

Agenda Item #1



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

HARRAH'S HOOSIER PARK

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3503	Kenneth Rucker	10/10/2024	1/31/2025	Violation (Equine) Overage	Standardbred		0	\$1000.00	
HP-2025-3505	Richard Plano	3/22/2025	3/26/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	
HP-2025-3506	Samuel Widger	3/28/2025	4/1/2025	Riding/Driving Infraction Violation Failure to Ride/Drive	Standardbred		0	\$100.00	
HP-2025-3507	John DeLong	3/28/2025	4/1/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	
HP-2025-3510	Jesse Schmucker	3/28/2025	4/5/2025	Trainer Responsibility Riding/Driving	Standardbred		0	\$100.00	
HP-2025-3511	Jordan Ross	4/3/2025	4/8/2025	Infraction Violation Riding/Driving Infraction	Standardbred		0	\$100.00	
HP-2025-3513	John Moody	4/5/2025	4/8/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3512	John Moody	4/5/2025	4/8/2025	Whip Violation Indiscriminate	Standardbred		0	\$200.00	
HP-2025-3514	Cristian Pacheco	4/5/2025	4/9/2025	Whip Violation Excessive	Standardbred		0	\$200.00	
HP-2025-3515	Harry Miller	4/10/2025	4/12/2025	Trainer Responsibility Riding/Driving	Standardbred		0	\$200.00	
HP-2025-3516	Michael Peterson	4/10/2025	4/12/2025	Infraction Violation Pylon Violation	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3517	Brandon Bates	4/15/2025	4/17/2025	License Violation In Reciprocity with others			0	\$0.00	
HP-2025-3518	Marcus Miller	4/16/2025	4/19/2025	Riding/Driving Infraction Violation Failure to Ride/Drive Receiving Barn/Paddock	Standardbred		0	\$300.00	
HP-2025-3519	Daniel Brewer	4/17/2025	4/19/2025	Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$200.00	
HP-2025-3520	James Molitor	4/17/2025	4/19/2025	Trainer Responsibility	Standardbred		0	\$200.00	
HP-2025-3524	Trace Tetrick	4/19/2025	4/22/2025	Riding/Driving Infraction Violation Failure to Ride/Drive	Standardbred		0	\$100.00	
HP-2025-3525	Joseph Putnam	4/19/2025	4/22/2025	Riding/Driving Infraction Violation Failure to Ride/Drive	Standardbred		0	\$100.00	
HP-2025-3527	Matthew Miller	4/19/2025	4/29/2025	Trainer Responsibility	Standardbred		0	\$100.00	
HP-2025-3528	Jeffrey Dever	4/24/2025	4/29/2025	Riding/Driving Infraction	Standardbred		0	\$100.00	
HP-2025-3529	Cristian Pacheco	4/26/2025	4/29/2025	Whip Violation Excessive	Standardbred		0	\$300.00	
HP-2025-3526	Chris Carrubba	4/30/2025	5/1/2025	Contraband/Drug Violation		5/1/2025 - 5/20/2025	20	\$0.00	
HP-2025-3531	Atlee Bender	5/1/2025	5/3/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3530	Marcus Miller	5/1/2025	5/3/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3532	Marvin Luna	5/2/2025	5/8/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$500.00	
HP-2025-3534	Kiwon Waldron	5/9/2025	5/10/2025	Riding/Driving Infraction Violation	Standardbred		0	\$100.00	
HP-2025-3535	Jordan Ross	5/9/2025	5/10/2025	Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3536	Samuel Steele	1/21/2025	5/14/2025	Interference License Violation License Revoked	Standardbred	5/14/2025 - 12/31/2025	232	\$0.00	
HP-2025-3538	Atlee Bender	5/14/2025	5/16/2025	Riding/Driving Infraction Violation	Standardbred		0	\$100.00	
HP-2025-3539	Chris Carrubba	4/30/2025	5/20/2025	Pylon Violation Contraband/Drug Violation	Standardbred	5/1/2025 - 11/30/2025	214	\$2500.00	
HP-2025-3540	John DeLong	5/16/2025	5/23/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3541	Jordan Ross	5/17/2025	5/23/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3542	Marvin Luna	5/17/2025	5/23/2025	Whip Violation Bleeding/Welts	Standardbred	5/27/2025 - 5/31/2025	5	\$500.00	
HP-2025-3543	Terry Haimes	5/21/2025	5/24/2025	Receiving Barn/Paddock Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$200.00	
HP-2025-3545	Michael Oosting	5/23/2025	5/26/2025	Whip Violation Excessive	Standardbred		0	\$200.00	
HP-2025-3546	Jordan Ross	5/26/2025	5/30/2025	Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3552	Aaron Stutzman	5/29/2025	6/3/2025	Pylon Violation Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
				Interference					



