

NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS

NAIC®

**Quarterly
Statement**

Blank

2026

Health

Official NAIC Quarterly Statement Blank

Health

For the 2026 reporting year

UPDATES TO THESE BLANKS

There may be modifications to this blank from year to year. As such, guidance is subject to the maintenance process. Yellow highlighting identifies changes from the previous year. Any modifications after the initial release of this blank, which are applicable to the filing year of this publication are posted on the NAIC website at https://content.naic.org/cmte_e_app_blanks.htm.

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QUARTERLY STATEMENT

AS OF _____, 2026
(Month) (Day)

Affix Bar Code Above

OF THE CONDITION AND AFFAIRS OF THE

(Name)

NAIC Group Code _____, NAIC Company Code _____ Employer's ID Number _____
(Current Period) (Prior Period)

Organized under the Laws of _____, State of Domicile or Port of Entry _____

Country of Domicile _____

Licensed as business type: Life, Accident & Health [] Property/Casualty [] Hospital, Medical & Dental Service or Indemnity []
Dental Service Corporation [] Vision Service Corporation [] Health Maintenance Organization []
Other [] Is HMO Federally Qualified? Yes [] No []

Incorporated/Organized _____ Commenced Business _____

Statutory Home Office _____, _____
(Street and Number) (City, State, Country and Zip Code)

Main Administrative Office _____
(Street and Number)

(City, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address _____, _____
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records _____
(Street and Number)

(City, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address _____

Statutory Statement Contact _____, _____
(Name) (Area Code) (Telephone Number) (Extension)

(E-Mail Address) (Fax Number)

OFFICERS

Table with 4 columns: Name, Title, Name, Title. Rows 1-4 for officers.

DIRECTORS OR TRUSTEES

Blank lines for listing directors or trustees.

State of _____
County of _____ ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Signature and Printed Name lines for three individuals.

Subscribed and sworn to before me
this _____ day of _____, _____

a. Is this an original filing? Yes [] No []
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....				
2. Stocks:				
2.1 Preferred stocks.....				
2.2 Common stocks.....				
3. Mortgage loans on real estate:				
3.1 First liens.....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$..... encumbrances).....				
4.2 Properties held for the production of income (less \$..... encumbrances)....				
4.3 Properties held for sale (less \$..... encumbrances).....				
5. Cash (\$.....), cash equivalents (\$.....) and short-term investments (\$.....).....				
6. Contract loans (including \$..... premium notes).....				
7. Derivatives.....				
8. Other invested assets.....				
9. Receivables for securities.....				
10. Securities lending reinvested collateral assets.....				
11. Aggregate write-ins for invested assets.....				
12. Subtotals, cash and invested assets (Lines 1 to 11).....				
13. Title plants less \$..... charged off (for Title insurers only).....				
14. Investment income due and accrued.....				
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$..... earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$.....) and contracts subject to redetermination (\$.....).....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....				
16.2 Funds held by or deposited with reinsured companies.....				
16.3 Other amounts receivable under reinsurance contracts.....				
17. Amounts receivable relating to uninsured plans.....				
18.1 Current federal and foreign income tax recoverable and interest thereon.....				
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit.....				
20. Electronic data processing equipment and software.....				
21. Furniture and equipment, including health care delivery assets (\$.....).....				
22. Net adjustment in assets and liabilities due to foreign exchange rates.....				
23. Receivables from parent, subsidiaries and affiliates.....				
24. Health care (\$.....) and other amounts receivable.....				
25. Aggregate write-ins for other-than-invested assets.....				
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....				
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27)				
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page.....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.				
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page.....				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)				

