



**INDIANA ENVIRONMENTAL STEWARDSHIP PROGRAM
ANNUAL PERFORMANCE REPORT**

State Form 53476 (R3 / 1-11)
INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT
ENVIRONMENTAL STEWARDSHIP PROGRAM

Indiana Department of Environmental Management
Office of Pollution Prevention and Technical Assistance
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Please use this form if you are a member of the Indiana Environmental Stewardship Program (ESP) to report on progress toward objectives and targets AND certify ESP requirements continue to be achieved. Indiana ESP facilities must submit an Annual Performance Report (APR) by April 1st of every year, for each calendar year in which the entity has been a member for at least three (3) full months. Section C of your APR should be signed by your ISO 14001:2004 EMS Lead Auditor. Your APR should be reviewed and signed by a senior manager at your facility prior to submittal. Once signed, e-mail the APR to IDEM at esp@idem.IN.gov. Please do not include any confidential business information in your annual performance report. Public access laws require IDEM to make the APR publicly available, which may include posting all portions of your report on the Indiana ESP Web site. If you have any questions, please contact IDEM at esp@idem.IN.gov or (800) 988-7901.

SECTION A FACILITY INFORMATION	
Name of facility	Donaldson Company North American Distribution Center
Name of parent company (if applicable)	Donaldson Company, Inc.
Street address (number and street)	1401 South Melville Street
City / State / ZIP code	Rensselaer, IN 47978
Web site of Facility/Company	www.donaldson.com
CONTACT INFORMATION	
Name of Contact (Mr. / Mrs. / Ms. / Dr.)	Bob Konkel
Title	Manager, Customer Service
Telephone number	219-866-5020 x115
FAX number	219-866-7110
E-mail address	bob.konkel@donaldson.com
Mailing address (if different from facility address)	
City / State / ZIP Code	
REPORTING PERIOD	
Reporting period dates (month, day, year)	
7a. Is this the third Annual Performance Report of your membership term? <input type="checkbox"/> Yes—If yes, answer question 7b. <input checked="" type="checkbox"/> No—If no, skip to the "Change In Information" section of this report.	
7b. Do you wish to renew your Indiana Environmental Stewardship Program membership? <input checked="" type="checkbox"/> Yes—If yes, please complete all sections of this annual report. <input type="checkbox"/> No—If no, please complete all sections of this annual report except for Section F.	
CHANGE IN INFORMATION	
In your ESP application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any changes or additions to your facility's list of products or activities? <input type="checkbox"/> Yes—If yes, please describe them: <input checked="" type="checkbox"/> No	
SECTION B PUBLIC OUTREACH AND PERFORMANCE REPORTING	
Why do we need this information? IDEM needs to know how environmental information was shared with the public.	What do you need to do? Describe how the facility has shared and plans to share environmental information.
Please briefly describe the activities that your facility conducted during this reporting period to interact with the community on environmental issues and to report publicly on its environmental performance. Reported on our environmental page as well as a local press release.	
Please indicate which of the following methods your facility plans to use to make its ESP Annual Performance Report available to the public. Please check as many as appropriate. <input checked="" type="checkbox"/> Web site (http://www.donaldson.com) <input type="checkbox"/> Open house <input type="checkbox"/> Meetings <input checked="" type="checkbox"/> Press releases <input checked="" type="checkbox"/> Other Flag In Lobby	

SECTION C

ENVIRONMENTAL MANAGEMENT SYSTEM ASSESSMENT

Why do we need this information?

Facilities need to have implemented an EMS that meets certain criteria and use an ISO 14001:2004 EMS Lead Auditor at least every 36 months to assess the EMS.

What do you need to do?

Answer the following questions about your EMS.

