



**INDIANA ENVIRONMENTAL STEWARDSHIP PROGRAM  
ANNUAL PERFORMANCE REPORT**

State Form 53475 (R3 / 1-11)  
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT  
ENVIRONMENTAL STEWARDSHIP PROGRAM

Indiana Department of Environmental Management  
Office of Pollution Prevention and Technical Assistance  
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Please use this form if you are a member of the Indiana Environmental Stewardship Program (ESP) to report on progress toward objectives and targets AND certify ESP requirements continue to be achieved. Indiana ESP facilities must submit an Annual Performance Report (APR) by April 1<sup>st</sup> of every year, for each calendar year in which the entity has been a member for at least three (3) full months. Section C of your APR should be signed by your ISO 14001:2004 EMS Lead Auditor. Your APR should be reviewed and signed by a senior manager at your facility prior to submittal. Once signed, e-mail the APR to IDEM at [esp@idem.IN.gov](mailto:esp@idem.IN.gov). Please do not include any confidential business information in your annual performance report. Public access laws require IDEM to make the APR publicly available, which may include posting all portions of your report on the Indiana ESP Web site. If you have any questions, please contact IDEM at [esp@idem.IN.gov](mailto:esp@idem.IN.gov) or (800) 988-7901.

**SECTION A FACILITY INFORMATION**

Name of facility  
DSM Coating Resins Inc.

Name of parent company (if applicable)  
Royal DSM

Street address (number and street)  
3110 W. St. Rd. 28

City / State / ZIP code  
Frankfort, Indiana, 46041

Web site of Facility/Company  
DSM.com

**CONTACT INFORMATION**

Name of Contact (Mr. / Mrs. / Ms. / Dr.)  
Kevin Goodnight

Title  
US SH&E Manager

Telephone number  
765-654-2662

FAX number  
765-659-4721

E-mail address  
[kevin.goodnight@dsm.com](mailto:kevin.goodnight@dsm.com)

Mailing address (if different from facility address)

City / State / ZIP Code

**REPORTING PERIOD**

Reporting period dates (month, day, year)  
Jan. 1, 2014- Jan. 1, 2015

1a. Is this the third Annual Performance Report of your membership term?  
 Yes—If yes, answer question 1b.  
 No—If no, skip to the "Change in Information" section of this report.

1b. Do you wish to renew your Indiana Environmental Stewardship Program membership?  
 Yes—If yes, please complete all sections of this annual report.  
 No—If no, please complete all sections of this annual report except for Section F.

**CHANGE IN INFORMATION**

In your ESP application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any changes or additions to your facility's list of products or activities?  
 Yes—If yes, please describe them:  
 No

**SECTION B PUBLIC OUTREACH AND PERFORMANCE REPORTING**

Why do we need this information?  
IDEM needs to know how environmental information was shared with the public.

What do you need to do?  
Describe how the facility has shared and plans to share environmental information.

Please briefly describe the activities that your facility conducted during this reporting period to interact with the community on environmental issues and to report publicly on its environmental performance.

Please indicate which of the following methods your facility plans to use to make its ESP Annual Performance Report available to the public. Please check as many as appropriate.  
 Web site (<http://www.> )  Open house  Meetings  Press releases  Other

8. When did your facility last conduct an internal or corporate environmental compliance audit? Do not include inspections or site visits by regulatory organizations.  
 Scope of the compliance audit: SH&E Process Review  
 Month(s) / Year(s): August, 2014  
 Who conducted the audit(s) (e.g., facility staff, corporate, third party)? Corporate US Regional SHE Manager

9. Explain the emergencies experienced within the facility during the past year. Were the applicable emergency and contingency plans detailed in the EMS effective? What changes, if any, have been made to your facility's emergency or contingency plans?  
No Emergencies documented in the past year

10. Has your facility corrected all instances of potential environmental non-compliance and EMS non-conformance identified during your audits and other assessments?  
 Yes—If yes, briefly summarize corrective actions taken and other improvements made as a result of your EMS assessment(s) or compliance audit(s).  
 No—If no, please explain your plans to correct these instances.  No such instances identified.

