



Indiana SUD/SMI Waivers

Post-Award Forum: Waiver and Data Updates

Presenter :

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What do you mean “waiver”?



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- The SUD/SMI “waivers” are Section 1115 Demonstration programs.
 - Section 1115 of the Social Security Act gives the Secretary of Health and Human Services authority to approve experimental, pilot, or demonstration projects.
 - These demonstrations give states flexibility to design and improve their programs, but also require rigorous data collection and evaluation.
 - Demonstrations must also be "budget neutral" to the Federal government.

Why did Indiana Medicaid pursue these “waivers”?



...to bypass the IMD Exclusion!

An “Institution of Mental Disease” is

“A hospital, nursing facility, or other institution of **more than 16 beds** that is **primarily engaged in providing diagnosis, treatment or care of persons with mental diseases, including medical attention, nursing care and related services**. Whether an institution is an institution for mental diseases is **determined by its overall character** as that of a facility established and maintained primarily for the care and treatment of individuals with mental diseases, **whether or not it is licensed as such.**”

42 CFR §435.1010



Agenda

1. 2022 Accomplishments
2. SUD Waiver Overview
 - Goals/Milestones
 - Monitoring Report Metrics
 - 2020 vs. 2021
3. SMI Waiver Overview
 - Goals/Milestones
 - Monitoring Report Metrics
 - 2020 vs. 2021



Three Takeaways!

- There has been a continued increase of Hoosiers being diagnosed with a substance use disorder (SUD) from 2021 to 2022.
- More Hoosiers who have been diagnosed with a substance use disorder are being treated in residential facilities and participate in medicated-assisted treatment (MAT).
- Increases in the usage of telehealth and stays in residential facilities for individuals with a serious mental illness (SMI) and serious emotional disturbance (SED) have led decreases in emergency department stays.

2022 Year in Review- Accomplishments



- **Certifying Behavioral Diagnoses**
 - Licensed Clinical Social Worker (LCSW), Licensed Marriage and Family Therapist (LMFT), Licensed Mental Health Counselor (LMHC), and Licensed Clinical Addiction Counselor (LCAC) are now able to certify a mental health diagnosis within a patient's treatment plan.
- **Telehealth Code Set Development**
 - Continued to allow and expand more services that could be rendered through telehealth.
- **Opioid Treatment Program (OTP) Weekly Bundles**
 - Division of Mental Health and Addiction (DMHA) and the Office of Medicaid Policy and Planning (OMPP) collaborated to align the Medicaid services closer to Medicare.
- **Crisis Intervention Services Overhaul**
 - DMHA and OMPP collaborated to bring more access for mental health services by planning and implementing mobile crisis intervention units.



Indiana SUD Waiver

General Overview

- Indiana's Substance Use Disorder (SUD) waiver allows the State to receive federal financial participation (FFP) for short-term inpatient and residential stays for individuals aged 21-64 in qualified facilities that are considered Institutions for Mental Disease (IMDs) under federal law.
- State commits to making continued progress toward a variety of waiver goals and milestones for the duration of waiver approval.
- The waiver requires robust federal monitoring and evaluation requirements to measure the success of waiver implementation.

February 1, 2018

Indiana's §1115 SUD Demonstration Waiver goes live and is approved until December 31, 2020



October 26, 2020

Indiana's §1115 SUD and SMI waivers renewals approved for an additional 5 years until December 31, 2025.

Indiana SUD Waiver

General Criteria for Qualifying IMDs-SUD



A facility will be recognized as a qualifying IMD under the SUD Waiver if it meets the following requirements:

Inpatient SUD

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds

Residential SUD

- Enrolled as SUD residential addiction treatment facility: Provider Type 11 – Behavioral Health Provider, Provider Specialty 836 - *SUD Residential Addiction Treatment Facility*
 - The SUD facility must receive DMHA designation indicating approval to offer ASAM Level 3.1 or Level 3.5 residential services (Facilities that have designations to offer both ASAM Level 3.1 and Level 3.5 services within the facility must include proof of both with their enrollment application).
 - Certified as a residential (sub-acute stabilization) for DMHA

Note:

- State operated facilities (SOFs) are currently ineligible



SUD Waiver Goals

Expand availability of
SUD services/
providers

- Increase rates of identification, initiation, and engagement in treatment
- Reduce utilization of emergency departments and inpatient settings
- Reduce overdose deaths

Increase utilization of
evidence-based
treatment methods

- Fewer readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate
- Improve access to care for physical health conditions among beneficiaries
- Improve care coordination and transitions of care

Administrative
improvement and
simplification

- Increase provider networks and participation
- Assure consistency with better provider education and guidance

