

13. Give the following information relative to your current employer. If self-employed, so indicate:

| | | |
|------------------|------------------|------------------------------------|
| Employment Dates | Name of Employer | Address (Street, City, State, Zip) |
|------------------|------------------|------------------------------------|

14. List your occupation here: _____ If self-employed, list type of business: _____

15. Are you under an obligation to pay child support? Yes No If yes, are you 4 months or more in arrears? Yes No

Questions 16(a-h) MUST be answered "yes" or "no". Give details in space provided.

| | | Yes | No |
|-------|---|-----|----|
| 16.a. | Has your or your spouse's racing license ever been denied, suspended for more than 7 days or revoked ? | | |
| b. | Has any other type of license or permit of you or your spouse ever been suspended, denied or revoked ? | | |
| c. | Have you ever been expelled, discharged, or ejected from any race track or fined more than \$100? | | |
| d. | Have you or your spouse ever been convicted (including by nolo contendere) of, or forfeited bail on, any felony or misdemeanor criminal offense (including DWI or DUI)? | | |
| e. | Are there any indictments, complaints or criminal charges currently pending anywhere against you or your spouse? | | |
| f. | Are you or your spouse currently on parole or probation? | | |
| g. | Are there any outstanding civil judgments against you or your spouse? | | |
| h. | Do you have a position with a race commission, racetrack, political party or in government? | | |

For each "yes" above, you must provide full details below (when, where, what). Use a separate sheet if needed.

| Date | State/Track | Specific Violation, Crime, or Debt | Penalty (Sentence w/parole end-date) |
|------|-------------|------------------------------------|--------------------------------------|
| | | | |
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17. **Statement of Ownership** (including questions 18 & 19)

| OWNERSHIP: Number of horses or greyhounds in training (_____), listing below those you plan to race this year (and if owned by partners or entity, or leased, so designate.) | | | | | |
|---|-----|---------------------|---------------------------------------|---------|-----------------|
| Horse/Greyhound Name | Age | Trainer's Full Name | Ownership Name on Registration Papers | % Owned | Breed (T/S/Q/G) |
| | | | | | |
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18. If you listed a **Stable Name or Ownership Entity** (a partnership, corporation, etc.) as owner of a horse or greyhound under Question 17 above, please tell us about the **individual persons** under that name holding any interest in those horses or greyhounds. Please check with each state in which you plan to race, to determine if they require a separate **Stable or Entity** registration form be filed, in addition to this application.

| Name | Address (street, city, state & zip) | Name of Horse or Greyhound | % Owned |
|------|-------------------------------------|----------------------------|---------|
| | | | |
| | | | |
| | | | |
| | | | |

19. Is your horse leased? * Yes No

* A copy of the lease agreement(s) must be attached and submitted with this application. Note: Virginia & Michigan require all leases be notarized.

Name of Lessor (owner)

Name of Lessee

If additional space is needed for any answers, please use a separate sheet of paper and submit it with this form.

20. If you will be appointing an **Authorized Agent**, please list agent's name here: _____
21. Are you obligated to have worker's compensation insurance covering employees in connection with racing? If yes, please complete the line below:

| <i>Company Name*</i> | <i>Policy Number</i> | <i>Expiration Date</i> | <i>Name of Policyholder</i> |
|----------------------|----------------------|------------------------|-----------------------------|
|----------------------|----------------------|------------------------|-----------------------------|

* (A copy of your worker's compensation certificate of insurance must be attached and submitted with this application.)

22. Are you a **New York State** public employee, elected public official, political party officer, or police officer?
 Yes No **IF YES**, contact the NYS Racing Board or www.racing.state.ny.us for Form PE-1.

Please read the "Affidavit of Licensure" below. Your signature below will be used as confirmation that you have read this affidavit.

AFFIDAVIT OF LICENSURE

I consent to a background investigation and report, including information from personal interviews with third parties (family, business, financial sources, etc.) and of my character and general reputation. I consent to a search within the grounds of any racetrack or racing association of my person and property, including premises and vehicles that I have the right to (or do) occupy or control, and to the seizure of articles related to unlawful conduct. I consent to be subject to the subpoena powers of authorized regulatory agencies and to written requests in lieu thereof, and shall provide any such agency with all information and documents it may request.

I agree that participating in racing is a privilege not a right, that my license is subject to conditions precedent in the rules of racing, that failure to comply with those rules shall be grounds for immediate suspension or revocation of my license, and that rulings in one jurisdiction may be applied in others.

I agree to abide by all applicable rules and regulations where I race or am licensed, including conflict of interest rules of each jurisdiction, which I affirm that my use of my license will not violate them, and I accept that my license may be suspended or revoked at any time for misstatements or omissions in my application. I hereby authorize each racing commission (or its equivalent) when I submit a fingerprint card directing the Federal Bureau of Investigation ("FBI") to send my criminal history record report to them.

