

## Quarterly Valuation Report Instructions

1. Column #1 should show carry-forward figures from December 31 of the previous year (Column 5).  
[ex: 12/31/09 should also show in the 1<sup>st</sup> column of the report for 2010]
  2. Column #2 should show totals of Column #1 plus increase for 1<sup>st</sup> quarter
  3. Column #3 should show totals of Column #2 plus increase for 2<sup>nd</sup> quarter
  4. Column #4 should show totals of Column #3 plus increase for 3<sup>rd</sup> quarter
  5. Column #5 should show actuarially established totals for the year you are submitting Annual Statement.
  6. The year end report (Column 5) is comprised of reserves from the Annual Statement
    - a) Life Policies: Exhibit 5 - Column 2 – Line 0199999
    - b) Annuity Contract: Exhibit 5 - Column 2 – Line 0299999
    - c) Accidental Death: Exhibit 5 - Column 2 – Line 0499999
    - d) Disability (active): Exhibit 5 - Column 2 – Line 0599999
    - e) Disability (disabled): Exhibit 5 - Column 2 – Line 0699999
    - f) Miscellaneous Reserves: Exhibit 5 - Column 2 – Line 0799999
- Note:** All columns must be completed for each quarter. [ex: when submitting 3<sup>rd</sup> quarter report, the 1<sup>st</sup> & 2<sup>nd</sup> quarter columns must be completed]
7. Authorized Credits section of quarterly valuation report – Schedule E-Part 3-Special Deposits, Column 3, Line 59.
  8. Total deposit with Indiana Department of Insurance – All securities are to be reported at amortized value, and must reflect the same value as the Total Balance line of the last Report of Securities submitted to the Department at the end of the reporting quarter.  
(ex: if no Report of Securities was submitted since year-end, and the 2<sup>nd</sup> quarterly valuation report is being submitted, the line for the ‘total deposit with the department’ should be the same as the ‘total balance’ reported at year-end)
  9. Total amount of mortgage loans – Total amount of mortgage loans held in assets.
  10. Total other invested assets held – All other assets owned at the end of quarter, which are not on deposit with the Department and excluding mortgage loans reported above.
  11. Reports for the 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> quarters should be submitted no later than the last day of the month following the quarter. **Do not include with Quarterly Statements.**
  12. Report for year end should be filed on or before date you file your Annual Statement but no later than March 1, of the following year, unless an extension is granted. **Do not include with Annual Statements.**

Send report to: Kathleen J. Mathis, Administrative Assistant  
Financial Services Operations  
Indiana Department of Insurance  
311 W. Washington Street, Suite 300  
Indianapolis, IN 46204-2787  
Phone - 317-232-1991

QUARTERLY VALUATION REPORT - INSURANCE DEPARTMENT - STATE OF INDIANA

COMPANY NAME - \_\_\_\_\_ NAIC# \_\_\_\_\_

	Column #1	Column #2	Column #3	Column #4	Column #5
<b>RESERVE ITEM</b>	12/31/	03/31/	06/30	09/30/	12/31/
LIFE POLICIES					
ANNUITIES					
ACCIDENTAL DEATH					
DISABILITY (ACTIVE)					
DISABILITY (DISABLED)					
MISC. RESERVES					
<b>A. TOTAL RESERVES</b>					
<b>AUTHORIZED CREDITS</b>					
POLICY LOANS					
NET DUE AND DEFERRED PREMIUMS					
REQUIRED DEPOSITS WITH OTHER STATES					
<b>B. AUTHORIZED CREDITS</b>					
<b>C. NET REQUIRED RESERVE COVERAGE</b> (Item A Minus Item B)					
1. TOTAL DEPOSIT WITH INDIANA DEPARTMENT					
2. TOTAL AMOUNT OF MORTGAGE LOANS					
3. TOTAL OTHER INVESTED ASSETS HELD					

**PREPARED BY:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

**CERTIFIED BY:** \_\_\_\_\_

**TITLE** \_\_\_\_\_