

Contract Bid Amount \$324,419.50
MBE Goal 5 %
WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote # M-29546-A DUE DATE May 16, 2007

(Circle One)

RFP / BID / QUOTE NAME Scott Co - Hardy Lake State Reservoir

(Circle One)

RESPONDENT Dave O'Mara Contractor, Inc.

ADDRESS 1100 East O & M Avenue

CITY/STATE/ZIP North Vernon, IN 47265

PHONE () 812.346.4135

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

| <u>MBE/WBE</u> | <u>P HONE</u> | <u>COMPANY NAME</u> | <u>SCOPE OF PRODUCTS/SERVICES</u> | <u>UTILIZATION DATE</u> | <u>AMOUNT</u> |
|----------------|---------------------------------------|--------------------------|-----------------------------------|-------------------------|--------------------|
| <u>WBE</u> | <u>812.346.8419</u> | <u>St. John Trucking</u> | <u>Trucking</u> | <u>9/4/07-11/30/07</u> | <u>\$10,000.00</u> |
| <u>MBE</u> | <u>- No Contractor's in this area</u> | | | | |

*If additional room is necessary, indicate here _____. Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

**Indiana Department of Administration
Public Works and State Office Building Commission
GOOD FAITH EFFORTS WORKSHEET**

BIDDER Dave O'Mara Contractor, Inc. BID/PROJECT NUMBER M-29546-A

CONTRACT GOALS 5 % MBE 3 % WBE

List the M/WBEs contacted and complete the following information for each. Copies of all communications to and from each vendor should be maintained.

| Company Name and Address | MBE | WBE | Type of Contact | Date of Contact | Date Response Due | Goods Or Services Requested | Result (Include Price Quote) |
|--------------------------|-----|-----|-----------------|------------------|-------------------|-----------------------------|------------------------------|
| Harmon Construct. | X | | Letter/ Call | 5/7/07 5/9/07 | 5/9/07 | Services | Nothing to Bid |
| Earth Technologies | | x | Letter | 5/7/07 | 5/8/07 | Services | Not Bidding |
| K & A Trucking | | x | Letter | 5/7/07 | 5/15/07 | Services | Quote |
| St. John Trucking | | x | Letter | 5/7/07 | 5/8/07 | Services | Quote |
| Ramon Trucking | x | | Letter | 5/7/07 | 5/8/07 | Services | Not Bidding - To Far |
| HelperTrucing | | x | Letter | 5/7/07 | 5/7/07 | Services | Remove from list |
| CLS Industries | | X | Letter | 5/7/07 | 5/9/07 | Services | Quote - High |
| MAS Markers | | x | Letter | 5/7/07 | 5/9/07 | Service | Not Bidding |
| Earthimages | | x | Letter | 5/7/07 | 5/9/07 | Services | Not Bidding |

Indicate **Good Faith Efforts** made to utilize MWBEs. Check and explain all that apply or should be considered. Please provide evidence of the efforts that you want to be considered. A complete description of each criteria may be found in the **Indiana Department of Administration Public Works and State Office Building Commission MWBE Participation Policy**.

| | |
|---------------------------------------|----------------------------|
| MBE and WBE Barrier Assistance | Describe |
| Advertisement | Describe |
| Agency Assistance | Describe IDOT List |
| Other Criteria | Describe Direct Contact |

ST. JOHN TRUCKING INC.
3625 W. US HWY 50
NORTH VERNON, IN 47265
PHONE: 812-346-4824 **FAX: 812-346-8419**

May 11, 2007

Dave O'Mara Contractor, Inc.
P.O. Box 1139
North Vernon, IN 47265

Re: M-29546 Scott Co.

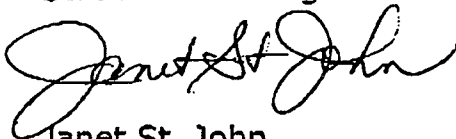
We are submitting the following hourly rates for tri-axle dump trucks for the above referenced project.

Prevailing Wage Rate \$69.00 per hour

Non-Prevailing Wage Rate \$64.00 per hour

We are a WBE and DBE certified company. We appreciate the opportunity to bid this project. Please let me know if we can be of any further assistance.

Sincerely,
St. John Trucking Inc



Janet St. John
President

K & A Trucking, Inc.
P.O. Box 1305
Bedford, IN 47421
Phone: (812)279-3632
Fax: (812)279-3776
Cell: (812)583-2582
EQUAL OPPORTUNITY EMPLOYER

