

INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 1/1/2023 to 3/13/2023

Ruling	Ruling	Name	Breed	Violation	Violation	Fine		ended	Duyo	
Number	Date		Brood	Date	Violation		From	То	Suspended	
IG-2023-2903	12/30/22	CYNTHIA LOOMIS	ТВ	7/4/22	Vet Rule Violation	\$5,000	1/6/23	9/19/23	257	
IG-2023-2904	1/9/23	NICOLE WETTSTEIN		10/21/22	Vet Rule Violation	\$2,500	11/7/22	1/5/23	60	
IG-2023-2905	2/8/23	GILBERTO GARCIA-VELAZQUEZ		2/7/23	Conduct/Behavior	\$0	2/9/23	5/9/23	90	



INDIANA HORSE RACING COMMISSION

Ruling Log

Rulings Issued From 11/22/2022 to 12/31/2022

Ruling Number	Ruling Date	Name	Breed	Violation Date	Violation	Fine	Susp From	ended To	Days Suspended
HP-2019-1758	6/27/19	KEVIN D. MANLEY	SB	6/27/19	License Violation		6/27/19	12/31/19	188
HP-2022-2606	4/12/22	BRITNEY L. DILLON		12/2/21	Contraband/Drug Violation	\$0	4/12/22	12/1/22	234
HP-2022-2860	10/27/22	JEREMY R. OWEN	SB	8/23/22	Vet Rule Violation				
HP-2022-2892	11/16/22	MELANIE S. WRENN	SB	9/13/22	Medication/Drug Violation (Equine)	\$1,000			
HP-2022-2898	12/2/22	DARRELL L. WRIGHT	SB	11/26/22	Riding/Driving Infraction Violation	\$200			
HP-2022-2899	12/2/22	CHARLES M. CONRAD	SB	11/17/22	Whip Violation	\$300			
HP-2022-2900	11/30/22	SAMUEL D. WIDGER	SB	11/25/22	Riding/Driving Infraction Violation	\$200			
HP-2022-2901	12/7/22	BRANDON L. BATES	SB	12/2/22	Riding/Driving Infraction Violation	\$200			
IG-2022-2902	11/22/22	GAGE M. HOLMES	ТВ	11/21/22	Riding/Driving Infraction Violation	\$0	4/18/23	4/25/23	3*



2023 PROPOSAL

Approved by the Thoroughbred Breed Development Advisory Committee on January 18, 2023 Approved by the IHRC Executive Director on _____. Approved by the IHRC on _____.

2022 Program Expenditures:

	IHRC Approved	Total Spent	Difference
Awds Open Races	\$450,000	\$325,020	(\$124,980)
Out of State Breeder's Awards	\$50,000	\$46,635	(\$3,365)
BDF Purse Contribution Stakes	\$1,816,666	\$1,816,666	\$0
Breeder Awds Stakes	\$696,000	\$696,902	\$902
Stallion Owner Awds Stakes	\$221,000	\$196,448	(\$24,553)
BDF Purse Contribution Overnights	\$7,469,800	\$7,462,000	(\$7,800)
Breeder Awards Overnights	\$2,295,829	\$2,655,930	\$360,101
Stallion Owner Awds Overnights	\$741,001	\$631,500	(\$109,501)
2nd Place Breeder Award Pool	\$242,900	\$242,900	\$0
2nd Place Stallion Award Pool	\$127,160	\$127,160	\$0
TOTAL - FROM BDF	\$14,110,335	\$14,201,161	\$90,805

2023 Projected Revenue:	B Proposed Budget
AGR	\$13,502,603.36
BREAKAGE/OUTS	\$175,000.00
UTILIZE FROM RESERVES*	\$350,000.00
TOTAL REVENUE	\$14,027,603.36
*Balance on 12/31/23 projected to be approx 2023 program.	imately \$1.8 after utilizing \$350,000 from reserve for the
2023 Budget:	
Promotional/ Administrative Expenses	\$325,000
REMAINING AVAILABLE FOR RAC	ING PROGRAM \$13,702,603.36
Indiana Bred Race Program Budg	et – Proposed
Awards - Open Races	\$ 350,000
Out of State Breeder's Awards	\$ 50,000
BDF Purse Contribution Stakes	\$1,816,666
Breeder Awards – Stake Races	\$ 696,000
Stallion Owner Awards – Stake Races	\$ 221,000
BDF Purse Contribution Overnight Race	
Breeder Awards – Overnight Races	\$2,700,000
Stallion Owner Awards – Overnight Rad	
2 nd Place Breeder Award Pool*	\$ 0
2 nd Place Stallion Award Pool*	\$ 0
TOTAL - FROM BDF	\$13,714,666

*At this time, 2nd place awards for Breeders and Stallion Owners are not included in the proposal. Should 2023 revenues be above projections to provide enough revenue to adequately fund these awards, the Committee will submit an amended proposal to the IHRC.

Program Flexibility

During the 2021 program the IHRC staff, Breed Development Committee, racetrack management and horsemen organizations worked closely to monitor incoming revenue, number of Indiana Bred or Sired races being ran and the impacts to the purse account and Breed Development budget. During this time, we made several requests to the Executive Director to adjust the base purse (the amount contributed to each race by the purse account) to meet the needs of the program. With the approval of the 2022 program, the IHRC gave the program the ability to make these adjustments (without seeking additional approval) if all parties (Breed Development Committee, IHRC staff, racetrack management and horsemen associations) are all

in agreement on the adjustment. This worked well for 2022 program, and we are requesting the ability to continue this for the 2023 program.

Statutory Mandate of Funds Allocated to Sired Program

There was a legislative change to the statute made during the 2019 session which mandates a minimum of 41% be allocated to the Sired portion of the program. House Enrolled Act No. 1196 states that of the funds allocated to the Thoroughbred Breed Development Fund that...

