of circulars can be found at <http://www.whitehouse.gov/omb/circulars>. In particular, the recipient cannot use these funds for the following activities under this assistance program:

- Litigation against the Federal government or any other government entity;
- Construction;
- Lobbying;
- Matching funds for other Federal grants;
- Travel for Federal employees;
- Human health studies (taking blood or other bodily fluids from humans).

**6) Resumes of the Principal Investigator or Project Manager (PI/PM) and Other Key Personnel.** You must attach a resume of the PI/PM and any other key personnel named on the Key Contacts List. (See Appendix C for EPA Form 5700-54)

**7) Project Performance Measures (Optional logic model template and example are available in Appendices G and H).**

The ability to measure project progress is critical to achieving desired goals. Targeting work toward specific project outcomes can help the recipient manage the project to achieve these results. Measurement can indicate what is working with the project and what is not, and when it may be necessary to adapt the approach. It will tell whether the efforts are having a positive impact on the community.

**Please list and describe the following:**

- **Resources/Inputs:** Identify the resources the organization and its partner(s)(if applicable) are putting forward to further the project's goals? Resources may include but are not limited to staff time, in-kind contributions, monetary contributions, etc.
- **Activities:** What activities will the organization and its partner(s) (if applicable) conduct under your workplan?
- **Outputs and Outcomes:** Describe the outputs and outcomes that will result from the project (potential outputs and outcomes are listed in Section I. D). The following are items to consider when developing output and outcome measures:

For projects involving *training*, possible outputs are the number of people trained and/or the number of training sessions held. Possible outcomes are the number of people who increase their awareness of the environmental and public health issue(s) and/or the number of people who adopt or change certain behaviors to reduce their exposure to environmental hazards as a result of the training.

For projects involving *meetings or forums*, an output may be the number of people attending the meetings or forums, the number of meetings or forums held, and any product (strategy or outreach plan, brochure, pamphlet, etc.) that was produced as a result of the meeting or forum. If your proposed project wants to affect change among the participants in the meeting or forum, possible outcomes are the number of meeting or forum attendees who have increased awareness of the environmental and public health issue(s) or the number of meeting or forum attendees who adopt or change

certain behaviors as a result of the meeting or forum.

If the purpose of the meetings or forums is to develop a product (strategy or outreach plan, brochure, pamphlet, etc.) in order to reach a target population, e.g., people, businesses, organizations, etc. outside of the meeting or forum attendees, a possible outcome may include the number of those in your target population who have made changes as a result of the implementation or dissemination of the product.

For projects involving CERCLA research, possible outputs are the training and education about the and testing of community-based research methods to characterize potential hazardous exposures by gathering community knowledge and data on historical and current land use; data resulting from the study air quality in several communities; development of a statistical data base of health information about the residents of the impacted area; research tools for youth to research environmental health issues and to influence planning policy decisions that most effect their lives; and data to expand scientific knowledge about the possible effects from different metals occurring from source contamination on subsistence food staples.

**When developing performance measures for all projects, it's important to consider the following:**

- 1) What are the measurable short term and longer term results the project will achieve?
- 2) How will my project measure progress in achieving the expected results (including outputs and outcomes) and how will the approach use resources effectively and efficiently?

In order to determine whether your project has achieved its intended outputs and outcomes, you will need think about what your data source will be (e.g., people, existing records, observation, etc.) and how you will collect the data (e.g., observing behavior changes, administering pre- and post-tests). You will need to do this for each performance measure you develop. For example, for projects involving training, the possible source for your data would be the participants who receive the training and a possible way to collect data would be administering pre- and post-tests to training participants to measure their changes in attitude or increased knowledge. A follow-up survey could be conducted at a later point in time to measure any behavior changes as a result of the training. You will need to think carefully about your data collection process in order to get quality information from your project and to achieve your projects' intended results.

One tool that may useful to you in developing output and outcome measures is a "logic model." A logic model is a visual model that shows the relationship between your work and your desired results. It communicates the performance story of your project, focusing attention on the most important connections between your actions and the results. A logic model can serve as a basic road map for the project, explaining where you are and where you hope to end up. An optional logic model template and example are available in Appendices I and J.

The following websites provide information on developing a logic model, performance measures, and other tools to measure project outcomes:

The Kellogg Foundation guide to developing logic models:  
<http://www.wkkf.org/Pubs/Tools/Evaluation/Pub3669.pdf>

An EPA Region 10 Web Page with measurement information and tools:  
<http://yosemite.epa.gov/R10/ECOCOMM.NSF/webpage/measuring+environmental+results>

A University of Wisconsin Extension Service online course on enhancing performance using logic models: <http://www.uwex.edu/ces/lmcourse/>

A page with National Fish and Wildlife Foundation logic framework examples:  
<http://www.nfwf.org/evaluation/logicframework.cfm>

A website that provides information on developing surveys:  
<http://www.surveymonkey.com>

**8) Proof of Non-profit Status for non-governmental organizations.** An eligible nonprofit organization applicant must attach the certificate that shows it is either: (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service; OR (2) a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located.

### **C. SUBMISSION DATES AND TIMES**

All completed applications must be postmarked by USPS, date-stamped by courier service or submitted electronically via [www.grants.gov](http://www.grants.gov) by March 31, 2011, 11:59 pm, Eastern Standard Time (EST).. For instructions on submitting applications electronically, please see Section IV. I. Depending on your choice of delivery method, paper applications should be sent to either one of the addresses below:

<b>Courier/Delivered Address</b>	<b>U.S. Postal Service Address</b>
Sheila Lewis U.S. EPA/Office of Environmental Justice 1200 Pennsylvania Avenue NW, Room 2232E Washington, DC 20004  Phone: 202-564-0152 E-Mail: <a href="mailto:lewis.sheila@epa.gov">lewis.sheila@epa.gov</a>	Sheila Lewis U.S. EPA/Office of Environmental Justice Mail Code 2201A Washington, DC 20460  Phone: 202-564-0152 E-Mail: <a href="mailto:lewis.sheila@epa.gov">lewis.sheila@epa.gov</a>

Applications that are not postmarked by the U.S. Postal Service, date-stamped by courier service, or submitted electronically via [www.grants.gov](http://www.grants.gov), by 11:59 pm?, March 31, 2011 will not be considered for an award.

### **D. PARTNERSHIPS, CONTRACTORS AND SUBAWARDS**

The EJSG program supports the development of collaborative partnerships dedicated to identifying and understanding the multiple local environmental and public health issues in communities, educating communities about the issues, and setting priorities to address the issues. EPA encourages establishing and building collaborative partnerships with other stakeholders who share a desire to address the local environmental and public health issues affecting their community. Establishing partnerships with a wide array of different stakeholders is a necessary component of collaborative problem-solving.

EPA awards funds to one eligible applicant as the recipient even if other eligible applicants are named as partners or co-applicants or members of a coalition or consortium. The recipient is accountable to EPA for the proper expenditure of funds. The following questions and answers provide additional information on Federal regulations governing partnerships, contractors and subaward recipients.