I hereby certify, under penalty of perjury and knowing that false statements herein may be prosecuted as a crime, that I have read the foregoing application, and I affirm every statement herein is true and correct. I also certify that I have no associations with disreputable persons (organized crime, illegal betting, race-fixing, fraud, violence, similar misconduct), and I am in good standing and welcome to apply in all racing jurisdictions.

Illinois Applicants: The Illinois Racing Board may refuse to issue or may suspend the occupation license of any person who fails to file a return, or to pay the tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue until such time as the requirements of any such tax Act are satisfied.

Indiana Applicants: As a part of licensure, the Indiana Horse Racing Commission (IHRC) is requiring licensed owners that employ IHRC licensees to have the following: A completed Employment Eligibility Verification Form ("Form I-9") required by the Immigration Reform and Control Act ("IRCA") for each of your employees required to be licensed by the IHRC. You agree to complete a Form I-9 (form can be found at <https://www.uscis.gov/i-9>) for each new employee hired during this calendar year who is required to be licensed by the IHRC. You further agree to make available for review the redacted Form I-9 for each of your employees required to be licensed by the IHRC to the IHRC upon request. Within 24 hours of the discharge of a licensed worker or employee, you agree to provide written notification to the IHRC via fax or email (INHorsing@HRC.in.gov). **I further agree** to waive confidentiality related to an animal's veterinary medical records as outlined in I.C. 25-38.1-4-5.5(d), I.C. 5-14-3-4, and any other pertinent rule or law regulating horse racing and veterinary records in Indiana as it applies to a disciplinary action before the Commission.

New York Applicants: The authority to request and maintain personal information from you, including identifiers like Federal social security and employer identification numbers, is in Section 5 of the New York Tax Law. Your disclosure of this information is mandatory, principally to enable the Department of Taxation and Finance to identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for identification and licensing purposes and as otherwise authorized by the New York State Racing and Wagering Board ("Board") including for a multi-state licensing database. An investigative consumer report may be requested in connection with this application and your signature authorizes the Board to obtain it. You may ask in writing whether or not a report was requested and the name and address of the consumer reporting agency.

NOTE: If you will be racing in multiple jurisdictions, please be sure to make copies of this form! See next page for fees.

*** Signature of Applicant**

Date

E-mail Address

(Optional)

* Use as a **Florida** Application Requires the Applicant's signature to be Notorized.

Jurisdictions and Fee Schedule

(Code for Fees: O=Owner; H=Harness; TB=Thoroughbred; Q=Quarter Horse; GH=Greyhound)