"...at least forty-one (41%) to the Indiana sired horses program."

The proposed program allocates approximately 43.20 % of the Breed Development Funds to the sired program, ensuring that the 41% mandate is achieved.

Summary of the 2023 Proposed Program

- ✓ Stakes Program The 2022 saw purse increases to four of our Signature Stakes. The purse increases were funded utilizing ITOBA ADW funds and purse account funds. The Thoroughbred Breed Development Fund covered the increase to the breeder and stallion awards for these races. The purses for stakes races for 2023 will remain the same as 2022. In addition, the distances of the four signature stakes have been changed from 1 mile to 1 mile 70 yards. The four races this change applies to includes; The Indiana First Lady, The Governor's Stakes, The Unreachable Star, and The Lady Foghorn. ITOBA and the track (purse contributors) are both in agreement with this change.
- Continuation of an "Indiana Champions Day" we will work with racetrack management and the horsemen organization to continue this day to help showcase our Thoroughbred Breed Development Program.
- ✓ Purse separation between Bred and Sired races Purses for 2023 will be contested at the same level they were at the end of the meet for 2022. This includes purses for Indiana Sired races being above those of Indiana Bred races. With the statutory mandate, this assists the program in reaching the 41% requirement, as well as encourages growth in our program.
- ✓ Breeder's Awards and Stallion Owner Awards This program will remain as it did in 2023, with awards being paid to 1st Place Finishers in eligible races. During 2021 and 2022, a 2nd place award was instituted to be paid at the end of the year from a pool of available funding. At this time, 2nd place awards for Breeders and Stallion Owners are not included in the proposal. Should 2023 revenues be above projections to provide enough revenue to adequately fund these awards, the Committee will submit an amended proposal to the IHRC.

Proposed Program and Guidelines

Scheduled Overnight Races:

There are 375 proposed scheduled races, with 148 races for Indiana sired horses and 227 races for Indiana bred horses, as a starting point for the program. These numbers may increase or decrease as adjustments are made to the base purse throughout the meet.

Please see Attachment A for detailed information outlining the proposed race program.

Signature Stake Races:

The Governor's Stake	3YR Olds	1MI 70 YDS – Dirt	\$250,000 Guaranteed
The First Lady Stake	3 YR Old Fillies	1 MI 70 YDS – Dirt	\$200,000 Guaranteed
The Too Much Coffee	3 YR Old & UP	1 1/16 MI – Dirt	\$150,000 Guaranteed
The Francis Slocum	3 YR Old & Up Filly/Mare	1 1/16 MI – Dirt	\$150,000 Guaranteed
The Unreachable Star	3 YR Old – Sired	1 MI 70 YDS – Dirt	\$250,000 Guaranteed
The Lady Foghorn	3 YR Old F/M – Sired	1 MI 70 YDS - Dirt	\$200,000 Guaranteed

Remaining Stakes Program:

The purses for these races will be raced at \$100,000.

Preference for all stake races will be high weights preferred, second preference lifetime earnings.

In addition, Breed Development will continue to contribute funding to the ITOBA Stallion Stakes. Breed Development will contribute \$33,333 to each race (for a total expenditure of \$66,666).

2 Year Olds

Breed Development Stakes Program:

	DISTANCE	PURSE	SEX
The Circle City Stakes	6 Furlongs	\$100,000 added	
The Back Home Again Stakes	6 Furlongs	\$100,000 added	Filly
The Indiana Futurity	1 Mile 70 Yds	\$100,000 added	
The Miss Indiana Stakes	1 Mile 70 Yds	\$100,000 added	Filly
The Indiana Stallion Stakes (Sired Filly)	6 Furlongs	\$100,000 added	Filly
The Crown Ambassador (Sired)	6 Furlongs	\$100,000 added	

	DISTANCE	PURSE	SEX
The Ellen's Lucky Star Stakes	1 Mile Turf	\$100,000 added	Filly
The Snack Stakes	1 Mile Turf	\$100,000 added	
Hoosier Breeders Sophmore Stakes	1 1/16 Mile	\$100,000 added	
Hoosier Breeders Sophmore Stakes (Filly)	1 1/16 Mile	\$100,000 added	Filly
The Sagamore Sired Stakes	6 Furlongs	\$100,000 added	
The Sired Swifty Stakes	6 Furlongs	\$100,000 added	Filly
The Indiana First Lady Stakes	1 Mile 70 YDS	\$200,000 guaranteed	Filly
The Governor's Stakes	1 Mile 70 YDS	\$250,000 guaranteed	
The Unreachable Star (Sired)	1 Mile 70 YDS	\$250,000 guaranteed	
The Lady Foghorn (Sired)	1 Mile 70 YDS	\$200,000 guaranteed	Filly/Mare
ITOBA Stallion Stakes – Colts	1 Mile	\$100,000 added	C/G
ITOBA Stallion Stakes – Fillies	1 Mile	\$100,000 added	F

Year Olds

3 Year Old & Up

	DISTANCE	PURSE	SEX
The Shelby County Stakes (Sired)	6 Furlongs	\$100,000 added	Filly/Mare

The William Henry Harrison Stakes (Sired)	6 Furlongs	\$100,000 added	
The Peony Stakes	1 1/16 Mile Turf	\$100,000 added	Filly/Mare
The Bucchero Stakes	1 1/16 Mile Turf	\$100,000 added	
The Checkered Flag Stakes	6 Furlongs	\$100,000 added	Filly/Mare
The Brickyard Stakes	6 Furlongs	\$100,000 added	
The Empire Stakes (Sired)	1 1/16 Mile	\$100,000 added	
The Hoosier Heartland Stakes (Sired)	1 1/16 Mile	\$100,000 added	Filly/Mare
The Cardinal Stakes	1 1/16 Mile	\$150,000 guaranteed	Filly/Mare
The To Much Coffee Stakes	1 1/16 Mile	\$150,000 guaranteed	

