

Agenda Item #1

TITLE 71 INDIANA HORSE RACING COMMISSION

Notice of Intent to Readopt

LSA Document #21-222

Readopts rules in anticipation of IC 4-22-2.5-2, providing that an administrative rule adopted under IC 4-22-2 expires January 1 of the seventh year after the year in which the rule takes effect unless the rule contains an earlier expiration date. Effective 30 days after filing with the Publisher.

OVERVIEW: Rules to be readopted without changes are as follows:

71 IAC 6-1-2	Prohibitions on claims
71 IAC 8-1-7	Drug classifications and penalties
71 IAC 8-10-2	Applicant and licensee subject to testing
71 IAC 8-10-3	Reasonable suspicion selection
71 IAC 8-10-4	Taking of samples
71 IAC 8-10-5	Positive sample results
71 IAC 8.5-1-7	Drug classification and penalties
71 IAC 8.5-10-2	Applicant and licensee subject to testing
71 IAC 8.5-10-3	Reasonable suspicion selection
71 IAC 8.5-10-4	Taking of samples
71 IAC 8.5-10-5	Positive sample results
71 IAC 14-1-2	“Indiana sired” defined

Requests for any part of this readoption to be separate from this action must be made in writing within 30 days of this publication. Send written comments to the Small Business Regulatory Coordinator for this rule (see IC 4-22-2-28.1):

Noah Jackson
General Counsel
Indiana Horse Racing Commission
1302 North Meridian Street, Suite 175
Indianapolis, IN 46202
(317) 233-3119
njackson1@hrc.in.gov

Statutory authority: IC 4-31-3-9; IC 4-35-7-12.

For purposes of IC 4-22-2-28.1, the Small Business Ombudsman designated by IC 5-28-17-6 is:

Joseph Fagan
Small Business Ombudsman
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 586-3807

Resources available to regulated entities through the small business ombudsman include the ombudsman’s duties stated in IC 5-28-17-6, specifically IC 5-28-17-6(9), investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

Agenda Item #2



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2021-2344	7/10/21	BRANDON L. BATES	SB	7/8/21	Conduct/Behavior	\$500			
HP-2021-2345	7/11/21	RICHARD C. PLANO	SB	7/10/21	Riding/Driving Infraction Violation	\$100			
IG-2021-2346	7/12/21	JOE GARCIA		7/9/21	Conduct/Behavior	\$0			
IG-2021-2347	7/13/21	ISAAC DONJUAN		7/12/21	Contraband/Drug Violation	\$0	7/13/21	8/18/21	37
IG-2021-2348	7/12/21	LARRY M. RIVELLI	TB	7/12/21	Trainer Responsibility	\$250			
IG-2021-2349	7/14/21	HUGO RODRIGUEZ	TB	7/14/21	Trainer Responsibility	\$250			
HP-2021-2350	7/14/21	BRANDON L. BATES	SB	7/11/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2351	7/14/21	SAMUEL D. WIDGER	SB	7/11/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2352	7/17/21	BRANDON L. BATES	SB	7/16/21	Whip Violation	\$300			
HP-2021-2353	7/17/21	JOHN J. DELONG	SB	7/16/21	Whip Violation	\$300			
HP-2021-2354	7/17/21	DOUGLAS J. RIDEOUT	SB	7/16/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2355	7/17/21	BRANDON L. BATES	SB	7/16/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2356	7/17/21	RICHARD C. PLANO	SB	7/16/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2357	7/17/21	RICHARD L. MACOMBER JR	SB	7/16/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2358	7/18/21	JOHN J. DELONG	SB	7/15/21	Riding/Driving Infraction Violation	\$100			
IG-2021-2359	7/16/21	FLORENT GEROUX	TB	7/7/21	Conduct/Behavior	\$1,000			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2360	7/19/21	VALENTE QUEZADA		7/8/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2361	7/21/21	GREGORY D. FOLEY	TB	7/12/21	Trainer Responsibility	\$250			
IG-2021-2362	7/21/21	BERTARIO ARAGON	TB	7/20/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2363	7/22/21	JOSE A. BELTRAN	QH	7/21/21	Whip Violation	\$0	7/29/21	8/3/21	3*
IG-2021-2364	7/22/21	FRANCISCO T. QUINTERO	QH	7/21/21	Whip Violation	\$250			
HP-2021-2365	7/22/21	RICHARD C. PLANO	SB	7/17/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2366	7/22/21	DONALD J. EASH	SB	7/18/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2367	7/22/21	JAY D. HOCHSTETLER		7/17/21	Conduct/Behavior	\$250			
HP-2021-2368	7/22/21	ROBERT S. TAYLOR	SB	7/21/21	Whip Violation	\$200			
IG-2021-2369	7/22/21	ANDRES P. ULLOA	TB	7/21/21	Whip Violation	\$250			
HP-2021-2370	7/23/21	JEREMY R. OWEN	SB	7/23/21	Miscellaneous Ruling	\$0			
HP-2021-2371	7/23/21	RICHARD L. MACOMBER JR	SB	7/22/21	Whip Violation	\$200			
HP-2021-2372	7/24/21	JARED D. FINN	SB	7/22/21	Riding/Driving Infraction Violation	\$100			
IG-2021-2373	7/26/21	JOE GARCIA		7/24/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2374	7/27/21	VLADIMIR KAZAKOV		7/27/21	License Violation	\$0	7/27/21	9/1/21	37
IG-2021-2375	7/27/21	MICHAEL DUBB		7/27/21	License Violation	\$0	7/27/21	7/28/21	2
IG-2021-2376	7/27/21	JOSE A. BELTRAN	QH	7/24/21	Whip Violation	\$0	8/4/21	8/12/21	6*
IG-2021-2377	7/27/21	SAMUEL MENDEZ	QH	7/24/21	Riding/Driving Infraction Violation	\$0	8/3/21	8/5/21	3