| | | | |
|---|---|--|--|
| <p>Arkansas State Racing Commission Greyhound Division P.O.Box 2088 West Memphis, AR 72303-2088 Ph: 501-682-1467; Fax: 730-732-5926 O @ \$30 payable to ASRC No Prints Required</p> | <p>Indiana Horse Racing Commission at Indiana Grand 4425 N 200 West Shelbyville, IN 46176 Ph: 317-713-3350; Fax: 317-713-3355 O @ \$35 payable to IHRC Prints \$27 payable to IHRC</p> | <p>Michigan Gaming Control Board 3062 W Grand Blvd, L-700 Detroit, MI 48202 Ph: 313-456-4100; Fax: 313-456-2864 O @ \$25 payable to State of Michigan Prints \$36 payable to State of Michigan</p> | <p>Ontario Racing Commission 10 Carlson Court, Suite 400 Toronto, Ontario M9W 6L2 Canada Ph: 416-213-0520; Fax: 416-213-7827 New: O @ \$126 (\$CND) RENEW: O @ \$105 (\$CND) No prints required</p> |
| <p>Arkansas State Racing Commission Thoroughbred Division P.O.Box 699 Little Rock, AR 71902 Ph: 501-682-1467; Fax: 501-623-9443 O @ \$30 payable to ASRC No Prints Required</p> | <p>Iowa Racing and Gaming Commission One Prairie Meadows Dr. Altoona, IA 50009-0901 Ph: 515-967-1260; Fax: 515-967-1290 O @ \$10 payable to IRGC (2 YR LIC) Prints \$36 payable to RCI or \$46.25 payable to IRGC</p> | <p>Mobile County Racing Commission P.O. Box 1886 Mobile, AL 36633 Ph: 251-653-4820; Fax: 251-653-4850 O @ \$25 payable to MCRC No Prints Required</p> | <p>Pennsylvania HARNESS Racing Comm. P.O. Box 427 Meadow Lands, PA 15347 Ph: 724-223-4585; Fax: 724-223-4305 O @ \$60 (Only offer 3 YR LICENSE) Prints \$40 on PA fingerprint card every 3 yrs</p> |
| <p>California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, CA 95825 Ph: 916-263-6000; Fax: 916-263-6042 O @ \$150 payable to CHRB (Only offer 3 YR LICENSE) Only State Prints Required</p> | <p>Jamaica Racing Commission P.O. Box 309 Kingston 10, Jamaica Ph: 876-926-2727; Fax: 876-926-2207 O @ \$3,000 Jamaican dollars payable to JRC. Require 2 passport size photos No Prints Required</p> | <p>Nebraska State Racing Commission P.O. Box 95014 Lincoln, NE 68509 Ph: 402-471-4155; Fax: 402-471-2339 O @ \$30 payable to NSRC Prints \$38 payable to NSRC</p> | <p>Puerto Rico Racing Sport Administration Administración del Deporte Hipico Apartado P.O. Box 29156 65th Infanteria Station Rio Piedras, PR 00929-0156 Ph: 787-768-2005; Fax: 787-762-1105 NEW: O @ \$150; RENEW: O @ \$100; \$400 4-YR payable to PRRSA. No Prints Required</p> |
| <p>Colorado Division of Racing Events 1881 Pierce Street, Suite 108 Lakewood, CO 80214-1494 Ph: 303-205-2990; Fax: 303-205-2950 NEW: O @ \$75 (incl. state and FBI prints) (\$85 if State & RCI prints-RCI prints payable to RCI) RENEW: O @ \$25. Pay all to CDRE, expt RCI prints</p> | <p>Kentucky Horse Racing Authority 4063 Iron Works Parkway Lexington, KY 40511-8434 Ph: 859-246-2040; Faxed apps. <u>not</u> allowed. TB O @ \$150; H O @ \$125 payable to KHRC. No Prints Required</p> | <p>New Hampshire Racing & Charit. Gaming 57 Regional Dr., Unit #3 Concord, NH 03301-8518 Ph: 603-271-2158; Fax: 603-271-3381 H-O @ \$40; GH-O @ \$40; w/colors @ \$25; Criminal records check required - \$25 All payable to NHPMC.</p> | <p>Texas Racing Commission 8505 Cross Park Drive, Suite 110 Austin, TX 78754-4594 Ph: 512-833-6699; Fax: 512-833-6907 O @ \$100 (1 YR LIC); \$200 (2 YR LIC); \$300 (3 YR LIC) payable to TXRC. Finger Prints-contact Commission</p> |
| <p>Delaware HARNESS Racing Commission 2320 South Dupont Highway Dover, DE 19901 Ph: 302-342-3008; Fax: 302-697-4748 O @ \$50 (1 YR LIC); O @ \$150 (3 YR LIC) Prints \$79 processed through DHRC payable to DHRC</p> | <p>Louisiana State Racing Commission 320 North Carrollton Ave, Suite 2-B New Orleans, LA 70119 Ph: 504-483-4000; Fax: 504-483-4898 O @ \$25 (1 YR LIC); O @ \$75 (3 YR LIC); Colors fee: 1 YR @ \$25; 3 YR @ \$75. All payable to LSRC. No Prints Required</p> | <p>New Jersey Racing Commission P.O. Box 088 Trenton, NJ 08625 Ph: 609-292-0613; Fax: 609-599-1785 O @ \$50 (1 YR LIC); \$150 (3 YR LIC) & One time NJ State prints \$40 payable to NJRC. RCI prints \$36 payable to RCI</p> | <p>Virginia Racing Commission 10700 Horsemen's Road New Kent, VA 23124 Ph: 804-966-7412; Fax: 804-966-7422 O @ \$50 payable to VRC Prints \$37 on VA print card payable to VRC</p> |
| <p>Delaware Thoroughbred Racing Comm. 777 Delaware Park Blvd Wilmington, DE 19804 Ph: 302-994-2521, x7148; Fax: 302-993-8949 O @ \$50 (1 YR LIC); O @ \$150 (3 YR LIC) Prints \$79 processed through DTRC payable to DTRC</p> | <p>Maine Harness Racing Commission 28 State House Station, Derring Building Augusta, ME 04333-0028 Ph: 207-287-3221; Fax: 207-287-7548 O @ \$35 payable to MHRC No Prints Required</p> | <p>New Mexico Racing Commission 4900 Alameda Boulevard NE Albuquerque, NM 87113-1736 Ph: 505-841-6400; Fax: 505-841-6413 O @ \$100 (1 YR LIC) O @ \$120 (3 YR LIC) payable to NMRC. Prints \$36 payable to RCI</p> | <p>Washington Horse Racing Commission 6326 Martin Way, Suite 209 Olympia, WA 98516 Ph: 360-459-6462; Fax: 360-459-6461 O @ \$226 payable to WHRC (includes \$150 payment for Worker's Com, \$66 lic fee, \$10 State of WA fingerprint fee - (required every 3 yrs))</p> |
| <p>*Florida Division of Pari-Mutuel Wagering 1940 North Monroe Street Tallahassee, FL 32399-1037 Ph: 850-488-9130; Fax: 850-488-0550 O @ \$80 (3 YR LIC) pay to DBPR/PMW. If Florida prints used, print fee is \$47 payable to DBPR/PMW. If RCI prints used, print fee \$36 payable to RCI</p> | <p>Maryland Racing Commission 300 E Towsontowne Boulevard Towson, MD 21286 Ph: 410-853-1674; Fax: 410-853-1668 NEW: O @ \$50; RENEW: O @ \$25 Call for other Charges; State Prints Only - payable to CJIS</p> | <p>New York State Racing and Wagering Brd 1 Broadway Center, Suite 600 Schenectady, NY 12305-2553 Ph: 518-395-5400; Fax: 518-347-1439 NEW: O @ \$210.50 - includes print fees. RENEW: O @ \$50/year up to 3 consec. yrs. 2 self photos. All payable to NYSRWB</p> | <p>West Virginia Racing Commission 900 Pennsylvania Avenue, Suite 533 Charleston, WV 25302 Ph: 304-558-2150; Fax: 304-558-6319 O @ \$30.00 payable to WVRC; Prints \$36 payable to WVRC. Send Apps to Track.</p> |
| <p>Illinois Racing Board 100 West Randolph Street, Suite 7-701 Chicago, IL 60601 Ph: 312-814-2600; Fax: 312-814-5062 O @ \$25 payable to IRB; Prints \$45 Illinois State/FBI fingerprint card required.</p> | <p>Massachusetts State Racing Commission 1 Ashburton Place, Room 1313 Boston, MA 02108 Ph: 617-727-2581; Fax: 617-227-6062 TB & H: O @ \$30 payable to MSRC No Prints Required</p> | <p>Ohio State Racing Commission 77 South High Street, 18th Floor Columbus, OH 43215-6108 Ph: 614-466-2757; Fax: 614-466-1900 O @ \$50 payable to OSRC Prints \$36 payable to OSRC</p> | <p style="font-size: 2em; font-weight: bold;">RCI Multi App rev. 1/8/2015</p> |

