

<b>STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTIONS</b>		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: <b>15C0001182</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING <b>01 - CARMEL SPEICLAT..</b> B. WING	(X3) DATE SURVEY COMPLETED <b>06/01/2023</b>
NAME OF PROVIDER OR SUPPLIER <b>CARMEL SPECIALTY SURGERY CENTER</b>			STREET ADDRESS, CITY, STATE, ZIP CODE <b>11590 N MERIDIAN ST SUITE 130 , CARMEL, Indiana, 46032</b>	
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K0000	<p>INITIAL COMMENTS</p> <p>A Life Safety Code (LSC), Recertification Survey was conducted by the Indiana Department of Health in accordance with 42 CFR 416.44(b).</p> <p>Survey Date: 06/01/23</p> <p>Facility Number: 012857</p> <p>Provider Number: 15C0001182</p> <p>AIM Number: NA</p> <p>At this LSC survey, Carmel Specialty Surgery Center LLC was found not in compliance with Requirements for Participation in Medicare/Medicaid, 42 CFR Subpart 416.44(b), Life Safety from Fire and the 2012 edition of the National Fire Protection Association (NFPA) 101, LSC Chapter 21, Existing Ambulatory Health Care Occupancies.</p> <p>This facility was located on the first floor of a six story fully sprinklered building determined to be of Type II (111) construction. The facility has a fire alarm system with smoke detection in operating rooms and hazardous areas.</p> <p>Quality Review completed on 06/05/23</p>	K0000		
K0345	<p>Fire Alarm System - Testing and Maintenance</p> <p>CFR(s): NFPA 101</p> <p>Fire Alarm Systems - Testing and Maintenance</p> <p>A fire alarm system is tested and maintained in accordance with an approved program complying with the requirements of NFPA 70, National Electric Code, and NFPA 72, National Fire Alarm and Signaling Code. Records of system acceptance, maintenance and testing are readily available.</p> <p>9.6.1.3, 9.6.1.5, NFPA 70, NFPA 72</p> <p>This STANDARD is NOT MET as evidenced by:</p>	K0345		06/27/2023

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See reverse for further instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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K0345	Continued from page 1 Based on record review and interview, the facility failed to ensure 1 of 1 fire alarm systems was maintained in accordance with LSC 9.6.1.3. LSC 9.6.1.3 requires a fire alarm system to be installed, tested, and maintained in accordance with NFPA 70, National Electrical Code and NFPA 72, National Fire Alarm Code. NFPA 72, Section 14.3.1 requires unless otherwise permitted by Section 14.3.2, visual inspections shall be performed in accordance with the schedules in Table 14.3.1 or more often if required by the authority having jurisdiction. Section 14.4.5 requires unless otherwise permitted by other sections of this Code, testing shall be performed in accordance with the schedules in Table 14.4.5, or more often if required by the authority having jurisdiction. This deficient practice could affect all occupants.  Findings include:  Based on records review, observation and interview with the Clinical Director on 06/01/23 between 10:00 a.m. and 11:55 a.m., documentation for the most recent semi-annual visual inspection of the facilities fire alarm system was not available for review. The facilities contractor visited the facility for inspections on 1/16/23 and 1/3/22 but did not complete a semi-annual inspection approximately 6 months between the aforementioned annual inspections. The Clinical Director acknowledged that the semi-annual visual inspection had not been done.  This finding was acknowledged by the Clinical Director at the time of observation and again at the exit conference with the Clinical Director present.	K0345		
K0511	Utilities - Gas and Electric  CFR(s): NFPA 101  Utilities - Gas and Electric  Equipment using gas or related gas piping complies with NFPA 54, National Fuel Gas Code, electrical wiring and equipment complies with NFPA 70, National Electric Code. Existing installations can continue in service provided no hazard to life.  20.5.1, 21.5.1, 21.5.1.2, 9.1.1, 9.1.2  This STANDARD is NOT MET as evidenced by:  Based on observation and interview, the facility failed to ensure electrical outlets were protected in the	K0511		06/27/2023