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3550	Lewayne Miller	5/29/2025	6/3/2025	Trainer Responsibility	Standardbred		0	\$200.00	
HP-2025-3551	Michael Oosting	5/29/2025	6/3/2025	Whip Violation	Standardbred		0	\$200.00	
HP-2025-3553	Michael Peterson	5/30/2025	6/3/2025	Bleeding/Welts Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3554	Robert Belcher	6/4/2025	6/7/2025	Receiving Barn/Paddock Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$200.00	
HP-2025-3556	James Brown	6/12/2025	6/12/2025	License Violation License Terminated	Standardbred		0	\$0.00	
HP-2025-3566	Steven Searle	6/11/2025	6/17/2025	Riding/Driving Infraction Violation Late Driver/Rider Change Receiving Barn/Paddock	Standardbred		0	\$100.00	
HP-2025-3567	Dale Witcher	6/13/2025	6/17/2025	Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$200.00	
HP-2025-3568	Peter Wrenn	6/14/2025	6/17/2025	Riding/Driving Infraction Violation Riding/Driving	Standardbred		0	\$100.00	
HP-2025-3570	Kyle Wilfong	6/14/2025	6/24/2025	Infraction Riding/Driving Infraction Violation	Standardbred		0	\$100.00	
HP-2025-3571	Ben Schwartz	6/18/2025	6/24/2025	Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3572	Lewayne Miller	6/19/2025	6/24/2025	Trainer Responsibility	Standardbred		0	\$100.00	
HP-2025-3573	Odell Cross	6/18/2025	6/24/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	
HP-2025-3574	Trace Tetrick	6/24/2025	6/27/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$100.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3575		Pravi Maxwell	6/25/2025	6/27/2025	Trainer Responsibility	Standardbred		0	\$100.00	
HP-2025-3576		Marvin Luna	6/20/2025	6/27/2025	Whip Violation Bleeding/Welts Riding/Driving	Standardbred	7/3/2025 - 7/17/2025	15	\$0.00	
HP-2025-3584		Marvin Luna	6/27/2025	7/2/2025	Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3585		Atlee Bender	6/26/2025	7/2/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$300.00	
HP-2025-3590		Greg Brumbaugh	7/9/2025	7/11/2025	Trainer Responsibility Riding/Driving	Standardbred		0	\$1000.00	
HP-2025-3591		Kiwon Waldron	7/10/2025	7/11/2025	Infraction Violation Starter Violation	Standardbred		0	\$100.00	
HP-2025-3593		Cristian Pacheco	7/11/2025	7/15/2025	Whip Violation Excessive Riding/Driving	Standardbred		0	\$300.00	
HP-2025-3592		Jordan Ross	7/11/2025	7/17/2025	Infraction Violation Interference Riding/Driving	Standardbred		0	\$200.00	
HP-2025-3594		Jordan Ross	7/15/2025	7/17/2025	Infraction Violation Pylon Violation Riding/Driving	Standardbred		0	\$100.00	
HP-2025-3595		Marcus Miller	7/15/2025	7/17/2025	Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3596		Jared Seekman	7/15/2025	7/17/2025	Whip Violation Excessive Riding/Driving	Standardbred		0	\$200.00	
HP-2025-3597		Kyle Husted	7/17/2025	7/17/2025	Infraction Violation Riding/Driving Infraction	Standardbred		0	\$200.00	
HP-2025-3598		Michael Micallef	7/18/2025	7/23/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3599	Kevin Lambright	7/19/2025	7/23/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3600	Ben Schwartz	7/22/2025	7/23/2025	Claiming Violation	Standardbred		0	\$200.00	
HP-2025-3601	Aaron Stutzman	7/9/2025	7/30/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Standardbred		0	\$1000.00	
HP-2025-3604	Joseph Putnam	7/31/2025	8/2/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3603	Marcus Miller	7/31/2025	8/2/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3605	Kyle Wilfong	8/1/2025	8/2/2025	Riding/Driving Infraction Violation Riding/Driving Infraction	Standardbred		0	\$100.00	
HP-2025-3606	Dairin Villalobos	8/7/2025	8/8/2025	Miscellaneous Ruling			0	\$0.00	
HP-2025-3608	Richard Macomber	8/5/2025	8/9/2025	Riding/Driving Infraction Violation Riding/Driving Infraction	Standardbred		0	\$100.00	
HP-2025-3611	Terry DiCicco	8/8/2025	8/9/2025	Trainer Responsibility	Standardbred		0	\$500.00	
HP-2025-3607	Kimberly Roth	7/23/2025	8/9/2025	Medication/Drug Violation (Equine) Overage	Standardbred		0	\$1000.00	
HP-2025-3610	Nelson Willis	7/22/2025	8/12/2025	Medication/Drug Violation (Equine) Thresholds	Standardbred		0	\$1000.00	
HP-2025-3613	Jared Finn	8/9/2025	8/12/2025	Riding/Driving Infraction Violation Failure to Ride/Drive	Standardbred		0	\$100.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3612	Andrew McCarthy	8/8/2025	8/12/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$300.00	