General Program Guidelines:

- Each regularly scheduled overnight race that fills with seven (7) or more betting interests will be carded. It is the discretion of the racing secretary as whether to utilize races with fewer than seven (7) betting interests.
- Stake races are not required to have seven (7) starters to be used.
- If a regularly scheduled race fails to be carded due to a lack of **seven** (7) betting interests, but contains at least six (6) preferred betting interests, the racing secretary shall bring that race back the following day. Should the race not fill with **seven** (7) betting interests again, the purse money from that race will be allocated to the Discretionary Race Fund.
- In order for a race to be split, it must contain at least seven (7) betting interests in each.
- The racing secretary has the discretion to write Indiana-bred claiming races at a level below \$10,000 as needed. These races will not be used to replace any race in the Thoroughbred Breed Development Program.
- All stakes shall be "added money" with the nomination, entry and starting fees contributing to the purse as indicated in 71 IAC 1.5-1-97.
- One-third of all allowance races, including maiden special weights, are to be written for Indiana sired horses. The racing secretary shall make every attempt to write Indiana-sired races in advance of Indiana-bred races for the same condition. In addition, the Committee encourages the racing secretary to increase the number of Sired races in excess of this guideline as needed.

- The racing secretary shall make every attempt to provide 50% racing opportunities for colts and geldings and 50% for fillies and mares in the overnight program.
- The racing secretary shall make every attempt to write at least 30% of all maiden and allowance races going long.
- Recognizing the importance of turf racing for Indiana Bred/Sired horses, the racing secretary shall make every attempt to write an appropriate number of turf races.

Indiana – Bred Preference:

Allowance and Maiden Special Weight

Preference will be given to horses that have not started for less than \$10,000 in their last five starts. A horse can regain preference by running 1st, 2nd or 3rd for \$10,000 or more since last starting for less than \$10,000.

Indiana – Bred Maiden Eligibility:

Horses that have not finished 5th or better in one of their last **six** starts are **least preferred** for Maiden Special Weight Races.

Limited Preferred Starter Status for Indiana-Breds in Open Company Races (Stakes Excluded):

Once a registered Indiana-bred receives an R Date or E Date, it will receive Starter Preferences over Non Indiana-bred horses that have equal R Dates or E Dates. Indiana-breds will NOT receive starter preference over Non Indiana-breds with better R Dates, E Dates or when both horses have 0 dates. Preference dates will not supersede conditions of a race.

Exclusion of Indiana – Bred Wins in Open Other Than Conditioned Allowance Races

The Racing Secretary has agreed to include the words "Indiana-bred Race" in all other than open Allowances.

Examples:

(1) Non winners of a race other than Maiden, Claiming or Indiana-bred race.

(2) Non winners of two races other than Maiden, Claiming or Indiana-bred race.

(3) Non winners of three races other than Maiden, Claiming or Indiana-bred race.

Indiana- Bred Weight Allowance:

Registered Indiana-breds shall automatically receive a 3 lb. weight allowance when competing in races not restricted to Indiana-breds. Stakes races will be exempt from this requirement.

Indiana – Sired Weight Allowance in Indiana Bred Races:

In Indiana restricted races, registered Indiana – sired horses shall receive a weight allowance of 3 lbs.

