

**I. PATIENT INFORMATION**

Patient's Name (Last, First, M.I.): \_\_\_\_\_ Telephone Number: ( ) \_\_\_\_\_  
Address: \_\_\_\_\_ City: \_\_\_\_\_ County: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_  
Social Security Number\*: \_\_\_\_\_ - Patient identifier information is not transmitted to CDC! -

**RETURN TO STATE/LOCAL HEALTH DEPARTMENT**

\* This agency is requesting disclosure of your Social Security Number (SSN) in accordance with IC 16-41-2; disclosure is voluntary and you will not be penalized for refusal.



**INDIANA STATE DEPARTMENT OF HEALTH  
ADULT HIV/AIDS CONFIDENTIAL CASE REPORT**

(Patients ≥13 years of age at time of diagnosis)  
State Form 51201 (R4 / 2-20)

**II. STATE HEALTH DEPARTMENT USE ONLY**

State Patient Number: \_\_\_\_\_

Date Form Completed: \_\_\_\_/\_\_\_\_/\_\_\_\_

**III. DEMOGRAPHIC INFORMATION**

DIAGNOSTIC STATUS AT REPORT: (check one)  HIV Infection (not AIDS)  AIDS  
AGE AT DIAGNOSIS: \_\_\_\_\_ Years  
DATE OF BIRTH: \_\_\_\_\_  
CURRENT STATUS:  Alive  Dead  
DATE OF DEATH: \_\_\_\_\_  
STATE/TERRITORY OF DEATH: \_\_\_\_\_

SEX (at birth):  Male  Female  
ETHNICITY (select one):  Hispanic or Latino  Not Hispanic or Latino  Unknown  
RACE (select one or more):  American Indian or Alaska Native  Native Hawaiian/or Other Pacific Islander  Asian  White  Black or African American  Multiracial  
COUNTRY OF BIRTH:  U.S.  U.S. Dependencies and Possessions (incl. Puerto Rico) (specify) \_\_\_\_\_  Other (specify) \_\_\_\_\_  
Height: \_\_\_\_\_ Weight: \_\_\_\_\_

RESIDENCE AT DIAGNOSIS: City: \_\_\_\_\_ County: \_\_\_\_\_ State/Country: \_\_\_\_\_ ZIP Code: \_\_\_\_\_

DIAGNOSED OR TREATED IN ANY OTHER STATE(S)/COUNTRY?: State: \_\_\_\_\_ Country: \_\_\_\_\_

**IV. FACILITY OF FIRST DIAGNOSIS**

Facility Name: \_\_\_\_\_  
City: \_\_\_\_\_ State/Country: \_\_\_\_\_  
FACILITY TYPE (check one)  
 Physician, HMO  Prenatal/OB clinic  
 Case Management Agency  Correction facility  
 HRSA Clinic  Hospital, Inpatient  
 Counseling & Testing Site  Hospital, Outpatient  
 Drug treatment center  Other (specify): \_\_\_\_\_

**V. PHYSICIAN/PROVIDER COMPLETING FORM**

Current Physician/Provider  
Name: \_\_\_\_\_ Telephone Number: \_\_\_\_\_  
(Last, First, MI)  
Name of Facility or Practice: \_\_\_\_\_ Medical Record Number: \_\_\_\_\_  
Complete Address: \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_  
Person Completing Form: \_\_\_\_\_ Telephone Number: \_\_\_\_\_  
- Physician identifier information is not transmitted to CDC! -

