



Indiana SUD/SMI Waivers

Post-Award Forum: Waiver and Data Updates

Presenters:

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What do you mean “waiver”?

- The SUD/SMI “waivers” are Section 1115 Demonstration programs.
- Section 1115 of the Social Security Act gives the Secretary of Health and Human Services authority to approve experimental, pilot, or demonstration projects.
- These demonstrations give states flexibility to design and improve their programs, but also require rigorous data collection and evaluation.
- Demonstrations must also be "budget neutral" to the Federal government.



Why did Indiana Medicaid pursue these “waivers”?

...to bypass the IMD Exclusion!

An “Institution of Mental Disease” is

“A hospital, nursing facility, or other institution of **more than 16 beds** that is **primarily engaged in providing diagnosis, treatment or care of persons with mental diseases, including medical attention, nursing care and related services.** Whether an institution is an institution for mental diseases is **determined by its overall character** as that of a facility established and maintained primarily for the care and treatment of individuals with mental diseases, **whether or not it is licensed as such.**”



Agenda

1. SUD Waiver Overview
 - Goals/Milestones
 - Monitoring Report Metrics
 - 2020 vs. 2021
2. SMI Waiver Overview
 - Goals/Milestones
 - Monitoring Report Metrics
 - 2020 vs. 2021
3. 2021 Accomplishments



Indiana SUD Waiver

§1115 Demonstration



Indiana SUD Waiver

General Overview

- Indiana's Substance Use Disorder (SUD) waiver allows the State to receive federal financial participation (FFP) for short-term inpatient and residential stays for individuals aged 21-64 in qualified facilities that are considered Institutions for Mental Disease (IMDs) under federal law.
- State commits to making continued progress toward a variety of waiver goals and milestones for the duration of waiver approval.
- The waiver requires robust federal monitoring and evaluation requirements to measure the success of waiver implementation.

February 1, 2018

Indiana's §1115 SUD Demonstration Waiver goes live and is approved until December 31, 2020



October 26, 2020

Indiana's §1115 SUD and SMI waivers renewals approved for an additional 5 years until December 31, 2025.



Indiana SUD Waiver

General Criteria for Qualifying IMDs - SUD

A facility will be recognized as a qualifying IMD under the SUD Waiver if it meets the following requirements:

Inpatient SUD

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds
- Inpatient SUD admission must meet American Society of Addiction Medicine (ASAM) Patient Placement Criteria, Level 4

Residential SUD

- Enrolled as SUD residential addiction treatment facility: Provider Type 11 – Behavioral Health Provider, Provider Specialty 836 - *SUD Residential Addiction Treatment Facility*
 - The SUD facility must receive DMHA designation indicating approval to offer ASAM Level 3.1 or Level 3.5 residential services (Facilities that have designations to offer both ASAM Level 3.1 and Level 3.5 services within the facility must include proof of both with their enrollment application).
 - Certified as a residential (sub-acute stabilization) for DMHA

Note:

- State operated facilities (SOFs) are currently ineligible

SUD Waiver Goals

Purpose of Goal:

Expand availability of SUD services/ providers

- Increase rates of identification, initiation, and engagement in treatment
- Reduce utilization of emergency departments and inpatient settings
- Reduce overdose deaths

Increase utilization of evidence-based treatment methods

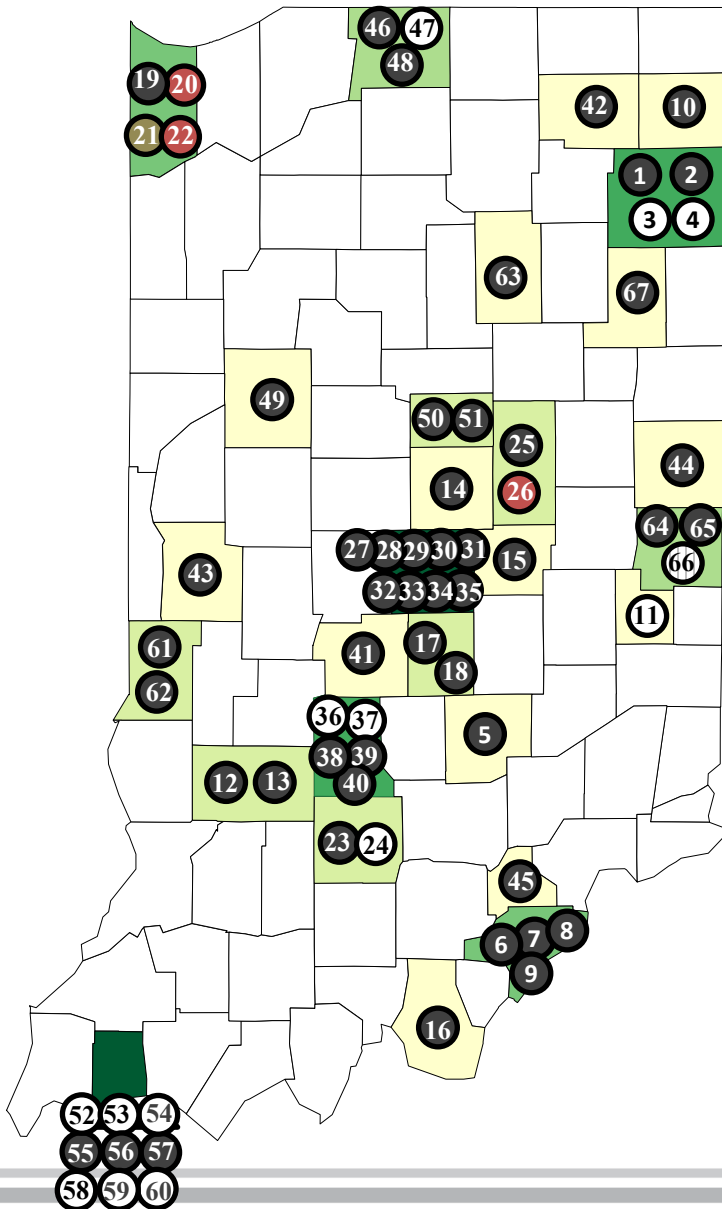
- Fewer readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate
- Improve access to care for physical health conditions among beneficiaries
- Improve care coordination and transitions of care

Administrative improvement and simplification

- Increase provider networks and participation
- Assure consistency with better provider education and guidance