#### **Contracts and Subawards:**

**a. Can funding be used for the applicant to make subawards, acquire contract services, or fund partnerships?**

Funding may be used to provide subgrants or subawards of financial assistance, which includes using subawards or subgrants to fund partnerships, provided the recipient complies with applicable requirements for subawards or subgrants including those contained in 40 [CFR](#) Parts 30 or 31, as appropriate. Applicants must compete contracts for services and products, including consultant contracts, and conduct cost and price analyses, to the extent required by the procurement provisions of the regulations at 40 CFR Parts 30 or 31, as appropriate. The regulations also contain limitations on consultant compensation. Applicants are not required to identify subawardees/subgrantees and/or contractors (including consultants) in their proposal/application. However, if they do, the fact that an applicant selected for award has named a specific subawardee/subgrantee, contractor, or consultant in the proposal/application EPA selects for funding does not relieve the applicant of its obligations to comply with subaward/subgrant and/or competitive procurement requirements as appropriate. Please note that applicants may not award sole source contracts to consulting, engineering or other firms assisting applicants with the proposal solely based on the firm's role in preparing the proposal/application.

Successful applicants cannot use subgrants or subawards to avoid requirements in EPA grant regulations for competitive procurement by using these instruments to acquire commercial services or products from for-profit organizations to carry out its assistance agreement. The nature of the transaction between the recipient and the subawardee or subgrantee must be consistent with the standards for distinguishing between vendor transactions and subrecipient assistance under Subpart B Section .210 of [OMB Circular A-133](#), and the definitions of subaward at 40 CFR 30.2(ff) or subgrant at 40 CFR 31.3, as applicable. EPA will not be a party to these transactions. Applicants acquiring commercial goods or services must comply with the competitive procurement standards in 40 CFR Part 30 or 40 CFR Part 31.36 and cannot use a subaward/subgrant as the funding mechanism.

**b. How will an applicant's proposed subawardees/subgrantees and contractors be considered during the evaluation process described in Section V of the announcement?**

Section V of the announcement describes the evaluation criteria and evaluation process that will be used by EPA to make selections under this announcement. During this evaluation, except for those criteria that relate to the applicant's own qualifications, past performance, and reporting history, the review panel will consider, as appropriate and relevant, the qualifications, expertise, and experience of:

(i) an applicant's named subawardees/subgrantees identified in the proposal/application if the applicant demonstrates in the proposal/application that if it receives an award that the subaward/subgrant will be properly awarded consistent with the applicable regulations in 40 CFR Parts 30 or 31. For example, applicants must not use subawards/subgrants to obtain commercial services or products from for-profit firms or individual consultants.

(ii) an applicant's named contractor(s), including consultants, identified in the proposal/application if the applicant demonstrates in its proposal/application that the contractor(s) was selected in compliance with the competitive Procurement Standards in 40 CFR Part 30 or 40 CFR 31.36 as appropriate. For example, an applicant must demonstrate that it selected the contractor(s) competitively or that a proper non-competitive sole-source award consistent with the regulations will be made to the contractor(s), that efforts were made to provide small and disadvantaged businesses with opportunities to compete, and that some form of cost or price analysis was conducted. EPA may not accept sole source justifications for contracts for services or products that are otherwise readily available in the commercial marketplace.

EPA will not consider the qualifications, experience, and expertise of named subawardees/subgrantees and/or named contractor(s) during the proposal/application evaluation process unless the applicant complies with these requirements.

### **E. INTERGOVERNMENTAL REVIEW**

All applications submitted are subject to Executive Order 12372, “Intergovernmental Review of Federal Programs.” Applicants should contact their State’s Single Point of Contact (SPOC) to find out how to comply with the State’s process. The names and addresses of the SPOC’s are listed in the Office of Management Budget’s website: <http://www.whitehouse.gov/omb/grants/spoc.html>.

**You should submit your application to your SPOC at the same time as you submit your application to the EPA in order to prevent delay. The intergovernmental review process can be lengthy, so it is encouraged that applicants submit application packages to their designated SPOCs as early as possible.**

### **F. CONFIDENTIAL BUSINESS INFORMATION**

In accordance with 40 CFR 2.203, applicants may claim all or a portion of their application as confidential business information. EPA will evaluate confidentiality claims in accordance with 40 CFR Part 2. Applicants must clearly mark applications/proposals or portions of applications/proposals they claim as confidential. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by 40 CFR 2.204(c)(2) prior to disclosure. However, competitive applications are considered confidential and protected from disclosure prior to the completion of the competitive selection process.

### **G. PRE-APPLICATION ASSISTANCE**

Applicants are invited to participate in conference calls with EPA to address questions about the EJSG Program and this solicitation. The following are the conference call dates and times. Please call or send an e-mail to Sheila Lewis at [lewis.sheila@epa.gov](mailto:lewis.sheila@epa.gov) or your regional point of contact, and provide the following information: (1) your name; (2) the name of your organization; (3) the address of your organization; (4) your phone number; (5) and the preferred date for the call. If you cannot reply by e-mail, you can also register by calling your regional EJ Coordinator listed in Section VII.

<b>Date</b>	<b>Time (Eastern)</b>
February 9 , 2011	1:30 – 3:00 p.m.
February 23, 2011	3:30 – 5:00 p.m.
March 16, 2011	1:30 – 3:00 p.m.

In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their applications/proposals. However, consistent with the provisions in the announcement, EPA will respond to questions from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about the announcement.

### **H. MANAGEMENT FEES**

When formulating budgets for applications, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicant's cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

## **I. ELECTRONIC SUBMISSION PROCEDURES**

### ***General Application Instructions***

The electronic submission of your application must be made by an official representative of your institution who is registered with Grants.gov and is authorized to sign applications for Federal assistance. For more information, go to <http://www.grants.gov> and click on "Get Registered" on the left side of the page. *Note that the registration process may take a week or longer to complete.* If your organization is not currently registered with Grants.gov, please encourage your office to designate an AOR (Authorized Official Representative) and ask that individual to begin the registration process as soon as possible.

To begin the proposal/application process under this grant announcement, go to <http://www.grants.gov> and click on the "Apply for Grants" tab on the left side of the page. Then click on "Apply Step 1: Download a Grant Application Package and Instructions" to download the compatible Adobe Viewer and obtain the application package. **To apply through grants.gov you must use Adobe Reader applications and download compatible Adobe Reader version (Adobe Reader applications are available to download for free on the Grants.gov website. For more information on Adobe Reader please visit the [Help section](#) on grants.gov at <http://www.grants.gov/help/help.jsp> or [http://www.grants.gov/aboutgrants/program\\_status.jsp](http://www.grants.gov/aboutgrants/program_status.jsp)**

Once you have downloaded the viewer, you may retrieve the application package by entering the Funding Opportunity Number, **EPA-OECA-OEJ-11-01**, or the CFDA number that applies to the announcement (CFDA 66.604), in the appropriate field. You may also be able to access the application package by clicking on the Application button at the top right of the synopsis page for this announcement on <http://www.grants.gov> (to find the synopsis page, go to <http://www.grants.gov> and click on the "Find Grant Opportunities" button on the left side of the page and then go to Search Opportunities and use the Browse by Agency feature to find EPA opportunities).