* Use as a Florida Application Requires the Applicant's signature to be Notorized.

DIRECTIONS FOR USING THIS FORM

GENERAL INFORMATION: All participating jurisdictions listed on this page have agreed to accept this form in lieu of a state or provincial license form. The list of participating jurisdictions and fees below are current as of August 8, 2012. You may call the Association of Racing Commissioners International (RCI) or participating jurisdictions to inquire about any updates.

APPLICATIONS: Before signing, make the number of copies of the completed application you will need based on the number of states/jurisdictions you'll race in and then sign them, therefore assuring that each racing jurisdiction will have an original signature. Either hand deliver or mail it along with the applicable fee (see fee structure for each jurisdiction below) to each participating jurisdiction where you are seeking a license. You are responsible for delivering this application and applicable fees to each jurisdiction. Participating jurisdictions **will not** forward copies of this application and fees to other jurisdictions; however, the RCI will forward copies to other jurisdictions at the cost of \$10 per jurisdiction.

FINGERPRINTS: Some states require that first-time licensees submit an RCI fingerprint card and the payment of a \$34 fee. You will submit a fingerprint card to only one of the participating jurisdictions listed above. To have fingerprint reports sent to other jurisdictions where you plan to race, mark those jurisdictions on the back of the RCI fingerprint card. **You may select up to nine jurisdictions.** You may choose to get fingerprinted at a race track or by your local police or sheriff's office. Regardless of the location, be sure to use the RCI fingerprint card so you can ask that more than one racing commission receive the results from the FBI. Additionally, a renewing licensee may be required to submit fingerprints on a periodic basis. Applicants will be advised of requirements by each jurisdiction. Refer to the fee schedule above for directions regarding to whom checks should be made payable to and whether separate checks are required (one for your license and one for your fingerprints, if using the RCI fingerprint card).

WHERE TO MAIL FORMS: Completed fingerprints along with a check or money order for \$34 (no cash) may be mailed to the racing commission where you plan to race next or to the commission requiring your prints. This completed license form and applicable license fee must accompany the completed fingerprint card and \$34 fingerprint fee. The payment for fingerprints varies from jurisdiction to jurisdiction. Refer to the boxes above