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K0511	Continued from page 2 mechanical room according to 19.5.1. NFPA 70, 2011 Edition, Article 406.6, Receptacle Faceplates (Cover Plates), requires receptacle faceplates shall be installed so as to completely cover the opening and seat against the mounting surface.  Findings include:  Based on observation and interview with the Clinical Director on 06/01/23 between 11:55 a.m. and 1:15 p.m., the mechanical room containing the facilities three electrical branch circuits had an electrical outlet which was not provide with a cover plate, exposing the receptacles and the wiring.  This finding was acknowledged by the Clinical Director at the time of observation and again at the exit conference with the Clinical Director present.	K0511		
K0918  Bldg. 01	Electrical Systems - Essential Electric Syste  CFR(s): NFPA 101  Electrical Systems - Essential Electric System Maintenance and Testing  The generator or other alternate power source and associated equipment is capable of supplying service within 10 seconds. If the 10-second criterion is not met during the monthly test, a process shall be provided to annually confirm this capability for the life safety and critical branches. Maintenance and testing of the generator and transfer switches are performed in accordance with NFPA 110.  Generator sets are inspected weekly, exercised under load 30 minutes 12 times a year in 20-40 day intervals, and exercised once every 36 months for four continuous hours. Scheduled test under load conditions include a complete simulated cold start and automatic or manual transfer of all EES loads, and are conducted by competent personnel. Maintenance and testing of stored energy power sources (Type 3 EES) are in accordance with NFPA 111. Main and feeder circuit breakers are inspected annually, and a program for periodically exercising the components is established according to manufacturer requirements. Written records of maintenance and testing are maintained and readily available. EES electrical panels and circuits are marked and readily identifiable. Minimizing the possibility of damage of the emergency power source is a design consideration for new installations.	K0918		06/27/2023

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K0918  Bldg. 01	<p>Continued from page 3 6.4.4, 6.5.4, 6.6.4 (NFPA 99), NFPA 110, NFPA 111, 700.10 (NFPA 70)</p> <p>This STANDARD is NOT MET as evidenced by:</p> <p>Based on record review and interview, the facility failed to ensure an annual fuel quality test was performed for 1 of 1 facility's diesel-powered generator. NFPA 99, Health Care Facilities Code, 2012 Edition Section 6.5.4.1.1.2 states Type 2 EES (Essential Electrical System) generator sets shall be inspected and tested in accordance with Section 6.4.4.1.1.3. Section 6.4.4.1.1.3 states maintenance shall be performed in accordance with NFPA110, Standard for Emergency and Standby Power Systems, 2010 Edition, Chapter 8. NFPA 110, Section 8.3.8 states a fuel quality test shall be performed at least annually using tests approved by ASTM standards.</p> <p>Based on record review and interview, the facility failed to maintain 1 of 1 Emergency Power Standby System in accordance with NFPA 110, Standard for Emergency and Standby Power Systems, Section 8.4.9, as required by NFPA 99 Health Care Facilities Code, Section 6.4.1.1.6.1. NFPA 110 Section 8.4.9 states that all Level 1 Emergency Power Systems shall be tested at least once within every three years. Where the assigned class is greater than 4 hours, it shall be permitted to terminate the test after 4 hours. NFPA 99 Section 6.4.1.1.6.1 states that Type 1 and Type 2 essential electrical system power sources shall be classified at Type 10, Class X, Level 1 generator sets.</p> <p>Findings include:</p> <p>1. Based on records review, observation and interview with the Clinical Director on 06/01/23 between 10:00 a.m. and 11:55 a.m., no current documentation of an annual fuel quality test for the diesel generator was available for review. The Clinical Director contacted the facility vendor who stated they were unaware of the requirement.</p> <p>This finding was acknowledged by the Clinical Director at the time of observation and again at the exit conference with the Clinical Director present.</p> <p>2. Based on records review, observation and interview with the Clinical Director on 06/01/23 between 10:00 a.m. and 11:55 a.m., the facility provided documentation for testing of the emergency generator,</p>	K0918		

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K0918  Bldg. 01	Continued from page 4 however, could not provide documentation of a three-year 4-hour test. This was confirmed by the Clinical Director who stated she contacted the facility vendor who indicated the aforementioned test had not been done.  This finding was acknowledged by the Clinical Director at the time of observation and again at the exit conference with the Clinical Director present.	K0918		

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E0000	<p>Initial Comments</p> <p>An Emergency Preparedness Survey was conducted by the Indiana Department of Health in accordance with 42 CFR 416.54.</p> <p>Survey Date: 06/01/23</p> <p>Facility Number: 012857</p> <p>Provider Number: 15C0001182</p> <p>AIM Number: NA</p> <p>At this Emergency Preparedness survey, Carmel Specialty Surgery Center LLC was found in compliance with Emergency Preparedness Requirements for Medicare and Medicaid Participating Providers and Suppliers, 42 CFR 416.54.</p> <p>Quality Review completed on 06/05/23</p>	E0000		

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