1. What is the most recent date that an ISO 14001:2004 EMS Lead Auditor performed an EMS assessment at your facility?	May 2014
<p>2. Is the date of the most recent EMS assessment performed by an ISO 14001:2004 EMS Lead Auditor within the past 36 months?</p> <p><input checked="" type="checkbox"/> Yes—If yes, skip to Question 3.</p> <p><input type="checkbox"/> No—If no, please have your ISO 14001:2004 EMS Lead Auditor complete and sign the following checklist, indicating whether or not your EMS meets the listed criteria for ESP membership:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Evidence of senior management support, commitment, and approval.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No A written environmental policy directed toward compliance, pollution prevention, and continuous improvement.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Identification of the environmental aspects at the entity.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Prioritization of the environmental aspects and a determination of those aspects deemed significant considering, at the minimum, environmental impacts and applicable laws and regulations.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Established priorities, and environmental objectives and targets for continuous improvement in environmental performance and for ensuring compliance with applicable environmental laws, regulations, and permit conditions. Objectives and targets must go beyond current legal requirements and specify the environmental media, types of pollution to be prevented or reduced, implementation activities, and projected time frames.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No An established community outreach mechanism that includes identifying and responding to community concerns; informing the community of important matters that affect the community; and reporting on the EMS, including reporting to the public on the environmental policy and significant aspects.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Incorporation of environmental and pollution prevention planning in the development of new products, processes, and services and modifications of existing processes.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Evidence of clear responsibility for implementation, training, monitoring, EMS maintenance, taking corrective action, and ensuring compliance with applicable environmental laws, regulations, and permit conditions.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Documentation of the implementation procedures and the results of implementation.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No Appropriate written EMS procedures.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No An annual evaluation of the EMS with written results provided to senior management and affected employees.</p> <p>Signature of ISO 14001:2004 EMS Lead Auditor _____ Date (month, day, year) _____</p>	
<p>3. Were any deficiencies found during the most recent EMS assessment?</p> <p><input type="checkbox"/> No—If no, skip to Question 4.</p> <p><input checked="" type="checkbox"/> Yes—If yes, describe any deficiencies found and the corrective action taken to address each deficiency: See Attached sheet</p>	
4. Name, title, and organization of ISO 14001:2004 EMS Lead Auditor that conducted the most recent EMS assessment:	John Cooke BSI Management
<p>6. What type of protocol was used to perform the independent EMS assessment?</p> <p><input checked="" type="checkbox"/> ISO 14001:2004 Certified audit</p> <p><input type="checkbox"/> Responsible Care EMS audit</p> <p><input type="checkbox"/> Responsible Care 14001 audit</p> <p><input type="checkbox"/> ESP Independent Assessment Protocol</p> <p><input type="checkbox"/> Other (please specify):</p>	
<p>6. Is the EMS certified to a recognized standard?</p> <p><input checked="" type="checkbox"/> Yes—If yes, what standard does the EMS follow (please provide a copy of the most recent certificate)?</p> <p><input checked="" type="checkbox"/> ISO 14001:2004</p> <p><input type="checkbox"/> Responsible Care EMS</p> <p><input type="checkbox"/> Responsible Care 14001</p> <p><input type="checkbox"/> No.</p>	
<p>7. When was the last Senior Management review of your EMS completed?</p> <p>Month / Year: <u>December 2014</u></p> <p>Who headed the review (name and title)? <u>Bob Konkel Manager, Customer Service and Environmental Coordinator</u></p>	

Section C Question 3

EMS review from ISO 14001 Audit

4.3.1 - There was no evidence that the aspects were reviewed prior to work commencing on the new racking system

Corrective action:

- A. Short term: All Projects/CERs will have environmental review and sign off from the environmental manager before work will be allowed to begin. The EMS has been updated.**
- B. Long term: Corporate Environmental is reworking the CER process to better incorporate the environmental aspects and impacts. This new plan will be rolled out before the beginning of the next fiscal year in Late July 2014.**

4.4.6 C - Aerosol cans in a plastic container were not properly identified.

- A. Short term: Color labels with the words "Hazardous Waste" have been created and are located in the Haz Mat cage. All Drums have been relabeled**
- B. Long term: Weekly inspection sheet will have the labeling of all drums as part of the inspection.**

8. When did your facility last conduct an internal or corporate environmental compliance audit? Do not include inspections or site visits by regulatory organizations.
 Scope of the compliance audit: Corporate environmental compliance audit
 Month(s) / Year(s): Jan/ 2012
 Who conducted the audit(s) (e.g., facility staff, corporate, third party)? Don Bezak - Manager - Environmental, Health and Safety - Donaldson

9. Explain the emergencies experienced within the facility during the past year. Were the applicable emergency and contingency plans detailed in the EMS effective? What changes, if any, have been made to your facility's emergency or contingency plans?
N/A

10. Has your facility corrected all instances of potential environmental non-compliance and EMS non-conformance identified during your audits and other assessments?
 Yes—If yes, briefly summarize corrective actions taken and other improvements made as a result of your EMS assessment(s) or compliance audit(s).
Reviewed the procedure for new construction and EMS as well as making sure all material was labeled properly
 No—If no, please explain your plans to correct these instances.
 No such instances identified.