HP-2025-3609	Peter Wrenn	8/5/2025	8/12/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3618	Hector Herrera	7/18/2025	8/14/2025	Medication/Drug Violation (Equine) Thresholds	Standardbred		0	\$1000.00	
HP-2025-3619	Vern Fisher	7/17/2025	8/14/2025	Medication/Drug Violation (Equine) Thresholds	Standardbred		0	\$1000.00	
HP-2025-3620	Trace Tetrick	8/12/2025	8/15/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$100.00	
HP-2025-3621	Travis Robinson	8/13/2025	8/15/2025	Riding/Driving Infraction Violation Interference	Standardbred		0	\$200.00	
HP-2025-3622	Michael Oosting	8/14/2025	8/15/2025	Riding/Driving Infraction Violation Infraction	Standardbred		0	\$100.00	
HP-2025-3623	Ronnie Roberts	5/2/2025	8/16/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Standardbred	8/8/2025 - 8/22/2025	15	\$500.00	
HP-2025-3629	Jordan Ross	8/19/2025	8/21/2025	Whip Violation Excessive	Standardbred		0	\$200.00	
HP-2025-3630	Bradley Ferguson	8/19/2025	8/21/2025	Riding/Driving Infraction Violation Starter Violation	Standardbred		0	\$100.00	
HP-2025-3631	John DeLong	8/20/2025	8/22/2025	Whip Violation Excessive	Standardbred		0	\$200.00	
HP-2025-3632	Michael Micallef	8/21/2025	8/22/2025	Receiving Barn/Paddock Violation Late to the	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3634	Donald Coy	8/26/2025	8/27/2025	Paddock/Receiving Barn Riding/Driving Infraction Violation Riding/Driving Infraction	Standardbred		0	\$200.00	
HP-2025-3656	Joseph Zambito	7/31/2025	9/12/2025	Race Office/Track Rule Violation Ineligible Horse Entered Receiving Barn/Paddock	Standardbred		0	\$1000.00	
HP-2025-3640	Daniel O'Mara	8/26/2025	9/3/2025	Violation Late to the Paddock/Receiving Barn Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3641	Zachary Miller	9/3/2025	9/3/2025	Late Driver/Rider Change	Standardbred		0	\$100.00	
HP-2025-3642	Jared Finn	9/3/2025	9/6/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$200.00	
HP-2025-3643	John DeLong	9/5/2025	9/6/2025	Whip Violation Excessive	Standardbred		0	\$300.00	
HP-2025-3655	Jordan Ross	9/6/2025	9/10/2025	Riding/Driving Infraction Violation Pylon Violation	Standardbred		0	\$200.00	
HP-2025-3659	Kenneth Rucker	9/10/2025	9/12/2025	Trainer Responsibility Riding/Driving Infraction Violation	Standardbred		0	\$300.00	
HP-2025-3657	Robert Taylor	9/9/2025	9/12/2025	Failure to Ride/Drive Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3658	Kyle Husted	9/9/2025	9/12/2025	Pylon Violation	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3660		Michael Oosting	9/10/2025	9/12/2025	Riding/Driving Infraction Violation Failure to Ride/Drive	Standardbred		0	\$100.00	
HP-2025-3661		Mervin Schwartz	8/14/2025	9/12/2025	Medication/Drug Violation (Equine) Overage	Standardbred		0	\$1000.00	
HP-2025-3662		Melissa Essig	9/10/2025	9/13/2025	Riding/Driving Infraction Violation Late Driver/Rider Change	Standardbred		0	\$100.00	
HP-2025-3668		Michael Oosting	9/12/2025	9/16/2025	Whip Violation Bleeding/Welts	Standardbred		0	\$300.00	
HP-2025-3669		Charles Stewart	7/17/2025	9/16/2025	Medication/Drug Violation (Equine) Overage	Standardbred		0	\$1000.00	
HP-2025-3674		Jared Finn	9/13/2025	9/24/2025	Riding/Driving Infraction Violation Starter Violation	Standardbred		0	\$100.00	
HP-2025-3673		Joseph Seekman	9/19/2025	9/24/2025	Receiving Barn/Paddock Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$250.00	
HP-2025-3672		Chris Page	9/19/2025	9/24/2025	Riding/Driving Infraction Violation Starter Violation	Standardbred		0	\$100.00	
HP-2025-3671		Ben Schwartz	9/23/2025	9/24/2025	Receiving Barn/Paddock Violation Late to the Paddock/Receiving Barn	Standardbred		0	\$200.00	
HP-2025-3676		Cristian Pacheco	9/23/2025	9/25/2025	Medication/Drug/Alcohol Violation (Human) Alcohol	Standardbred		0	\$200.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
HP-2025-3675		Ronald Burke	9/19/2025	9/25/2025	Trainer Responsibility	Standardbred		0	\$500.00	
HP-2025-3677		Marcus Miller	9/25/2025	9/25/2025	Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3678		Joseph Putnam	9/26/2025	9/27/2025	Failure to Ride/Drive Riding/Driving Infraction Violation	Standardbred		0	\$200.00	
HP-2025-3679		Ronald Burks	9/26/2025	9/27/2025	Failure to Ride/Drive Riding/Driving Infraction Violation	Standardbred		0	\$100.00	
					Late Driver/Rider Change					