ASAM Facilities in Indiana

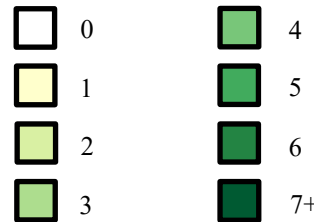
Current as of 6/1/2022



Facility

- | | |
|---|---|
| 1 Park Center Carew | 35 Recovery Centers of America at Indianapolis |
| 2 Avenues Recovery Center of Fort Wayne, LLC | 36 Amethyst House Men's |
| 3 Harriet House | 37 Amethyst House Women's |
| 4 Hope House | 38 Centerstone Recovery Center (Bloomington) |
| 5 Columbus House | 39 Indiana Center for Recovery |
| 6 Sunrise Recovery, LLC | 40 Indiana Center for Recovery |
| 7 Wooded Glen Recovery Center | 41 Recovery Works-Martinsville |
| 8 Turning Point | 42 Hickory Treatment Center at Albion |
| 9 Avenues Recovery Center at Kentuckiana | 43 Hickory Treatment Center at Rockville |
| 10 Allendale, LLC | 44 Winchester House |
| 11 Reid Health Care Pavilion | 45 Centerstone Recovery Center (Lexington) |
| 12 The NewDay Center | 46 Indiana Center for Recovery South Bend |
| 13 Hickory Treatment Center at Linton | 47 YWCA North Central Indiana |
| 14 Landmark Recovery of Carmel | 48 Praxis of South Bend |
| 15 Hickory House Recovery | 49 Addiction and Recovery Center (West Lafayette) |
| 16 Hickory Treatment Center at Corydon | 50 Oasis Recovery, LLC. |
| 17 Alcohol and Drug Treatment Center (Men's Campus) | 51 Oasis Recovery, LLC. |
| 18 Alcohol and Drug Treatment Center (Women's Campus) | 52 Peppiatt House |
| 19 Rapid Access Center | 53 N.O.W. Counseling Services LLC (Weinbach) |
| 20 Recovery Matters | 54 N.O.W. Counseling Services LLC (Franklin) |
| 21 Recovery Works (Merrillville) | 55 SAFE NOW Recovery Center |
| 22 Strawhun Center | 56 Stepping Stone |
| 23 Transitions Recovery | 57 Evansville House |
| 24 Transitions Recovery - Building 2 | 58 Stepping Forward for Men (unit w/in Stepping) |
| 25 Bridges of Hope | 59 Stepping Forward for Women |
| 26 Mockingbird Hill | 60 Chandler Home |
| 27 Fairbanks | 61 Anabranch Recovery Center |
| 28 Hickory Treatment Center at Indianapolis | 62 Hickory Treatment Center at Terre Haute |
| 29 Harbor Light | 63 White's Residential & Family Services |
| 30 Fresh Start Recovery Center | 64 Recovery Works (Cambridge City) |
| 31 Turning Point Men's | 65 Centerstone Recovery Center (Richmond) |
| 32 Turning Point Women's | 66 Addiction and Recovery Center (Richmond) |
| 33 Hickory House Treatment Center at Lawrence | 67 Praxis Treatment of Fort Wayne |
| 34 Landmark Recovery of Indianapolis | |

Number of ASAM Facilities per County



ASAM Designation

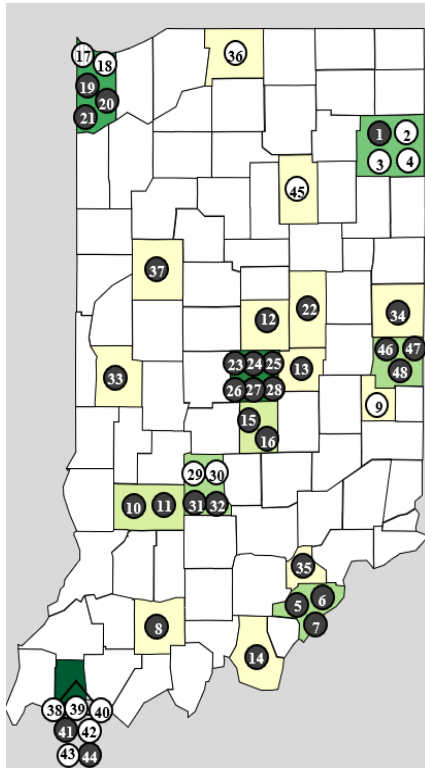


Totals

- ASAM Level 3.1
 - Facilities: 14
 - Beds: 237
- ASAM Level 3.5
 - Facilities: 51
 - Beds: 2,147*
- ASAM Level 3.1 & 3.5
 - Facilities: 3
 - Beds: 125

*This includes 14 juvenile beds at Fairbanks (#27) and 10 adolescent beds at Reid Health (#11)

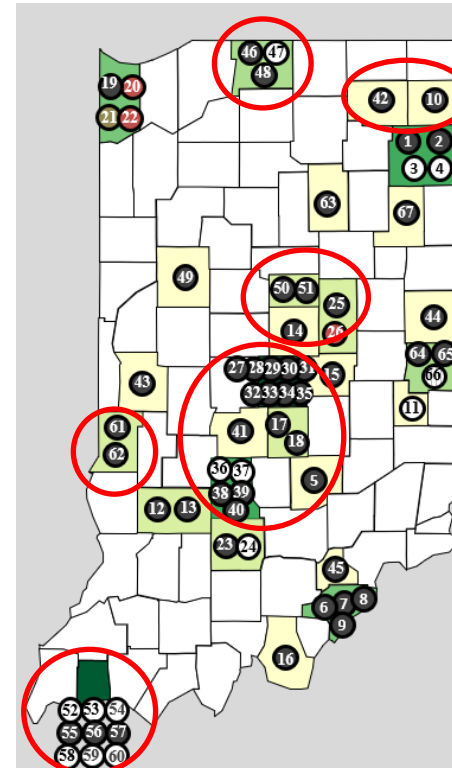
SUD Residential Treatment Growth ASAM 3.1 and 3.5 Facilities/Beds