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2021-2378	7/29/21	ATLEE E. BENDER	SB	7/28/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2379	7/29/21	SAMUEL D. WIDGER	SB	7/24/21	Whip Violation	\$300			
HP-2021-2380	7/29/21	BRANDON L. BATES	SB	7/24/21	Whip Violation	\$300			
HP-2021-2381	7/29/21	BRANDON L. BATES	SB	7/28/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2382	7/30/21	LEWAYNE MILLER	SB	7/29/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2383	7/30/21	DAN SHETLER JR	SB	7/29/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2384	7/31/21	ESLIE BURTON	SB	7/31/21	Whip Violation	\$200			
HP-2021-2385	8/1/21	PAYTON D. ODE	SB	7/2/21	Medication/Drug Violation (Equine)	\$1,000			
HP-2021-2386	8/1/21	ANDY R. SHETLER	SB	7/31/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2387	8/1/21	ANDY R. SHETLER	SB	7/31/21	Whip Violation	\$200			
IG-2021-2388	7/30/21	TIANNA R. RICHARDVILLE		7/28/21	Conduct/Behavior	\$250			
IG-2021-2389	8/2/21	GEORGE LEONARD III	TB	8/2/21	Trainer Responsibility	\$250			
IG-2021-2390	8/3/21	SAMUEL E. BERMUDEZ	TB	8/2/21	Whip Violation	\$250			
IG-2021-2391	8/3/21	ANDREA RODRIGUEZ	TB	8/2/21	Whip Violation	\$250			
IG-2021-2392	8/4/21	BONFILIO GATICA		8/2/21	Medication/Drug/Alcohol Violation (Human)	\$200			
IG-2021-2393	8/4/21	ROBERT G. PATRICK	TB	8/3/21	Trainer Responsibility	\$250			
IG-2021-2394	8/4/21	BOB BASS	TB	8/4/21	Miscellaneous Ruling	\$0			
IG-2021-2395	8/4/21	JESSICA A. VAZQUEZ		8/4/21	Financial Responsibility	\$300			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2396	8/5/21	JOSE M. CHAVEZ-LOPEZ		7/12/21	License Violation	\$0	7/12/21	8/18/21	38
IG-2021-2397	8/5/21	JAIRO J. CASTRELLON		8/4/21	License Violation	\$0	8/5/21	9/4/21	31
HP-2021-2398	8/6/21	BRANDON L. BATES	SB	8/5/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2399	8/6/21	TRACE A. TETRICK	SB	8/4/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2400	8/7/21	JORDAN M. ROSS	SB	8/5/21	Whip Violation	\$200			
IG-2021-2401	8/6/21	RANDY M. SMITH	QH	8/5/21	Trainer Responsibility	\$250			
IG-2021-2402	8/6/21	KIMBERLY J. HAMMOND	TB	8/5/21	Trainer Responsibility	\$250			
IG-2021-2403	8/9/21	CRISTIAN REYES-SOTELO		8/6/21	Contraband/Drug Violation	\$0	8/9/21	8/18/21	10
IG-2021-2404	8/6/21	OSCAR MACIAS		8/6/21	License Violation	\$0	8/6/21	8/9/21	4
IG-2021-2405	8/10/21	OSCAR MACIAS		8/6/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/9/21	8/18/21	10
IG-2021-2406	8/10/21	ANDRES P. ULLOA	TB	8/5/21	Riding/Driving Infraction Violation	\$0	8/16/21	8/22/21	7
IG-2021-2407	8/10/21	ANGEL A. RODRIGUEZ	TB	8/5/21	Riding/Driving Infraction Violation	\$0	8/16/21	8/18/21	3
IG-2021-2408	8/10/21	RODNEY A. PRESCOTT	TB	8/5/21	Riding/Driving Infraction Violation	\$0	8/17/21	8/19/21	3
IG-2021-2409	8/10/21	ARTHUR J. ANDRES		8/2/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2410	8/11/21	JOEL BAHENA		7/26/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/11/21		
IG-2021-2411	8/12/21	JOSEPH D. DAVIS	TB	8/10/21	Trainer Responsibility	\$250			
IG-2021-2412	8/11/21	JAMES P. O'MEALIA		8/11/21	License Violation	\$0	8/11/21	9/13/21	34
IG-2021-2413	8/11/21	FRED ZICARELLI		8/11/21	License Violation	\$0			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2414	8/11/21	PETER EURTON		8/11/21	License Violation	\$0			
HP-2021-2415	8/10/21	MICHAEL J. OOSTING	SB	8/8/21	Whip Violation	\$200			
HP-2021-2416	8/11/21	BRIAN T. BARNES	SB	8/11/21	Miscellaneous Ruling	\$0			
IG-2021-2417	8/11/21	CHEYANNE A. GAFFALIONE	TB	8/11/21	Miscellaneous Ruling	\$0			
IG-2021-2418	8/11/21	FERGUS O'SULLIVAN		8/11/21	License Violation	\$0	8/11/21		
IG-2021-2419	8/12/21	SANTO SANJUR	TB	8/11/21	Improper Business Manner	\$100			
IG-2021-2420	8/12/21	ANTHONY J. GRANITZ	TB	8/11/21	Trainer Responsibility	\$250			
IG-2021-2421	8/12/21	RICHARD CONNELLEY		8/12/21	License Violation	\$0			
HP-2021-2422	8/12/21	RAYMOND A. ALLEN	SB	8/11/21	Riding/Driving Infraction Violation	\$50			
IG-2021-2423	8/12/21	MYRA MUNOZ	QH	8/11/21	Trainer Responsibility	\$250			
HP-2021-2424	8/13/21	BRANDON L. BATES	SB	8/6/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2425	8/15/21	BRANDON L. BATES	SB	8/12/21	Whip Violation	\$500			
IG-2021-2426	8/16/21	JOSE M. RUIZ	QH	8/14/21	Whip Violation	\$0	8/23/21	8/31/21	6*
IG-2021-2427	8/16/21	FERNANDO C. DE LA CRUZ	TB	8/10/21	Riding/Driving Infraction Violation	\$0	8/23/21	8/29/21	7
IG-2021-2428	8/17/21	DAVID WILSON JR	TB	8/17/21	Miscellaneous Ruling	\$0			
IG-2021-2429	8/18/21	JOSE A. BELTRAN	QH	8/17/21	Whip Violation	\$0	8/24/21	9/9/21	9*
IG-2021-2430	8/18/21	ISAAC DONJUAN		4/13/21	License Violation	\$100			
IG-2021-2431	8/18/21	GIOVANI VAZQUEZ-GOMEZ	QH	8/11/21	Riding/Driving Infraction Violation	\$0	8/24/21	8/30/21	3*