SUD Residential Growth

ASAM 3.1 and 3.5 Facilities/Beds

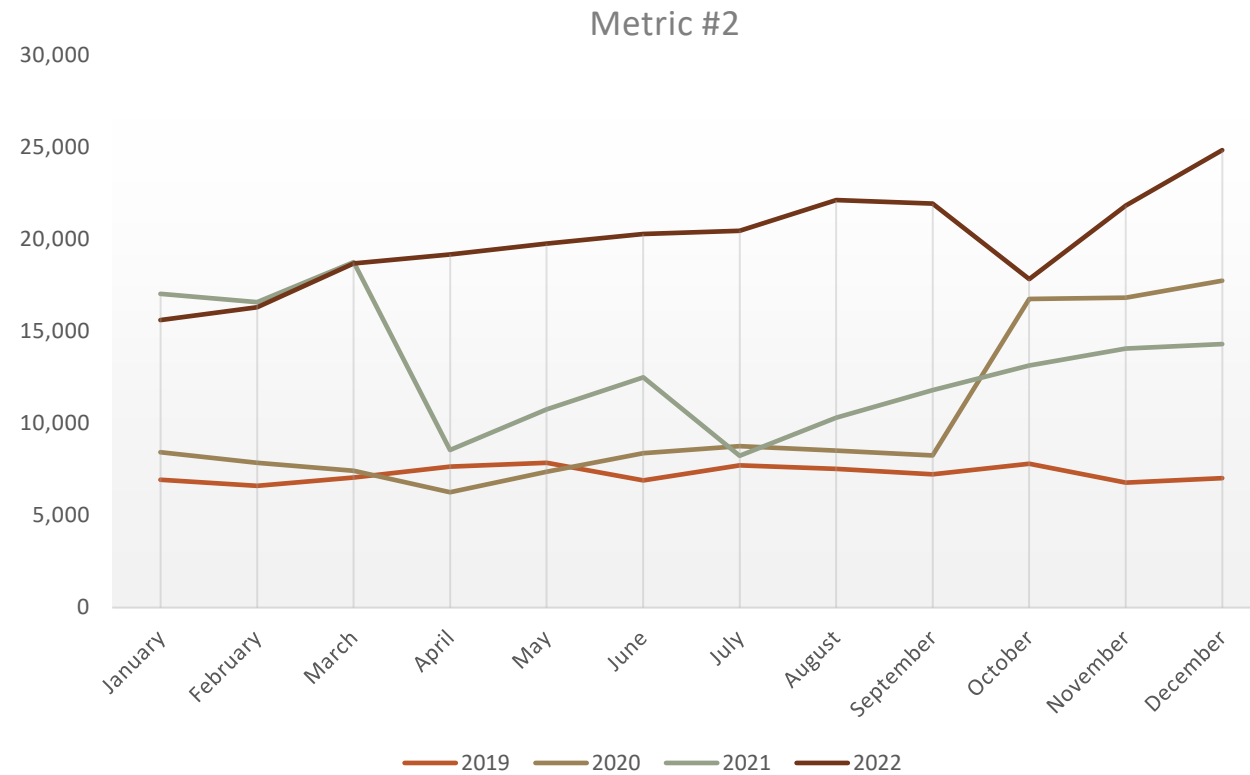


- ASAM (American Society of Addiction Medicine) established treatment criteria for addictive, substance-related, and co-occurring conditions.
- Within this criteria, individuals are assessed for their level of care at their initial intake.
- ASAM 3.1 are low-intensity residential services, and ASAM 3.5 are high-intensity residential services.