	Race Type	Proposed	Bred Purse	ITOBA/ADW Total	ITDF Contribution Per	ITDF Total	Track Contribution	Track Contribution		
		op ood u	2023	Contribution	Race	Contribution	Per Race	Total	Total Purses	
	Sired & Bred Stake	12	\$100,000		\$65,000	\$780,000	\$35,000	\$420,000	\$1,200,000	
	Sired Only Stake	8	\$100,000		\$65,000	\$520,000	\$35,000	\$280,000	\$800,000	
	Unreachable Star	1	\$250,000	\$100,000	\$75,000	\$75,000	\$75,000	\$75,000	\$250,000	
	Lady Foghorn	1	\$200,000	\$50,000	\$75,000	\$75,000	\$75,000	\$75,000	\$200,000	
	Governor's Stake	1	\$250,000	\$100,000	\$75,000	\$75,000	\$75,000	\$75,000	\$250,000	
	First Lady Stake	1	\$200,000	\$50,000	\$75,000	\$75,000	\$75,000	\$75,000	\$200,000	
	Signature Stakes	2	\$150,000		\$75,000	\$150,000	\$75,000	\$150,000	\$300,000	
	TOBA Stall Season Stake	2	\$100,000	\$66,666	\$33,333	\$66,666	\$33,333	\$66,666	\$200,000	
							TOTAL STAKE	PURSES	\$3,400,000	
	Sired & Bred Open Alw	6			\$30,000	\$180,000	\$15,000	\$90,000	\$270,000	
	Sired & Bred NW 4	17	\$42,000		\$27,000	\$459,000	\$15,000	\$255,000	\$714,000	
	Sired NW4	1	\$44,000		\$29,000	\$29,000	\$15,000	\$15,000	\$44,000	
	Sired & Bred NW 3	24	\$40,000		\$25,000	\$600,000		\$360,000		
	Sired Only NW3	24	\$43,000	1	\$28,000	\$672,000	\$15,000	\$360,000	\$1,032,000	
	Sired & Bred NW 2	31	\$38,000		\$23,000	\$713,000		\$465,000		
	Sired Only NW2	29	\$41,000	1	\$26,000	\$754,000	\$15,000	\$435,000	\$1,189,000	
	Sired & Bred MSW	68	\$36,000		\$21,000			\$1,020,000		
	Sired Only MSW	68	\$38,500	1	\$23,500	\$1,598,000	\$15,000	\$1,020,000	\$2,618,000	
	Sired & Bred NW4 Opt 80,000 Clm	2	\$42,000		\$27,000	\$54,000		\$30,000		
-	Sired Alw Xmc NW2	6	\$41,000		\$26,000	\$156,000	\$15,000	\$90,000	\$246,000	
	Sired & Bred Alw NW2x or NW5	1			\$26,000	\$26,000		\$15,000	\$41,000	
	Sired & Bred Alw Xmc NW4	3	1 - 1		\$25,000	\$75,000	\$15,000	\$45,000	\$120,000	
	Sired & Bred Alw NW1x or NW2	6			\$23,000	\$138,000		\$90,000	\$228,000	
	Sired & Bred Starter Opt Clm	2			\$20,000	\$40,000	\$15,000	\$30,000	\$70,000	
	Sired & Bred Xmc	6			\$17,500	\$105,000	\$15,000	\$90,000	\$195,000	
	Sired & Bred NW3 Clm 32,000	1	\$30,000		\$15,000	\$15,000	\$15,000	\$15,000	\$30,000	
	Sired & Bred Mdn 30,000	5	1 . /		\$11,000	\$55,000	\$15,000	\$75,000	\$130,000	
	Sired & Bred Xmc or Clm 12,500	3			\$7,000	\$21,000		\$45,000	\$66,000	
	Sired & Bred Mdn 20,000	4			\$5,000	\$20,000	\$15,000	\$60,000	\$80,000	
	Sired & Bred Xmc/Clm 15000	12	\$17,500		\$2,500	\$30,000	\$15,000	\$180,000	\$210,000	
	Sired & Bred Mdn 15,000	7	\$17,500		\$2,500	\$17,500	\$15,000	\$105,000	\$122,500	
	Sired Only Mdn 10,000	13	\$16,500		\$1,500	\$19,500	\$15,000	\$195,000	\$214,500	
	Sired & Bred Starter Opt Clm 8000	1	\$16,500		\$1,500	\$1,500	\$15,000	\$15,000	\$16,500	
	Sired & Bred Cond 10,000	14	\$16,000		\$1,000	\$14,000	\$15,000	\$210,000	\$224,000	
	Sired Only Cond 10,000	7	\$15,500		\$500	\$3,500	\$15,000	\$105,000	<mark>\$108,500</mark>	
	Sired & Bred Mdn 10,000	14	\$15,500		\$500	\$7,000	\$15,000	\$210,000	\$217,000	
	TOTAL OVERNIGHTS	375							\$12,856,000	
	GRAND TOTAL				base from track	\$15,000			\$16,256,000	
						AT 004 000		* = 005 000		
	SIRED ONLY COUNT	148		IID	F Total Overnights		Track Total Overnights	\$5,625,000		
	SIRED & BRED COUNT	227			ITDF Total Stakes		Track Total Stakes	\$1,216,666		
					ITDF Purses Total	\$9,047,666	Track Purses Total	\$6,841,666		
		\$40 7 00 000								
	AMOUNT AVAILABLE FROM BDF	\$13,702,603			T-1-1)(-1			F = (-)	#00 FF0 000	
	Auda Onen Dassa	¢250.000			Total value of Bree		Program (BDF Funds +	Гаск)	\$20,556,332	
	Awds Open Races	\$350,000				Total A	wards for 2023		\$4,667,000	
	Out of State Breeder's Awards	\$50,000						¢070.000		
	BDF Purse Contribution Stakes	\$1,816,666				ED STAKES FR	-	\$670,000		
	Breeder Awds Stakes	\$696,000				OVERNIGHTS		\$3,232,000		
	Stallion Owner Awds Stakes	\$221,000				R AWARDS SI		\$258,000		
	BDF Purse Contribution Overnights	\$7,231,000					S SIRED STAKES	\$129,000		
	Breeder Awards	\$2,700,000					VERNIGHT RACES	\$1,090,400		
	Stallion Owner Awds	\$650,000					OVERNIGHT RACES	\$545,200		
	2nd Place Breeder Awd Pool					ION OWNER 2		\$0		
	2nd Place Stallion Awd Pool					O SIRED RACE		\$5,924,600		
	TOTAL - FROM BDF	\$13,714,666			TOTAL PERCENT	AGE OF BDF \$	TO SIRED PROGRAM	43.20%		

From:	Pitman, Deena
То:	Barnes, Jessica
Subject:	RE: Thoroughbred and Quarter Horse Breed Development Program Proposal
Date:	Friday, March 10, 2023 10:53:00 AM
Attachments:	2023 TB Proposal.pdf 2023 OH Proposal.pdf

Thank you, Jessica. Based on your information below, please accept this email as the Commission's approval of the attached 2023 Thoroughbred and Quarter Horse Breed Development Programs. Please note that this decision will be placed on the March 21, 2023, agenda for full commission ratification.

Deena Pitman

Executive Director dpitman@hrc.in.gov 317-233-3119



From: Barnes, Jessica <jbarnes@hrc.IN.gov>
Sent: Monday, March 6, 2023 9:46 AM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Subject: RE: Thoroughbred and Quarter Horse Breed Development Program Proposal

Deena

There are three ways the increase in awards are being funded. The past two years we have come in under budget for overnight races – with 89 being approved and 68 being ran in 2021 and 76 approved and 61 ran during 2022. For the 2023 program, we have decreased the number of these races proposed to 73, which has a slight decrease to the budget. I think based on the availability of horses, it will be difficult to get to 73 overnight races for the program during 2023, and think we more than likely end up around 65. However, we didn't want to decrease it too much in case the races actually fill better this year. In addition, based on information from last year's program, a smaller amount of horses are earning awards in open races, so that budget amount has been modified from \$275,000 to \$200,000. The third factor is due to the fact that since several of our areas were underbudget for 2022, the amount of reserves available to utilize has increased, so we have slightly increased the amount of reserves we are utilizing from \$250,000 to \$310,000. Hope this helps.

Thanks!