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$..... reinsurance ceded)
2. Accrued medical incentive pool and bonus amounts
3. Unpaid claims adjustment expenses
4. Aggregate health policy reserves, including the liability of \$..... for medical loss ratio rebate per the Public Health Service Act
5. Aggregate life policy reserves
6. Property/casualty unearned premium reserve
7. Aggregate health claim reserves
8. Premiums received in advance
9. General expenses due or accrued
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))
10.2 Net deferred tax liability
11. Ceded reinsurance premiums payable
12. Amounts withheld or retained for the account of others
13. Remittances and items not allocated
14. Borrowed money (including \$.....current) and interest thereon \$..... (including \$..... current)
15. Amounts due to parent, subsidiaries and affiliates
16. Derivatives
17. Payable for securities
18. Payable for securities lending
19. Funds held under reinsurance treaties (with \$..... authorized reinsurers, \$..... unauthorized reinsurers and \$.....certified reinsurers)
20. Reinsurance in unauthorized and certified (\$.....) companies
21. Net adjustments in assets and liabilities due to foreign exchange rates
22. Liability for amounts held under uninsured plans
23. Aggregate write-ins for other liabilities (including \$..... current)
24. Total liabilities (Lines 1 to 23)
25. Aggregate write-ins for special surplus funds	XXX	XXX
26. Common capital stock	XXX	XXX
27. Preferred capital stock	XXX	XXX
28. Gross paid in and contributed surplus	XXX	XXX
29. Surplus notes	XXX	XXX
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX
31. Unassigned funds (surplus)	XXX	XXX
32. Less treasury stock, at cost:				
32.1..... shares common (value included in Line 26 \$.....)	XXX	XXX
32.2..... shares preferred (value included in Line 27 \$.....)	XXX	XXX
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX
DETAILS OF WRITE-INS				
2301.
2302.
2303.
2398. Summary of remaining write-ins for Line 23 from overflow page
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)
2501.	XXX	XXX
2502.	XXX	XXX
2503.	XXX	XXX
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX
3001.	XXX	XXX
3002.	XXX	XXX
3003.	XXX	XXX
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX
2. Net premium income (including \$..... non-health premium income)	XXX
3. Change in unearned premium reserves and reserve for rate credits	XXX
4. Fee-for-service (net of \$..... medical expenses).....	XXX
5. Risk revenue	XXX
6. Aggregate write-ins for other health care related revenues	XXX
7. Aggregate write-ins for other non-health revenues	XXX
8. Total revenues (Lines 2 to 7)	XXX
Hospital and Medical:				
9. Hospital/medical benefits
10. Other professional services
11. Outside referrals
12. Emergency room and out-of-area
13. Prescription drugs
14. Aggregate write-ins for other hospital and medical
15. Incentive pool, withhold adjustments and bonus amounts.....
16. Subtotal (Lines 9 to 15)
Less:				
17. Net reinsurance recoveries
18. Total hospital and medical (Lines 16 minus 17).....
19. Non-health claims (net)
20. Claims adjustment expenses, including \$..... cost containment expenses
21. General administrative expenses
22. Increase in reserves for life and accident and health contracts (including \$..... increase in reserves for life only)
23. Total underwriting deductions (Lines 18 through 22)
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX
25. Net investment income earned
26. Net realized capital gains (losses) less capital gains tax of \$.....
27. Net investment gains (losses) (Lines 25 plus 26)
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....) (amount charged off \$.....)]
29. Aggregate write-ins for other income or expenses
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX
31. Federal and foreign income taxes incurred	XXX
32. Net income (loss) (Lines 30 minus 31)	XXX
DETAILS OF WRITE-INS				
0601.	XXX
0602.	XXX
0603.	XXX
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX
0699. Totals (lines 0601 through 0603 plus 0698) (Line 6 above)	XXX
0701.	XXX
0702.	XXX
0703.	XXX
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX
1401.
1402.
1403.
1498. Summary of remaining write-ins for Line 14 from overflow page
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)
2901.
2902.
2903.
2998. Summary of remaining write-ins for Line 29 from overflow page
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....
34. Net income or (loss) from Line 32.....
35. Change in valuation basis of aggregate policy and claim reserves
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....
37. Change in net unrealized foreign exchange capital gain or (loss)
38. Change in net deferred income tax.....
39. Change in nonadmitted assets
40. Change in unauthorized and certified reinsurance.....
41. Change in treasury stock.....
42. Change in surplus notes
43. Cumulative effect of changes in accounting principles.....
44. Capital Changes:			
44.1 Paid in
44.2 Transferred from surplus (stock dividend)
44.3 Transferred to surplus.....
45. Surplus adjustments:			
45.1 Paid in
45.2 Transferred to capital (stock dividend).....
45.3 Transferred from capital
46. Dividends to stockholders
47. Aggregate write-ins for gains or (losses) in surplus
48. Net change in capital and surplus (Lines 34 to 47)
49. Capital and surplus end of reporting period (Line 33 plus 48)
DETAILS OF WRITE-INS			
4701.
4702.
4703.
4798. Summary of remaining write-ins for Line 47 from overflow page
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)