Hoosier Park		
	Unpaid Fines	\$1400.00
Count 71	Paid Fines	\$18750.00
	Total Fines	\$20150.00



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

HORSESHOE INDIANAPOLIS

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3504	William Terry	2/28/2025	3/3/2025	Medication/Drug/ Alcohol Violation (Human) Cocaine		2/28/2025 - 6/28/2025	120	\$0.00	
IG-2025-3508	Guadalupe Santiesteban	4/3/2025	4/4/2025	Medication/Drug/ Alcohol Violation (Human) Methamphetamine		4/4/2025 - 5/4/2025	30	\$0.00	
IG-2025-3509	Guadalupe Santiesteban	4/4/2025	4/8/2025	Conduct/Behavior		4/4/2025 - 6/3/2025	60	\$0.00	
IG-2025-3522	Jose Rodriguez	4/15/2025	4/15/2025	Miscellaneous Ruling	Thoroughbred		0	\$0.00	
IG-2025-3521	JEFFREY CROZIER	4/18/2025	4/18/2025	Miscellaneous Ruling	Thoroughbred		0	\$0.00	
IG-2025-3523	Brittany Vandenberg	4/16/2025	4/22/2025	Improper Business Manner	Thoroughbred		0	\$500.00	
IG-2025-3533	Timothy Locker	5/13/2025	5/13/2025	Miscellaneous Ruling	Thoroughbred		0	\$0.00	
IG-2025-3537	Fernando Morin	5/14/2025	5/15/2025	Whip Violation Excessive Riding/Driving	Quarter Horse		0	\$250.00	
IG-2025-3544	Joseph Romero-Albornoz	5/20/2025	5/22/2025	Infraction Violation Careless Riding/Driving	Thoroughbred	5/28/2025, 5/29/2025, 6/3/2025	3	\$0.00	
IG-2025-3547	Jason Wiseman	6/2/2025	6/2/2025	Conflict of Interest			0	\$0.00	
IG-2025-3548	Julio Almanza	5/12/2025	6/2/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Quarter Horse		0	\$0.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3549		Giovani Vazquez-Gomez	5/31/2025	6/3/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3555		Giovani Vazquez-Gomez	6/10/2025	6/11/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3561		Arnold Aloff	6/13/2025	6/13/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3562		deon hawkins	6/13/2025	6/13/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3559		John Kincheloe	6/13/2025	6/13/2025	License Violation Fingerprint Requirements Riding/Driving			0	\$0.00	
IG-2025-3557		Joseph Ramos	6/14/2025	6/16/2025	Infraction Violation Careless Riding/Driving Medication/Drug Violation (Equine) Positives (MMV Points)	Thoroughbred	6/23/2025 - 6/29/2025	7	\$0.00	
IG-2025-3558		Gustavo Almanza	5/31/2025	6/17/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Quarter Horse		0	\$0.00	
IG-2025-3560		J Arevalo	5/31/2025	6/17/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Quarter Horse		0	\$0.00	
IG-2025-3563		Kenia Hernandez	6/16/2025	6/17/2025	Miscellaneous Ruling			0	\$0.00	
IG-2025-3564		Jesus Valenzuela	6/18/2025	6/18/2025	Medication/Drug/Alc ohol Violation (Human) Methamphetamine		6/18/2025 - 7/18/2025	30	\$0.00	
IG-2025-3565		Luis Luna Diaz	6/17/2025	6/18/2025	Whip Violation Excessive Riding/Driving	Quarter Horse		0	\$250.00	
IG-2025-3569		Joseph Bealmear	6/16/2025	6/19/2025	Infraction Violation Careless Riding/Driving	Thoroughbred	7/1/2025 - 7/3/2025	3	\$0.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3577	Oscar Macias	6/26/2025	6/27/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3578	Francisco Quintero	6/26/2025	6/27/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3580	Cesar Garcia Esqueda	6/28/2025	7/2/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3581	Alberto Ceron Zamudio	6/28/2025	7/2/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3582	Francisco Quintero	6/28/2025	7/2/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3583	Francisco Quintero	6/28/2025	7/2/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3579	Martin Munoz	6/28/2025	7/3/2025	Riding/Driving Infraction Violation Careless Riding/Driving	Quarter Horse	7/9/2025 - 7/15/2025	7	\$0.00	
IG-2025-3614	Hernan Ramirez Alvizo	7/3/2025	7/3/2025	Miscellaneous Ruling			0	\$0.00	
IG-2025-3586	Brian Hollingsworth	7/12/2025	7/14/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3587	Tomas Medina	7/5/2025	7/14/2025	Trainer Responsibility	Thoroughbred		0	\$250.00	
IG-2025-3588	G lenn Wismer	7/15/2025	7/15/2025	Improper Business Manner	Thoroughbred		0	\$500.00	
IG-2025-3589	J Arevalo	6/16/2025	7/15/2025	Medication/Drug Violation (Equine) Positives (MMV Points)	Quarter Horse		0	\$0.00	
IG-2025-3602	Isidro Banuelos	7/29/2025	7/31/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3624	John Jones	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3625		Clint Cook	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3633		Jada Schlenk	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3626		Delia Ceballos	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3627		Nicxio D Caro	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3628		Patrick Kuta	8/4/2025	8/4/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3615		Oscar Macias	8/12/2025	8/13/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3616		Felipe Garcia	8/13/2025	8/14/2025	License Violation Summarily Suspended		8/14/2025 - 9/12/2025	30	\$1000.00	
IG-2025-3617		Joseph Reyes Concepcion	8/8/2025	8/14/2025	License Violation Summarily Suspended			0	\$0.00	
IG-2025-3635		Roman Cruz Jaime	8/27/2025	8/28/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3648		Debra Barkley	9/1/2025	9/1/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3647		Ashley Quartarolo	9/1/2025	9/1/2025	License Violation Fingerprint Requirements			0	\$0.00	
IG-2025-3646		Richard Lane	9/1/2025	9/1/2025	License Violation Fingerprint Requirements			0	\$0.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number		Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3645		Chris Hicks	9/1/2025	9/1/2025	License Violation Fingerprint Requirements Riding/Driving			0	\$0.00	
IG-2025-3636		Francisco Quintero	8/30/2025	9/3/2025	Infraction Violation Careless Riding/Driving	Quarter Horse	9/9/2025 - 9/11/2025	3	\$0.00	
IG-2025-3637		William Wofford	9/3/2025	9/3/2025	Miscellaneous Ruling			0	\$0.00	
IG-2025-3638		Alberto Ceron Zamudio	8/30/2025	9/3/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3639		Edgar Diaz	8/30/2025	9/3/2025	Whip Violation Excessive Riding/Driving	Quarter Horse		0	\$250.00	
IG-2025-3649		Luis Luna Diaz	9/3/2025	9/5/2025	Infraction Violation Careless Riding/Driving	Quarter Horse	9/17/2025 - 9/17/2025	1	\$0.00	
IG-2025-3650		Brian Hollingsworth	9/3/2025	9/5/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3651		Oscar Macias	9/3/2025	9/5/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3644		Librado Barraza	9/2/2025	9/5/2025	Trainer Responsibility	Quarter Horse		0	\$250.00	
IG-2025-3653		Edgar Diaz	9/8/2025	9/9/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	
IG-2025-3652		Carl Deville	9/11/2025	9/11/2025	Miscellaneous Ruling			0	\$0.00	
IG-2025-3664		Joseph Romero-Albornoz	9/5/2025	9/12/2025	Riding/Driving Infraction Violation Careless Riding/Driving	Thoroughbred	9/16/2025 - 9/18/2025	3	\$0.00	
IG-2025-3663		Walter Rodriguez	9/5/2025	9/12/2025	Infraction Violation Careless Riding/Driving	Thoroughbred	9/22/2025 - 9/24/2025	3	\$0.00	