February 2020

Total Beds: 1,195

Facilities: 49



June 2022

Total Beds: 2,509

Facilities: 68

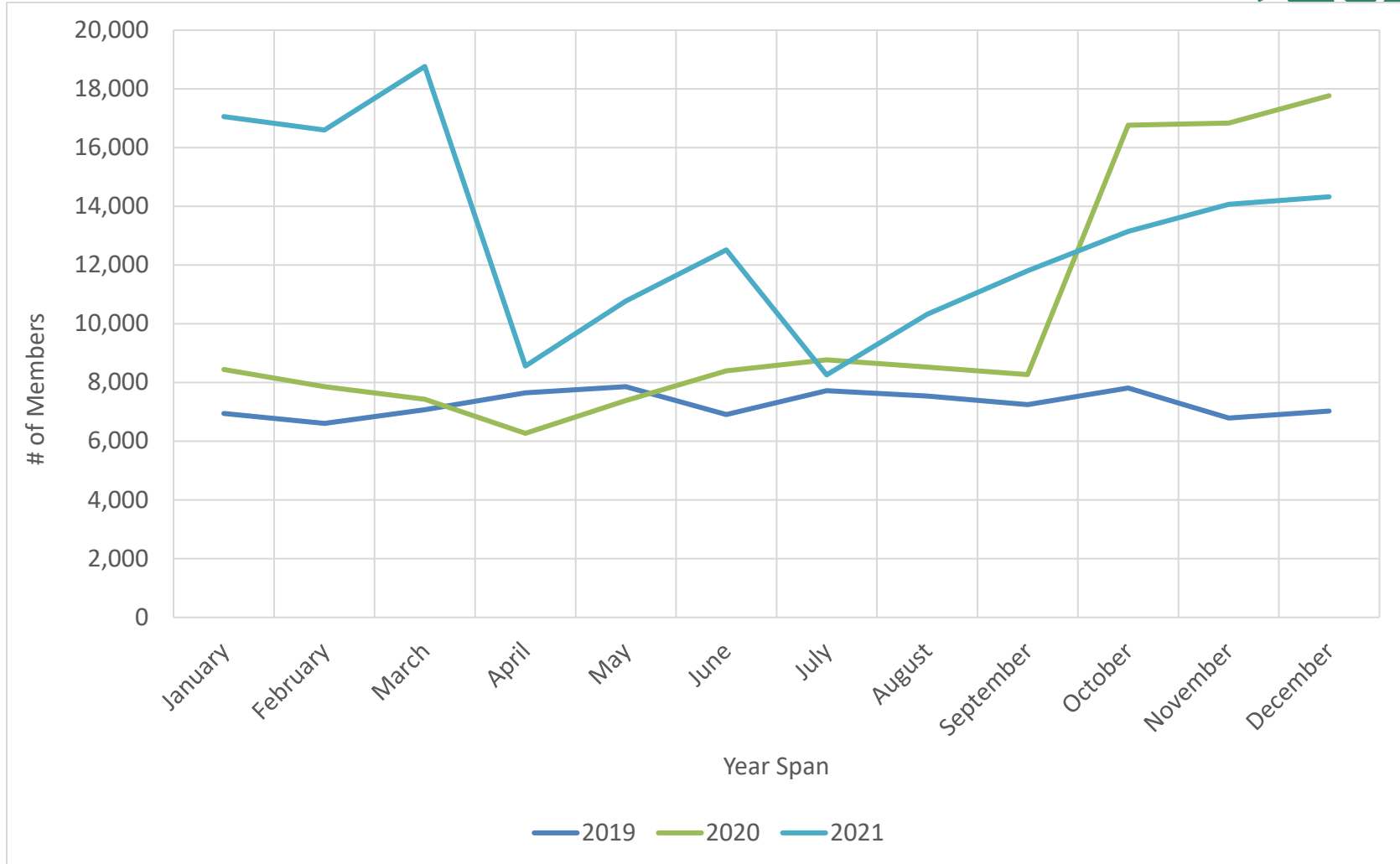
Over 100% increase



SUD Monitoring Reports 2020 vs. 2021

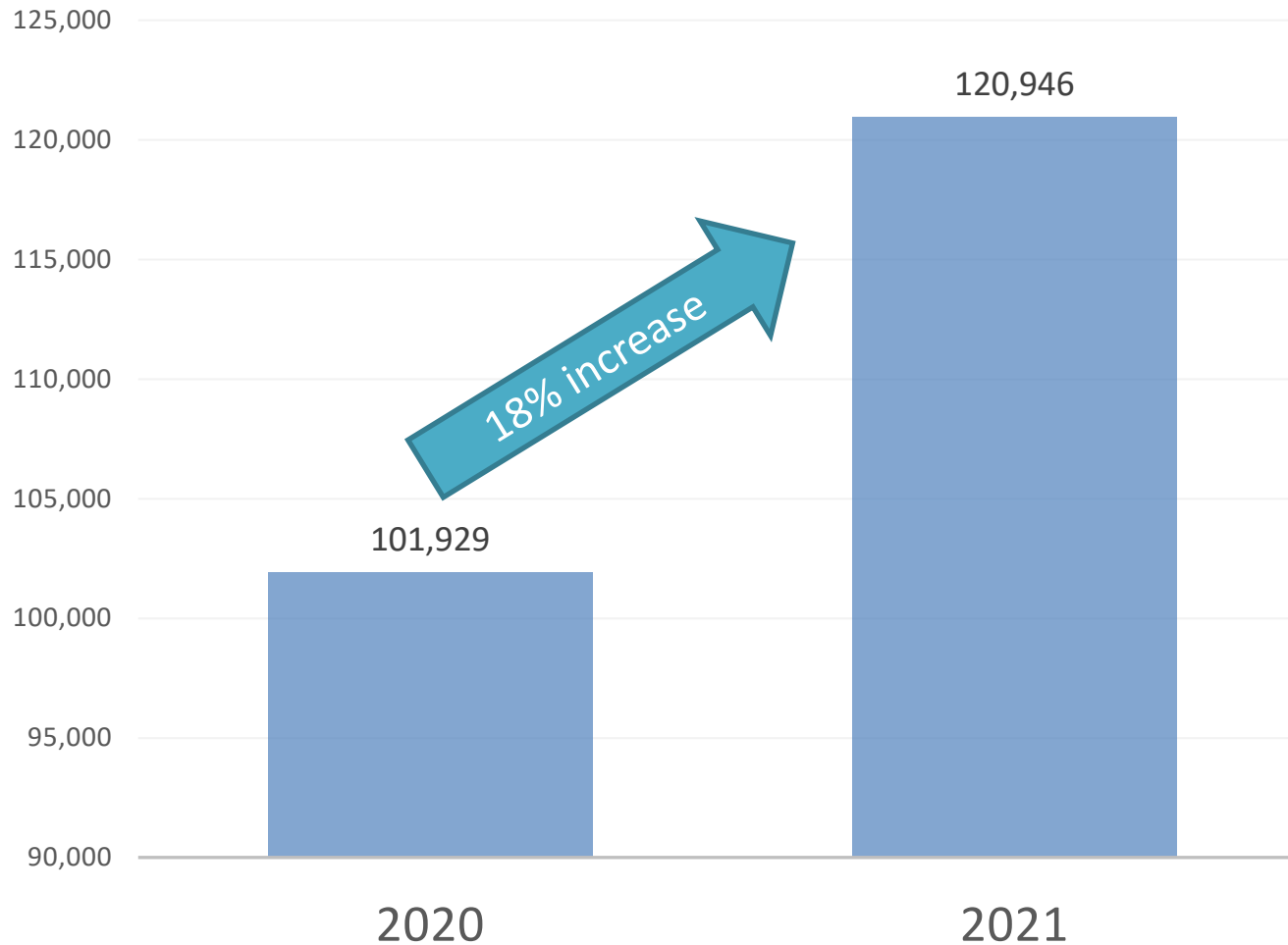
Medicaid Members with a New SUD Diagnosis

