

Debbi Davis
 Cluster G-ProKids Inc.
 1776 N. Meridan Street Suite 300
 Indianapolis, IN 46202

Dear Debbi,

The Bureau of Child Development Services (BCDS) and the Quality Review (QR) Team would like to share the data from the 2020 fall monitoring visit. As a reminder, any finding must be corrected within one year. This letter serves as your performance on compliance indicators as well as a finding if noncompliance was not met during our visit. These data will be shared in the APR submitted in 2022. You will see four things in this letter: new findings, closed findings, additional state measures, and quality item results.

Summary Chart:

Federal Compliance Indicators	Target	Cluster	Subsequent Data to Correct
Timely Start (30 day)	100%	90.1%	100%
Timely IFSPs (45-Day) Not pulled yet	100%	*	*
Transition Steps and Services	100%	100%	n/a
Notification to LEA of Potential Toddlers	100%	100%	n/a
Timely Transition Meeting	100%	93.9%	100%
Annual IFSP Written Prior to Expiration	100%	97.5%%	100%
Timely Six-Month Review	100%	98.9%	100%
10-Day Written Prior Notice	100%	98.5%	100%
Additional State Measures			
Documentation of Family Income	100%	98.8%	100%
Documentation of Family Insurance	100%	100%	n/a

Federal Compliance Measures:

Below is the breakdown of how your cluster did in each indicator. For a correction of noncompliance to be verified, the correction of each child-specific instance of noncompliance, unless the child is no longer in the jurisdiction of the early intervention program. The state may review a sample of the records with noncompliance or each record. It must be verified that the required actions were completed albeit late. And subsequent data demonstrating the program correctly implemented the requirements(s) where the program had noncompliance. Data may be from subsequent desk reviews, onsite-monitoring, or a data base.

#1 – Timely Service Delivery

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34CFR303.344(f)(1) – Content of an IFSP-** “The projected dates for initiation of the services in paragraph (d)(1) of this section as soon as possible after the IFSP meetings described in 303.342 . . .”

Your performance was at 90.1% due to 12 children not receiving their services within a timely manner (30 days), therefore this finding of noncompliance must be corrected within one year according to federal requirements. BCDS has already verified that the 12 children received services albeit late. However, when subsequent data was reviewed, your cluster was at 100%

for this indicator. Your finding of non-compliance has been closed for FFY 2015, 2016, 2017, 2018, and 2019.

#8A – Transition Steps and Services

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34 CFR 303.344(h)(i)(ii)(iii)(2)(i)(ii)** - (h)Transition from Part C services. (1) The IFSP must include the steps and services to be taken to support the smooth transition of the child, in accordance with §§303.209 and 303.211(b)(6), from Part C services to-(i)Preschool services under Part B of the Act, to the extent that those services are appropriate; (ii)Part C services under §303.211; or (iii)Other appropriate services...”

#8B – Notification to the LEA of potential toddler

The target for this indicator is 100% For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34 CFR 303.344(h)(iii)(e)**- “Confirmation that child find information about the child has been transmitted to the LEA or other relevant agency, in accordance with §303.209(b)(and any policy adopted by the State under §303.401(e)) and, with parental consent if required under §303.414, transmission of additional information needed by the LEA to ensure continuity of services from the Part C program to the Part B program, including a copy of the most recent evaluation and assessments of the child and the family and most recent IFSP...”

#8C – Timely Transition Meeting

The target is 100% for this indicator. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34 CFR 303.209(c)(1)**- “If a toddler with a disability may be eligible for preschool services under Part B of the Act, the lead agency, with the approval of the family of the toddler, convenes a conference, among the lead agency, the family, and the LEA not fewer than 90 days--and, at the discretion of all parties, not more than 9 months--before the toddler’s third birthday to discuss any services the toddler may receive under Part B of the Act...”

Your performance was at 93.9% due to 5 children not receiving a timely transition conference, therefore this finding of noncompliance must be corrected within one year according to federal requirements. BCDS has already verified that the 5 children received a transition meeting albeit outside of the federal timeline. However, when subsequent data was reviewed, your cluster was at 100% for this indicator. Your finding of non-compliance has been closed for FFY2018 and 2019.

Federally Reported Results Measures:

#2- Natural Environment

The target is 95% of this indicator. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34 CFR 303.344(d)(ii)(A)**- “A statement that each early intervention service is provided in the natural environment for that child or service to the maximum extent appropriate, consistent with §§303.13(a)(8), 303.26 and 303.126, or, subject to paragraph (d)(1)(ii)(B) of this section, a justification as to why an early intervention service will not be provided in the natural environment.”

