



STATE OF INDIANA
Department of Correction

Indiana Government Center - South

302 W. Washington Street • Indianapolis, Indiana 46204-2738

Phone: (317) 233-6984 • Fax: (317) 232-6798 • Website: www.in.gov/idoc/

Michael R. Pence
Governor

Bruce Lemmon
Commissioner

August 26, 2013

TO: Office of Governor Pence, c/o Andrew Kossack
CC: Christina Trexler, Policy Advisor
FROM: Bruce Lemmon, Commissioner
ITEM: IDOC, Family Impact Report

Pursuant to Executive Order 13-5, the Indiana Department of Correction submits the following Report, which outlines the impact changes in Rules and Policies implemented between January 14 and July 13, 2013, have on Indiana Families:

Changes to the Indiana Administrative Code (Formal Rules) – Formal Rules relating to the Indiana Department of Correction can be found in Title 210 of Indiana's Administrative Code. The Department made no changes to any of these Rules, nor has the Agency submitted any intent to file an amendment to any Rule of Indiana's Administrative Code.

Changes to Agency policies – The Appendix to this Report includes a comprehensive listing of the Agency policies that were changed during the relevant time period.

Only one of these changes to policy (the offender visitation policy [02-01-102]), is believed to have any direct impact upon families, and after review it is believed to have a positive impact for the following reasons:

- The number of family members an inmate can place on the visitation list is increased from 10 to 12;
- Restrictions were loosened so that certain people with criminal histories may visit incarcerated family members;
- We expand the type of situations in which special visits by family are permitted (e.g., if they had been away on military leave, are pending military deployment, and when there has been a death in the family);
- We will permit video conference visits in certain cases where physical visitation would otherwise be denied.

Attached is an electronic copy of the Commissioner's certification of this policy change.

Other Family Impact Initiatives:

The IDOC has made great strides towards improving the impact upon families of the services provided to the youth adjudicated delinquent and incarcerated with the IDOC. The most significant of these is the "Family Engagement Initiative."

IDOC's Division of Youth Services ("DYS") Family Engagement Initiative:

- We were one of two states to pilot the Family Engagement Surveys of incarcerated youths families for the PbS (Performance-based Standards) Learning Institute and the Vera Institute of Justice;
- Participated in several national workshops and webinars highlighting the importance of Family Engagement and the steps Indiana has taken to improve family relationships while youth are committed to a DOC facility;
- Responding to the issues cited by many parents of not being able to visit their child during "scheduled" visitation hours due to work and other commitments, DYS opened up and unrestricted visitation hours for parents/families.
- Parents/families are encouraged to be engaged with their child's life and expectations of school, treatment programs and re-entry planning;
- Parents are encouraged to participate in facility activities such as intake orientation, parent/teacher conferences, treatment team meetings, medical and mental health conferences, Parent Information and Education Sessions (PIES), and parent facility volunteers.
- We are aggressively working to expand on the use and availability of video visitation services as well. Our vendor partner has recently agreed to expand video visitation services in all of our juvenile correctional facilities and to provide these services as a value add to our current DOC contract. Once in place, video visitation will be available via a smart phone app that can connect directly to a kiosk or iPad assigned to the youth's counselor. The vendor has agreed to provide these services at no cost to the juvenile facilities, staff, youth or their parents. This service will greatly enhance the contact and relationship between the facility staff, the youth and parents/families.
- Using parents/families to help de-escalate youth in order to reduce the need for isolation, reduce incidents of violence, and increase facility safety.

Benefits of Family Engagement:

- Improved conditions of confinement, reduced incidents of violence, and improved overall facility safety;
- Building trusting relationships between facility staff, youth, and their families;
- Improved reintegration and transitional planning aiding in reducing rates of recidivism;
- Family contact matters, regardless of the quality of the relationship between a youth and their family. Family contact builds and fosters improved family relationships as well as improves behaviors of youth held in correctional facilities;
- Improved facility climate and culture;

If you have any questions, or guidance, please do not hesitate to contact my office directly.