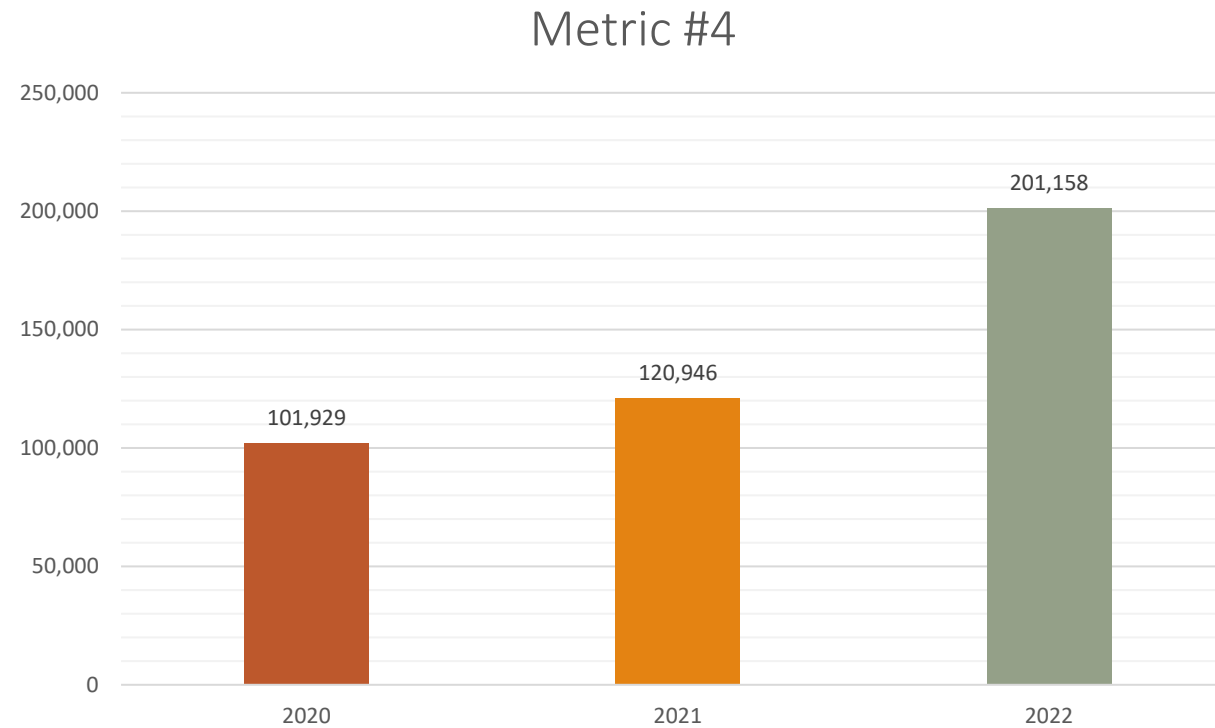
ASAM Level	Facilities	Beds
3.1	15	274
3.5	62	2554
3.1 and 3.5	3	3

Year	Total Facilities	Total Beds
2022 (June)	68	2509
2023 (July)	80	2953

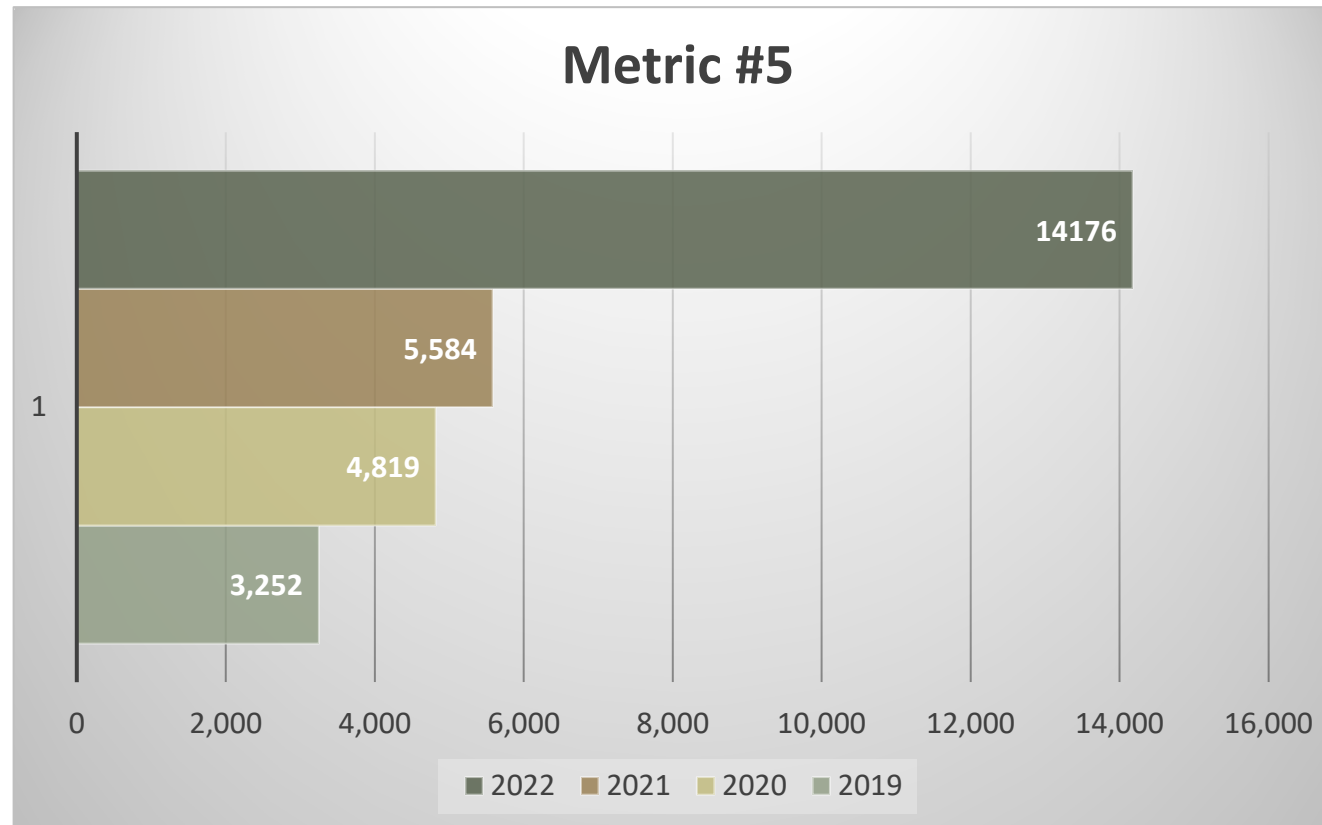
Medicaid Members with a New SUD Diagnosis Metric #2