INDIANA HORSE RACING COMMISSION

Ruling Detail Report

Rulings Issued From 1/1/2025 to 9/30/2025

Ruling Number	Name	Violation Date	Delivery Date	Violation	Breed	Suspended Dates	Days Susp.	Fine	Notes
IG-2025-3654	Santo Sanjur	9/5/2025	9/12/2025	Riding/Driving Infraction Violation Careless	Thoroughbred	9/22/2025 - 10/12/2025	21	\$0.00	
IG-2025-3665	Francisco Quintero	9/11/2025	9/16/2025	Riding/Driving Whip Violation Excessive	Quarter Horse	9/23/2025 - 9/23/2025	1	\$250.00	
IG-2025-3666	Jesus Valenzuela	9/16/2025	9/16/2025	Medication/Drug/Alcohol Violation (Human)		9/16/2025 - 3/14/2026	180	\$0.00	
IG-2025-3667	Martin Munoz	9/8/2025	9/16/2025	Methamphetamine Medication/Drug/Alcohol Violation (Human) Alcohol	Quarter Horse		0	\$100.00	
IG-2025-3670	Chasey Pomier	9/15/2025	9/19/2025	Miscellaneous Ruling			0	\$0.00	
IG-2025-3680	Walter Rodriguez	9/25/2025	9/29/2025	Riding/Driving Infraction Violation Careless	Thoroughbred	10/12/2025 - 10/25/2025	14	\$0.00	
IG-2025-3681	Oscar Macias	9/29/2025	9/30/2025	Riding/Driving Whip Violation Excessive	Quarter Horse	10/7/2025 - 10/7/2025	1	\$250.00	
IG-2025-3682	Francisco Quintero	9/29/2025	9/30/2025	Whip Violation Excessive	Quarter Horse	10/7/2025 - 10/7/2025	1	\$250.00	
IG-2025-3683	Isidro Banelos	9/29/2025	9/30/2025	Whip Violation Excessive	Quarter Horse		0	\$250.00	