Metric #2



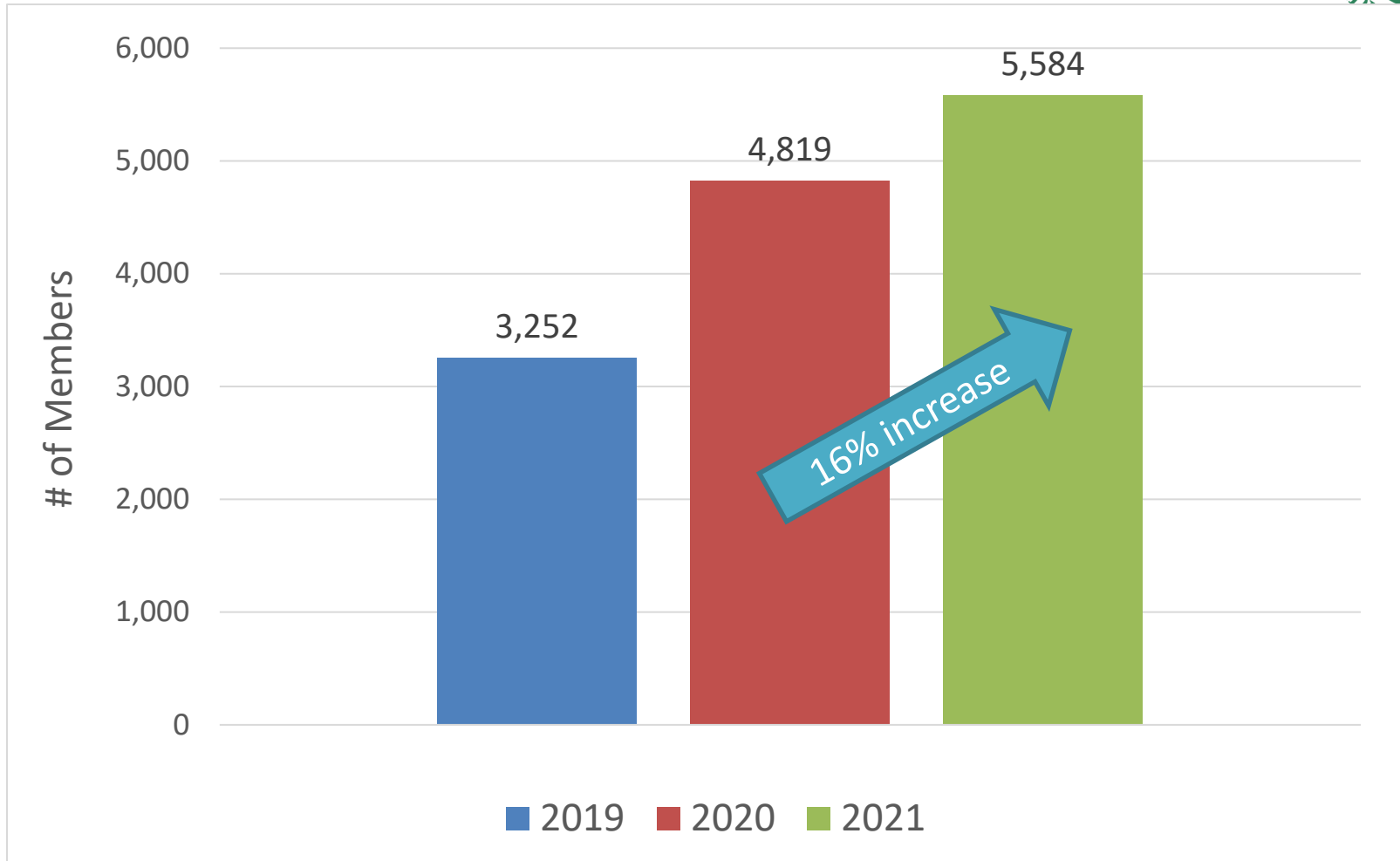
Total Medicaid Members with a SUD Diagnosis

Metric #4



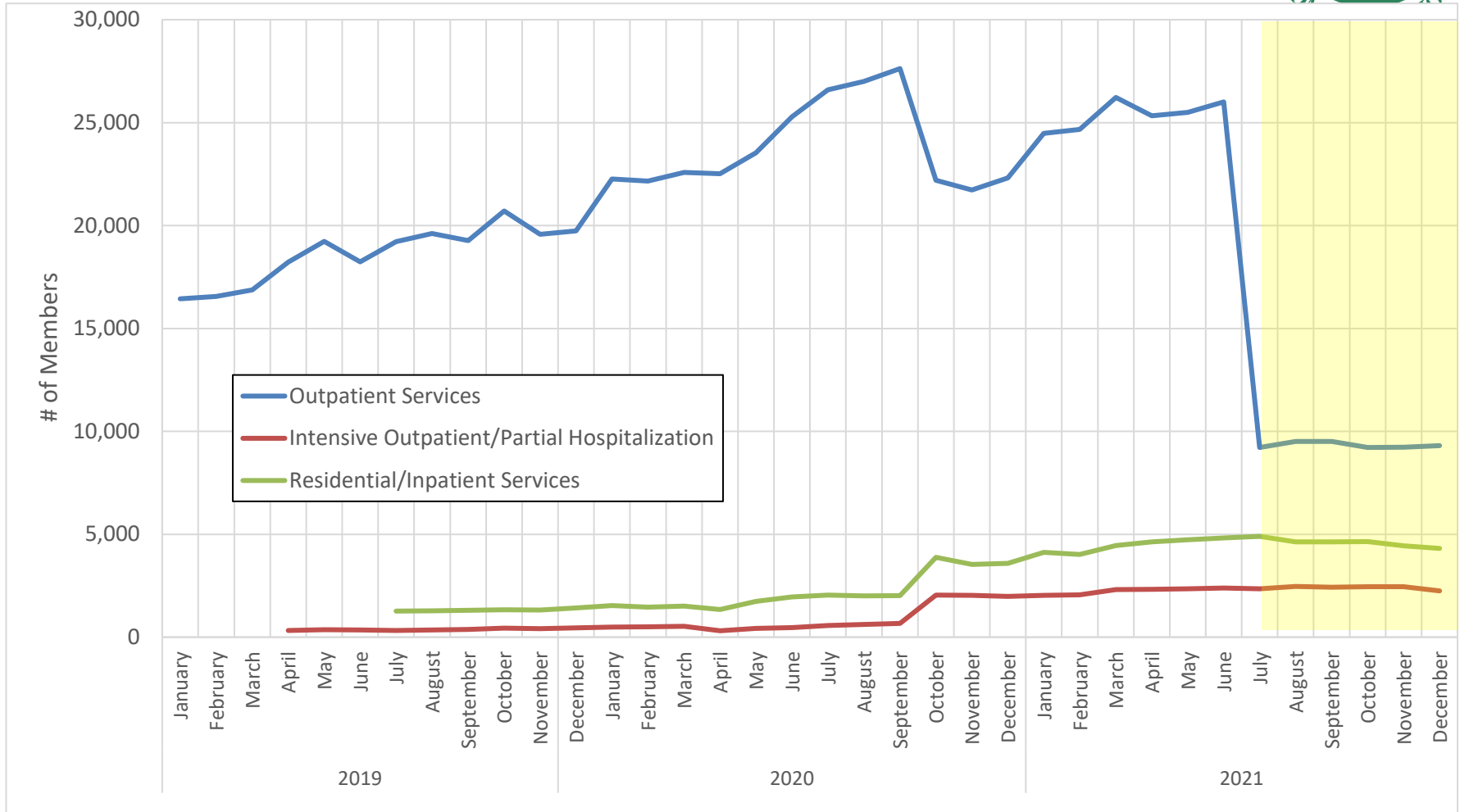
Medicaid Members Treated in an IMD for SUD