Total Medicaid Members with a SUD Diagnosis Metric #4



Medicaid Members Treated in an IMD for SUD Metric #5



Number of Members Utilizing MAT for SUD Metric #12

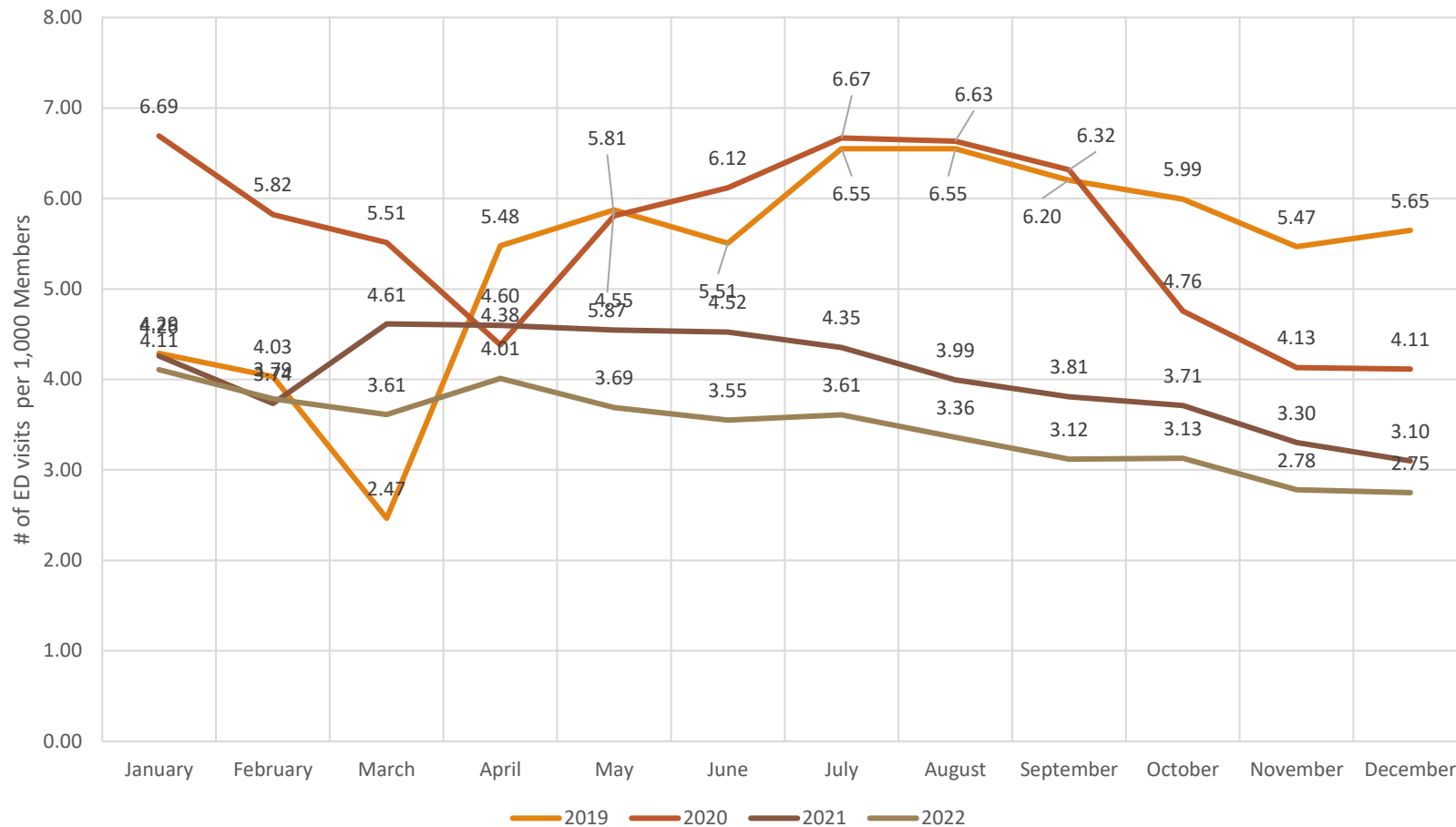


METRIC #12

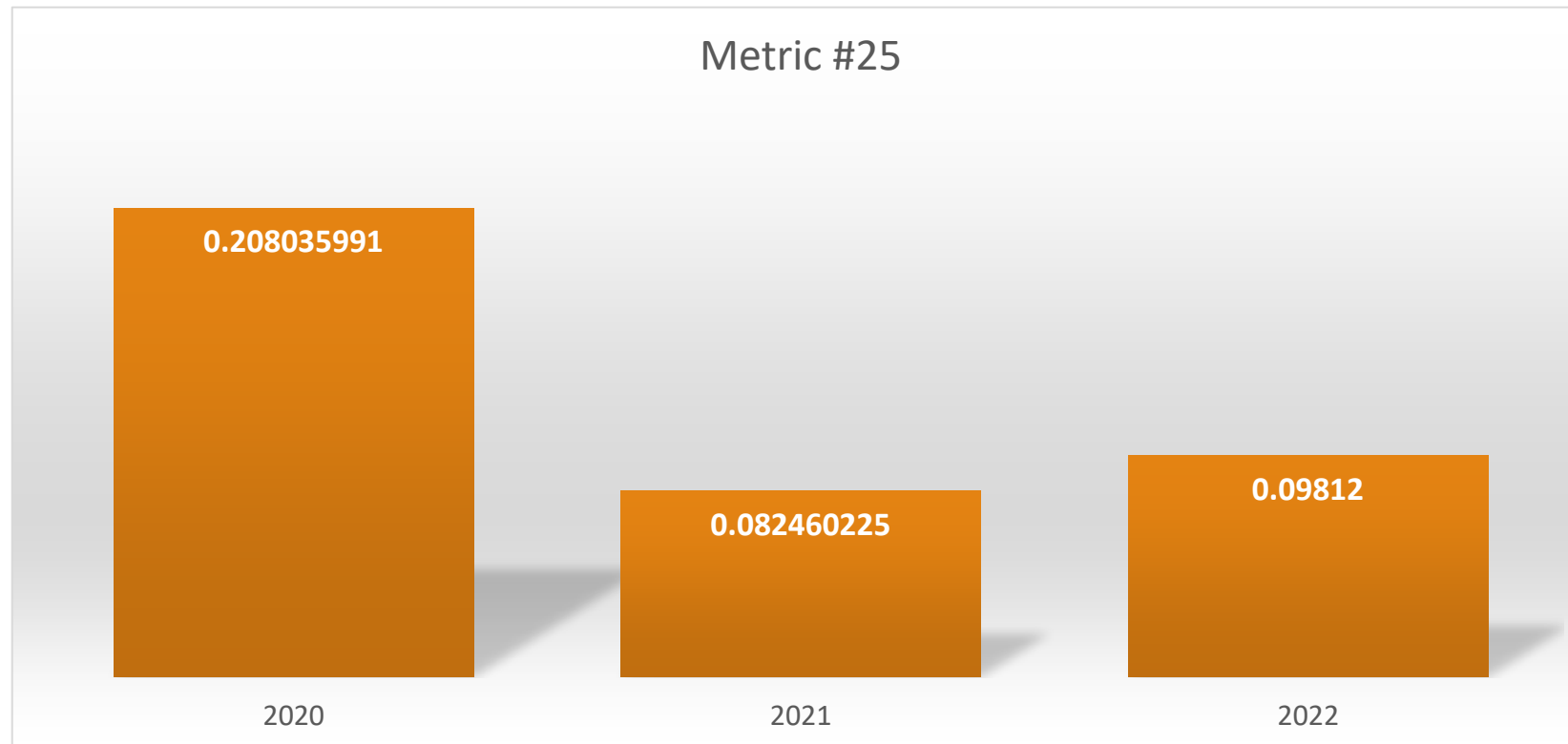




Emergency Utilization for SUD per 1,000 Medicaid Members Metric #23



Rate of Readmissions Among Members with SUD Metric #25



Indiana SMI Waiver

General Overview



- Indiana's Serious Mental Illness (SMI) waiver allows the State to receive federal financial participation (FFP) for short-term inpatient stays for individuals with serious mental illness aged 21-64 in qualified facilities that are considered Institutions for Mental Disease (IMDs) under federal law.
- This waiver also requires robust federal monitoring and evaluation requirements to measure the success of waiver implementation.

January 1, 2020

Indiana's §1115 SMI waiver demonstration goes live with an approval until December 31, 2020



October 26, 2020

Indiana's §1115 SUD and SMI waivers renewals approved for an additional 5 years until December 31, 2025.