Jessica

From: Pitman, Deena <<u>dpitman@hrc.IN.gov</u>>
Sent: Sunday, March 5, 2023 3:27 PM
To: Barnes, Jessica <<u>jbarnes@hrc.IN.gov</u>>
Subject: RE: Thoroughbred and Quarter Horse Breed Development Program Proposal

Jessica, in reviewing your program information, the following question relates to QH. If the Breeder's awards have increased to 17.5% was that increase at the cost of decreasing in other areas?

From: Barnes, Jessica <jbarnes@hrc.IN.gov>
Sent: Friday, February 17, 2023 1:19 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Subject: Thoroughbred and Quarter Horse Breed Development Program Proposal

Deena –

Attached you will find the Thoroughbred and Quarter Horse Breed Development Proposals. The Thoroughbred program is very similar to the one approved for 2022 with few modifications, they are:

- Funding for 2nd place awards has not been allocated if revenues come in above projections to adequately fund these awards, we will approach the IHRC with an amended proposal.
- Changing the distance on four of the Signature Stakes from 1 mile to 1 mile 70 YDS. This will allow more horses to start in these stakes.

The Quarter Horse program is also very similar to the one approved for 2022 with the following modification:

• Increase to Breeder's awards, from 12.5% to 17.5%. In addition, I have submitted a rule change for IHRC consideration at their meeting.

Thank you for your consideration in approving these items, so that Horseshoe Indianapolis may proceed with their condition books. I would be happy to answer any questions you may have.

Thanks!

Jessica

Jessica Barnes

Director of Racing & Breed Development Indiana Horse Racing Commission 1302 N. Meridian St., Suite 175 Indianapolis, IN 46204 (317) 233-3120



2023 Program

Approved by the Quarter Horse Advisory Committee on 2/8/2023. Approved by the IHRC Executive Director on _____. ____• Approved by the IHRC on _____

Recap of the 2023 Program Expenditures:

	PROPOSED	ACTUAL	AMOUNT UNDER/OVER
# of Overnight Races Supplemented	76	61	(15)
Number of Stake Races/Trials	36	37	1
Total Number of Races	112	98	(14)
BDF \$ to Purses	\$622,100	\$529,329	(\$92,711)
Owner Awards (IB Races)	\$353,813	\$290,100	(\$63,713)
Breeder Awards (IB Races)	\$353,813	\$290,100	(\$63,713)
Stallion Owner Awards (IB Races)	\$212,288	\$203,388	(\$8,900)
Owner, Breeder and Sire Awards (Open Races)	\$275,000	\$188,290	(\$86,710)
TOTAL	\$1,817,013	\$1,501,207	\$315,806

I. **Projected Revenue:**

Projected Revenue for 2023 – Gaming \$1,577,259.84 Breakage & Outs

\$30,000

Utilize from Reserves*	\$310,000
Total	\$1,917,259
Promo/Admin. Expenses	\$50,000
Amt. Available for 2023 Racing Program	\$1,867,259

*Balance on 12/31/2023 projected to be \$668,646, after utilizing \$310,000 from reserves for 2023 program.

II. Projected Spend (Budget):

# of Overnight Races Supplemented	73
Number of Stake Races/Trials	36
Total Number of Races Proposed	109
BDF \$ to Purses	\$609,500
Owner Awards (IB Races)	\$345,938
Breeder Awards (IB Races)	\$484,313
Sire Awards (IB Races)	\$224,688
Owner, Breeder and Sire Awards (Open Races)	<u>\$200,000</u>
TOTAL – FROM BDF	\$1,867,438

Please see Attachment A for detailed information regarding the Quarter Horse Breed Development Program.

The 2023 Program

- ✓ Breed Development Fund to pay 25% of the purse for stakes races and trials (prior to any added monies).
- ✓ Trial purses to remain at \$15,000 per trial.
- ✓ Breed Development Fund to pay 20% of the purse for overnight races.
- ✓ Owner, and Stallion Owner Awards in all races will be paid at 12.5%.
- ✓ Breeder awards for all races will be increased from 12.5% to 17.5%. The purpose for this increase provides additional incentives for mare owners to invest in the breeding program. Proposed rule change will be submitted to IHRC for approval.
- ✓ Scheduling of 73 Overnight races.
- ✓ There will not be trials for the Hoosier Classic, Born Runner and Bob Woodard stakes for older horses (4 YR Olds & UP).
- ✓ Each regularly scheduled overnight race that fills with eight (8) or more betting interests will be carded. It is the discretion of the racing secretary as to whether to utilize races with fewer than eight (8) betting interests.
- ✓ Addition of two 4-year-old and older handicap races to be contested for older horses and be held sometime in July and August.

Stake Races

•	Gordon Mobley	Sired 2 YR Olds	Spring	300 YDS	\$150,000
٠	Jaguar Rocket	Bred 2 YR Olds	Spring	300 YDS	\$100,000
•	Miss Roxie Little	Bred 2 YR Olds	Fall	350 YDS	\$150,000
٠	Harley Greene Derby	Sired 3 YR Olds	Spring	350 YDS	\$ 75,000
•	Blue River Derby	Bred 3 YR Olds	Spring	400 YDS	\$100,000
•	Sterlie Bertram Mem.	Bred 3 YR Olds	Fall	400 YDS	\$100,000
•	Born Runner Classic	Sired 4 YR & UP	Spring	400 YDS	\$ 75,000
•	Hoosier Classic	Bred 4 YR & UP	Fall	400 YDS	\$ 75,000
٠	Bob Woodard Classic	Bred 4 YR & Up	Spring	400 YDS	\$ 75,000
٠	Miss Roxie Little Cons.	Bred 2 YR Olds	Fall	350 YDS	\$ 30,000