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2432	8/19/21	ALEX W. KLATSKY		8/19/21	License Violation	\$0			
IG-2021-2433	8/19/21	GARRY W. SIMMS		8/19/21	License Violation	\$0			
IG-2021-2434	8/19/21	BAUDILIO BARRIENTOS		8/17/21	Medication/Drug/Alcohol Violation (Human)	\$150			
IG-2021-2435	8/19/21	EFVIN SANCHEZ		8/17/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2436	8/19/21	BRAYAN M. HERNADEZ-RUANO		8/17/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2437	8/19/21	CHRIS DIEGO		8/5/21	Medication/Drug/Alcohol Violation (Human)	\$0	8/19/21	9/3/21	16
HP-2021-2438	8/20/21	RICHARD L. MACOMBER JR	SB	8/14/21	Whip Violation	\$200			
HP-2021-2439	8/20/21	JOEY D. PUTNAM	SB	8/19/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2440	8/22/21	MATTHEW W. RHEINHEIMER	SB	8/20/21	Whip Violation	\$200			
IG-2021-2441	8/19/21	BRANDI STEELE	TB	8/19/21	Trainer Responsibility	\$250			
IG-2021-2442	8/23/21	STEPHEN C. RIGGAN		8/19/21	Conduct/Behavior	\$100			
IG-2021-2443	8/24/21	FERNANDO MONTEROSO		8/23/21	Medication/Drug/Alcohol Violation (Human)	\$100			
IG-2021-2444	8/26/21	MARTIN D. MUNOZ	QH	8/25/21	Riding/Driving Infraction Violation	\$0	9/1/21	9/7/21	3*
IG-2021-2445	8/26/21	ANTHONY F. CUNNINGHAM	TB	8/24/21	Trainer Responsibility	\$250			
HP-2021-2446	8/26/21	CHARLES M. CONRAD	SB	8/25/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2447	8/28/21	MICHAEL J. OOSTING	SB	8/26/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2448	8/29/21	PETER M. WRENN	SB	8/27/21	Riding/Driving Infraction Violation	\$200			
IG-2021-2449	8/27/21	GERMAN RODRIGUEZ JR	QH	8/25/21	Whip Violation	\$500			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2450	9/1/21	GERMAN RODRIGUEZ JR	QH	8/26/21	Riding/Driving Infraction Violation	\$0	9/7/21	9/9/21	3
IG-2021-2451	9/1/21	ANDREA RODRIGUEZ	TB	8/31/21	Whip Violation	\$500			
IG-2021-2452	9/1/21	JUVENAL LICONA VAZQUEZ		9/1/21	Miscellaneous Ruling	\$0			
IG-2021-2453	9/1/21	UNITED TOTE COMPANY	TB	7/6/21	Miscellaneous Ruling	\$2,000			
IG-2021-2454	9/2/21	ALBERT LOPEZ	TB	9/1/21	Whip Violation	\$250			
HP-2021-2455	9/3/21	JOHN J. DELONG	SB	9/1/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2456	9/4/21	DARRELL L. WRIGHT	SB	9/3/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2457	9/8/21	BRANDON L. BATES	SB	9/4/21	Riding/Driving Infraction Violation	\$200			
IG-2021-2458	9/7/21	ANTONIO GARCIA-ESPINOZA		9/7/21	Miscellaneous Ruling	\$0			
IG-2021-2459	9/8/21	CRISTIAN AGUIRRE-ERIVES	QH	9/7/21	Whip Violation	\$250			
IG-2021-2460	9/7/21	GIOVANI VAZQUEZ-GOMEZ	QH	9/4/21	Whip Violation	\$0	9/13/21	9/16/21	4
IG-2021-2461	9/7/21	SAMUEL MENDEZ	QH	9/4/21	Whip Violation	\$250			
HP-2021-2462	9/9/21	ROGER S. WELCH	SB	9/5/21	Riding/Driving Infraction Violation	\$50			
HP-2021-2463	9/10/21	PAYTON D. ODE	SB	9/9/21	Riding/Driving Infraction Violation	\$50			
HP-2021-2464	9/11/21	JAKE B. WICKEY		9/11/21	License Violation	\$0	9/11/21	12/31/21	112
IG-2021-2465	9/10/21	OSCAR MACIAS	QH	9/9/21	Whip Violation	\$0	9/16/21	9/27/21	6*
IG-2021-2466	9/10/21	MERRILL C. ROBERTS		9/10/21	Miscellaneous Ruling	\$0			
IG-2021-2467	9/10/21	DEXTAVIOUS I. MITCHELL	QH	9/9/21	Whip Violation	\$500			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2468	9/15/21	SAMUEL MENDEZ	QH	9/4/21	License Violation	\$0	9/15/21		
HP-2021-2469	9/15/21	MELVIN SCHMUCKER	SB	9/12/21	Riding/Driving Infraction Violation	\$50			
IG-2021-2470	9/15/21	ANTONIO R. GOMEZ		9/14/21	Conduct/Behavior	\$100			
IG-2021-2471	9/16/21	MERRILL C. ROBERTS	TB	8/26/21	Trainer Responsibility	\$200			
IG-2021-2472	9/16/21	JOSE M. MENA	TB	9/15/21	Whip Violation	\$250			
IG-2021-2473	9/16/21	JOSE M. MENA	TB	9/15/21	Improper Business Manner	\$200			
HP-2021-2474	9/16/21	BRANDON L. BATES	SB	9/10/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2475	9/17/21	DALE P. HITEMAN	SB	9/16/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2476	9/18/21	ATLEE E. BENDER	SB	9/16/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2477	9/18/21	DONALD J. EASH	SB	9/17/21	Riding/Driving Infraction Violation	\$100			
HP-2021-2478	9/18/21	PETER M. WRENN	SB	9/17/21	Whip Violation	\$200			
IG-2021-2479	9/17/21	JAIME C. ARIAS		9/16/21	Medication/Drug/Alcohol Violation (Human)	\$0			
IG-2021-2480	9/20/21	JOSE M. CHAVEZ-LOPEZ		9/16/21	Medication/Drug/Alcohol Violation (Human)	\$0			
IG-2021-2481	9/21/21	MARIA V. AGUILAR		9/15/21	Conduct/Behavior	\$0	9/21/21	1/1/22	103
IG-2021-2482	9/20/21	LINDSAY M. LEWIS		9/15/21	License Violation	\$0			
IG-2021-2483	4/2/21	ASHLEY N. HOUSTON	TB	4/2/21	Conduct/Behavior	\$0	4/2/21		
IG-2021-2484	9/22/21	HOLLY L. DELANEY		9/17/21	License Violation	\$0			
IG-2021-2485	9/22/21	DAIREN J. EDWARDS		8/18/21	License Violation	\$0			



