

8 CRITERIA POINTS REQUIRED FOR FINAL RULE MODIFICATION

The eight criteria that must be fulfilled to approve a Modified IICP or Setting Exception:

- 1 Identify a specific and individualized assessed need.
- 2 Document the positive interventions and supports used prior to any modifications to the person-centered service plan.
- 3 Document less intrusive methods of meeting the need that have been tried but did not work.
- 4 Include a clear description of the condition that is directly proportionate to the specific assessed need.
- 5 Include regular collection and review of data to measure the ongoing effectiveness of the modification.
- 6 Include established time limits for periodic reviews to determine if the modification is still necessary or can be terminated.
- 7 Include the informed consent of the individual.
- 8 Include an assurance that interventions and supports will cause no harm to the individual.

Definitions of Modified IICP and Setting Exceptions

Modified IICP: Used in instances where a client resides in an HCBS-eligible setting, but needs a restriction that does not align with residential setting requirements

Settings Exceptions: Used in instances where a client resides in a residential setting that is not HCBS-eligible, but has a need related to their mental health diagnoses that requires a more restrictive environment. A setting exception can only be pursued in cases where the setting undergoes assessment by the State Evaluation Team and is found ineligible for Home- and Community-Based Services.

To submit a Modified IICP or Settings Exception Request, please reach out to the FSSA DMHA Adult HCBS inbox at DMHAAAdultHCBS-fssa@state.in.us.



Division of Mental Health and Addiction

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