### **Application Materials**

**The following forms and documents are required to be submitted under this announcement:**

1. SF-424 Application for Federal Assistance
2. One- page Summary
3. Narrative Proposal/Workplan (Optional template in Appendix D)
4. Detailed Budget Narrative
5. Key Contacts List EPA Form 5700-54 (Appendix C)
6. Detailed Budget (Optional logic model template and example in Appendices E /F)

7. Resumes of the PI/PM and other key personnel (Principal Investigator/Project Manager).
8. Project Performance Measures (Optional template and example in Appendices G/H)
9. Proof of Non-Profit Status

The proposal package *must* include all of the following materials:

**1. SF-424, Application for Federal Assistance**

**Standard Form (SF) 424, Application for Federal Assistance** - Complete the form. There are no attachments. Please be sure to include organization fax number and email address in Block 5 of the Standard Form SF 424.

Please note that the organizational Dun and Bradstreet (D&B) Data Universal Number System (DUNS) number must be included on the SF-424. Organizations may obtain a DUNS number at no cost by calling the toll-free DUNS number request line at 1-866-705-5711.

**The document, SF-424**, above should appear in the “Mandatory Documents” box on the Grants.gov Grant Application Package page. For this document, click on the appropriate form and then click “Open Form” below the box. The fields that must be completed will be highlighted in yellow. Optional fields and completed fields will be displayed in white. If you enter an invalid response or incomplete information in a field, you will receive an error message. When you have finished filling out the form, click “Save.” When you return to the electronic Grant Application Package page, click on the form you just completed, and then click on the box that says, “Move Form to Submission List.” This action will move the document over to the box that says, “Mandatory Completed Documents for Submission.”

**2. One-page Summary**

The document should be readable in PDF, MS Word or Word Perfect WP6/7/8 for Windows and consolidated into a single file.

You must follow all the instructions under Section IV. B. 2, “One-page Summary” of this proposal. If you do not carefully follow the instructions or if you fail to address any required element in the One-page Summary, it will impact your ability to secure an award under this Program. Provide a brief one-page summary on the following areas: (1) Project Title and Brief Project Purpose Statement; (2) Applicant Information; and (3) Qualified Environmental Statutes. Label this document “One-page Summary,” and submit it under “Other Attachments Form.”

**3. Narrative Proposal**

The document should be readable in PDF, MS Word or Word Perfect WP6/7/8 for Windows and consolidated into a single file.

**You must follow all the instructions under Section IV. B. 3, “Narrative Proposal Work-Plan.” If you do not carefully follow the instructions or if you fail to address any required element in the proposal, it may impact your ability to secure an award under this Program.**

For your proposal, you will need to attach an electronic file. Prepare your narrative proposal as described above in Section IV. B. 3 and save the document to your computer as an MS Word,

PDF or WordPerfect file. When you are ready to attach your proposal to the application package, click on “Project Narrative Attachment Form,” and open the form. Click “Add Mandatory Project Narrative File,” and then attach your proposal (previously saved to your computer) using the browse window that appears. You may then click “View Mandatory Project Narrative File” to view it. Enter a brief descriptive title of your project in the space beside “Mandatory Project Narrative File Filename;” the filename should be no more than 40 characters long. If there are other attachments that you would like to submit to accompany your proposal, you may click “Add Optional Project Narrative File” and proceed as before. When you have finished attaching the necessary documents, click “Close Form.” When you return to the “Grant Application Package” page, select the “Project Narrative Attachment Form” and click “Move Form to Submission List.” The form should now appear in the box that says, “Mandatory Completed Documents for Submission.”

#### **4. Detailed Budget Narrative**

You must include a detailed budget breakdown that shows how EPA funds will be specifically used in terms of personnel, fringe benefits, travel, equipment, supplies, contractor costs, other costs. All costs must be itemized and broken into unit costs. The total budget estimate should not exceed \$25,000 for projects not related to CERCLA research, and \$50,000 for projects related to CERCLA research. Your budget will be evaluated on the reasonableness of the costs and how the budget relates to the activities in your proposal. The document should be readable in PDF, MS Word or Word Perfect WP6/7/8 for Windows and consolidated into a single file and be submitted using the Other Attachments form.

Once you have finished filling out all of the forms/attachments and they appear in one of the “Completed Documents for Submission” boxes, click the “Save” button that appears at the top of the Web page. It is suggested that you save the document a second time, using a different name, since this will make it easier to submit an amended package later if necessary. Please use the following format when saving your file: “Applicant Name – FY11 – Assoc Prog Supp – 1st Submission” or “Applicant Name – FY 11 Assoc Prog Supp – Back-up Submission.” If it becomes necessary to submit an amended package at a later date, then the name of the 2nd submission should be changed to “Applicant Name – FY11 Assoc Prog Supp – 2nd Submission.”

Once your application package has been completed and saved, send it to your AOR for submission to U.S. EPA through Grants.gov. Please advise your AOR to close all other software programs before attempting to submit the application package through Grants.gov.

In the “Application Filing Name” box, your AOR should enter your organization’s name (abbreviate where possible), the fiscal year (e.g., FY11), and the grant category (e.g., Assoc Prog Supp). The filing name should not exceed 40 characters. From the “Grant Application Package” page, your AOR may submit the application package by clicking the “Submit” button that appears at the top of the page. The AOR will then be asked to verify the agency and funding opportunity number for which the application package is being submitted. If problems are encountered during the submission process, the AOR should reboot his/her computer before trying to submit the application package again. [It may be necessary to turn off the computer (not just restart it) before attempting to submit the package again.] If the AOR continues to experience submission problems, he/she may contact Grants.gov for assistance by phone at 1-800-518-4726 or email at <http://www.grants.gov/help/help.jsp> or contact --Sheila Lewis at [lewis.sheila@epa.gov](mailto:lewis.sheila@epa.gov) or call 202.564.0152.

Application packages submitted through Grants.gov will be time/date stamped electronically.

If you have not received a confirmation of receipt from EPA (*not from grants.gov*) within 30 days of the proposal deadline, please contact **Sheila Lewis** at [lewis.sheila@epa.gov](mailto:lewis.sheila@epa.gov) . Failure to do so may result in your proposal not being reviewed.

**Application Submission Deadline:** Your organization's AOR must submit your complete application electronically to EPA through Grants.gov (<http://www.grants.gov>) no later than 11:59 pm EDT, **March 31, 2011**.

Please submit **all** of the application materials described in Section IV.. To view the full funding announcement, go to <http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html> or go to <http://www.grants.gov> and click on "Find Grant Opportunities" on the left side of the page and then click on Search Opportunities/Browse by Agency and select Environmental Protection Agency.

## SECTION V – APPLICATION REVIEW INFORMATION

### A. CRITERIA

- 1) **Threshold Evaluation Criteria.** Before an application is reviewed by a panel of EPA staff (Review Panel), it must be screened to ensure that the application meets the Threshold Eligibility Criteria (See Section III.B). Please note an application will not be reviewed if the application does not meet the Threshold Eligibility Criteria.
  