11. (Optional) Please provide a narrative summary of progress made toward EMS objectives and targets other than those reported as an Environmental Performance Initiative in Section E. You may limit the summary to environmental aspects that are significant and towards which progress has been made during the last calendar year. Attach additional sheets as necessary.

Environmental aspect	Progress made this year (e.g., quantitative or qualitative improvements, activities conducted)
Wastewater Process Upgrade: Landfill reduction	The site invested over \$500K in a state of the art wastewater processing unit.
(See attached sheet)	

**SECTION D ADDITIONAL INFORMATION**

**Why do we need this information?** This information will help IDEM to effectively manage the Environmental Stewardship Program.

**What do you need to do?** Answer the questions as completely as possible.

- In addition to ESP, please list environmental awards received or voluntary programs participated in during the past twelve months.  
None Currently
- Has your facility taken advantage of any ESP incentives? If so, please describe the implementation process and list additional benefits IDEM should consider.
- If your facility was not registered to the ISO 14001 standard prior to becoming an ESP member, has ESP helped you to pursue registration? If so, how has ESP been instrumental in achieving registration?  
ISO14001 and RC14001 registered for over 15 years

**SECTION E ENVIRONMENTAL IMPROVEMENT INITIATIVE RESULTS**

**Why do we need this information?** Facilities need to share the results of the environmental improvement initiative that was pursued during the reporting period.

**What do you need to do?** Summarize your facility's progress on achieving the initiative you identified in the application or last year's APR.

Category: <u>Wastewater Processing</u> Indicator: <u>Process Tracking</u>	Baseline Quantity	Future Goal Quantity	Current Quantity	Cost Savings
Calendar year	Start of 2014 new to ESP	End of 2015	End of 2014	None (disposal cost is same, but landfilling not required)
Actual quantity (per year)	1,300,000 wastewater	2,000,000 wastewater	1,700,000 wastewater	
Normalized quantity (per year)	18,000,000 resins	23,000,000 resins	20,000,000 resins	
Basis for your normalizing factor (e.g., gallons of paint produced)	Gallons of resins/latex produced and wastewater (actual quantity) waste byproduct			
Measurement unit (e.g., pounds)	Gallons of resins produced and gallons of wastewater processed			
Briefly describe how you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress. <u>Improved stripping (seperation) of the wastewater allowed for beneficial reuse instead of landfilling of the material.</u>				
Please list any state, U.S. EPA, or other partnership programs to which you are reporting this data (e.g., Energy Star, Project XL). <u>None currently</u>				
(Optional) If your facility has experienced continued results for environmental improvement initiatives pursued in past years of ESP membership, please share those results here. <u>The site went to Zero Landfill using examples from other PPP and ESP members, including the beneficial reuse of wastewater byproduct stated above.</u>				

CERTIFICATION AND PLEDGE

On behalf of (name of facility) DSM Coating Resins Inc., Frankfort, Indiana Facility

I certify that the information contained in this Annual Performance Report and attachments is accurate to the best of my knowledge and that this facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with all applicable federal, state, and local environmental requirements, or has a corrective action program in place to attain compliance.

We, at DSM Coating Resins, Frankfort, Indiana, commit to maintaining the principles and goals outlined in our Environmental Management System for our facility's Indiana Environmental Stewardship Program status. We agree to strive for full compliance with all regulations promulgated by the U.S. EPA, state, or local jurisdictions. We agree to promote the Indiana Environmental Stewardship Program and to share our success stories with other facilities. We understand that the Annual Performance Report must be submitted to IDEM by April 1<sup>st</sup> of each year and that we must reapply to the Indiana Environmental Stewardship Program every three years.

I understand that the information provided in this Annual Performance Report will be public record. I am the senior facility manager or authorized facility signatory, and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is submitting this Annual Performance Report.

Signature		Title	<u>US SH+E MANAGER</u>	Date (month, day, year)	<u>05/20/2015</u>
Printed signature	<u>KEVIN GOODNIGHT</u>				