Indiana SMI Waiver

General Criteria for Qualifying IMDs - SMI



A facility will be recognized as qualifying IMD under the SMI Waiver if it meets the following requirements:

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds
- Certified by Division of Mental Health and Addiction (DMHA) as a private mental health institution (PMHI)*
- State operated facilities (SOFs) are currently ineligible under the SMI waiver

SMI Waiver Goals



Reduce utilization & length of stay in emergency departments



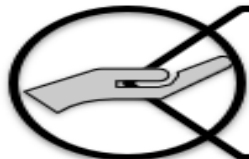
Reduce preventable readmissions to acute care hospitals and residential settings



Improve availability of crisis stabilization services

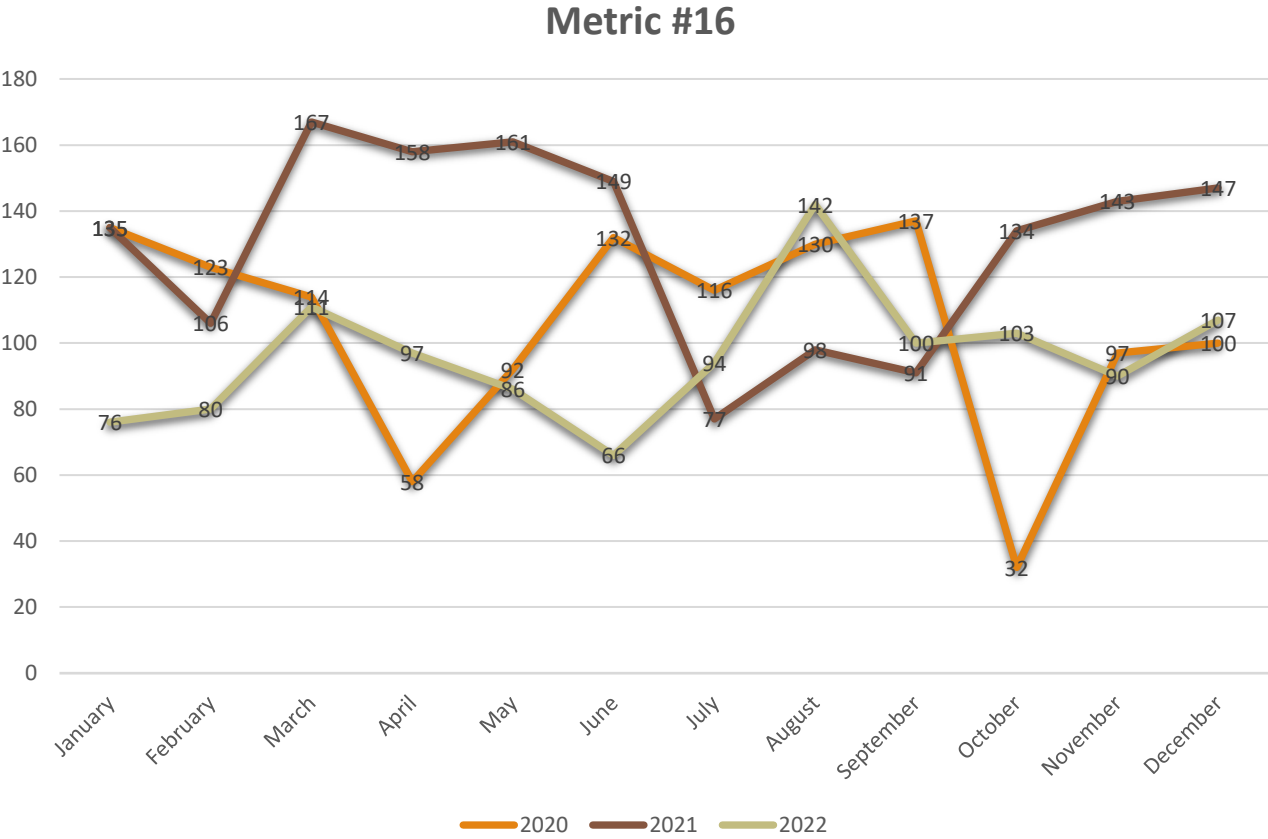


Improve access to community-based services

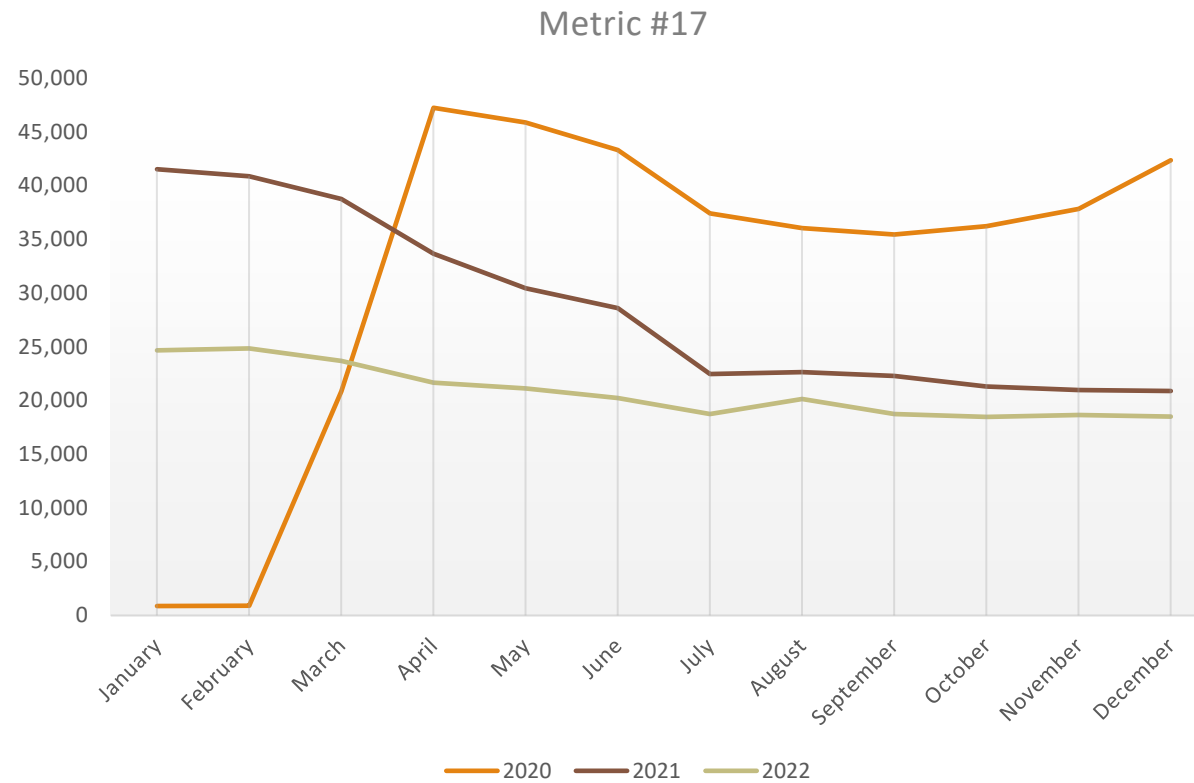


Improve care coordination and continuity of care in the community post discharge

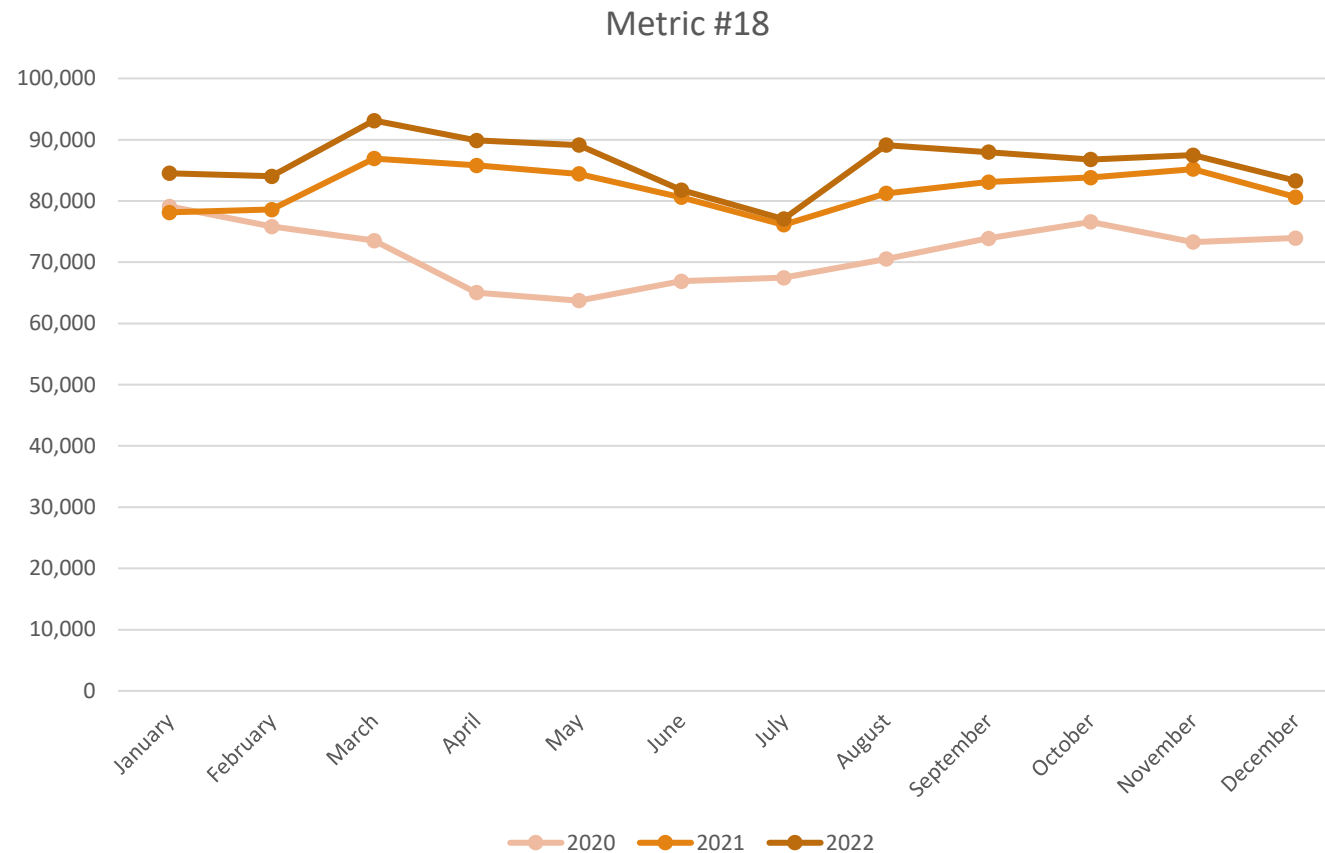
Emergency Department Utilization Metric #16