11. (Optional) Please provide a narrative summary of progress made toward EMS objectives and targets other than those reported as an Environmental Performance Initiative in Section E. You may limit the summary to environmental aspects that are significant and towards which progress has been made during the last calendar year. Attach additional sheets as necessary.

Environmental aspect	Progress made this year (e.g., quantitative or qualitative improvements, activities conducted)

SECTION D ADDITIONAL INFORMATION

Why do we need this information? This information will help IDEM to effectively manage the Environmental Stewardship Program. What do you need to do? Answer the questions as completely as possible.

1. In addition to ESP, please list environmental awards received or voluntary programs participated in during the past twelve months.
N/A

2. Has your facility taken advantage of any ESP incentives? If so, please describe the implementation process and list additional benefits IDEM should consider.
We had picked advance notice and low routine inspection - so far this has been adequate.

3. If your facility was not registered to the ISO 14001 standard prior to becoming an ESP member, has ESP helped you to pursue registration? If so, how has ESP been instrumental in achieving registration?
We were already ISO 14001 certified

SECTION E ENVIRONMENTAL IMPROVEMENT INITIATIVE RESULTS

Why do we need this information? Facilities need to share the results of the environmental improvement initiative that was pursued during the reporting period. Summarize your facility's progress on achieving the initiative you identified in the application or last year's APR. What do you need to do?

Category: <u>Recycle Waste</u>	Baseline Quantity	Future Goal Quantity	Current Quantity	Cost Savings
Indicator: _____	2014	2015		
Calendar year	2014	2015		
Actual quantity (per year)	1,000,000 LBS	1,200,000LBS		Each saved dumpster load = \$500. 150 loads saved per year = \$75,000
Normalized quantity (per year)	<u>232,701</u>	<u>255,297</u>		
Basis for your normalizing factor (e.g., gallons of paint produced)	<u>NF = 1.0971</u>			
Measurement unit (e.g., pounds)	Lbs.			

Briefly describe how you achieved improvements for this environmental initiative or, if relevant, any circumstances that delayed progress.
We continue to educate our associates on recycling with daily/monthly meetings reviewing goals and objectives.

Please list any state, U.S. EPA, or other partnership programs to which you are reporting this data (e.g., Energy Star, Project XL).

(Optional) If your facility has experienced continued results for environmental improvement initiatives pursued in past years of ESP membership, please share those results here.

SECTION F

ENVIRONMENTAL IMPROVEMENT INITIATIVE

Why do we need this information?

Facilities need to show they are committed to improving their environmental performance.

What do you need to do?

Refer to the Environmental Performance Table and answer the following questions.

1. Select the appropriate boxes in the following table to indicate the category and indicator(s) that represents the environmental improvement initiative selected by your facility. For the category and indicator selected, list the baseline year (e.g., 2009) and the future year (e.g., 2010). Next, list the baseline annual quantity (e.g., 5 tons) and future annual quantity (e.g., 2 tons) you are committing to achieve by the end of the future year.