Metric #5



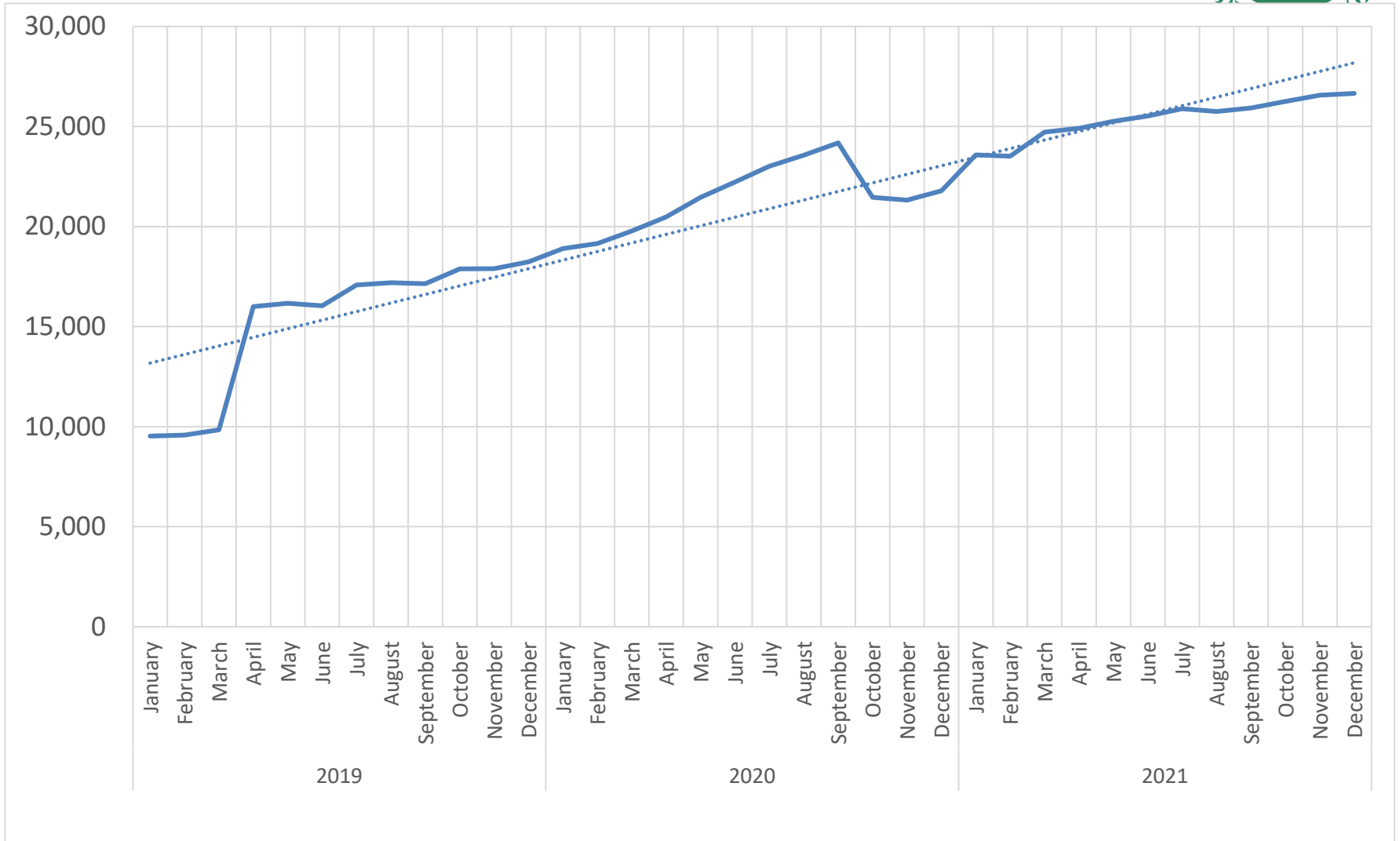
Number of Members Receiving Any SUD Treatment

Metric #7-10



Number of Members Utilizing MAT for SUD

Metric #12



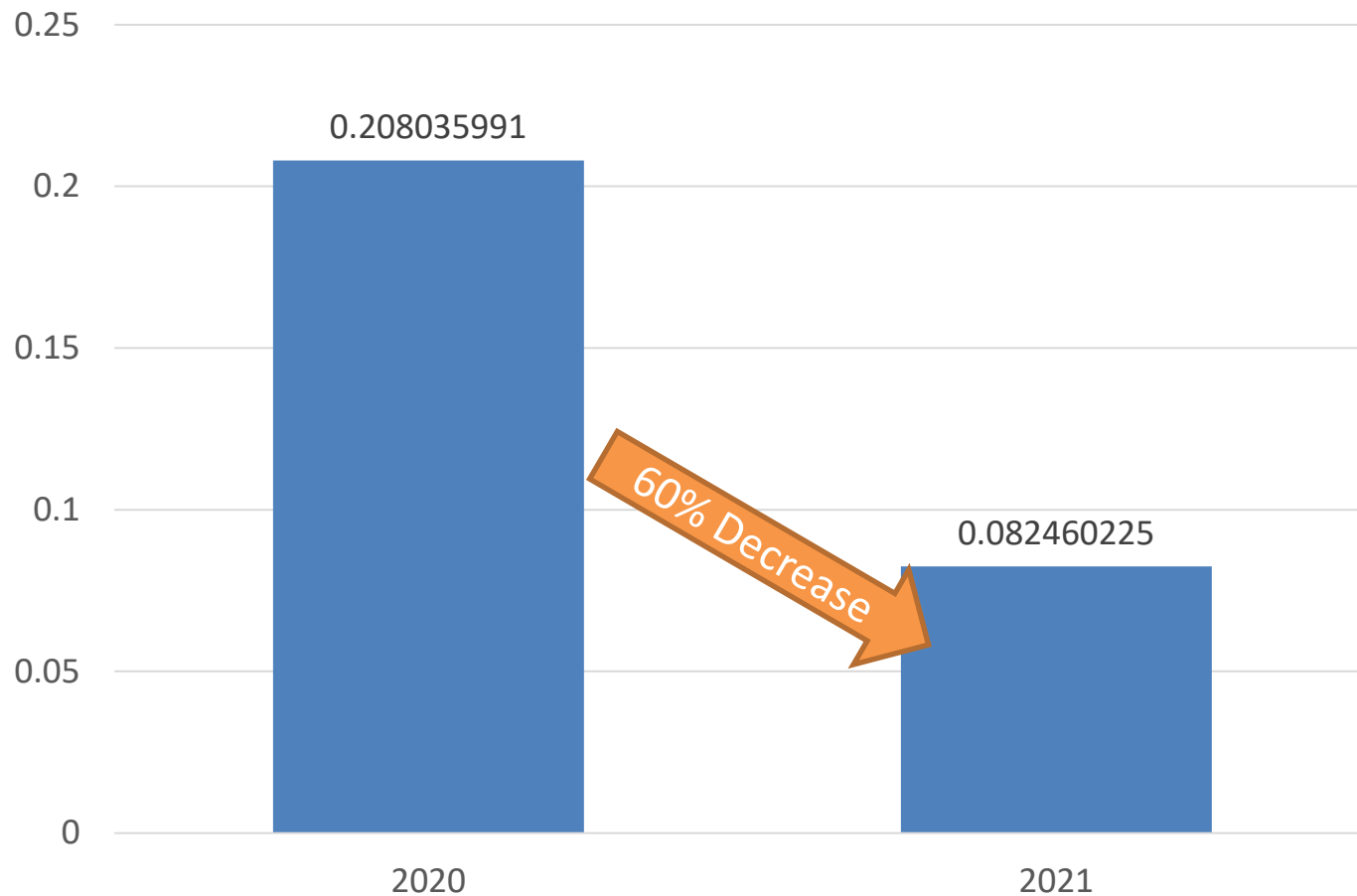
Emergency Utilization for SUD per 1,000 Medicaid Members

