Ben W. Szumial, MBA, CIA

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Senior Accounting Professional & Certified Internal Auditor

Multifaceted and accomplished Accounting & Audit Professional with comprehensive experience in accounting and audit processes. Analytical problem-solver experienced with advising clients in risk mitigation, compliance, internal control, and accounting processes. Conscientious leader and tactful communicator possessing a high degree of professionalism and client confidentiality.

CORE COMPETENCIES

Accounting and Finance Management ♦ Auditing/Internal Controls ♦ Compliance ♦Payroll
Fixed Asset Management ♦ Financial Statements ♦ Budget & Cash Flow Analysis
♦ General Ledger Maintenance/Reconciliations ♦ Fiscal Management ♦ Leadership & Training ♦ Portfolio Management & Documentation

CERTIFICATIONS

Certified Internal Auditor (July 2013/Certificate No. 122530) – Current
Currently Preparing for Certified Management Accountant – Part 1

EXPERIENCES AND ACHIEVEMENTS

GOODWILL INDUSTRIES OF MICHIANA, INC, South Bend, IN

Finance Manager/Deputy Treasurer, Goodwill Industries/Goodwill LEADS 2019 – PRESENT

Accountant/Deputy Treasurer, Goodwill LEADS, Inc dba The Excel Center 2015 – 2019

- Responsible for providing Goodwill LEADS, Inc financial and accounting support for 3 Excel Centers which includes timely financial reporting, reviewing the adequacy of internal controls and fiscal management
- Prepare and manage annual budgets for 3 schools/cash flow projections
- Report and communicate financial concerns to LEADS board and management for appropriate action
- General Ledger maintenance/reconciliations and Fixed Asset management
- Prepare journal entries and complete monthly and year-end closing
- Revised and improved the accounting policy/procedure manual and developed credit card and internal control policies
- Assist External Auditors during year-end financial audit
- As a team member assisted in the successful implementation of a new payroll system as it related to the accounting function
- Successfully finalized and collected grant funds totaling $1 million

UNIVERSITY OF NOTRE DAME, South Bend, IN 1994 – 2015

Assistant Director, Research Contracts and Awards, Office of Research • 2011 – 2015

- Responsible for reviewing, negotiate, and accept agreements in a defined portfolio of University sponsored program awards that totaled in aggregate over $120 million. Provided assurance of fiscal protection that all awards complied with University requirements as well as compliance issues relevant to grant accounting, conflict of interest, human and animal subject, FFATA reporting, and cost sharing Other responsibilities included draft and execute customized contracts for non-standard situations and establishing and negotiate subawards with sub-recipients and monitoring for FFATA compliance/reporting

Assistant Director, Post-Award, Office of Research • 2007 – 2011

- Provided grant management in all aspects of externally supported sponsored program awards that included grant and contract administration, compliance, budgets, sub-awards and grant reporting
- Consulted and advised faculty and administrators on details of post-award activity in accordance with policies and regulations affecting sponsored projects
- Successfully created and implemented a cost-share forecasting model to track annual distributions which improved commitment monitoring and budgetary projections

- Led training sessions as an invited workshop speaker

Accountant, Research Sponsor Programs Accounting • 1994 – 2007

- Administered post award finances including more than 380 funds for compliance and fiscal management of grants and contracts awarded from federal, state/local government, and private funding agencies
- Ensured that expenditures complied with award terms, IRS regulations, cost accounting standards, accounting principles, and all pertinent Federal regulations and circulars with expenditures totaling over $70 million during fiscal year 2007
- Prepared financial reports, invoice/billings, financial projections budget monitoring and fund reconciliations
- Advised on compliance issues as well as communicated potential problems such as cost overruns, unallowable charges, cost transfers, and other audit concerns
- Managed cash balances and cash management duties including billings and reconciliations
- Maintained strong collaborative relationships with key stakeholders
- Assisted in the successful implementation and transition to new business information system

SOCIETY BANK (KEYBANK), South Bend, IN **1985 – 1994**

Loan Review Manager • 1988 – 1994

- Evaluated the quality of bank's loan portfolio and its lending activities to assess the inherent risk in a $288 million loan portfolio by assigning risk ratings and prepared/communicated loan quality reports, loan loss reserve analysis and tend analysis to management
- Audited commercial and consumer credit/collateral files to ensure completeness and accuracy of loan documentation for verification of established underwriting practices, collateral valuations and financial statement analysis
- Served on loan committees
- Supervised support staff of 2 and assisted external auditors as well as participation in external loan review audits

Loan Auditor • 1985 – 1988

- Performed operational, financial and compliance audits to independently evaluate the effectiveness and adequacy of governance, risk assessment, internal controls while testing the accuracy of financial balances as it related to loan portfolios including the planning, fieldwork, audit report, and follow-up reviews
- Reported audit results with recommended improvements and/or corrective actions to management and audit committee
- Assisted external auditors

EDUCATION

Master of Business Administration, Accounting, Indiana Wesleyan University, Marian, IN (GPA 3.94)

Bachelor of Science, Business Administration, Indiana Tech, Fort Wayne, IN (GPA 3.87/Magna Cum Laude)

Maintaining Continuing Professional Education Requirements

PROFESSIONAL AFFILIATIONS

Institute of Management Accountants

SKILLS & INTERSTS

Excellent Relationship Building Skills, Professional Judgement, Professional Skepticism, Microsoft Word, Excel, PowerPoint, and PHStat2. Emphasis on continued professional development and education.