- 2) **Ranking Criteria.** Applications meeting the Threshold Evaluation Criteria will be reviewed and scored by the Review Panel. Each criterion has corresponding points that range from 2 points (lowest) to 25 points (highest). Your score for each criterion will be based on how well you address each criterion. The maximum total points you can obtain is 100. Please note that certain sections are given greater weight than others. The application will be scored on the following Ranking Criteria:

Criteria	Maximum Points per Criterion
<p><b><u>Environmental/Public Health Information.</u></b> The applicant will be evaluated on the ability to clearly describe the local issue(s) the project proposes to address and the community that is impacted. This will be determined through the description of the following areas (<b>Maximum of 3 points each</b>):</p> <ol style="list-style-type: none"> <li>(1) the local environmental/public health issue that the project seeks to address;</li> <li>(2) the local environmental/public health results the project seeks to achieve;</li> <li>(3) the affected community the applicant is directly working with to address the issues</li> <li>(4) how the affected community is disproportionately impacted by environmental harms and risks/climate change; and</li> <li>(5) how the affected community will benefit from the project’s intended local results.</li> </ol> <p>The applicant must include relevant information such as demographics, geographic location, and community history.</p>	<b>15 points total</b>
<p><b><u>Organization’s Historical Connection to Affected Community.</u></b> The applicant will be evaluated on the description of the strong connection between the organization and the affected community. In scoring this section, reviewers will consider the strength of the organization’s historical involvement with the affected community and the on-going communications between with the affected community residents and/or organizations. In addition, reviewers will consider the extent of the affected community’s active participation in the project and in the decision-making process. This will be determined through the description of the following areas (<b>Maximum of 3 points each</b>):</p> <ol style="list-style-type: none"> <li>(1) history of the applicants involvement with the affected community, including duration of involvement and circumstances that led to your involvement;</li> <li>(2) how the applicant has worked with the affected community’s residents and/or organizations to address local environmental and public health issues;</li> <li>(3) how the affected community’s residents and/or organizations are part of the decision-making process;</li> <li>(4) how the applicants efforts have led to increased capacity on the part of local community-based organizations to address local environmental and public health issues; and</li> <li>(5) how the applicant will maintain and sustain an ongoing relationship with the affected community’s residents and/or organizations.</li> </ol>	<b>15 points total</b>
<p><b><u>Project Description.</u></b> The applicant will be evaluated on the ability to describe the activities the project will undertake to examine and address the environmental and public health issue(s) and how the organization and partner(s) (if applicable) will work together to address the local environmental and public health issue(s). The reviewers will give more consideration to a description that is focused, succinct rather than one that tries to address too many issues. The workplan narrative will be evaluated on the clarity of write-up in addressing the following areas (<b>Maximum of 5 points each</b>):</p>	<b>25 points</b>

<p>(1) the local environmental and public health results the projects seeks to achieve;                  (2) how the project will achieve these results;                  (3) The role of your partner(s) (if applicable) in addressing the local issue(s) and how you plan to maintain and sustain the partnerships;                  (4) The nature of the organization, what resources it brings to the partnership, how it has a vested interest in working with this partnership [other than just getting income], commitments made, and specific activities it will be responsible for; and                  (5). How the project is related to the environmental statutes you identified in your work plan and Threshold Eligibility Form.</p>	
<p><b>Organizational Capacity and Programmatic Capability.</b> The applicant will be evaluated on the ability to demonstrate how your organization has the organizational capacity and programmatic capability to undertake this project. This will be determined through your description of:</p> <ol style="list-style-type: none"> <li>1) applicant past performance in successfully completing and managing assistance agreements similar in size, scope, and relevance to the proposed project performed within the last 5 years and your history of meeting reporting requirements under these agreements, and how you plan to effectively manage and successfully complete this proposed project (5 points);</li> <li>2) organizational experience and plan for timely and successfully achieving the objectives of the proposed project, and staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project. (5 points);</li> </ol> <p>In evaluating your organization for past performance purposes under this criterion, OEJ will consider information provided by the applicant and may consider information from other sources, including Agency files. <b>Applicants who have no relevant or available past performance reporting must indicate this in the narrative and will receive a neutral score for this factor. A neutral score in this case is 5 points. Failure to do so will result in receiving a score of 0 for this element.</b></p>	<p><b>10 points total</b></p>
<p><b>Qualifications of Principal Investigator/Project Manager.</b> The applicant will be evaluated on the ability to clearly demonstrate that the PI/PM selected to lead this project is the most qualified. This will be determined through the description of the following:</p> <ol style="list-style-type: none"> <li>(1) why the PI/PM is qualified to undertake the project; <b>(2 points)</b></li> <li>(2) how the PI/PM has ties to the community and/or organization; and <b>(6 points)</b></li> <li>(3) past activities that the PI/PM has worked on with the community. <b>(2 points)</b></li> </ol>	<p><b>10 points total</b></p>
<p><b>Past Performance in Reporting on Outputs and Outcomes.</b> Under this criterion, applicants will be evaluated on the demonstration of prior experience in reporting outputs and outcomes. This will be determined through the description of how progress is documented and/or reported, towards achieving the expected outputs and outcomes under prior and/or current assistance agreements, and if progress was not made towards achieving the expected outputs and outcomes, whether the documentation and/or reports satisfactorily explain why not.</p> <p>Note: In evaluating applicants under this criterion, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). <b>If the applicant does not have any relevant or available past performance or past reporting information, please indicate this in the proposal and the applicant will receive a neutral score for these subfactors (a neutral score is half of the total points available in a subset of possible points). If the applicant does not provide any response for these items, the applicant may receive a score of 0 for these factors.</b></p>	<p><b>5 points total</b></p>
<p><b>Detailed Budget.</b> The applicant will be evaluated on the reasonableness of the costs and how the budget relates to the activities under the project. The applicant will receive a score of “zero” for this criterion if you include costs that are restricted under Section IV. B “Workplan (Detailed Budget).”</p>	<p><b>5 points total</b></p>
<p><b>Project Performance Measures/Milestones.</b> The applicant will be evaluated on the</p>	<p><b>15 points total</b></p>

ability to formulate concrete, well-developed performance measures on a semi-annual and annual basis.	
1) Identification of performance measures that determine whether or not the project meets the target(s) and are successful.	
2) Measures that relate directly to the local environmental and public health results the projects seeks to achieve.	
3) The approach for determining how and whether progress is made in achieving the expected project outcomes and outputs. (maximum of 5 points each)	
<b>Total Maximum Points Available</b>	<b>100</b>

**B. REVIEW AND SELECTION PROCESS**

All applications will be reviewed and scored under a two-step process.

- 1) **Threshold Screening Process** - All applications will be screened for Threshold Eligibility (see Section III. B) at EPA headquarters. EPA staff will screen all applications using the One-Page Threshold Eligibility Form found in Appendix A.
- 2) **Panel Review Process** - All applications believed to successfully meet the Threshold Eligibility after the initial review will be forwarded to the appropriate EPA Region. For a listing of EPA’s ten regions and the states that they serve, go to Section VII. Each Region will convene a panel to review, score and rank the applications based on the Ranking Criteria (see Section V. A. 2) published in this RFA. Applications will not be scored against any unpublished criteria. After the individual projects are reviewed, scored and ranked, the **regional official** will make the final selection. EPA reserves the right to reject all applications and make no awards under this announcement.

**C. ANTICIPATED ANNOUNCEMENTS AND AWARD DATES**

Below is a tentative schedule of important dates for the EJSG Program. Please note dates are subject to change.