**VI. PATIENT HISTORY**

**BEFORE THE FIRST POSITIVE HIV TEST OR AIDS DIAGNOSIS, THIS PERSON HAD:**  
(Respond to ALL categories.)

• Sex with male .....  Yes  No  
• Sex with female .....  Yes  No  
• Injected nonprescription drugs .....  Yes  No  
• Worked in a health-care or clinical laboratory setting ..... (specify occupation) \_\_\_\_\_  Yes  No  
• Received transfusion of blood/blood components (other than clotting factor).....  
First \_\_\_\_/\_\_\_\_/\_\_\_\_ Last \_\_\_\_/\_\_\_\_/\_\_\_\_  Yes  No  
• Received transplant of tissue/organs or artificial insemination.....  Yes  No  
• Received clotting factor for hemophilia/coagulation disorder .....  
Specify disorder:  Factor VIII (Hemophilia A)  Factor IX (Hemophilia B)  Other (Specify) \_\_\_\_\_  Yes  No  
**HETEROSEXUAL** relations with any of the following:  Yes  No  Unk  
• Intravenous/injection drug user .....  Yes  No  Unk  
• Bisexual male.....  Yes  No  Unk  
• Person with hemophilia/coagulation disorder .....  Yes  No  Unk  
• Transfusion recipient with documented HIV infection .....  Yes  No  Unk  
• Transplant recipient with documented HIV infection, risk not specified .....  Yes  No  Unk  
• Person with AIDS or documented HIV infection, risk not specified.....  Yes  No  Unk

**VII. LABORATORY DATA**

**Test 1:**  HIV-1 RNA/DNA NAAT (Qual)  HIV-1 P24 Antigen  HIV-1 Culture  HIV-2 RNA/DNA NAAT (Qual)  HIV-2 Culture  EIA 1/2  IFA  Western Blot  
 Qualitative differentiated Immunoassay (i.e.Multispot) **Result:**  Positive/Reactive  Negative/Nonreactive  Indeterminate **Collection Date:** \_\_\_/\_\_\_/\_\_\_

**Test 2:**  HIV-1 RNA/DNA NAAT (Qual)  HIV-1 P24 Antigen  HIV-1 Culture  HIV-2 RNA/DNA NAAT (Qual)  HIV-2 Culture  EIA 1/2  IFA  Western Blot  
 Qualitative differentiated Immunoassay (i.e.Multispot) **Result:**  Positive/Reactive  Negative/Nonreactive  Indeterminate **Collection Date:** \_\_\_/\_\_\_/\_\_\_

**HIV Detection Tests (Quantitative viral load) Note: Include earliest test at or after diagnosis.**

**Test 1:**  HIV-1 RNA/DNA NAAT (Quantitative viral load)  
**Result:**  Detectable  Undetectable **Copies/mL:** \_\_\_\_\_ **Log:** \_\_\_\_\_ **Collection Date:** \_\_\_/\_\_\_/\_\_\_

**Test 2:**  HIV-1 RNA/DNA NAAT (Quantitative viral load)  
**Result:**  Detectable  Undetectable **Copies/mL:** \_\_\_\_\_ **Log:** \_\_\_\_\_ **Collection Date:** \_\_\_/\_\_\_/\_\_\_

**Immunologic Tests (CD4 count and percentage)**

**CD4 at or closest to current diagnostic status: CD4 count:** \_\_\_\_\_ cells/ $\mu$ L **CD4 percentage:** \_\_\_\_\_% **Collection Date:** \_\_\_/\_\_\_/\_\_\_  
**First CD4 result <200 cells/ $\mu$ L or <14%: CD4 count:** \_\_\_\_\_ cells/ $\mu$ L **CD4 percentage:** \_\_\_\_\_% **Collection Date:** \_\_\_/\_\_\_/\_\_\_

**Documentation of Tests**

Complete below **only** if none of the following was positive: HIV-1 Western blot, IFA, culture, p24 Ag test, viral load, or qualitative NAAT [RNA or DNA]:

Did documented laboratory test results meet approved HIV diagnostic algorithm criteria?  Yes  No  Unknown  
- If **YES**, provide date (specimen collection date if known) of earliest positive test for this algorithm: \_\_\_/\_\_\_/\_\_\_

If HIV laboratory tests were not documented, is HIV diagnosis documented by a physician prior to 2006?  Yes  No If **YES**, provide date of diagnosis: \_\_\_/\_\_\_/\_\_\_