Metric #23



Rate of Readmissions Among Members with SUD

Metric #25





SUD Demonstration Summative Evaluation Results

Measure Examined	Desired Outcome	Outcome Met?	Statistically Significant?
Rate of emergency department visits for SUD per 1,000 Medicaid beneficiaries	Decrease	Yes	Yes, via interrupted time series statistical test
Prescribers accessing Indiana's INSPECT	Increase	Yes	No test run
Users of SUD Treatment (Any, Outpatient, Residential/Inpatient)	Increase	Yes	Yes, via interrupted time series statistical test



Indiana SMI Waiver

§1115 Demonstration



Indiana SMI Waiver

General Overview

- Indiana's Serious Mental Illness (SMI) waiver allows the State to receive federal financial participation (FFP) for short-term inpatient stays for individuals with serious mental illness aged 21-64 in qualified facilities that are considered Institutions for Mental Disease (IMDs) under federal law.
- This waiver also requires robust federal monitoring and evaluation requirements to measure the success of waiver implementation.

January 1, 2020

Indiana's §1115 SMI waiver demonstration goes live with an approval until December 31, 2020



October 26, 2020

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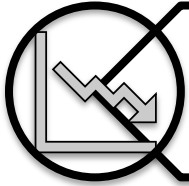
Indiana SMI Waiver

General Criteria for Qualifying IMDs - SMI

A facility will be recognized as qualifying IMD under the SMI Waiver if it meets the following requirements:

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds
- Certified by Division of Mental Health and Addiction (DMHA) as a private mental health institution (PMHI)*
- State operated facilities (SOFs) are currently ineligible under the SMI waiver

SMI Waiver Goals



Reduce utilization & length of stay in emergency departments



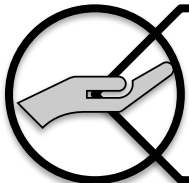
Reduce preventable readmissions to acute care hospitals and residential settings