<b>Date</b>	<b>Activity</b>
January 28, 2011	EJSG Program is announced and published.
January 28 – March 31, 2011	Eligible applicants develop, complete and submit their applications.
March 31, 2011	Applications must be date-stamped by courier service, postmarked by U.S. Postal Service, or submitted through Grants.gov by 11:59pm, March 31, 2011. Applications received after this date will not be reviewed.
April 1 - 8, 2011	Staff at EPA headquarters will review applications for Threshold Eligibility Criteria.
April 11 - 15, 2011	Letters to ineligible applicants will be sent. Letters will provide brief explanations on the reason(s) for ineligibility. Ineligible applicants must request a debriefing within 15 days of receipt of the correspondence
April 11, – May 13, 2011	EPA Review Panel reviews, scores, and ranks all eligible applications.
May 30, 2011	The EPA Regional Selection Official will make the final selections for awards. All applicants will be notified of the selection results by mail.
October 2011	The Director of EPA’s Office of Environmental Justice will release a national announcement of the recipients.

## **SECTION VI – AWARD ADMINISTRATION INFORMATION**

### **A. AWARD NOTICES**

After all applications are received, acknowledgments will be mailed to applicants. Once applications have been recommended for funding, EPA will notify the finalists and request any additional information necessary to complete the award process. The finalists will be required to complete additional government application forms prior to receiving a grant, such as the EPA Form SF-424B (Assurances - Non-Construction Programs). The Federal government requires all grantees to certify and assure that they will comply with all applicable Federal laws, regulations, and requirements.

Notification to finalists, which advises that the applicant's proposed project has been recommended for award, **is not** an authorization to begin performance. The award notice signed by the EPA grants officer is the authorizing document and will be provided through postal mail.

### **B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS**

1. A listing and description of general EPA regulations applicable to the award of assistance agreements may be viewed at: <http://www.epa.gov/oqd/grants/regulations.htm>.

#### **2. Central Contractor Registration (CCR) and Data Universal Numbering System (DUNS) Requirements**

Unless exempt from these requirements under OMB guidance at 2 CFR Part 25 (e.g., individuals), **applicants must:**

- a. Be registered in the CCR prior to submitting an application or proposal under this announcement. CCR information can be found at <https://www.bpn.gov/ccr/>
- b. Maintain an active CCR registration with current information at all times during which it has an active Federal award or an application or proposal under consideration by an agency, and
- c. Provide its DUNS number in each application or proposal it submits to the agency. Applicants can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711, or visiting the D&B website at: <http://www.dnb.com>.

If an applicant fails to comply with these requirements, it will, should it be selected for award, affect their ability to receive the award.

#### **3. Subaward and Executive Compensation Reporting**

Applicants must ensure that they have the necessary processes and systems in place to comply with the subaward and executive total compensation reporting requirements established under OMB guidance at 2 CFR Part 170, unless they qualify for an exception from the requirements, should they be selected for funding

### **C. REPORTING**

**1) Progress Report** - The Project Manager/Principal Investigator will be required to submit at least a semi-annual progress report to update the EPA Regional Project Officer on the project's progress.

**2) Final Report Requirement** – The Project Manager/Principal Investigator must submit a Final Technical Report for EPA approval within ninety (90) days after the end of the project period. A draft of this report should be submitted within sixty (60) days after the end of the project period. A Financial Status Report is also required and will be described in the award agreement. The EPA may collect, review, and disseminate those final reports that can serve as models for future projects.

**3) Quality Assurance Project Plans (QAPP)** – Quality assurance is sometimes applicable to assistance projects (see 40 CFR 30.54 and 40 CFR 31.45). Quality assurance requirements apply to the collection of environmental data. Environmental data are any measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Environmental data include information collected directly from measurements, produced from models, and compiled from other sources, such as databases or literature. Once the award is made, if a Quality Assurance Project Plan is required for the project, the applicant will have to draft a QAPP prior to beginning work on the project. You must reserve time and financial resources in the beginning of your project in order to prepare your QAPP and include the cost for developing your QAPP in your Detailed Budget. Selected applicants cannot begin data collection until EPA approves the QAPP.

#### **D. DISPUTE RESOLUTION**

Any applicant deemed ineligible because its application did not meet the conditions required under the Threshold Eligibility Criteria (see Section III. B and Section V. A. 1.) will be notified of the reason for ineligibility no later than 15 calendar days from the date of completion of the Threshold Eligibility Review. The applicant will have **15 calendar** days from the date of the notification to request a debriefing on the decision. Responses can be sent via e-mail, postal, or courier but must be dated within the **15 calendar** day period for dispute resolution.

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found at <http://www.epa.gov/ogd/competition/resolution.htm>. Copies of these procedures may also be requested by contacting Sheila Lewis at (202) 564-0152 or [lewis.sheila@epa.gov](mailto:lewis.sheila@epa.gov).

#### **E. DATA ACCESS AND INFORMATION RELEASE**

The Office of Management and Budget (OMB) Circular A-110 has been revised to provide public access to research data through the Freedom of Information Act (FOIA) under some circumstances. Data that are (1) first produced in a project that is supported in whole or in part with Federal funds and (2) cited publicly and officially by a Federal agency in support of an action that has the force and effect of law (i.e., a regulation) may be accessed through FOIA. If such data is requested by the public, the EPA must ask for it, and the grantee must submit it, in accordance with A-110 and EPA regulations at 40 C.F.R. 30.36.

**F. NON-PROFIT AWARD ADMINISTRATIVE CAPABILITY**

Non-profit applicants that are recommended for funding under this announcement are subject to pre-award administrative capability reviews consistent with Section 8b, 8c and 9d of EPA Order 5700.8 - Policy on Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards ([http://www.epa.gov/ogd/grants/award/5700\\_8.pdf](http://www.epa.gov/ogd/grants/award/5700_8.pdf)). In addition, non-profit applicants that qualify for funding, depending on the size of the award, may be required to fill out and submit to the Grants Management Office the Administrative Capabilities Form with supporting documents contained in Appendix A of EPA Order 5700.8.

## SECTION VII – AGENCY CONTACTS

For further information about this grant program, please contact the following:

Courier/Delivered Address	U.S. Postal Service Address
Sheila Lewis U.S. EPA/Office of Environmental Justice 1200 Pennsylvania Avenue NW, Room 2232E Washington, DC 20004  Phone: 202-564-0152 E-Mail: <a href="mailto:lewis.sheila@epa.gov">lewis.sheila@epa.gov</a>	Sheila Lewis U.S. EPA/Office of Environmental Justice Mail Code 2201A Washington, DC 20460  Phone: 202-564-0152 E-Mail: <a href="mailto:lewis.sheila@epa.gov">lewis.sheila@epa.gov</a>

### **SEND YOUR APPLICATION TO MS. LEWIS AT THE ABOVE ADDRESS.**

The names below reflect the contact person in each region and the states for which the region serves.