Your performance was at 100% which is above the target.

#3 – Child Outcomes (July-December 2020)

Outcome:	Cluster Data	State Average	State Target
Social SS1	52%	54%	55%
Social SS2	53%	57%	57%
Acquisition of Knowledge SS1	57%	54%	57%
Acquisition of Knowledge SS2	67%	71%	72%
Adaptive SS1	53%	52%	55%
Adaptive SS2	64%	63%	67%

#4 – Family

Outcome:	Cluster Data	State Average	State Target
Know their rights	99.1%	98%	100%
Communicate child's needs	98.9%	98%	100%
Help child develop/learn	98.9%	97%	96%

#5 – Birth to One

The target for this indicator is 1.57%. For FFY2020, BCDS found your cluster **met compliance** for this indicator. Your cluster was at 2.03% which is above the target.

#6 – Birth to Three

The target for this indicator is 3.84%. For FFY2020, BCDS found your cluster **met compliance** for this indicator. Your cluster was at 4.9%, which is above the target.

Additional State Compliance Measures:

#15 – Annual IFSP Written Prior to Expiration

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in 34 CFR 303.342(c)- “Annual meeting to evaluate the IFSP. A meeting must be conducted on at least an annual basis to evaluate and revise, as appropriate, the IFSP for a child and the child's family. The results of any current evaluations and other information available from the assessments of the child and family conducted under §303.321 must be used in determining the early intervention services that are needed and will be provided.”

Your performance was at 97.5% due to 2 children not receiving an annual IFSP prior to expiration, therefore this finding of noncompliance must be corrected within one year according to federal requirements. BCDS has already verified that the 2 children received a new IFSP albeit late. However, when subsequent data was reviewed, your cluster was at 100% for this indicator. Your finding of non-compliance has been closed.

#16 – Timely Six Month Review

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in 34 CFR 303.342(b)(1)- “Periodic review. A review of the IFSP for a child and the child's family must be conducted every six months, or more frequently if conditions warrant, or if the family requests such a review. The purpose of the periodic review is to determine...”

Your performance was at 98% due to 1 child not receiving a timely six-month review, therefore this finding of noncompliance must be corrected within one year according to federal requirements. BCDS has already verified that the 1 child received a six-month review albeit late. However, when subsequent data was reviewed, your cluster was at 100% for this indicator. Your finding of non-compliance has been closed.

#17 – 10 –Day Prior Written Notice

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement as referenced in **34 CFR 303.421(a)**- “Prior written notice must be provided to parents a reasonable time before the lead agency or an EIS provider proposes, or refuses, to initiate or change the identification, evaluation, or placement of their infant or toddler, or the provision of early intervention services to the infant or toddler with a disability and that infant’s or toddler’s family.”

Your performance was at 98.5% due to 5 families not receiving at least a 10-day written notice, therefore this finding of noncompliance must be corrected within one year according to federal requirements. BCDS has already verified that the 5 families did receive a notice of their meeting. However, when subsequent data was reviewed, your cluster was at 100% for this indicator. Your finding of non-compliance has been closed for FFY2019.

#18 – Documentation of Family Income

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator. The requirement that each child’s file contain current income documents to determine family cost share for services.

Your performance was at 98.8% due to 2 child files not having the correct income documentation, therefore this finding of noncompliance must be corrected within one year according to federal requirements. However, when subsequent data was reviewed, your cluster was at 100% for this indicator. Your finding of non-compliance has been closed.

#19 – Documentation of Family Insurance

The target for this indicator is 100%. For FFY 2020, BCDS found your cluster **met compliance** for this indicator.

Quality Indicators:

Indicator	Percentage
High Quality Outcomes Initial & Annual	41.3%
Of total outcomes written % Adaptive	21.9%
Of total outcomes written % Cognitive	11.1%
Of total outcomes written % Communication	42.4%
Of total outcomes written % Physical	17.5%
Of total outcomes written % Social	2.5%
Of total outcomes written % Family	0.0%
Completed Family Assessments	11.1%
Declined Family Assessments	48.3%
Provider Sessions Canceled by Family	66.6%
Provider Session Canceled by Provider	11.4%

We are committed to supporting the cluster's efforts to improve outcomes for infants and toddlers with disabilities and their families, and we look forward to working with you over the next year. Please contact me or one of the Quality Review Leader with any questions that you may have.

Sincerely,

A handwritten signature in black ink that reads "Maggie McCall". The signature is written in a cursive, flowing style.

Maggie McCall
State First Steps Quality Assurance Manager
Bureau of Child Development Services
Division of Disability and Rehabilitative Services
Office: 317-232-2027