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2486	9/22/21	ARTHUR F. HISCOX		9/6/21	License Violation	\$0			
IG-2021-2487	9/22/21	THOMAS E. SCHAFER		9/7/21	License Violation	\$0			
IG-2021-2488	9/22/21	ELBASHIR S. ELHRARI		8/29/21	License Violation	\$0			
IG-2021-2489	9/22/21	DEXTAVIOUS I. MITCHELL	QH	9/21/21	Whip Violation	\$0	9/28/21	9/30/21	3
IG-2021-2490	9/22/21	WILLIAM J. BLAIR	TB	7/29/21	Medication/Drug Violation (Equine)	\$500			0*
IG-2021-2491	9/22/21	DANA M. OLLIER		9/8/21	License Violation	\$0	9/22/21		
IG-2021-2492	9/22/21	MICHAEL DE BROGLIO		9/9/21	License Violation	\$0	9/22/21		
IG-2021-2493	9/22/21	ELIZABETH K. SEELY	QH	9/9/21	License Violation	\$0	9/22/21		
IG-2021-2494	9/23/21	DANIEL J. LEITCH		9/23/21	Miscellaneous Ruling	\$0			
HP-2021-2495	9/23/21	RICHARD C. PLANO		8/11/21	Conduct/Behavior	\$25			
HP-2021-2496	9/24/21	PERRY W. SMITH	SB	9/3/21	Medication/Drug Violation (Equine)	\$1,000			
HP-2021-2497	9/25/21	MARCUS MELANDER	SB	9/24/21	Failure to Obey Judges/Stewards	\$100			
IG-2021-2498	9/27/21	CIPRIANO CONTRERAS	TB	8/19/21	Medication/Drug Violation (Equine)	\$1,500			
IG-2021-2499	9/28/21	RICHARD L. GILLIARD		9/26/21	Miscellaneous Ruling	\$0			
IG-2021-2500	9/29/21	CIRILO GOROSTIETA	QH	9/29/21	Trainer Responsibility	\$250			
HP-2021-2501	9/29/21	JOHN J. DELONG	SB	9/24/21	Riding/Driving Infraction Violation	\$200			
HP-2021-2502	9/30/21	JACOB J. FOX		9/8/21	Conduct/Behavior	\$25			
HP-2021-2503	10/1/21	NUNZIO VENA		10/1/21	License Violation	\$0	10/1/21	12/31/21	92