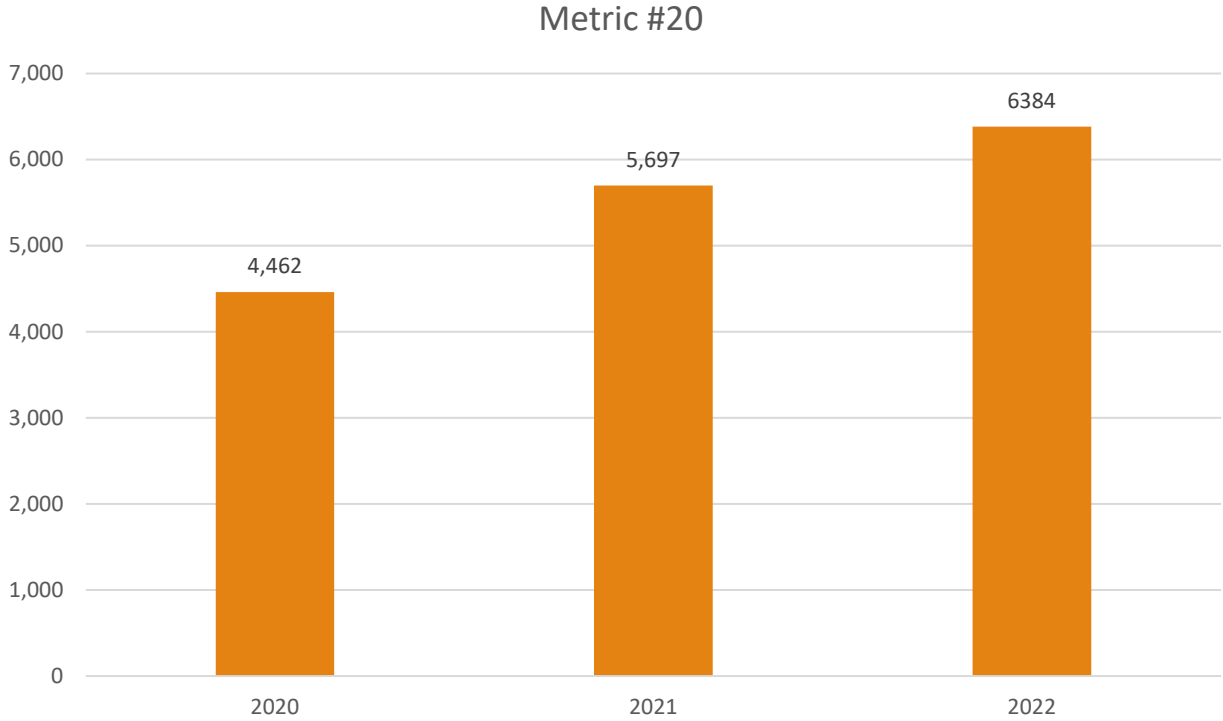
Telehealth Utilization Metric #17



Any SMI Service Utilization Metric #18



IMD Utilization Metric #20



Conclusion and Three Takeaways!



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Questions?

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