Horseshoe Indianapolis 2023 Worksheet Quarter Horse Breed Development Program

Proposed 2023	Purse	QHDF Contribution Per Race	QHDF Total Contribution	Track Contribution Per Race	Track Contribution Total	Total Purses
1	\$150,000	\$37,500	\$37,500	\$112,500	\$112,500	\$150,000
1	\$100,000	\$25,000	\$25,000	\$75,000	\$75,000	\$100,000
1	\$150,000	\$37,500	\$37,500	\$112,500	\$112,500	\$150,000
1	\$100,000	\$25,000	\$25,000	\$75,000	\$75,000	\$100,000
1	\$100,000	\$25,000	\$25,000	\$75,000	\$75,000	\$100,000
1	\$75,000	\$18,750	\$18,750	\$56,250	\$56,250	\$75,000
1	\$75,000	\$18,750	\$18,750	\$56,250	\$56,250	\$75,000
1	\$75,000	\$18,750	\$18,750	\$56,250	\$56,250	\$75,000
1	\$75,000	\$18,750	\$18,750	\$56,250	\$56,250	\$75,000
9	\$900,000					\$900,000
1	\$30,000	\$7,500	\$7,500	\$22,500	\$22,500	\$30,000
26	\$15,000	\$3,750	\$97,500	\$11,250	\$292,500	\$390,000
36			\$330,000			\$1,320,000
3	\$22,000	\$4,400	\$13,200	\$17,600	\$52,800	\$66,000
4	\$20,500		\$16,400		\$65,600	
12	\$19,500	\$3,900			\$187,200	
3	\$19,500	\$3,900	\$11,700	\$15,600	\$46,800	\$58,500
5	\$19,000	\$3,800	\$19,000	\$15,200	\$76,000	\$95,000
44	\$18,000	\$3,600	\$158,400	\$14,400	\$633,600	\$792,000
2	\$35,000	\$7,000	\$14,000	\$28,000	\$56,000	\$70,000
73			\$279,500			\$1,397,500
QHDF Total	l Overnights	\$279,500	Track Total (Overnights	\$1,118,000	
QHDF To	tal Stakes	\$330,000	Track Tota	l Stakes	\$990,000	
QHDF Pu	rses Total	\$609,500	Track Purs	es Total	\$2,108,000	
					TOTAL PURSES	\$2,717,500
laces	\$200,000					
	\$609,500					
	\$345,938					
	\$484,313					
	\$224,688			Amount Ava	ailable from QH	¢4 967 965 00
					BDF	\$1,867,265.00
	2023 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	2023 Purse 1 \$150,000 1 \$100,000 1 \$150,000 1 \$100,000 1 \$100,000 1 \$100,000 1 \$100,000 1 \$100,000 1 \$100,000 1 \$75,000 1 \$75,000 1 \$75,000 1 \$75,000 1 \$75,000 1 \$75,000 1 \$75,000 2 \$30,000 26 \$15,000 26 \$15,000 3 \$22,000 4 \$20,500 12 \$19,500 3 \$19,500 5 \$19,000 44 \$18,000 2 \$35,000 73 QHDF Total Overnights QHDF Total Stakes QHDF Purses Total acces \$200,000 \$345,938	Proposed 2023 Purse Contribution Per Race 1 \$150,000 \$37,500 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$100,000 \$25,000 1 \$75,000 \$18,750 1 \$75,000 \$18,750 9 \$900,000 \$18,750 1 \$75,000 \$18,750 9 \$900,000 \$18,750 1 \$75,000 \$18,750 26 \$15,000 \$3,750 3 \$22,000 \$4,400 4 \$20,500 \$4,100 12 \$19,500 \$3,900 3 \$19,500 \$3,900 5 \$19,000 \$3,800 2 \$35,000 \$7,000	Proposed 2023 Purse Contribution Per Race CHDF 10tal Contribution 1 \$150,000 \$37,500 \$37,500 1 \$100,000 \$25,000 \$25,000 1 \$100,000 \$25,000 \$25,000 1 \$100,000 \$25,000 \$25,000 1 \$100,000 \$25,000 \$25,000 1 \$100,000 \$25,000 \$25,000 1 \$75,000 \$18,750 \$18,750 1 \$75,000 \$18,750 \$18,750 1 \$75,000 \$18,750 \$18,750 1 \$75,000 \$18,750 \$18,750 1 \$30,000 \$7,500 \$7,500 26 \$15,000 \$3,750 \$97,500 3 \$22,000 \$4,400 \$13,200 4 \$20,500 \$4,400 \$13,200 3 \$19,500 \$3,900 \$11,700 5 \$19,000 \$3,800 \$19,000 4 \$18,000 \$3,600	Proposed 2023 Purse Contribution Per Race Contribution Contribution Contribution Per Race 1 \$150,000 \$37,500 \$37,500 \$112,500 1 \$150,000 \$25,000 \$25,000 \$75,000 1 \$150,000 \$25,000 \$25,000 \$75,000 1 \$100,000 \$25,000 \$25,000 \$75,000 1 \$100,000 \$25,000 \$25,000 \$75,000 1 \$100,000 \$25,000 \$25,000 \$75,000 1 \$75,000 \$18,750 \$18,750 \$56,250 1 \$75,000 \$18,750 \$18,750 \$56,250 9 \$900,000	Proposed 2023 Purse Contribution Per Race 1 QHDF 10tal contribution Per Race 1 Contribution for \$15,000 Contribution \$112,500 Contribution Total 1 \$150,000 \$37,500 \$37,500 \$112,500 \$112,500 1 \$100,000 \$25,000 \$25,000 \$75,000 \$75,000 1 \$100,000 \$25,000 \$22,000 \$75,000 \$75,000 1 \$100,000 \$25,000 \$22,000 \$75,000 \$75,000 1 \$70,000 \$18,750 \$18,750 \$56,250 \$56,250 1 \$75,000 \$18,750 \$18,750 \$56,250 \$56,250 1 \$75,000 \$18,750 \$18,750 \$56,250 \$22,500 9 \$90,000

From:	Pitman, Deena
То:	Barnes, Jessica
Subject:	RE: Thoroughbred and Quarter Horse Breed Development Program Proposal
Date:	Friday, March 10, 2023 10:53:00 AM
Attachments:	2023 TB Proposal.pdf 2023 <u>OH Proposal.pdf</u>

Thank you, Jessica. Based on your information below, please accept this email as the Commission's approval of the attached 2023 Thoroughbred and Quarter Horse Breed Development Programs. Please note that this decision will be placed on the March 21, 2023, agenda for full commission ratification.