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
HP-2021-2504	10/1/21	TOMMY SLONE		10/1/21	License Violation	\$0	10/1/21	12/31/21	92
HP-2021-2505	10/1/21	MITCHELL K. WALKER		10/1/21	License Violation	\$0	10/1/21	12/31/21	92
HP-2021-2506	10/1/21	DONALD D. HARMON	SB	9/29/21	Whip Violation	\$200			
IG-2021-2507	10/4/21	JOSE M. RUIZ	QH	9/30/21	Whip Violation	\$0	10/7/21	10/21/21	9*
IG-2021-2508	10/6/21	JUAN D. MARQUEZ		10/5/21	Whip Violation	\$0	10/12/21	10/20/21	6*
IG-2021-2509	10/5/21	REIMAR CABRERA	QH	10/4/21	Trainer Responsibility	\$250			
IG-2021-2510	10/6/21	SERGIO R. RAMIREZ	QH	10/5/21	Whip Violation	\$250			
HP-2021-2511	10/5/21	RACHEL A. OENEMA	SB	10/2/21	License Violation	\$0			
IG-2021-2512	10/7/21	JUSTIN R. GABBARD		10/7/21	License Violation	\$0			
HP-2021-2513	10/7/21	ANGUS D. LAKE	SB	9/10/21	License Violation	\$0			
HP-2021-2514	10/9/21	BRANDON L. BATES	SB	10/8/21	Riding/Driving Infraction Violation	\$100			
IG-2021-2515	10/8/21	SHANNON M. PINA		9/21/21	Medication/Drug/Alcohol Violation (Human)	\$0	10/8/21	11/7/21	31
IG-2021-2516	10/11/21	SERGIO R. RAMIREZ	QH	10/9/21	Whip Violation	\$500			
IG-2021-2517	10/11/21	ERIK N. ESQUEDA	QH	10/9/21	Whip Violation	\$500			
IG-2021-2518	10/11/21	DANA M. HANCOCK	TB	10/11/21	License Violation	\$0			
IG-2021-2519	10/11/21	BONFILIO GATICA	TB	10/8/21	License Violation	\$0	10/8/21		
IG-2021-2520	10/12/21	CESAR G. GARCIA-ESQUEDA	QH	10/9/21	Whip Violation	\$0	10/18/21	10/26/21	6*
IG-2021-2521	10/12/21	DEXTAVIOUS I. MITCHELL	TB	10/9/21	Whip Violation	\$0	10/18/21	10/30/21	9*