CASH FLOW

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....
2. Net investment income.....
3. Miscellaneous income
4. Total (Lines 1 to 3)
5. Benefit and loss related payments.....
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts
7. Commissions, expenses paid and aggregate write-ins for deductions
8. Dividends paid to policyholders
9. Federal and foreign income taxes paid (recovered) net of \$..... tax on capital gains (losses).....
10. Total (Lines 5 through 9)
11. Net cash from operations (Line 4 minus Line 10)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....
12.2 Stocks
12.3 Mortgage loans.....
12.4 Real estate
12.5 Other invested assets
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....
12.7 Miscellaneous proceeds.....
12.8 Total investment proceeds (Lines 12.1 to 12.7)
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....
13.2 Stocks
13.3 Mortgage loans.....
13.4 Real estate
13.5 Other invested assets
13.6 Miscellaneous applications
13.7 Total investments acquired (Lines 13.1 to 13.6).....
14. Net increase/(decrease) in contract loans and premium notes.....
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....
16.2 Capital and paid in surplus, less treasury stock
16.3 Borrowed funds
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....
16.5 Dividends to stockholders.....
16.6 Other cash provided (applied)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year
19.2 End of period (Line 18 plus Line 19.1)

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001
20.0002
20.0003
20.9996

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Credit A&H	11 Disability Income	12 Long-Term Care	13 Other Health	14 Other Non-Health
		2 Individual	3 Group											
Total Members at end of:														
1. Prior year
2. First quarter
3. Second quarter
4. Third quarter
5. Current year
6. Current year member months														
Total Member Ambulatory Encounters for Period:														
7. Physician
8. Non-physician
9. Total														
10. Hospital patient days incurred														
11. Number of inpatient admissions														
12. Health premiums written (a)
13. Life premiums direct
14. Property/casualty premiums written
15. Health premiums earned
16. Property/casualty premiums earned														
17. Amount paid for provision of health care services
18. Amount incurred for provision of health care services														

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
1. Comprehensive (hospital and medical) individual
2. Comprehensive (hospital and medical) group
3. Medicare Supplement
4. Vision only
5. Dental only
6. Federal Employees Health Benefits Plan
7. Title XVIII – Medicare.....
8. Title XIX – Medicaid
9. Credit A&H.....
10. Disability income
11. Long-term care
12. Other health
13. Health subtotal (Lines 1 to 12).....
14. Health care receivables (a)
15. Other non-health.....
16. Medical incentive pools and bonus amounts
17. Totals (Lines 13-14+15+16)

(a) Excludes \$..... loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

GENERAL INTERROGATORIES

PART 1 – COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes No
- 1.2 If yes, has the report been filed with the domiciliary state? Yes No
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes No
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes No
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes No
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes No
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes No
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes No N/A
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. _____
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. _____
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). _____
- 6.4
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes No N/A
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes No N/A
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes No
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes No
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes No
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....
.....
.....
.....

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is no, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [] No []
- 9.21 If the response to 9.2 is yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
- 9.31 If the response to 9.3 is yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ _____

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ _____
- 13.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
- 13.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
13.21 Bonds	\$ _____	\$ _____
13.22 Preferred Stock	\$ _____	\$ _____
13.23 Common Stock	\$ _____	\$ _____
13.24 Short-Term Investments.....	\$ _____	\$ _____
13.25 Mortgage Loans on Real Estate	\$ _____	\$ _____
13.26 All Other	\$ _____	\$ _____
13.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 13.21 to 13.26).....	\$ _____	\$ _____
13.28 Total Investment in Parent included in Lines 13.21 to 13.26 above.....	\$ _____	\$ _____

- 14.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
- 14.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A []
 If no, attach a description with this statement.
15. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 15.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ _____
 - 15.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ _____
 - 15.3 Total payable for securities lending reported on the liability page \$ _____

GENERAL INTERROGATORIES

16. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [] No []

16.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address

16.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in **16.1** during the current quarter? Yes [] No []
16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. This includes both primary and sub-advisors. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation

16.5097 For those firms/individuals listed in the table for Question **16.5**, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s invested assets? Yes [] No [] **N/A []**

16.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question **16.5**, does the total assets under management aggregate to more than 50% of the reporting entity’s invested assets? Yes [] No [] **N/A []**

16.6 For those firms or individuals listed in the table for **16.5** with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Registered With	4 Investment Management Agreement (IMA) Filed

17.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [] No []

17.2 If no, list exceptions:

18. By self-designating SGI securities, the reporting entity is certifying the following elements for each self-designated SGI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated SGI securities? Yes [] No []

GENERAL INTERROGATORIES

19. By self-designating PLGI securities, the reporting entity is certifying its compliance with the requirements as specified in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* (P&P Manual) for private letter rating (PLR) securities and the following elements of each self-designated PLGI security:

- a. The security was either:
 - i. issued prior to January 1, 2018 (which is exempt from PLR filing requirements pursuant to the P&P Manual), or
 - ii. issued from January 1, 2018 to December 31, 2021 and subject to a confidentiality agreement executed prior to January 1, 2022 which confidentiality agreement remains in force, for which an insurance company cannot provide a copy of a private letter rating rationale report to the SVO due to confidentiality or other contractual reasons ("waived submission PLR securities").
- b. The reporting entity is holding capital commensurate with the NAIC Designation and NAIC Designation Category reported for the security.
- c. The NAIC Designation and NAIC Designation Category were derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating, dated during the financial statement year, held by the insurer and available for examination by state insurance regulators.
- d. Other than for waived submission PLR securities, defined above, on or after January 1, 2024 for any PLR securities issued on or after January 1, 2022, if the reporting entity is not permitted to share this private credit rating or the private rating letter rationale report of the PL security with the SVO, it certifies that it is reporting it as an NAIC 5.B GI and may not assign any other self-designation.

Has the reporting entity self-designated PLGI to securities, all of which meet the above requirement and as specified in the P&P Manual?

Yes [] No []

20. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [] No []

GENERAL INTERROGATORIES

PART 2 – HEALTH

- 1. Operating Percentages:
 - 1.1 A&H loss percent _____ %
 - 1.2 A&H cost containment percent _____ %
 - 1.3 A&H expense percent excluding cost containment expenses _____ %

- 2.1 Do you act as a custodian for health savings accounts? Yes [] No []
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ _____
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No []
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ _____
- 3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [] No []
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

SCHEDULE T – PREMIUMS AND OTHER CONSIDERATIONS
Current Year to Date – Allocated by States and Territories

1 State, Etc.	2 Active Status (a)	Direct Business Only								
		3 Accident & Health Premiums	4 Medicare Title XVIII	5 Medicaid Title XIX	6 CHIP Title XXI	7 Federal Employees Health Benefits Plan Premiums	8 Life & Annuity Premiums & Other Considerations	9 Property/Casualty Premiums	10 Total Columns 2 Through 8	11 Deposit-Type Contracts
1. Alabama.....AL										
2. Alaska.....AK										
3. Arizona.....AZ										
4. Arkansas.....AR										
5. California.....CA										
6. Colorado.....CO										
7. Connecticut.....CT										
8. Delaware.....DE										
9. Dist. Columbia.....DC										
10. Florida.....FL										
11. Georgia.....GA										
12. Hawaii.....HI										
13. Idaho.....ID										
14. Illinois.....IL										
15. Indiana.....IN										
16. Iowa.....IA										
17. Kansas.....KS										
18. Kentucky.....KY										
19. Louisiana.....LA										
20. Maine.....ME										
21. Maryland.....MD										
22. Massachusetts.....MA										
23. Michigan.....MI										
24. Minnesota.....MN										
25. Mississippi.....MS										
26. Missouri.....MO										
27. Montana.....MT										
28. Nebraska.....NE										
29. Nevada.....NV										
30. New Hampshire.....NH										
31. New Jersey.....NJ										
32. New Mexico.....NM										
33. New York.....NY										
34. North Carolina.....NC										
35. North Dakota.....ND										
36. Ohio.....OH										
37. Oklahoma.....OK										
38. Oregon.....OR										
39. Pennsylvania.....PA										
40. Rhode Island.....RI										
41. South Carolina.....SC										
42. South Dakota.....SD										
43. Tennessee.....TN										
44. Texas.....TX										
45. Utah.....UT										
46. Vermont.....VT										
47. Virginia.....VA										
48. Washington.....WA										
49. West Virginia.....WV										
50. Wisconsin.....WI										
51. Wyoming.....WY										
52. American Samoa.....AS										
53. Guam.....GU										
54. Puerto Rico.....PR										
55. U.S. Virgin Islands.....VI										
56. Northern Mariana Islands.....MP										
57. Canada.....CAN										
58. Aggregate other alien.....OT	XXX									
59. Subtotal.....	XXX									
60. Reporting entity contributions for employee benefit plans.....	XXX									
61. Total (direct business)	XXX									
DETAILS OF WRITE-INS	XXX									
58001.....	XXX									
58002.....	XXX									
58003.....	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX									
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX									