Region	Contact Information
<b>Region 1:</b>  Connecticut Maine Massachusetts New Hampshire Rhode Island Vermont	USEPA Region 1 (RAA) One Congress Street - 11th Floor Boston, MA 02203-0001  <b>Primary Contact:</b> Amy Braz (617) 918-1346 <a href="mailto:Braz.amy@epa.gov">Braz.amy@epa.gov</a>
<b>Region 2:</b>  New Jersey New York Puerto Rico U.S. Virgin Islands	USEPA Region 2 290 Broadway, 26th Floor New York, NY 1007  <b>Primary Contact:</b> Terry Wesley (212) 637-5027 <a href="mailto:Wesley.terry@epa.gov">Wesley.terry@epa.gov</a>  <b>Secondary Contact:</b> Tasha Frazier (212) 637-3861 <a href="mailto:Frazier.tasha@epa.gov">Frazier.tasha@epa.gov</a>
<b>Region 3:</b>  Delaware District of Columbia Maryland Pennsylvania Virginia West Virginia	USEPA Region 3 (3DA00) 1650 Arch Street Philadelphia, PA 19103-2029  <b>Primary Contact:</b> Reginald Harris (215) 814-2988 <a href="mailto:Harris.reggie@epa.gov">Harris.reggie@epa.gov</a>

<p><b>Region 4:</b></p> <p>Alabama Florida Georgia Kentucky Mississippi North Carolina South Carolina Tennessee</p>	<p>USEPA Region 4 61 Forsyth Street Atlanta, GA 30303-8960</p> <p><b>Primary Contact:</b> Elvie Barlow (404) 562-9650 <a href="mailto:Barlow.elvie@epa.gov">Barlow.elvie@epa.gov</a></p> <p><b>Secondary Contact:</b> Ella Mclendon (404) 562-8316 <a href="mailto:Mclendon.ella@epa.gov">Mclendon.ella@epa.gov</a></p>
<p><b>Region 5:</b></p> <p>Illinois Indiana Michigan Minnesota Ohio Wisconsin</p>	<p>USEPA Region 5 (DM7J) 77 West Jackson Boulevard Chicago, IL 60604-3507</p> <p><b>Primary Contact:</b> Margaret Millard (312) 353-1440 <a href="mailto:Millard.margaret@epa.gov">Millard.margaret@epa.gov</a></p>
<p><b>Region 6:</b></p> <p>Arkansas Louisiana New Mexico Oklahoma Texas</p>	<p>USEPA Region 6 Fountain Place, 13th Floor 1445 Ross Avenue (RA-D)</p> <p><b>Primary Contact:</b> Shirley Quinones (214) 665-2713 <a href="mailto:Quinones.shirley@epa.gov">Quinones.shirley@epa.gov</a></p>
<p><b>Region 7:</b></p> <p>Iowa Kansas Missouri Nebraska</p>	<p>USEPA Region 7 901 North 5th Street (RGAD/ECO) Kansas City, KS 66101</p> <p><b>Primary Contact:</b> Althea Moses (913) 551-7649 <a href="mailto:Moses.althea@epa.gov">Moses.althea@epa.gov</a></p> <p><b>Secondary Contact:</b> Kimberly Jones (913) 551-7280 <a href="mailto:jones.kimberly@epa.gov">jones.kimberly@epa.gov</a></p>
<p><b>Region 8:</b></p> <p>Colorado Montana North Dakota South Dakota Utah Wyoming</p>	<p>USEPA Region 8 (8ENF-EJ) 1595 Wynkoop Denver, CO 80202-2466</p> <p><b>Primary Contact:</b> Nancy Reish (303) 312-6040 <a href="mailto:Reish.nancy@epa.gov">Reish.nancy@epa.gov</a></p> <p><b>Secondary Contact:</b> Jean Belille (303) 312-6556 <a href="mailto:Belille.jean@epa.gov">Belille.jean@epa.gov</a></p>

<p><b>Region 9:</b></p> <p>Arizona California Hawaii Nevada American Samoa Guam</p>	<p>USEPA Region 9 CED-1 75 Hawthorne Street San Francisco, CA 94105</p> <p><b>Primary Contact:</b> Karen Henry (415) 972-3844 <a href="mailto:Henry.karen@epa.gov">Henry.karen@epa.gov</a></p>
<p><b>Region 10:</b></p> <p>Alaska Idaho Oregon Washington</p>	<p>USEPA Region 10 (CRE-164) 1200 Sixth Avenue Seattle, WA 98101</p> <p><b>Primary Contact:</b> Running Grass (206)553-2899 <a href="mailto:Grass.running@epa.gov">Grass.running@epa.gov</a></p>

All questions or comments must be communicated in writing via postal mail, fax or email to the contact persons listed above. Questions and answers will be posted until the closing date of this announcement at the OEJ Grants/Funding webpage  
<http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html>.

## **SECTION VIII – OTHER INFORMATION**

See Appendices

**APPENDIX A**  
**EJSG One-page Threshold Eligibility Form**

This form will be used to determine if you meet all the eligibility requirements. You must address the following areas:

**1) Applicant Eligibility.**

Incorporated non-profit community-based organizations—including faith based organizations and those affiliated with religious institutions, environmental justice networks, OR tribal organizations 501(c)(3) non-profit organization as designated by the Internal Revenue Service **OR** a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which we are located)

My organization is located within the same state, territory, commonwealth, or tribe that the proposed project will be located (identify).....

**2) Qualified Environmental Statutes.** Below is a list of qualified environmental statutes. You **must identify at least one** qualified environmental statutes associated with your project. Please check the applicable boxes.

- Clean Water Act, Section 104(b) (3).....
  - Safe Drinking Water Act, Section 1442(b)(3).....
  - Solid Waste Disposal Act, Section 8001(a) .....
  - Clean Air Act, Section 103(b) (3).....
  - Toxic Substances Control Act, Section 10(a).....
  - Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a) .....
  - Marine Protection, Research, and Sanctuaries Act, Section 203.....
  - Comprehensive Environmental Response, Compensation and Liability Act, Section 311.....
- (Research only)**

**3) Eligible Activities.** The project will carry out eligible activities under one of the above referenced environmental statutes and explain how the project is tied to the local environmental/public health issue in the community your project will serve. (see Section I. C). **Proposed projects must not be used for the purposes of routine program implementation, implementation of routine environmental protection restoration measures, or completion of work which was to have been completed under a prior grant**.....

**4) Required Documents.** Use this checklist to ensure that you have submitted all required documents. You **must** submit all the items below. Please check each box upon completing each form.

- One-Page Threshold Eligibility Form .....
- SF-424, Application for Federal Assistance (Form in Appendix B).....
- Key Contacts Form (Form in Appendix C) .....
- Workplan (Optional template in Appendix D).....
- Detailed Budget (Optional template and example in Appendices E and F) .....
- Performance Measures (Optional template and example in Appendices G and H).....
- Resumes of the PI/PM and Other Key Personnel.....
- Quality Assurance Project Plan Questionnaire (Appendix I) .....
- Proof of Nonprofit Status .....

<b>Application for Federal Assistance SF-424</b>		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s)  *Other (Specify) _____
3. Date Received:    4. Applicant Identifier:		
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
<b>State Use Only:</b>		
6. Date Received by State:		7. State Application Identifier:
<b>8. APPLICANT INFORMATION:</b>		
*a. Legal Name:		
*b. Employer/Taxpayer Identification Number (EIN/TIN):		*c. Organizational DUNS:
<b>d. Address:</b>		
*Street 1: _____		
Street 2: _____		
*City: _____		
County: _____		
*State: _____		
Province: _____		
*Country: _____		
*Zip / Postal Code _____		
<b>e. Organizational Unit:</b>		
Department Name:		Division Name:
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: _____ *First Name: _____		
Middle Name: _____		
*Last Name: _____		
Suffix: _____		
Title:		
Organizational Affiliation:		
*Telephone Number:		Fax Number:
*Email:		

**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

**11. Catalog of Federal Domestic Assistance Number:**

\_\_\_\_\_  
CFDA Title:

\_\_\_\_\_

**\*12 Funding Opportunity Number:**

\_\_\_\_\_

\*Title:  
\_\_\_\_\_

**13. Competition Identification Number:**

\_\_\_\_\_  
Title:

\_\_\_\_\_

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\*15. Descriptive Title of Applicant's Project:**

<b>Application for Federal Assistance SF-424</b>		Version 02
<b>16. Congressional Districts Of:</b>		
*a. Applicant:	*b. Program/Project:	
<b>17. Proposed Project:</b>		
*a. Start Date:	*b. End Date:	
<b>18. Estimated Funding (\$):</b>		
*a. Federal	_____	
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	
<b>*19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>		
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on _____		
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.		
<input type="checkbox"/> c. Program is not covered by E. O. 12372		
<b>*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)</b>		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)		
<input type="checkbox"/> ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions		
<b>Authorized Representative:</b>		
Prefix: _____ *First Name: _____		
Middle Name: _____		
*Last Name: _____		
Suffix: _____		
*Title:		
*Telephone Number:	Fax Number:	
* Email:		
*Signature of Authorized Representative:		*Date Signed:

**Application for Federal Assistance SF-424**

Version 02

**\*Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

**INSTRUCTIONS FOR THE SF-424**

Public reporting burden for this collection of information is estimated to average 80 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

Item	Entry:	Item	Entry:
1.	<b>Type of Submission:</b> (Required): Select one type of submission in accordance with agency instructions. <ul style="list-style-type: none"> <li>• Preapplication</li> <li>• Application</li> <li>• Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date.</li> </ul>	10.	<b>Name Of Federal Agency:</b> (Required) Enter the name of the Federal agency from which assistance is being requested with this application.
		11.	<b>Catalog Of Federal Domestic Assistance Number/Title:</b> Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.
2.	<b>Type of Application:</b> (Required) Select one type of application in accordance with agency instructions. <ul style="list-style-type: none"> <li>• New – An application that is being submitted to an agency for the first time.</li> <li>• Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals.</li> <li>• Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. <ul style="list-style-type: none"> <li>A. Increase Award      B. Decrease Award</li> <li>C. Increase Duration    D. Decrease Duration</li> <li>E. Other (specify)</li> </ul> </li> </ul>	12.	<b>Funding Opportunity Number/Title:</b> (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement.
		13.	<b>Competition Identification Number/Title:</b> Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable.
		14.	<b>Areas Affected By Project:</b> List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed.
3.	<b>Date Received:</b> Leave this field blank. This date will be assigned by the Federal agency.	15.	<b>Descriptive Title of Applicant's Project:</b> (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project.
4.	<b>Applicant Identifier:</b> Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable.		
5a.	<b>Federal Entity Identifier:</b> Enter the number assigned to your organization by the Federal Agency, if any.	16.	<b>Congressional Districts Of:</b> (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5 <sup>th</sup> district, CA-012 for California 12 <sup>th</sup> district, NC-103 for North Carolina's 103 <sup>rd</sup> district. <ul style="list-style-type: none"> <li>• If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland.</li> <li>• If nationwide, i.e. all districts within all states are affected, enter US-all.</li> <li>• If the program/project is outside the US, enter 00-000.</li> </ul>
5b.	<b>Federal Award Identifier:</b> For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions.		
6.	<b>Date Received by State:</b> Leave this field blank. This date will be assigned by the State, if applicable.		
7.	<b>State Application Identifier:</b> Leave this field blank. This identifier will be assigned by the State, if applicable.		
8.	<b>Applicant Information:</b> Enter the following in accordance with agency instructions:		
	<b>a. Legal Name:</b> (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website.	17.	<b>Proposed Project Start and End Dates:</b> (Required) Enter the proposed start date and end date of the project.
	<b>b. Employer/Taxpayer Number (EIN/TIN):</b> (Required): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444.	18.	<b>Estimated Funding:</b> (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
	<b>c. Organizational DUNS:</b> (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website.		
	<b>d. Address:</b> Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US).	19.	<b>Is Application Subject to Review by State Under Executive Order 12372 Process?</b> Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the
	<b>e. Organizational Unit:</b> Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the		

	assistance activity, if applicable.		State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State																								
	<b>f. Name and contact information of person to be contacted on matters involving this application:</b> Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.	20.	<b>Is the Applicant Delinquent on any Federal Debt? (Required)</b> Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.  If yes, include an explanation on the continuation sheet.																								
8.	<b>Type of Applicant: (Required)</b> Select up to three applicant type(s) in accordance with agency instructions.	21.	<b>Authorized Representative: (Required)</b> To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)																								
	<table border="0"> <tr> <td>A. State Government</td> <td>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</td> </tr> <tr> <td>B. County Government</td> <td>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</td> </tr> <tr> <td>C. City or Township Government</td> <td>O. Private Institution of Higher Education</td> </tr> <tr> <td>D. Special District Government</td> <td>P. Individual</td> </tr> <tr> <td>E. Regional Organization</td> <td>Q. For-Profit Organization (Other than Small Business)</td> </tr> <tr> <td>F. U.S. Territory or Possession</td> <td>R. Small Business</td> </tr> <tr> <td>G. Independent School District</td> <td>S. Hispanic-serving Institution</td> </tr> <tr> <td>H. Public/State Controlled Institution of Higher Education</td> <td>T. Historically Black Colleges and Universities (HBCUs)</td> </tr> <tr> <td>I. Indian/Native American Tribal Government (Federally Recognized)</td> <td>U. Tribally Controlled Colleges and Universities (TCCUs)</td> </tr> <tr> <td>J. Indian/Native American Tribal Government (Other than Federally Recognized)</td> <td>V. Alaska Native and Native Hawaiian Serving Institutions</td> </tr> <tr> <td>K. Indian/Native American Tribally Designated Organization</td> <td>W. Non-domestic (non-US) Entity</td> </tr> <tr> <td>L. Public/Indian Housing Authority</td> <td>X. Other (specify)</td> </tr> </table>	A. State Government	M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)	B. County Government	N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)	C. City or Township Government	O. Private Institution of Higher Education	D. Special District Government	P. Individual	E. Regional Organization	Q. For-Profit Organization (Other than Small Business)	F. U.S. Territory or Possession	R. Small Business	G. Independent School District	S. Hispanic-serving Institution	H. Public/State Controlled Institution of Higher Education	T. Historically Black Colleges and Universities (HBCUs)	I. Indian/Native American Tribal Government (Federally Recognized)	U. Tribally Controlled Colleges and Universities (TCCUs)	J. Indian/Native American Tribal Government (Other than Federally Recognized)	V. Alaska Native and Native Hawaiian Serving Institutions	K. Indian/Native American Tribally Designated Organization	W. Non-domestic (non-US) Entity	L. Public/Indian Housing Authority	X. Other (specify)		
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## APPENDIX C

Form Approved OMB No:2030-0020 Approval Expires 12/3/02



### KEY CONTACTS FORM

**Authorized Representative:** *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Complete Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

**Payee:** *Individual authorized to accept payments.*

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Mail Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