Category	Indicator	Baseline Year 20_13	Future Year 20_14	Unit
<input type="checkbox"/> Material Procurement	<input type="checkbox"/> Recycled content			Pounds, tons
	<input type="checkbox"/> Hazardous/toxic components			Pounds, tons
<input type="checkbox"/> Suppliers' Environmental Performance	<input type="checkbox"/> Specify Indicator: _____			As specified for the particular indicator
	<input type="checkbox"/> Materials used			Pounds, tons
<input type="checkbox"/> Material Use	<input type="checkbox"/> Hazardous materials used			Pounds, tons
	<input type="checkbox"/> Ozone depleting substances used			CFC-11 equivalent pounds
	<input type="checkbox"/> Total packaging materials used			Pounds, tons
<input type="checkbox"/> Water Use	<input type="checkbox"/> Total water used			Gallons
<input type="checkbox"/> Energy Use	<input checked="" type="checkbox"/> Electricity	2,222,250 kWh	2,333,280 kWh	kWh / MWh, Btu / MMBtu
	<input type="checkbox"/> Steam			kWh / MWh, gallons, ft ³
	<input type="checkbox"/> Natural gas			Btu / MMBtu
	<input type="checkbox"/> Diesel			Gallons
	<input type="checkbox"/> Propane / LPG			Btu / MMBtu, gallons
	<input type="checkbox"/> Gasoline			Gallons
	<input type="checkbox"/> Solar			kWh / MWh
	<input type="checkbox"/> Wind			kWh / MWh
	<input type="checkbox"/> Landfill gas			Btu / MMBtu
	<input type="checkbox"/> Combined heat and power			kWh / MWh, Btu / MMBtu
<input type="checkbox"/> Land and Habitat	<input type="checkbox"/> Other: _____			
	<input type="checkbox"/> Land and habitat conservation			Square feet, acres
<input type="checkbox"/> Air Emissions	<input type="checkbox"/> Community land revitalization			Square feet, acres
	<input type="checkbox"/> Total GHGs			MTCO ₂ E
	<input type="checkbox"/> VOCs			Pounds, tons
	<input type="checkbox"/> NOx, SOx, PM _{2.5} , PM ₁₀ , or CO			Pounds, tons
	<input type="checkbox"/> Air toxics			Pounds, tons
	<input type="checkbox"/> Odor			European Odour Units
	<input type="checkbox"/> Radiation			Curies, Becquerels
<input type="checkbox"/> Discharges to Water	<input type="checkbox"/> Dust			Pounds, tons
	<input type="checkbox"/> COD or BOD			Pounds, tons
	<input type="checkbox"/> Toxics			Pounds, tons
	<input type="checkbox"/> Total suspended solids			Pounds, tons
	<input type="checkbox"/> Nutrients			Pounds, tons of N or P
<input type="checkbox"/> Non-hazardous Waste	<input type="checkbox"/> Sediment from runoff			Pounds, tons
	<input type="checkbox"/> Pathogens			MPN/ml, CFU/ml
<input type="checkbox"/> Hazardous Waste	<input checked="" type="checkbox"/> Landfill	11.4 tons	11.1 tons	Pounds, tons
	<input type="checkbox"/> Incineration			Pounds, tons
	<input checked="" type="checkbox"/> Reused/recycled off-site	750000 Lbs.	1,000000 Lbs.	Pounds, tons, gallons
<input type="checkbox"/> Noise	<input type="checkbox"/> Other: _____			Pounds, tons, gallons
	<input type="checkbox"/> Noise			dBA
<input type="checkbox"/> Vibration	<input type="checkbox"/> Vibration			Inches per second
	<input type="checkbox"/> Expected lifetime energy use			kWh / MWh, Btu / MMBtu
<input type="checkbox"/> Products	<input type="checkbox"/> Expected lifetime water use			Gallons
	<input type="checkbox"/> Expected lifetime waste to air, water, or land from product use			Pounds, tons
	<input type="checkbox"/> Waste to air, water, or land from disposal or recovery			Pounds, tons
	<input type="checkbox"/> Waste to air, water, or land from disposal or recovery			Pounds, tons

2. What activities or process changes do you plan to undertake at your facility to accomplish your initiative (e.g., technology changes in a particular process line, employee training)? We have added a third shift (electricity higher level) but have new equipment coming that will use opportunity charging that will reduce our cost -
3. Does this initiative address a significant aspect in your EMS?
- Yes
- No—If no, please explain why you believe this indicator should be included as an environmental improvement initiative:

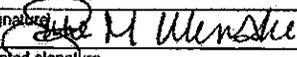
CERTIFICATION AND PLEDGE

On behalf of (name of facility) Donaldson North American Distribution

I certify that the information contained in this Annual Performance Report and attachments is accurate to the best of my knowledge and that this facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with all applicable federal, state, and local environmental requirements, or has a corrective action program in place to attain compliance.

We, June Winske, commit to maintaining the principles and goals outlined in our Environmental Management System for our facility's Indiana Environmental Stewardship Program status. We agree to strive for full compliance with all regulations promulgated by the U.S. EPA, state, or local jurisdictions. We agree to promote the Indiana Environmental Stewardship Program and to share our success stories with other facilities. We understand that the Annual Performance Report must be submitted to IDEM by April 1st of each year and that we must reapply to the Indiana Environmental Stewardship Program every three years.

I understand that the information provided in this Annual Performance Report will be public record. I am the senior facility manager or authorized facility signatory, and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is submitting this Annual Performance Report.

Signature 	Title Distribution Center Manager	Date (month, day, year) 5/1/2015
Printed signature June Winske		