**PLEASE ATTACH A COPY OF ALL HIV LABS (INCLUDING ANY GENOTYPE AND/OR PHENOTYPE).**

**VIII. CLINICAL STATUS**

Clinical Record Reviewed: <input type="checkbox"/> Yes <input type="checkbox"/> No	Def. = definitive diagnosis Pres. = presumptive diagnosis	Enter Date Patient was diagnosed as: Asymptomatic: ___/___/___ Symptomatic (not AIDS): ___/___/___					
AIDS INDICATOR DISEASES	Def	Pres.	Initial Date (mo/day/yr)	AIDS INDICATOR DISEASES	Def	Pres.	Initial Date (mo/day/yr)
1) Candidiasis, bronchi, trachea, or lungs		NA		14) Lymphoma, Burkitt's (or equivalent term)		NA	
2) Candidiasis, esophageal				15) Lymphoma, immunoblastic (or equivalent term)		NA	
3) Carcinoma, invasive cervical		NA		16) Lymphoma, primary in brain		NA	
4) Coccidioidomycosis, disseminated or extrapulmonary		NA		17) <i>Mycobacterium avium</i> complex or <i>M. Kansalii</i> disseminated or extrapulmonary			
5) Cryptococcosis, extrapulmonary		NA		18) <i>M. tuberculosis, pulmonary*</i>			
6) Cryptosporidiosis, chronic intestinal (>1 month duration)		NA		19) <i>M. tuberculosis, disseminated or extrapulmonary*</i>			
7) Cytomegalovirus disease (other than in liver, spleen, or nodes)		NA		20) <i>Mycobacterium</i> , of other species or unidentified species, disseminated or extrapulmonary			
8) Cytomegalovirus retinitis (with loss of vision)				21) <i>Pneumocystis carinii</i> pneumonia			
9) HIV encephalopathy		NA		22) <i>Pneumonia</i> , recurrent, in twelve (12) month period			
10) Herpes simplex: chronic ulcer(s) (>1 month duration); or bronchitis, pneumonitis or esophagitis		NA		23) Progressive multifocal leukoencephalopathy	NA		
11) Histoplasmosis, disseminated or extra pulmonary		NA		24) Salmonella septicemia, recurrent	NA		
12) Isosporiasis, chronic intestinal (>1 month duration)		NA		25) Toxoplasmosis of brain			
13) Kaposi's sarcoma				26) Wasting syndrome due to HIV	NA		
				*RVCT CASE NUMBER:			

**IX. TREATMENT/SERVICES REFERRALS**

Has this patient been informed of his/her HIV infection? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No	This patient is receiving or has been referred for:
This patient's partners will be notified about their HIV exposure and counseled by: <input type="checkbox"/> DIS (Local Health Department) <input type="checkbox"/> Physician/provider <input type="checkbox"/> Patient <input type="checkbox"/> ISDH Surveillance office needs to notify DIS	<ul style="list-style-type: none"><li>HIV-related medical services..... <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unk.</li><li>Substance abuse treatment services..... <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unk.</li></ul>
This patient received or is receiving: ▪ Anti-retroviral therapy Yes <input type="checkbox"/> No <input type="checkbox"/> Unk. <input type="checkbox"/> ▪ PCP prophylaxis ... Yes <input type="checkbox"/> No <input type="checkbox"/> Unk. <input type="checkbox"/>	This patient's medical treatment is <u>primarily</u> reimbursed by: <input type="checkbox"/> Medicaid <input type="checkbox"/> Private insurance/HMO <input type="checkbox"/> No coverage <input type="checkbox"/> Other Public Funding <input type="checkbox"/> Clinical trial/government program <input type="checkbox"/> Unknown
This patient has been enrolled at: <input type="checkbox"/> Clinical Trial <input type="checkbox"/> Clinic <input type="checkbox"/> NIH-sponsored <input type="checkbox"/> HRSA-sponsored <input type="checkbox"/> Other <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> None <input type="checkbox"/> Unknown <input type="checkbox"/> Unknown	