**Administrative Contact:** *Individual from Sponsored Program Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc.)*

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

FAX Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

**Principal Investigator:** *Individual responsible for the technical completion of the proposed work.*

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

FAX Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

Web URL: \_\_\_\_\_

## APPENDIX D

### EJSG Workplan Template (Optional)

- A. **Project Title and Project Purpose Statement (approximately 1/2 page)**  
[\[ADD NARRATIVE HERE\]](#)
- B. **Past Performance in Reporting on Outputs and Outcomes (approximately 1/2 page)**  
[\[ADD NARRATIVE HERE\]](#)
- C. **Affected Community and Environmental and Public Health Information (approximately 2 pages)**  
[\[ADD NARRATIVE HERE\]](#)
- D. **Organization's Historical Connection to Affected Community (approximately 1 page)**  
[\[ADD NARRATIVE HERE\]](#)
- E. **Organizational Capacity and Programmatic Capability (approximately 1/2 page)**  
[\[ADD NARRATIVE HERE\]](#)
- F. **Project Description (approximately 4 to 5 pages)**  
[\[ADD NARRATIVE HERE\]](#)
- G. **Qualifications of the Principal Investigator or Project Manager (approximately 1 page)**  
[\[ADD NARRATIVE HERE\]](#)
- H. **Quality Assurance Project Plan (QAPP) Information (approximately 1-2 sentences)**  
[\[ADD NARRATIVE HERE\]](#)

# APPENDIX E

## EJSG Budget Detail Template (Optional)

	Description	Total
Personnel		
Fringe Benefits		
Travel		
Equipment		
Supplies		
Contractual		
Construction		
Other		
		\$25,000 \$50,000 (CERCLA research)

## APPENDIX F

### EJSG Detailed Budget Example

	Description	Total	Total
Personnel	<ul style="list-style-type: none"> <li>▪ Project Manager @ \$30,000 annual salary X 10% of time on project = \$3,000 per year</li> <li>▪ Outreach worker @ \$25,000 annual salary X 20% of time on project = \$5,000</li> <li>▪ Community support leader @ \$20,000 annual salary X 15% = \$3,000</li> </ul>	\$11,000	
Fringe Benefits	<ul style="list-style-type: none"> <li>▪ Project Manager 15% of salary (includes medical, dental, life insurance) = \$450 per year</li> <li>▪ Outreach worker 10% of salary (includes medical, dental, life insurance) = \$500</li> <li>▪ Community support leader 10% of salary (includes medical, dental, life insurance) = \$500</li> </ul>	\$1,250	
Travel	<ul style="list-style-type: none"> <li>▪ Local mileage for Project Manager for partner meetings: 25 miles @ \$0.30/mi. X 16 meetings = \$120.00</li> <li>▪ Local mileage for Outreach worker for community outreach efforts: 10 miles @ \$0.30/mi. X 30 times X 12 months = \$1,080</li> <li>▪ Travel to 2 regional seminars: Per diem - \$30 per day X 2 days = \$60; Airfare = \$1,360</li> </ul>	\$2,560.00	
Equipment (purchase greater than \$5,000)	<ul style="list-style-type: none"> <li>▪ None</li> </ul>	\$0	
Supplies	<ul style="list-style-type: none"> <li>▪ 20 reams of copy paper @ \$4.00 for outreach materials = \$80</li> <li>▪ Laptop computer for Outreach worker to work off-site on community \$1,500</li> <li>▪ Miscellaneous supplies for staff on this project = \$1,500</li> </ul>	\$3,080	
Contractual	<ul style="list-style-type: none"> <li>▪ Bookkeeper/Accountant \$10/hr @ 3 hrs/week @ 52 weeks = \$1,560</li> </ul>	\$1,560	
Construction	<ul style="list-style-type: none"> <li>▪ None</li> </ul>	0	
Other	<ul style="list-style-type: none"> <li>▪ Sub-grant = \$4,050</li> <li>▪ Outreach materials/Postage = \$1,500</li> </ul>	\$5,550	
		\$25,000	\$50,000

## Appendix G

### EJSG Logic Model Template for Performance Measures/Milestones

PROJECT TITLE: \_\_\_\_\_

	Resources/Inputs	Activities	Outputs	Outcomes
<b>Semi-Annual</b> (six months after beginning of project)				
<b>Annual</b> (at the end of				

## Appendix H

# Sample of Performance Measures/Milestones

## Creating Partnerships to Develop Inter-agency Air Quality Guidance

	Resources/Inputs	Activities	Outputs	Outcomes
<b>Semi-Annual</b> (six months after beginning of project)	<ul style="list-style-type: none"> <li>▪ Staff time</li> <li>▪ In-kind contributions</li> <li>▪ Additional grants</li> <li>▪ Volunteers</li> <li>▪ Partnering Organizations</li> </ul>	<ul style="list-style-type: none"> <li>▪ Identify potential partners in city, county, state government, public health office, universities to draft and implement air quality guidance</li> <li>▪ Partner meetings to discuss how to formulate an interagency air quality guidance</li> </ul>	<p><b><u>Developing a comprehensive understanding</u></b></p> <p>8 meetings on quarterly basis to formulate air quality guidance</p> <p>Draft air quality guidance</p>	<p><b><u>Creating Partnerships</u></b></p> <p>Increase # of various stakeholders participating to address issues concerning air quality</p> <p><b><u>Educating the Community</u></b></p> <p>Increase in # of stakeholders who are informed of the immediate impacts of poor air quality in target community</p> <p>Increase in # of residents who participate in the partner meetings</p>
<b>Annual</b> (at the end of the project)	<ul style="list-style-type: none"> <li>▪ Staff time</li> <li>▪ In-kind contributions</li> <li>▪ Additional grants</li> <li>▪ Volunteers</li> <li>▪ Partnering Organizations</li> </ul>	<ul style="list-style-type: none"> <li>▪ Conduct door knocking to solicit resident support and input</li> <li>▪ Generate report and brochures on air quality data</li> </ul>	<p><b><u>Educating the Community</u></b></p> <p>Create one report on the status of air quality, 4 brochures on specific neighborhood data</p> <p>Reach 250 residents in community through door knocking</p> <p>Disseminate report and brochures to 250 residents</p>	<p><b><u>Educating the Community</u></b></p> <p>Increase in # of residents aware of air quality issues in community</p> <p>Increase in # of residents actively participating in the project</p> <p>Improved air quality</p>

## APPENDIX I

### Office of Environmental Justice Quality Assurance Project Plan Requirement (QAPP)

Check Yes or No for each of the items provided below as it applies to your specific project.

1. Your project will involve the collection of groundwater, soil, sediment, surface water, air, biota or fauna samples for chemical or biological analysis.

Yes  No

2. Your project will use existing computer databases containing analytical data or personal information previously collected.

Yes  No

3. Your project will use existing historical research pertaining to this project or proposal.

Yes  No

4. Your project will implement deed searches for current property or site.

Yes  No

5. Your project will conduct medical records search for the population covered in the grant.

Yes  No

6. Your project will compile meteorological data to determine weather trends or air mixing trends.

Yes  No

7. Your project will use existing statistical studies or will conduct these studies as part of the project.

Yes  No

8. Your project will create a new database based on the information gathered.

Yes  No

9. Your project will use this information for litigation purposes.

Yes  No

10. Your project will use this information to make recommendations on environmental decisions.

Yes  No

If you answered **Yes** to any of the items listed above, you **ARE REQUIRED TO SUBMIT** a Quality Assurance Project Plan in accordance with EPA Requirements and an approved QAPP must be in place *prior* to the initiation of activities. You will be contacted with information on how to prepare your QAPP. In the meantime you can visit the website <http://www.epa.gov/ogd/grants/assurance.htm> which provides guidance on what must be submitted for grants/cooperative agreements.