Improve availability of crisis stabilization services



Improve access to community-based services

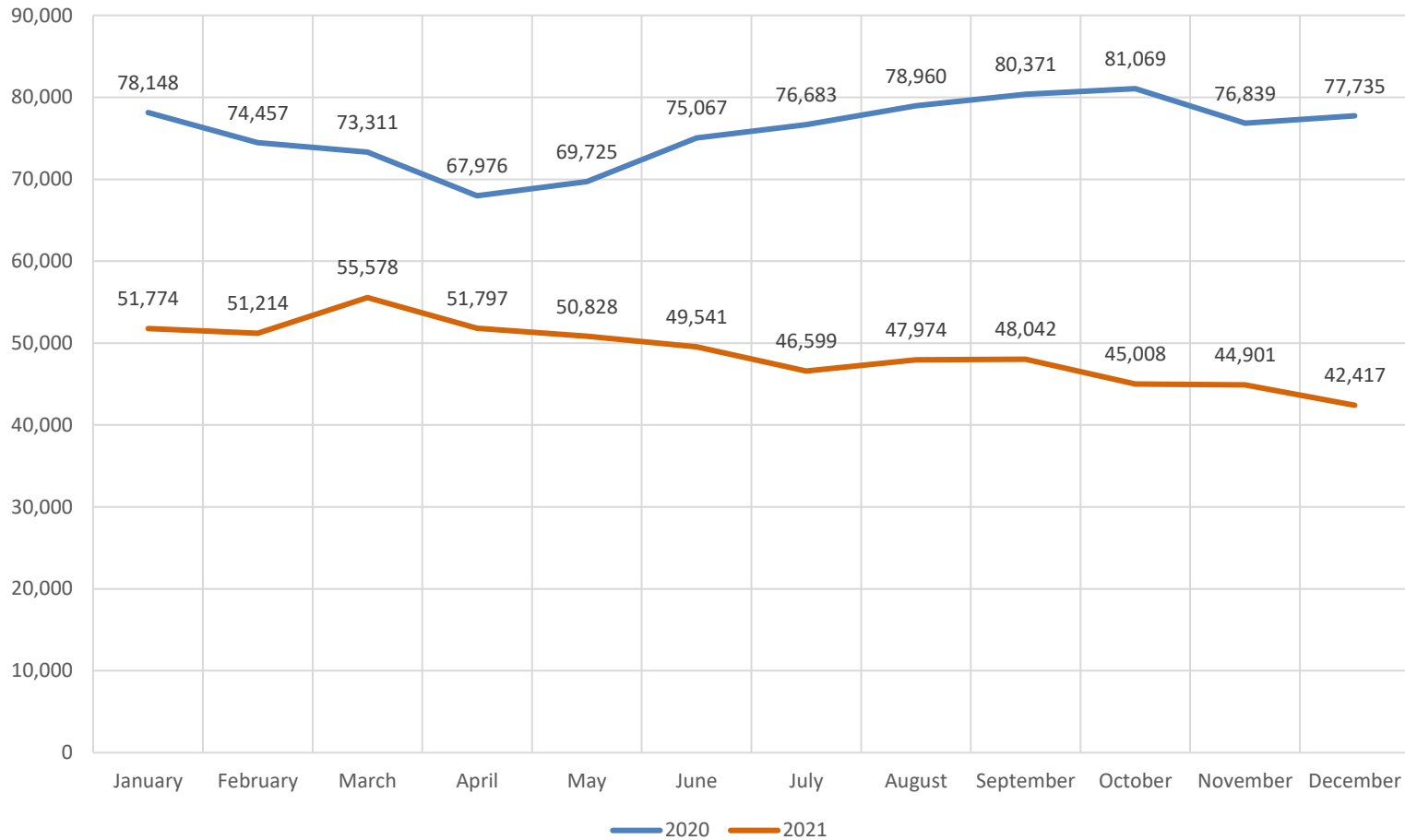


Improve care coordination and continuity of care in the community post discharge

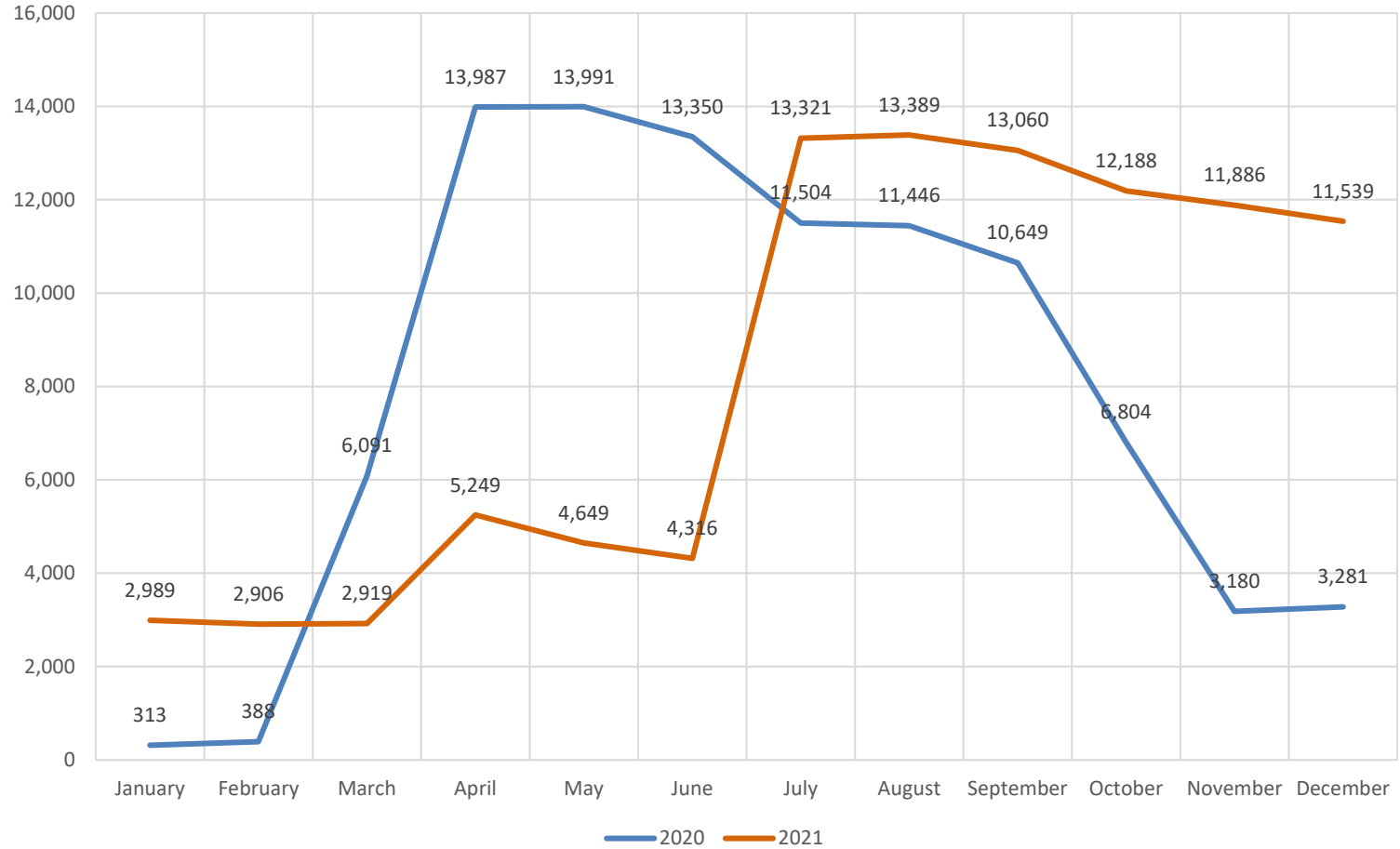


SMI Monitoring Reports 2020 vs. 2021

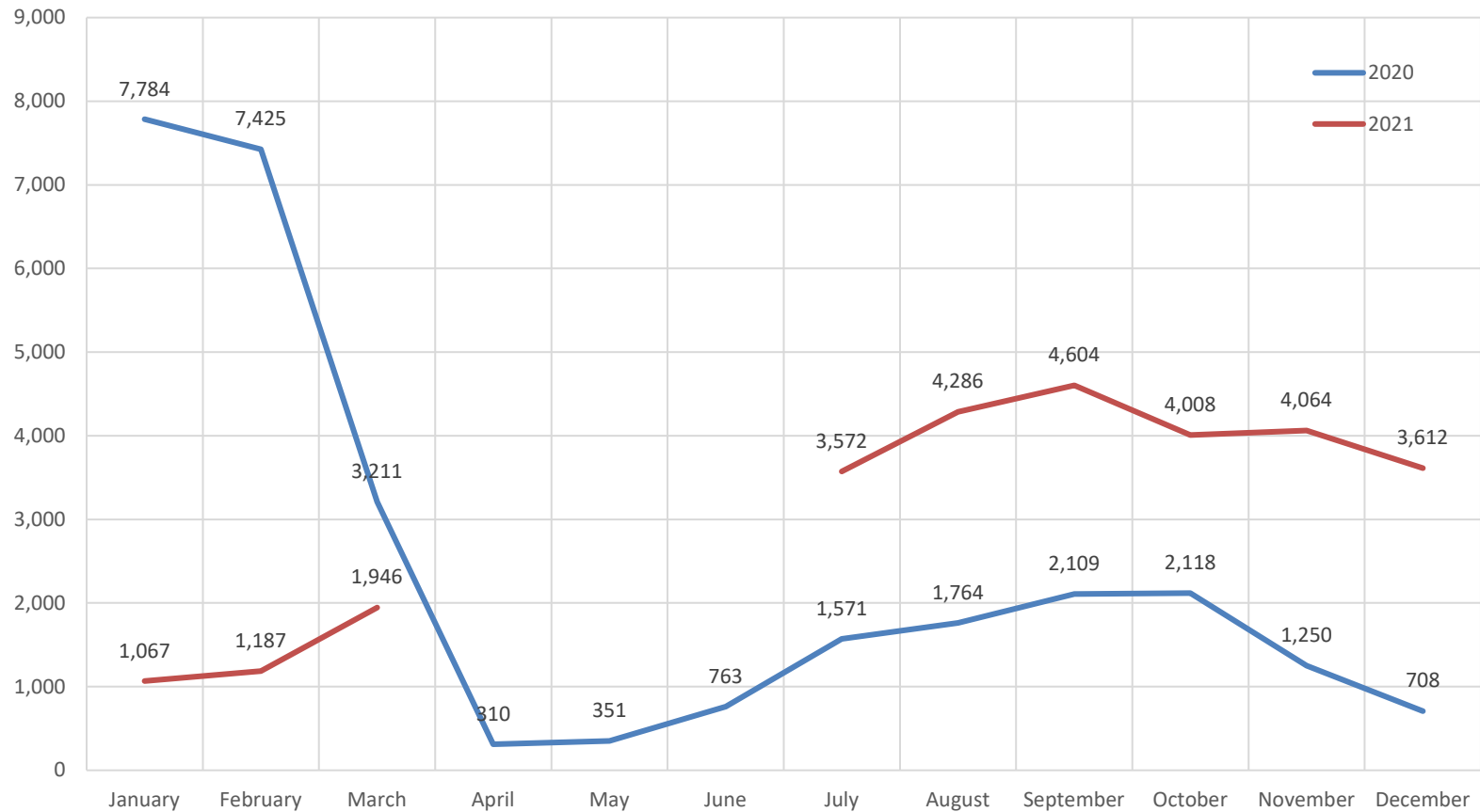
Any SMI Service Utilization Metric #18



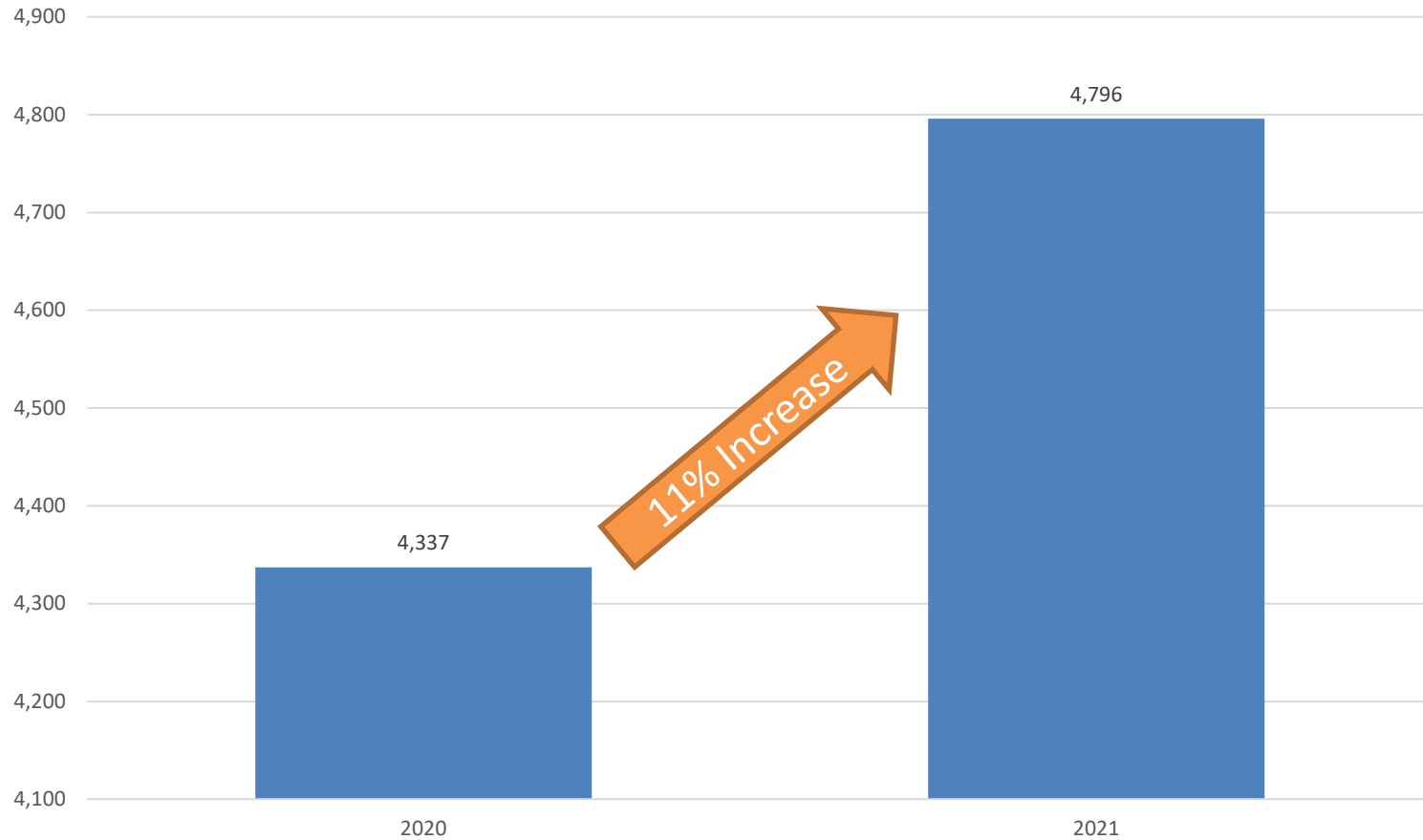
Telehealth Utilization Metric #17



Emergency Department Utilization Metric #16



IMD Utilization Metric #20

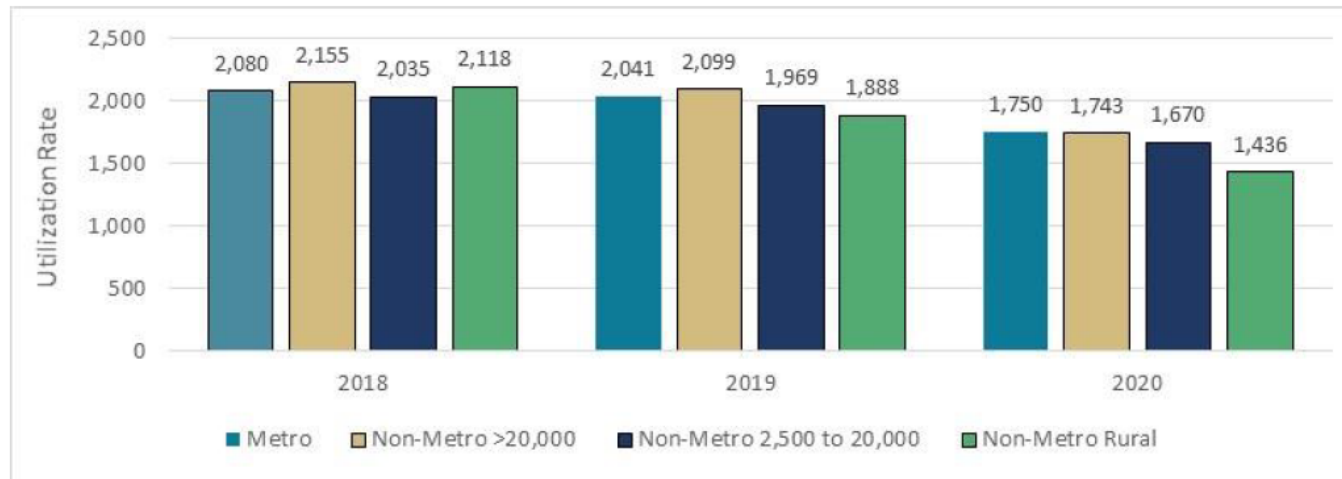


SMI Demonstration Summative Evaluation Results



Measure Examined	Desired Outcome	Outcome Met?
Emergency Department Utilization and Participant by SMI recipients	Decrease	Yes

Exhibit 6.19: Emergency Department Utilization, SMI Recipients by Metro/Non-Metro (January 2018 – December 2020)



Source: Monthly claims/encounter and enrollment files, January 2018 – December 2020.

2021 Year in Review - Accomplishments

The OMPP and DMHA Core Committee for the SUD/SMI waivers achieved notable improvements in the behavioral health treatment system during 2021:

Accomplishments

Description

Combined ASAM 3.1 and 3.5 Units

- Previous DMHA guidance limited SUD Residential facilities to only having one ASAM designation per unit
- DMHA developed pilot demonstration where facilities could be a combined ASAM 3.1 and 3.5 unit (beds for both levels of ASAM)
- As of June 2022, there are three providers with combined 3.1 and 3.5 Units, for a total of 125 beds

Urine Drug Testing Policy

- IHCP implemented a single UDT policy to be used across all managed care entities (MCEs) and programs to eliminate provider confusion

Telehealth Code Set Development

- Proposed code set drafted and sent for provider feedback December 2021
- Code Set Effective July 21, 2022

Crisis Intervention Services Overhaul

- Development of Mobile Crisis Units led by DMHA as part of 988 Initiatives
- Potential to decrease emergency dept. utilization for the overall behavioral health population in the state





Questions?