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 7/10/2021 to 10/13/2021

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Suspended From	Suspended To	Days Suspended
IG-2021-2522	10/12/21	TIMOTHY D. GLYSHAW	TB	10/11/21	Trainer Responsibility	\$100			
IG-2021-2523	10/6/21	JOSE L. CANO	TB	10/6/21	Miscellaneous Ruling	\$0			
IG-2021-2524	10/12/21	GENARO GARCIA	TB	7/14/21	Medication/Drug Violation (Equine)	\$1,000			
IG-2021-2525	10/13/21	GERMAN RODRIGUEZ JR	QH	10/12/21	Whip Violation	\$500			

Agenda Item #3



October 15, 2021

Chairman Phillip Borst, DVM
Executive Director Deena Pitman
1302 N. Meridian St.
Indianapolis, IN 46202

Chairman Borst and Executive Director Pitman,

After discussion with the Indiana HBPA and QHRAI Indiana Grand respectfully submits the following dates for the 2022 racing season. These racing dates, totaling 127, will begin on Tuesday, April 19, 2022 and run through Wednesday, November 23, 2022.

I'm attaching the full calendar but would like to highlight a few things that make our 2022 schedule unique from prior years:

- The 127 days will include 120 thoroughbred and quarter horse cards, up from 117 in 2021
- There will be 7 quarter horse programs including the Bank of America AQHA Challenge Championships on October 22
- We have scheduled several Saturday thoroughbred and quarter horse racing programs in 2022 including Kentucky Derby Day
- We will be implementing a 9-day break at the end of July that we hope helps fill races before and after that period and will also give us flexibility to work on the racing surfaces should there be a need
- Our closing day of November 23 should allow us to take advantage of a larger horse population in the region with many other tracks being closed in November

We thank you for consideration of this request and look forward to continuing to grow the thoroughbred and quarter horse racing programs in Indiana in 2022.

Sincerely,

Eric Halstrom
Vice President and General Manager, Indiana Grand Racing and Casino

Cc: Joseph D. Davis, President, Indiana HBPA
Paul R. Martin, President, QHRAI
Tom Mosley, President, ITOBA
Joe Morris, SVP, Caesars Entertainment
Tom Linkmeyer, Deputy Director, IHRC

Indiana Grand 2022 Race Dates

January						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

February						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

March						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June						
Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July						
Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

August						
Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

September						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October						
Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Legend

Monday - Wednesday Post Time 2:30PM	AQHA Championships 6PM Post Time
Saturdays All QH Racing PT: 10AM	Post Time TBD
Post Time 12PM	Thursdays Post Time 3:30PM
Post Time 5PM	Nov. Thursdays Post Time 2:30

Agenda Item #4

Harrah's

HOOSIER PARK
RACING & CASINO

October 14, 2021

Ms. Deena Pitman
Executive Director
Indiana Horse Racing Commission
1302 N. Meridian Street, Suite 175
Indianapolis, Indiana 46202

Dear Deena,

Harrah's Hoosier Park, in conjunction with the Indiana Standardbred Association, would like to respectfully submit to the Indiana Horse Racing Commission the attached 2022 race dates calendar for your consideration and hopeful approval. We would again propose a total of 160 race dates beginning on Friday, March 25 and running through Saturday, December 10.