Horseshoe Indianapolis		
Count 58	Unpaid Fines	\$3600.00
	Paid Fines	\$3500.00
	Total Fines	\$7100.00

Agenda Item #2



Violation Date ↓	Case Number	Person Involved	Track / Location	Horse	Classification
2025-08-14	2025-20262	Marcelino Pedroza	Horseshoe Indianapolis	Karlwithanarl	Crop
2025-07-17	2025-18432	Joseph Miguel Romero	Horseshoe Indianapolis	Lilah B	Crop
2025-07-05	2025-16068	Fernando Cesar De la cruz Julian	Horseshoe Indianapolis	Top	Crop
2025-07-03	2025-15946	Mitchell Lee Murrill	Horseshoe Indianapolis	Tap Tap Tap It In	Crop
2025-06-11	2025-13597	Marcelino Pedroza	Horseshoe Indianapolis	G Force Jimmi	Crop
2025-06-07	2025-13401	Joel Ismael Albornoz	Horseshoe Indianapolis	Keen Ice Sight	Crop
2025-05-28	2025-11688	Felix Astudillo	Horseshoe Indianapolis	Thundering Heart	Crop
2025-05-21	2025-10772	Alberto Burgos	Horseshoe Indianapolis	Stupendous	Crop
2025-05-21	2025-10773	Samuel Bermudez	Horseshoe Indianapolis	Closer Tothe Truth	Crop
2025-05-13	2025-10008	Joseph Miguel Romero	Horseshoe Indianapolis	Sakra's Quest	Crop

HIWU Medication Violations

2025 (through September 30)

CONTROLLED

DATE OF RESOLUTION
2/7/2025

DATE OF EVENT (10/10/2024)

COVERED PERSON
Darlene Green

COVERED HORSE
Lookin At Justice

ALLEGED VIOLATION
Rule 3312--Presence of a Controlled Medication Substance and/or its Metabolites or Markers (Post-Race/Vets' List)

PROHIBITED SUBSTANCE(S) METHODS
● Caffeine ↗

CONSEQUENCES IMPOSED
A written Reprimand; Disqualification of Covered Horse's Race results, including forfeiture of all purses and other compensation, prizes, trophies, points, and rankings and repayment or surrender (as applicable); imposition of 2 Penalty Points.

STATUS
Resolved

[Admission of ECM Rule Violation and Acceptance of Consequences](#)

CONTROLLED

DATE OF RESOLUTION
7/16/2025

DATE OF EVENT
(6/13/2025)

COVERED PERSON
Anthony Granitz

Multiple Cases

COVERED HORSE
Scenic Justice

ALLEGED VIOLATION
Rule 3312--Presence of a Controlled Medication Substance and/or its Metabolites or Markers (Post-Race/Vets' List)

PROHIBITED SUBSTANCE(S) METHODS
● Dantrolene ↗

CONSEQUENCES IMPOSED
A fine of \$500; imposition of 1.5 Penalty Points.

STATUS
Resolved

[Admission of ECM Rule Violation and Acceptance of Consequences](#)

CONTROLLED

DATE OF RESOLUTION
7/15/2025

DATE OF EVENT
(5/28/2025)

COVERED PERSON
Marcelino Salas

COVERED HORSE
Yammy Yammy Bella

ALLEGED VIOLATION
Rule 3312--Presence of a Controlled Medication Substance and/or its Metabolites or Markers (Post-Race/Vets' List)

PROHIBITED SUBSTANCE(S) METHODS
● Diclofenac ↗

CONSEQUENCES IMPOSED
Disqualification of Covered Horse's Race results, including forfeiture of all purses and other compensation, prizes, trophies, points, and rankings and repayment or surrender (as applicable); a fine of \$500; imposition of 1.5 Penalty Points.

STATUS
Resolved
[Final Decision of HIWU](#)

CONTROLLED

DATE OF RESOLUTION
8/22/2025

DATE OF EVENT
(6/20/2025)

COVERED PERSON
Steven Asmussen

Multiple Cases

COVERED HORSE
Jackman

ALLEGED VIOLATION
Rule 3312--Presence of a Controlled Medication Substance and/or its Metabolites or Markers (Post-Race/Vets' List)

PROHIBITED SUBSTANCE(S) METHODS
● Omeprazole ↗

CONSEQUENCES IMPOSED
A written Reprimand (per 9/26/23 HISA Guidance).