(a) Active Status Counts:

- 1. L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....
- 2. R – Registered - Non-domiciled RRGs.....
- 3. E – Eligible - Reporting entities eligible or approved to write surplus lines in the state ...
- 4. Q – Qualified - Qualified or accredited reinsurer.....
- 5. N – None of the above – Not allowed to write business in the state.....

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

AUGUST FILING

2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.

Explanation:

Bar Code:

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....
2.2 Additional investment made after acquisition.....
3. Current year change in encumbrances.....
4. Total gain (loss) on disposals.....
5. Deduct amounts received on disposals.....
6. Total foreign exchange change in book/adjusted carrying value.....
7. Deduct current year's other-than-temporary impairment recognized.....
8. Deduct current year's depreciation.....
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8).....
10. Deduct total nonadmitted amounts.....
11. Statement value at end of current period (Line 9 minus Line 10)

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....
2.2 Additional investment made after acquisition.....
3. Capitalized deferred interest and other.....
4. Accrual of discount.....
5. Unrealized valuation increase/(decrease).....
6. Total gain (loss) on disposals.....
7. Deduct amounts received on disposals.....
8. Deduct amortization of premium and mortgage interest points and commitment fees.....
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....
10. Deduct current year's other-than-temporary impairment recognized.....
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....
12. Total valuation allowance.....
13. Subtotal (Line 11 plus Line 12).....
14. Deduct total nonadmitted amounts.....
15. Statement value at end of current period (Line 13 minus Line 14)

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....
2.2 Additional investment made after acquisition.....
3. Capitalized deferred interest and other.....
4. Accrual of discount.....
5. Unrealized valuation increase/(decrease).....
6. Total gain (loss) on disposals.....
7. Deduct amounts received on disposals.....
8. Deduct amortization of premium, depreciation and proportional amortization.....
9. Total foreign exchange change in book/adjusted carrying value.....
10. Deduct current year's other-than-temporary impairment recognized.....
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....
12. Deduct total nonadmitted amounts.....
13. Statement value at end of current period (Line 11 minus Line 12)

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....
2. Cost of bonds and stocks acquired.....
3. Accrual of discount.....
4. Unrealized valuation increase/(decrease).....
5. Total gain (loss) on disposals.....
6. Deduct consideration for bonds and stocks disposed of.....
7. Deduct amortization of premium.....
8. Total foreign exchange change in book/adjusted carrying value.....
9. Deduct current year's other-than-temporary impairment recognized.....
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....
12. Deduct total nonadmitted amounts.....
13. Statement value at end of current period (Line 11 minus Line 12)

SCHEDULE D – PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
ISSUER CREDIT OBLIGATIONS (ICO)								
1. NAIC 1 (a)
2. NAIC 2 (a)
3. NAIC 3 (a)
4. NAIC 4 (a)
5. NAIC 5 (a)
6. NAIC 6 (a)
7. Total ICO								
ASSET-BACKED SECURITIES (ABS)								
8. NAIC 1
9. NAIC 2
10. NAIC 3
11. NAIC 4
12. NAIC 5
13. NAIC 6
14. Total ABS								
PREFERRED STOCK								
15. NAIC 1
16. NAIC 2
17. NAIC 3
18. NAIC 4
19. NAIC 5
20. NAIC 6
21. Total Preferred Stock.....								
22. Total ICO, ABS & Preferred Stock								

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....; NAIC 2 \$.....; NAIC 3 \$.....; NAIC 4 \$.....; NAIC 5 \$.....; NAIC 6 \$.....