I would like to point out a couple of changes from the 2021 race dates calendar. After input from the Indiana Standardbred Association, we have decided to replace Sundays with Tuesdays during the period of the calendar when we will be racing five days a week. Also, there will be no racing on the night before Thanksgiving or Thanksgiving Day. We do propose to race on the Spring/Summer holidays of Memorial Day, Fourth of July, and Labor Day. In addition, once we find out the Grand Circuit Day at the Indiana State Fair, which we believe will be August 10 or August 17, there will be no racing at Harrah's Hoosier Park on that day and we will move that racing day to Tuesday, October 4.

Post time will be 6:30 pm EDT each night with the exception of the Triple Crown race days, when we would request a 7:10 pm EDT first post time.

Thank you in advance for your consideration of this race dates request.

Sincerely,



Rick Moore

Vice President and General Manager of Racing

4500 Dan Patch Circle ■ Anderson, IN 46013
(800) 526-7223 ■ HarrahsHoosierPark.com



2022

Race Dates

January						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

February						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28					

March						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

April						
S	M	T	W	T	F	S
						1 2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

June						
S	M	T	W	T	F	S
		1	2	3	4	
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

July						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
						31

August						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

September						
S	M	T	W	T	F	S
		1	2	3		
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

October						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

November						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

December						
S	M	T	W	T	F	S
					1	2
					3	
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

Pitman, Deena

From: Rick Moore <RMoore9@caesars.com>
Sent: Thursday, October 14, 2021 3:43 PM
To: Pitman, Deena
Subject: 2022 Harrah's Hoosier Park Race Dates Request
Attachments: doc05780620211014153344.pdf

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Good afternoon Deena!

Please find attached a letter asking for Indiana Horse Racing Commission approval of the attached 2022 race dates calendar for Harrah's Hoosier Park. I would also add that I have given this letter to ISA president Joe Putnam for any comments he may have and also for his approval. I am pleased to say that Joe was perfectly fine with the letter as is and also told me that the ISA Board of Directors had approved this race dates calendar at their meeting last Monday.

Please let me know of any comments or questions that you may have.

Respectfully,

Rick



Rick Moore | *VP & General Manager of Racing*
O 765-609-4831
4500 Dan Patch Circle | Anderson, Indiana 46013

Agenda Item #5



September 9, 2021

Chairman Phillip Borst, DVM
Executive Director Deena Pitman
1302 N. Meridian St.
Indianapolis, IN 46202

Chairman Borst and Executive Director Pitman,

As you likely recall we have been preparing for the possibility of asking for additional 2021 racing dates at Indiana Grand based on the solid results in both our racing and casino businesses. After discussion with Jessica Barnes and our horsemen we are happy to make the following request:

Indiana Grand respectfully requests the conditional approval from Ms. Pitman to add the following racing days prior to being fully approved at the next IHRC meeting:

- Monday, October 11 – 2:25 p.m. post time (make up day for a cancellation)
- Tuesday, November 9 – 2:25 p.m. post time
- Wednesday, November 10 – 2:25 p.m. post time
- Thursday, November 11 – 2:25 p.m. post time

We feel that racing these four days is the most prudent decision in terms of managing the open purse fund and ITDF monies while offering our loyal horsemen the most opportunities as possible to race. Should the funds and horses be available we will look to add races during our closing week as well.

We thank you for consideration of this request.

Sincerely,

Eric Halstrom
Vice President and General Manager, Indiana Grand Racing and Casino

Cc: Joseph D. Davis, President, Indiana HBPA
Paul R. Martin, President, QHRAI
Tom Mosley, President, ITOBA
Joe Morris, SVP, Caesars Entertainment
Tom Linkmeyer, Deputy Director, IHRC

Pitman, Deena

From: Pitman, Deena
Sent: Wednesday, September 15, 2021 4:50 PM
To: Eric Halstrom
Cc: Linkmeyer, Tom; Jackson, Noah
Subject: RE: Added Race Dates (Oct. 11, November 9, 10, 11)

Eric, thank you for your time this afternoon. With your assurance the horsemen's associations are in agreement and knowing that advance planning is necessary to allow for a makeup day and extending the original race meet, please accept this email as my conditional approval for your request dated September 9, 2021.

Please be aware that your request and this conditional approval will be added to the agenda of the next regularly scheduled commission meeting for full commission approval.

Sincerely,

Deena Pitman
Executive Director
dpitman@hrc.in.gov
317-233-3119



From: Eric Halstrom <EHalstrom@caesars.com>
Sent: Thursday, September 09, 2021 2:57 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Cc: Joseph Morris <JMorris1@caesars.com>; Joe Davis (joedondavis@aol.com) <joedondavis@aol.com>; Paul Martin <paulmart53@yahoo.com>; brigandsilk@yahoo.com; Linkmeyer, Tom <TLinkmeyer@hrc.IN.gov>; Smith, Eric (HRC) <EricSmith@hrc.IN.gov>; Lages, James <JLages@hrc.IN.gov>; Terrien, Gary <GTerrien@hrc.IN.gov>
Subject: Added Race Dates

****** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ******

Deena – Please see the attached request for additional race dates. After careful consideration and discussion with Jessica and our horsemen we think this is the prudent way to finish the meet while giving our horsemen as many racing opportunities as possible.