STATUS
Resolved
[Admission of ECM Rule Violation and Acceptance of Consequences](#)

CONTROLLED

DATE OF RESOLUTION
8/25/2025

DATE OF EVENT
(7/10/2025)

COVERED PERSON
Raymond J. Paquette, III

COVERED HORSE
Rileys Redemption

ALLEGED VIOLATION
Rule 3312--Presence of a Controlled Medication Substance and/or its Metabolites or Markers (Post-Race/Vets' List)

PROHIBITED SUBSTANCE(S) METHODS
● Omeprazole ↗

CONSEQUENCES IMPOSED
A written Reprimand (per 9/26/23 HISA Guidance).

STATUS
Resolved
[Final Decision of HIWU](#)

CONTROLLED

DATE OF RESOLUTION
8/25/2025

DATE OF EVENT
(7/5/2025)

COVERED PERSON
Tomas J. Medina

COVERED HORSE
Night Kiss

ALLEGED VIOLATION
Rule 3313--Use or Attempted Use of a Controlled Medication Substance during the Race Period

PROHIBITED SUBSTANCE(S) METHODS
Rules 3313 and 4212 Use or Attempted Use of a Controlled Medication Substance (Class C) during the Race Period

CONSEQUENCES IMPOSED
Disqualification of Covered Horse's Race results, including forfeiture of all purses and other compensation, prizes, trophies, points, and rankings and repayment or surrender (as applicable); a fine of \$500; imposition of 1.5 Penalty Points.

STATUS
Resolved
[Admission of ECM Rule Violation and Acceptance of Consequences](#)

Agenda Item #3

Caesars Entertainment Update
(No Materials)

Agenda Item #4

will act as legal counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

PRE-HEARING ORDER

The Commission, by its Chairman, Philip Borst, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider IHBPA's 2026 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* filed with the Commission on or about August 28, 2025, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2026 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

II. Application Process Timeline.

a. Hearing Date and Time

The hearing will commence on October 27, 2025, at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Incorporation by Reference of IHBPA's 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, and 2025 Applications and Related Materials.

The Application incorporates by reference any documents submitted, considered or generated with respect to IHBPA's 2011-2025 Applications for Registration, including, but not limited to, any amended commission staff reports. Note: No substantive changes to the Application made after Tuesday, October 7, 2025, will be considered as part of the Application.

c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be

distributed to the Applicant on or before the close of business on Friday, October 10, 2025.

d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Friday, October 10, 2025. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any record of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Friday, October 17, 2025. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

e. Witness and Exhibit Lists of Applicants.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit List are to be filed with the Commission on or before noon on Friday, October 17, 2025. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Friday, October 17, 2025. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced by Applicant.

f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Friday, October 17, 2025.

g. Issuance of Subpoenas.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can

be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Commission member delegated by the Chair in the event the Chair is unavailable.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as “ultimate authority” (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as “ex parte communications.” Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administrative Orders and Procedures Act. In appropriate circumstances, a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Codes section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (*see* II.d., *supra*) at the hearing.

d. Application Hearing Time Schedule.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant	Up to 5 minutes
Oral Presentation by Applicant	Up to 10 minutes
Testimony of Commission Staff	Up to 10 minutes
Testimony of Interested Persons And Organizations	Up to 10 minutes
Rebuttal and Final Statements	Up to 5 minutes

e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

f. Commission Free to Ask Questions.

During the hearing on the Applicant's presentation, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

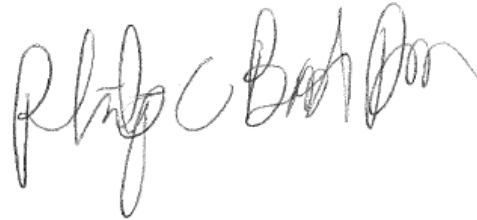
g. Individuals Requesting Time to Speak to the Application.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

IV. Notice of Pre-Hearing Order

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 24th day of September 2025.

A handwritten signature in black ink, appearing to read "Philip C Borst". The signature is fluid and cursive, with a large initial "P" and a distinct "C" before the last name.

Philip Borst, DVM
Chairman
Indiana Horse Racing Commission

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing has been served upon the following parties by email this 24th day of September 2025.

Indiana Horse Racing Commission:

Philip Borst, Chairman

William D. McCarty, Member

Gus Levengood, Member

Becca McCuaig, Member

Bob Cherry, Member

1302 N. Meridian Street

Ste. 175

Indianapolis, IN 46202

Mike Smith, Executive Director

Indiana Horse Racing Commission

1302 N. Meridian St. Ste. 175

Indianapolis, IN 46202

Jeffrey Stemerick, Esq.