SCHEDULE DA – PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
7709999999 Totals		XXX			

SCHEDULE DA – VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year
2. Cost of short-term investments acquired
3. Accrual of discount
4. Unrealized valuation increase/(decrease).....
5. Total gain (loss) on disposals.....
6. Deduct consideration received on disposals.....
7. Deduct amortization of premium
8. Total foreign exchange change in book/adjusted carrying value
9. Deduct current year's other-than-temporary impairment recognized
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)
11. Deduct total nonadmitted amounts
12. Statement value at end of current period (Line 10 minus Line 11)		

SCHEDULE DB – PART A – VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/adjusted carrying value, December 31, prior year (Line 10, prior year)	_____
2.	Cost paid/(consideration received) on additions	_____
3.	Unrealized valuation increase/(decrease)	_____
4.	SSAP No. 108 adjustments	_____
5.	Total gain (loss) on termination recognized	_____
6.	Considerations received/(paid) on terminations	_____
7.	Amortization	_____
8.	Adjustment to the book/adjusted carrying value of hedged item	_____
9.	Total foreign exchange change in book/adjusted carrying value	_____
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6+7+8+9)	_____
11.	Deduct nonadmitted assets	_____
12.	Statement value at end of current period (Line 10 minus Line 11)	_____

SCHEDULE DB – PART B – VERIFICATION

Futures Contracts

1.	Book/adjusted carrying value, December 31 of prior year (Line 6, prior year)	_____
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote – Cumulative Cash Change column)	_____
3.1	Add:	
	Change in variation margin on open contracts – highly effective hedges	
3.11	Section 1, Column 15, current year to date minus	_____
3.12	Section 1, Column 15, prior year	_____
	Change in variation margin on open contracts – all other	
3.13	Section 1, Column 18, current year to date minus	_____
3.14	Section 1, Column 18, prior year	_____
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	_____
3.22	Section 1, Column 17, prior year	_____
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	_____
3.24	Section 1, Column 19, prior year plus	_____
3.25	SSAP No. 108 adjustments	_____
3.3	Subtotal (Line 3.1 minus Line 3.2)	_____
4.1	Cumulative variation margin on terminated contracts during the year	_____
4.2	Less:	
4.21	Amount used to adjust basis of hedged item	_____
4.22	Amount recognized	_____
4.23	SSAP No. 108 adjustments	_____
4.3	Subtotal (Line 4.1 minus Line 4.2)	_____
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year	_____
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	_____
6.	Book/adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	_____
7.	Deduct total nonadmitted amounts	_____
8.	Statement value at end of current period (Line 6 minus Line 7)	_____

SCHEDULE DB – PART C – SECTION 1
Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions									
1 Number	2 Description	3 NAIC Designation or Other Description	4 Notional Amount	5 Book/Adjusted Carrying Value	6 Fair Value	7 Effective Date	8 Maturity Date	Derivative Instrument(s) Open			Cash Instrument(s) Held						
								9 Description	10 Book/Adjusted Carrying Value	11 Fair Value	12 CUSIP	13 Description	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value		
.....
.....
.....
.....
.....
.....
.....
.....
9999999999 Totals						XXX	XXX	XXX				XXX	XXX	XXX			

SCHEDULE DB – PART C – SECTION 2
Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning inventory
2. Add: Opened or acquired transactions
3. Add: Increases in replication (synthetic asset) transactions statement value.....	xxx	xxx	xxx	xxx	xxx
4. Less: Closed or disposed of transactions
5. Less: Positions disposed of for failing effectiveness criteria.....
6. Less: Decreases in replication (synthetic asset) transactions statement value	xxx	xxx	xxx	xxx	xxx
7. Ending inventory										

SCHEDULE DB – VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1.	Part A, Section 1, Column 14	_____
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote – Total Ending Cash Balance	_____
3.	Total (Line 1 plus Line 2)	_____
4.	Part D, Section 1, Column 6	_____
5.	Part D, Section 1, Column 7	_____
6.	Total (Line 3 minus Line 4 minus Line 5)	_____