Thanks for your time. We look forward to your thoughts.

Eric

Agenda Item #6



State of Indiana Indiana Horse Racing Commission

Eric J. Holcomb, Governor

www.in.gov/hrc

DATE: October 13, 2021
TO: Deena Pitman, *Executive Director*
FROM: Jessica Barnes, *Director of Racing and Breed Development*
RE: Request for Waiver of 71 IAC 13.5-2-3 (b)

Our office received requests to allow late registrations for two separate stallions, Sahara Sky (2019 breeding season) and Discrete Lover (2021 breeding season), from their respective owners. Current Thoroughbred Breed Development Program rules allow for late registration if the stallion has not covered any mares during the same year. The applicable rule is as follows:

71 IAC 13.5-2-3 Stallion registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 3. (a) In order to register a stallion with the commission, a completed application must be:

(1) filed with the commission on or before October 15 of each year; and

(2) a current copy of the front and back of the stallion's Jockey Club papers along with lease agreements are to be included with the registration.

(b) Each registered stallion must remain in Indiana the entire breeding season (February 1 through July 1). A stallion may arrive in Indiana after the February 1 deadline, but may only be registered if the stallion has not covered any mares during the same year. The stallion must remain in Indiana for the remainder of the breeding season.

(c) An application must be filed every year.

(d) There will be a two hundred fifty dollar (\$250) late fee for all applications filed after the deadline. The late fee will be waived for new stallions which are standing for the first time in Indiana.

(e) Stallions registering after the October 15 deadline must be registered prior to covering any mares for that year.

(f) Only those stallions standing in Indiana and properly registered with the Indiana horse racing commission are eligible for stallion breed development awards.

(g) A mare's bred report must be on file with the commission by August 1 of each year.

Both stallion owners provided documentation proving the stallions stood in Indiana during the time requested. This request was reviewed by the Thoroughbred Breed Development Advisory Committee at their regular meeting on 8/19/21. After discussion, the Committee made a motion to request a rule waiver of 71 IAC 13.5-2-3(b) to allow both of the stallions to be registered late, noting the following:

- Discreet Lover bred 8 mares. Foals are due in 2022.
- Sahara Sky bred 10 mares, resulting in 6 live foals.



State of Indiana Indiana Horse Racing Commission

Eric J. Holcomb, Governor

www.in.gov/hrc

- Each stallion bred mares under the premise that the stallion was a registered Indiana stallion. These mare owners who bred to these stallions, in good faith, are likely to suffer undue economic hardship as the foals will be unable to be registered as Indiana Sired and ineligible to participate in races for sired horses unless the waiver is granted.
- The Committee agreed the rule should be amended to allow late registrations so mare owners are not harmed. They are working to make applicable changes to the rule and will present these changes to the IHRC for approval at a later date.
- The Commission may waive a rule that, if enforced, would cause undue burden as permitted by 71 IAC 2-2-1(c).

Please also note I agree with the committee's request and recommendation for the rule waiver. I will be working with the committee to ensure rule modifications are proposed and submitted to the IHRC for approval.

Agenda Item #7

Verbal Presentation

Agenda Item #8

September 21, 2021

Deena Pitman, Executive Director
INDIANA HORSE RACING COMMISSION
1302 N. Meridian St., Suite 175
Indianapolis, IN 46202

VIA EMAIL: dpitman@hrc.in.gov

RE: *Redistribution of ISA ADW Funds*

Dear Executive Director Pitman:

On September 13, 2021, the ISA Board of Directors voted to request that the Indiana Horse Racing Commission authorize a revised distribution plan for ADW money earmarked for Standardbred owners and trainers. Currently, Standardbred ADW funds are deposited into the general purse account at Hoosier Park. The ISA Board now requests that those funds be devoted solely to the payment of purses for Indiana Sired races in the same manner and according to the same procedures as are now in place for distribution of breed development funds. Rick Moore at Hoosier Park has been made aware that the ISA is making this request.

Thank you for your attention to this matter. Please feel free to contact me if you require additional information or wish to discuss these matters further.

Sincerely,



Joseph Putnam, President
Indiana Standardbred Association

cc: Indiana Standardbred Association Board of Directors
Roger A. Young, ISA Attorney