**X. FOR FEMALES ONLY**

Is the patient currently pregnant? <input type="checkbox"/> Yes <input type="checkbox"/> No	Obstetrician/NP/Clinic/Family Doctor: _____
Due Date: ___/___/___	Telephone Number: ( ) _____
Is provider aware of her HIV status? <input type="checkbox"/> Yes <input type="checkbox"/> No	Has the patient been offered information regarding the use of HIV treatment medications during pregnancy? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unk
<b>If additional space is needed, please complete in the "Comments" section.</b>	
Name of Child(ren) (Born since original diagnosis): _____	Date(s) of Birth: ___/___/___
Hospital Name: _____	City: _____ State: _____
Has the child been tested for HIV? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what was the result? _____	Was the child born before the mother's last negative HIV test? <input type="checkbox"/> Yes <input type="checkbox"/> No

**XI. HIV TESTING HISTORY**

**This section is to be completed using information obtained during patient interview. If a patient interview is not conducted, information may be obtained via medical chart abstraction.**

Date of interview (mo/day/yr): \_\_\_/\_\_\_/\_\_\_  
 Ever had a previous Positive HIV test?  Yes  No  Refused  Unknown  
 Date of first positive HIV test (mo/day/yr): \_\_\_/\_\_\_/\_\_\_  
 Ever had a negative HIV test?  Yes  No  Refused  Unknown  
 Date of last negative HIV test (mo/day/yr): \_\_\_/\_\_\_/\_\_\_  
 Number of negative HIV tests within twenty-four (24) months before first positive test: Number: \_\_\_\_\_  Refused  Don't Know/Unknown  
 Ever taken any antiretrovirals (ARVs)?  Yes  No  Refused  Don't Know/Unknown  
 If yes, name of the earliest ARV medication taken: \_\_\_\_\_  
 Dates ARVs taken – Date first began (mo/day/yr): \_\_\_/\_\_\_/\_\_\_  
 Dates ARVs taken – Date of last use (mo/day/yr): \_\_\_/\_\_\_/\_\_\_

**XII. POST-TEST COUNSELING**

**As required by law : IC 35-42-1-7**

Has the patient been told not to donate blood, plasma, organs, or other body tissue? .....  Yes  No Date (mo/day/yr) \_\_\_\_\_  
 Has this patient been told of their duty to warn all sex and needle-sharing partners of their HIV status prior to engaging in this behavior?  Yes  No Date (mo/day/yr) \_\_\_\_\_

**MUST COMPLETE:**

Name of person that provided post-test counseling \_\_\_\_\_ Telephone Number: ( ) \_\_\_\_\_

**XIII. COINFECTION/PARTNERS**

COINFECTIONS	Yes	No	Unk.	Diagnosis Date	Acute	Chronic
Hepatitis B .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	<input type="checkbox"/>	<input type="checkbox"/>
Hepatitis C .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____		
Sexually Transmitted Disease (STD) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	Specify STD: _____	
Sexually Transmitted Disease (STD) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	Specify STD: _____	
Sexually Transmitted Disease (STD) .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	Specify STD: _____	

Does the patient have partners they would like to have ISDH assist them in notifying? (If additional space is needed, please complete in the "Comments" section.)

Name: \_\_\_\_\_ Address: \_\_\_\_\_ Telephone Number: \_\_\_\_\_ Email: \_\_\_\_\_  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_

**If you have any questions when completing this form, please call : 1-800-376-2501**

Please **mail** form to:

Reports for Residents of **Elkhart, Jasper, Lake, Laporte, Newton, Porter and St. Joseph Counties** should be sent to:  
 Lake County Health Department  
 Attention: HIV/AIDS Surveillance Project Director  
 2900 West 93<sup>rd</sup> Street  
 Crown Point, IN 46307

Reports for Residents of **All Remaining Counties** should be sent to:  
 Office of Clinical Data and Research  
 Indiana State Department of Health  
 2 North Meridian Street, 6-C  
 Indianapolis, IN 46204

**DO NOT FAX.**