Fair Value Check

7.	Part A, Section 1, Column 16	_____
8.	Part B, Section 1, Column 13	_____
9.	Total (Line 7 plus Line 8)	_____
10.	Part D, Section 1, Column 9	_____
11.	Part D, Section 1, Column 10	_____
12.	Total (Line 9 minus Line 10 minus Line 11)	_____

Potential Exposure Check

13.	Part A, Section 1, Column 21	_____
14.	Part B, Section 1, Column 20	_____
15.	Part D, Section 1, Column 12	_____
16.	Total (Line 13 plus Line 14 minus Line 15)	_____

SCHEDULE E – PART 2 – VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year
2. Cost of cash equivalents acquired.....
3. Accrual of discount
4. Unrealized valuation increase/(decrease).....
5. Total gain (loss) on disposals
6. Deduct consideration received on disposals.....
7. Deduct amortization of premium
8. Total foreign exchange change in book/adjusted carrying value
9. Deduct current year's other-than-temporary impairment recognized
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7 +8-9)
11. Deduct total nonadmitted amounts
12. Statement value at end of current period (Line 10 minus Line 11)

SCHEDULE DB – PART A – SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23							
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-End (b)							
168999999	Subtotal - hedging effective - excluding variable annuity guarantees under SSAP No. 108													XXX									XXX	XXX					
169999999	Subtotal - hedging effective - variable annuity guarantees under SSAP No. 108													XXX										XXX	XXX				
170999999	Subtotal - hedging other													XXX										XXX	XXX				
171999999	Subtotal - replication													XXX											XXX	XXX			
172999999	Subtotal - income generation													XXX												XXX	XXX		
173999999	Subtotal - other													XXX													XXX	XXX	
174999999	Subtotal - adjustments for SSAP No. 108 derivatives													XXX														XXX	XXX
175999999	Totals													XXX														XXX	XXX

(a)

Code	Description of Hedged Risk(s)
.....
.....
.....

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
.....
.....
.....
.....

SCHEDULE DB – PART D – SECTION 1
 Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1	2	3	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12	13
			4	5	6	7	8	9	10	11		
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	Fair Value of Acceptable Collateral	Present Value of Financing Premium	Contracts With Book/ Adjusted Carrying Value >0	Contracts With Book/ Adjusted Carrying Value <0	Exposure Net of Collateral	Contracts With Fair Value >0	Contracts With Fair Value <0	Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
.....
.....
.....
.....
.....
0999999999 Gross totals												
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64												

SCHEDULE DB – PART D – SECTION 2
 Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
.....
.....
.....
.....
0199999999 Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
.....	XXX
.....	XXX
.....	XXX
.....	XXX
.....	XXX
0299999999 Total						XXX	XXX	XXX

MEDICARE PART D COVERAGE SUPPLEMENT
(Net of Reinsurance)

NAIC Group Code

NAIC Company Code

	Individual Coverage		Group Coverage		5 Total Cash
	1 Insured	2 Uninsured	3 Insured	4 Uninsured	
1. Premiums collected.....	xxx	xxx
2. Earned premiums	xxx	xxx	xxx
3. Claims paid	xxx	xxx
4. Claims incurred	xxx	xxx	xxx
5. Reinsurance coverage and low income cost sharing – claims paid net of reimbursements applied (a)	xxx		xxx
6. Aggregate policy reserves – change	xxx	xxx	xxx
7. Expenses paid	xxx	xxx
8. Expenses incurred	xxx	xxx	xxx
9. Underwriting gain or loss	xxx	xxx	xxx
10. Cash flow result	xxx	xxx	xxx	xxx	

(a) Uninsured receivable/payable with CMS at end of quarter: \$..... due from CMS or \$..... due to CMS

The National Association of Insurance Commissioners (NAIC) is the U.S. standard-setting and regulatory support organization created and governed by the chief insurance regulators from the 50 states, the District of Columbia, and five U.S. territories. Through the NAIC, state insurance regulators establish standards and best practices, conduct peer reviews, and coordinate regulatory oversight. NAIC staff support these efforts and represent the collective views of state insurance regulators, domestically and internationally. NAIC Members, together with the central resources of the NAIC, form the national system of state-based insurance regulation in the U.S.

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