Taft

One Indiana Square, Ste. 3500

Indianapolis, IN 46204

Joseph Davis

IHBPA President

1624 Wagner Drive

Shelbyville, IN 46176

Tim Glyshaw

IHBPA Executive Director

3118 Hendon Rd.

Louisville, KY 40220

/s/ Dale Lee Pennycuff

Dale Lee Pennycuff

Staff Attorney

Agenda Item #5

STATE OF INDIANA
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2026 Application for Registration of Quarter)
Horse Racing Association of Indiana, Inc.)
Pursuant to 71 IAC 13-1-1 *et seq.*)

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2026 APPLICATION FOR
REGISTRATION OF QUARTER HORSE RACING ASSOCIATION OF INDIANA, INC.
PURSUANT TO 71 IAC 13-1-1 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Registered Horsemen’s Association pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2026 (hereinafter “the Application”), submitted by the Quarter Horse Racing Association of Indiana, Inc. (hereinafter “the Applicant” or “QHRAI”) on or about August 28, 2025. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

NOTICE OF HEARING

The Commission will hold a hearing on Monday, October 27, 2025, to begin as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. The Hearing will relate to the following Application that was filed with the Commission on or about August 28, 2025:

2026 Application for Registration of the Quarter Horse Racing
Association of Indiana, Inc. Pursuant to 71 IAC 13-1-1 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1 *et seq.* and Indiana Code sections 4-21.5-3-1 *et seq.* All members of the Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill McCarty, Gus Levengood, Becca McCuaig, and Bob Cherry, Members. David Rothenberg, Esq. is and will act as legal counsel to the Commission during these proceedings. Mike Smith, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Esq. is and

will act as legal counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

PRE-HEARING ORDER

The Commission, by its Chairman, Philip Borst, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider QHRAI's 2026 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* filed with the Commission on or about August 28, 2025, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2026 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

II. Application Process Timeline.

a. Hearing Date and Time

The hearing will commence on October 27, 2025, at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Incorporation by Reference of QHRAI's 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, and 2025 Applications and Related Materials.

The Application incorporates by reference any documents submitted, considered or generated with respect to QHRAI's 2011-2025 Applications for Registration, including, but not limited to, any amended commission staff reports. Note: No substantive changes to the Application made after Tuesday, October 7, 2025, will be considered as part of the Application.

c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be

distributed to the Applicant on or before the close of business on Friday, October 10, 2025.

d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Friday, October 10, 2025. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any record of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Friday, October 17, 2025. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

e. Witness and Exhibit Lists of Applicants.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit List are to be filed with the Commission on or before noon on Friday, October 17, 2025. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Friday, October 17, 2025. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced by Applicant.

f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Friday, October 17, 2025.

g. Issuance of Subpoenas.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Commission member delegated by the Chair in the event the Chair is unavailable.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as “ultimate authority” (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as “ex parte communications.” Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administrative Orders and Procedures Act. In appropriate circumstances, a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Codes section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (*see* II.d., *supra*) at the hearing.

d. Application Hearing Time Schedule.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant	Up to 5 minutes
Oral Presentation by Applicant	Up to 10 minutes
Testimony of Commission Staff	Up to 10 minutes
Testimony of Interested Persons And Organizations	Up to 10 minutes
Rebuttal and Final Statements	Up to 5 minutes

e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

f. Commission Free to Ask Questions.

During the hearing on the Applicant's presentation, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

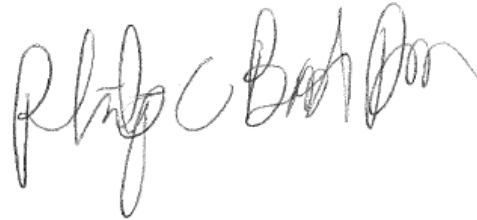
g. Individuals Requesting Time to Speak to the Application.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

IV. Notice of Pre-Hearing Order

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 24th day of September 2025.

A handwritten signature in black ink, appearing to read "Philip C Borst". The signature is fluid and cursive, with a large initial "P" and a distinct "C" before the last name.

Philip Borst, DVM
Chairman
Indiana Horse Racing Commission

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing has been served upon the following parties by email this 24th day of September 2025.

Indiana Horse Racing Commission:

Philip Borst, Chairman

William D. McCarty, Member

Gus Levengood, Member

Becca McCuaig, Member

Bob Cherry, Member

1302 N. Meridian Street

Ste. 175

Indianapolis, IN 46202

Mike Smith, Executive Director

Indiana Horse Racing Commission

1302 N. Meridian St. Ste. 175

Indianapolis, IN 46202

Teresa A. Myers

QHRAI Executive Director and Registered Agent

P.O. Box 399

Shelbyville, IN 46176

Chris Duke

QHRAI President

P.O. Box 399

Shelbyville, IN 46176

/s/ Dale Lee Pennycuff

Dale Lee Pennycuff

Staff Attorney