Deena Pitman

Executive Director dpitman@hrc.in.gov 317-233-3119



From: Barnes, Jessica <jbarnes@hrc.IN.gov>
Sent: Monday, March 6, 2023 9:46 AM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Subject: RE: Thoroughbred and Quarter Horse Breed Development Program Proposal

Deena

There are three ways the increase in awards are being funded. The past two years we have come in under budget for overnight races – with 89 being approved and 68 being ran in 2021 and 76 approved and 61 ran during 2022. For the 2023 program, we have decreased the number of these races proposed to 73, which has a slight decrease to the budget. I think based on the availability of horses, it will be difficult to get to 73 overnight races for the program during 2023, and think we more than likely end up around 65. However, we didn't want to decrease it too much in case the races actually fill better this year. In addition, based on information from last year's program, a smaller amount of horses are earning awards in open races, so that budget amount has been modified from \$275,000 to \$200,000. The third factor is due to the fact that since several of our areas were underbudget for 2022, the amount of reserves available to utilize has increased, so we have slightly increased the amount of reserves we are utilizing from \$250,000 to \$310,000. Hope this helps.

Thanks!

Jessica

From: Pitman, Deena <<u>dpitman@hrc.IN.gov</u>>
Sent: Sunday, March 5, 2023 3:27 PM
To: Barnes, Jessica <<u>jbarnes@hrc.IN.gov</u>>
Subject: RE: Thoroughbred and Quarter Horse Breed Development Program Proposal

Jessica, in reviewing your program information, the following question relates to QH. If the Breeder's awards have increased to 17.5% was that increase at the cost of decreasing in other areas?

From: Barnes, Jessica <jbarnes@hrc.IN.gov>
Sent: Friday, February 17, 2023 1:19 PM
To: Pitman, Deena <dpitman@hrc.IN.gov>
Subject: Thoroughbred and Quarter Horse Breed Development Program Proposal

Deena –

Attached you will find the Thoroughbred and Quarter Horse Breed Development Proposals. The Thoroughbred program is very similar to the one approved for 2022 with few modifications, they are:

- Funding for 2nd place awards has not been allocated if revenues come in above projections to adequately fund these awards, we will approach the IHRC with an amended proposal.
- Changing the distance on four of the Signature Stakes from 1 mile to 1 mile 70 YDS. This will allow more horses to start in these stakes.

The Quarter Horse program is also very similar to the one approved for 2022 with the following modification:

• Increase to Breeder's awards, from 12.5% to 17.5%. In addition, I have submitted a rule change for IHRC consideration at their meeting.

Thank you for your consideration in approving these items, so that Horseshoe Indianapolis may proceed with their condition books. I would be happy to answer any questions you may have.

Thanks!

Jessica

Jessica Barnes

Director of Racing & Breed Development Indiana Horse Racing Commission 1302 N. Meridian St., Suite 175 Indianapolis, IN 46204 (317) 233-3120



Memorandum

TO: Deena Pitman, Executive Director, Indiana Horse Racing Commission

FROM: Rick Moore, Vice President and General Manager of Racing

DATE: January 13, 2023

RE: 2023 Hoosier Park Racing Officials for Approval

Please find below a list of racing officials for your approval for the upcoming 2023 Harrah's Hoosier Park Standardbred Meet as listed under IHRC 71 IAC 3-1-1 Racing Officials. The 2023 meet begins Friday, March 24.

All of the individuals listed below have been previously licensed by the Indiana Horse Racing Commission, except the new Racing Office Assistant/Entry Clerk, Mackenzie Ainsworth.

As always, please do not hesitate to contact me if you have any questions or require additional information.

Director of Racing/Racing Secretary	Scott Peine
Paddock Judge	Joe Thompson
Backup Paddock Judges	Sharon Beeman, Stefanie Howard, Kelly Haynes
Horse Identifier	Kelly Haynes
Backup Horse Identifiers	Dawn Peckinpaugh, Sharon Beeman
Asst. Racing Secretary/ Clerk of Course	Cindy Tetuan
Racing Office Assistants/Entry Clerks	Kelly Haynes, Mackenzie Ainsworth
Official Starter/Patrol Judge	Todd Nixon
Official Charter/Program Director	Maggie Audley
Backup Official Charter/Program Director	Tina Seekman
Official Timer & Photo Finish	International Sound
Photo Finish Technician	Michaela Bowen
Director of Veterinary Services	Dr. Dan Eichhorn
Racing Veterinarians	Dr. Katie Ibester, Dr. Michael Hardy & Dr. Kevin Egger
Stall Superintendent	Dawn Peckinpaugh
Judges Stand Tote Assistant	Michelle Arbuckle
Horsemen's Bookkeeper	Kathy Gaylor-Kendall
Outrider	Kristina Smith
Backup Outrider	Rachel Leonard



March 7, 2023

Chairman Phillip Borst, DVM Executive Director Deena Pitman 1302 North Meridian Street Indianapolis, Indiana 46202

Chairman Borst and Executive Director Pitman,

Please see the following list of 2023 Racing Officials at HORSESHOE INDIANAPOLIS for your approval as required by 71 LAC 3.5-1-3 Racing Officials. The 2023 meet begins on Tuesday, April 18th.