Appendix A

IDOC Policies changed or added during the period 1/14/13 to 7/14/13

2013

Jan 7	13-01	Revision to 00-01-104 Tort Claims. Effective 2/1/2013 Rescinds ED 05-34. Updated to reflect current practice
Jan 7	13-02	Revision to 04-03-105, Response to Staff Emergencies. Effective date 2-1-2013 <i>Updated to reflect current practice.</i>
Jan 9	13-04	Revision to Policy 00-01-102, Offender Access to Courts. Effective 2/1/13 applicable to all facilities, except facilities with court orders to do otherwise. <i>Updated to reflect current practice</i>
Jan 10	13-05	Revision to Policy 02-01-115, Sexual Assault.... Effective 1/22/2013. Revised after DOJ review. Minor changes. <i>Requires PREA posters be placed in visitor accessible areas; allows victim to access INCASA hotline; Included contact info for IDOC Sexual Assault Hotline; all allegations are to be investigated even if Victim or perpetrator has left Department (staff or offender)</i>
Jan 18	13-07	Revises 02-01-109, "The Use of Physical Force." Replaces version with effective date 5-1-2009 dist w/ ED # 09-19. Effective 3-1-2013. Applicable to adult facilities and adult parole. <i>Procedural changes</i>
Feb 2	13-10	Revises Policy 00-04-101, Development, Implementation and Approval of Policy. Effective Date 3/1/2013. Supersedes ED 07-32 and accompanying Policy. <i>Procedural change</i>
Feb 14	13-14	Revises Policy 02-03-110, Adult Offender Transportation. Effective 4/1/2013, applicable to adult facilities. Rescinds ED # 07-20. Procedural change
Feb 18	13-15	Revises 02-02-101, Community Re-entry Centers. Effective 3-1-2013, applicable to CRCs. Rescinds ED # 07-03. Added Failure to Return to definitions and escape sections; changed "offender" to "resident"; approved Placement Visitation Leaves residences must have a landline

Appendix A

Feb 18	13-16	Introduces new Policy 02-02-104, Community Corrections Residential Services, effective 4/1/13; applicable to contractual community corrections agencies
Feb 25	13-17	Introduces new Policy 01-04-107, Community Transition Program. Effective 4-1-2013, applicable to facilities housing adults. <i>Policy developed in accordance with CTP law. Updated to reflect current practice</i>
Feb 25	13-18	Authorizes revision to Policy 00-00-101, Organization and Operation of the Department. Effective 4/1/2013, applicable to entire department. Rescinds ED # 07-33. <i>Procedural changes</i>
Feb 25	13-20	Authorizes revision to Policy 02-01-102, Community Re-Entry Centers Fund and Dist. Of Earning. Rescinds ED # 03-10. <i>Procedural change and included guidelines for contracted Re-Entry/Work Release Centers. No change in dollar amounts</i>
March 4	13-21	Introduces new policy 04-03-102, DOC Personnel Policy. Effective 5/1/2013, applicable to entire Department. Rescinds EDs # 04-51, #07-06, # 04-27 and policies 04-03-101, 04-03-104, and 04-03-108. <i>Procedural change</i>
March 18	13-25	Introduces revision to policy 02-03-102, Emergency Response Operations, and authorizes revision to IDOC Emergency Manual. Policy effective 4/1/2013. Facility Heads will receive CD with EM at 4-24-2013 conference. Rescinds ED # 04-05. <i>Procedural changes</i>
March 18	13-26	Revises 03-03-103, GPS Monitoring of Sex Offenders, effective 5/1/2013, applicable to parole and parolees. Rescinds ED # 12-81, <i>Case Mgmt and procedural change</i>
April 8	13-28	Presents and authorizes revision to 02-04-102, Adult Disc. Segregation. Effective date 6/1/2013 applicable to adult facilities. Rescinds ED # 00-26 and # 12-42 <i>Procedural change</i>
April 11	13-32	Revises 01-01-103, Development and Delivery of Academic and Technical Programs in Juv. Facilities. Effective 6/1/2013, applicable to juvenile facilities. Rescinds ED # 11-73. <i>Change in personnel issues related to restructuring of education</i>

Appendix A

April 11	13-33	Revises 00-01-101, The Assistance of Legal Counsel, effective 5/1/2013, applicable Department-wide. Rescinds ED # 07-07. <i>Procedural Change</i>
April 15	13-35	Revises 00-03-101, Dist. Of Info, effective 5/1/2013, applicable across Dept. RESCINDS ED # 12-22. <i>Procedural change</i>
May 1	13-38	Authorizes new IDOC Policy 04-03-101, Telework Program. Effective 6/1/2013 applicable to all approved Department teleworkers. <i>Personnel policy</i>
May 29	13-40	Revises 00-03-301, Sex and violent offender registry appeal process. Effective 7/1/13, applicable Dept-wide. Rescinds ED # 11-03. <i>Procedural changes for the appeal process</i>
May 24	13-41	Revises 02-03-114, Incident Reporting, Monitoring, and Mapping. Effective 7/1/2013, applicable Department-wide. Rescinds ED # 07-55. <i>Procedure changes</i>
June 14	13-46	New Appendix I: Offenses List. Eff. 7/1/2013, applicable to adult facilities. Adds 102A-possession of cell phone or other wireless or cellular communications device. Removes cell phone from 207B. Rescinds ED # 12-66. Rescinded by 13-53
June 19	13-51	Revises Policy 02-01-102 Offender visitation. Applicable all facilities. Effective 8/1/2013. Rescinds ED # 09-22. <i>See ED 13-51 for list of changes.</i>
June 27	13-53	Rescinds 13-46. OIS could not structurally handle the change of A101. This ED makes use/possession of cell phone an A121, instead. Effective 7/1/2013, applicable everywhere.