All the individuals listed below have been previously licensed by the IHRC except for those with an asterisk:

Director of Racing|Racing Secretary Assistant Racing Secretary Racing Supervisor Paddock Judge Placing|Patrol Judges

Outriders Claims Clerk Clockers Clerk of Scales Jockeys' Room Custodian Starter Track Superintendent Horse Identifier Photo Finish and Timing Silks Attendant Racing Veterinarians

Stall Superintendent Horsemen's Bookkeeper Mutuel Manager Director of Security Racing Security Manager Clarksville OTB General Manager Clarksville OTB Assistant General Manager

With Regards,

Eric Halstrom Vice President & General Manager

Chris Polzin Christopher Camac* Valerie Riggs Jere R. Smith, Jr. Claudia Alvarez, Brandy Bell, Michael Feitchinger*, Laffit Salazar Wesley Campbell, Alan Love, John Neal Amanda Dake Amanda Dake, Cheryl (Abigail) Shoemaker Craig Daligian TBD Ray Kuwick Anthony Martinez Oscar Sanchez* Claudia Alvarez, Laffit Salazar Randi Fowler Dr. Michael Hardy (Supervising Veterinarian), Dr. Andy Hirschy, Dr. Katherine Naughton N. Elizabeth Riggs Angie Fryman Rob Wesner Matt Vassar Tim Dewitt Brenda Coomer Chance Musch

Pitman, Deena

From:	Sara.Tait@icemiller.com
Sent:	Thursday, February 2, 2023 2:03 PM
То:	Pitman, Deena
Cc:	Rothenberg, David
Subject:	RE: [EXT] Request for Interim Approval of Caesars' Debt Financing

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Thank you, Executive Director Pitman. We very much appreciate the HRC's thoughtful and diligent review of this transaction. We will have a representative available for the public meeting, tentatively Jeff Hendricks, but will confirm closer to the date.

Sara

From: Pitman, Deena <dpitman@hrc.IN.gov>
Sent: Thursday, February 2, 2023 12:26 PM
To: Tait, Sara <Sara.Tait@icemiller.com>
Cc: Rothenberg, David <DRothenberg@hrc.IN.gov>
Subject: [EXT] Request for Interim Approval of Caesars' Debt Financing

EXTERNAL EMAIL WARNING! Use caution with links or attachments. DO NOT provide your credentials!

Sara, in reviewing the materials available to us and in consultation with the IHRC's Chairman, please accept this email response as the Indiana Horse Racing Commission's interim approval of the Caesars Entertainment, Inc. proposed refinancing of debt as described throughout your email and letter dated January 19, 2023. Please be aware that this item will be added to the Commission's Spring meeting (very tentatively set for March 21) agenda for full commission ratification.

That said, I am requesting that Caesars have a representative available to answer any questions the commission may have (that don't compromise confidentiality regulations) prior to making a final motion to ratify this action. As our meeting date draws closer, I would appreciate you identifying who that individual will be.

Sincerely,

Deena Pitman Executive Director dpitman@hrc.in.gov 317-233-3119



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Thank you.

ICE MILLER LLP

ORDER 2023-49

AN ORDER OF THE INDIANA GAMING COMMISSION APPROVING A DEBT TRANSACTION PROPOSED BY CAESARS ENTERTAINMENT INC.

On January 17, 2023, Caesars Entertainment, Inc. ("Caesars") requested Indiana Gaming Commission ("Commission") approval to undertake debt activity that would be subject to Ind. Code § 4-33-4-21, Ind. Code § 4-35-5-7 and 68 IAC 5-3, along with a waiver of the applicable procedural requirements set forth in 68 IAC 5-3, pursuant to Resolution 2017-109. The Commission reviews proposed debt transactions to analyze the financial health of casino licensees and to ensure that a casino owner's license is not leased or hypothecated and that money is not borrowed or loaned against a casino owner's license.

The Commission has considered the following factors related to Caesars's request:

- 1. Caesars explained the details of the debt activity in confidential documents provided to the Commission;
- 2. The Commission has analyzed Caesars's request to undertake debt activity and the request for waiver of applicable procedural requirements; and
- 3. On January 31, 2023, an interim approval of this transaction was granted by the Executive Director pursuant to the delegation of authority found in Resolution 2017-109. Prior to issuing the interim approval, the transaction was reviewed by the Executive Director, the Commission Chair, and the Commission Member who is a Certified Public Accountant. Resolution 2017-109 requires that an interim approval must be reported at the next business meeting held under 68 IAC 2-1-5.

COMMISSION ACTION

The Commission finds that the "two-meeting" rule, as provided in 68 IAC 5-3-2, is impractical and burdensome and that a waiver is in the best interest of the public and the gaming industry. Based on the foregoing and in accordance with its authority under 68 IAC 1-2-13, the Commission hereby **APPROVES** Caesars's request for a waiver of the "two meeting" requirement.

The Commission has considered the transaction proposed by Caesars and in accordance with 68 IAC 5-3, has carefully weighed the request, the supporting documentation, and the report of the Commission's financial analyst. Based on the foregoing, the Commission hereby **APPROVES** Caesars's debt request, subject to the following conditions:

- 1. The terms articulated in the final financing documentation do not materially differ from the terms that Caesars has presented in writing for approval to date;
- 2. The terms of the final documentation do not violate Ind. Code § 4-33-4-21 or Ind. Code § 4-35-5-7;
- 3. Caesars must provide the Commission with a legal opinion demonstrating compliance with Ind. Code § 4-33-4-21 and Ind. Code § 4-35-5-7; and

ATTEST:

4. Caesars must close the proposed financing on or before June 30, 2023.

IT IS SO ORDERED THIS 9th DAY OF MARCH, 2023.

THE INDIANA GAMING COMMISSION:

Jason Dudich, Secretary

Milton O. Thompson, Chair