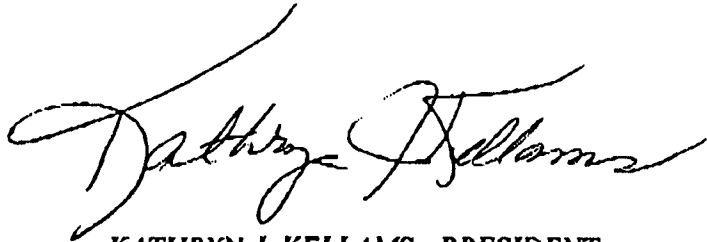
DAVE OMARA CONTRACTOR

**D/WBE 2007
TEAMSTER LOCAL 135**

NON DBE MISC JOBS

**TRI-AXLE DUMP RATE OF \$66.00/HOUR
OVERTIME RATE OF TRI-\$78.00
DOUBLETIME RATE OF TRI-\$90.00**

- 1) Stone, Asphalt, Demolition, and Misc. hauling with Tri-axle or Quad-axle dump trucks
- 2) Overtime will be paid for all work on Saturday.
- 3) Double-time will be paid for all work on Sundays and holidays
- 4) **Two (2) hours show-up at appropriate truck rate for trucks ordered and not used unless cancelled by contactor at least 2 hours prior to start times. Minimum of four (4) hours will be paid if trucks start work and then cancelled. After four (4) hours but not over eight(8) hours will be an eight (8) hour charge.**
- 5) Truck times will start and stop at the staging area or plant to plant.
- 6) Contractor will be responsible for trucks being loaded legally and any road repairs caused by trucks running designated haul routes.
- 7) Hourly rates will be increased as follows: \$1.00/hour if fuel costs goes above \$3.00/gallon--\$2.00/hr if fuel costs goes above \$3.50/gal--\$3.00/gal if fuel costs goes above \$3.75/gal, ECT..
- 8) ALL ACCOUNTS DUE 15 DAYS FROM DATE OF INVOICE and 1 ½ % per month service charge from that date will be added on all accounts owing over 30 days.
- 8) Truck order will be called into K & A Trucking, Inc. dispatched phone number no later than 3:00pm the day before trucks are needed.



KATHRYN J. KELLAMS - PRESIDENT

Contract Bid Amount \$ 335,146.00
MBE Goal 5 %
WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

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Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

**Minority & Women's Business Enterprises Participation
Letter of Commitment**

A signed letter(s), on company letterhead, from the MBE and/or WBE must accompany the Plan. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

Contract Number M-29457-A BID DATE 5-16-07

RESPONDENT E & B Paving, Inc.
ADDRESS 286 W 300 N
CITY/STATE/ZIP Anderson, IN 46012
PHONE () 765-643-5358

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

| <u>MBE/WBE</u> | <u>PHONE</u> | <u>COMPANY NAME</u> | <u>SCOPE OF PRODUCTS AND/OR SERVICES</u> | <u>UTILIZATION DATE</u> | <u>AMOUNT</u> |
|---------------------|--------------|---------------------|--|-------------------------|---------------|
| <u>SEE ATTACHED</u> | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

*If additional room is necessary, indicate here ✓. Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

CONTRACT NUMBER M-29457-A

BID AMOUNT \$335,146.00

CONTRACT GOALS MBE 5.00% WBE 3.00%

| COMPANY/ADDRESS | PHONE NO. | MBE/WBE | SCOPE OF PRODUCTS AND/OR SERVICES | UTILIZATION DATE | MBE AMOUNT | WBE AMOUNT | |
|-----------------------------------|--------------|---------|-----------------------------------|------------------|------------------|-----------------|----------------------|
| Diamond Trucking Inc. Peru, IN | 765-472-4600 | WBE | MATERIAL HAULING | 8/20/2007 | | \$11,000.00 | |
| | | | | | TOTAL PERCENT | \$0.00 0.00% | \$11,000.00 3.28% |



2668 S 400W • PERU, INDIANA 46970 • PHONE (765) 472-4800 • FAX (765) 472-4619

• DBE CERTIFIED •

BUSINESS NAME: DIAMOND TRUCKING, INC.

DATE: 5-15-07

PROJECT: m-29457-A

DEAR POTENTIAL BIDDER,

THE PURPOSE OF THIS LETTER IS TO INFORM YOUR COMPANY OF MY DESIRE TO QUOTE CERTAIN ITEMS FOR THE ABOVE MENTIONED PROJECT.

OUR COMPANY IS PARTICIPATING AS A DBE/WBE FOR THIS PROJECT.

WE WILL BE QUOTING THE FOLLOWING ITEMS/SERVICES FOR THIS PROJECT:

TRUCKING /PER HOUR 78.50

OUR TOTAL DOLLAR PARTICIPATION FOR THIS PROJECT IS APPROXIMATELY: \$ 11,000.00

I UNDERSTAND THAT THE DATE THAT MY SERVICES WILL BE REQUIRED IS ABOUT: 8-2007
(ESTIMATED MONTH & YEAR)

SINCERELY,

Teresa J Pendleton
(SIGNATURE REQUIRED)

TERESA J. PENDLETON, PRESIDENT

ASPHALT • STONE • SAND • DIRT

**Indiana Department of Administration
Public Works and State Office Building Commission
GOOD FAITH EFFORTS WORKSHEET**

BIDDER E & B Paving, Inc. BID/PROJECT NUMBER M-29457-A

CONTRACT GOALS 5 % MBE 3 % WBE

List the M/WBES contacted and complete the following information for each. Copies of all communications to and from each vendor should be maintained.

| Company Name and Address | MBE | WBE | Type of Contact | Date of Contact | Date Response Due | Goods Or Services Requested | Result (Include Price Quote) |
|--------------------------|-----|-----|-----------------|-----------------|-------------------|-----------------------------|------------------------------|
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Indicate **Good Faith Efforts** made to utilize MWBEs. Check and explain all that apply or should be considered. Please provide evidence of the efforts that you want to be considered. A complete description of each criteria may be found in the **Indiana Department of Administration Public Works and State Office Building Commission MWBE Participation Policy**.

| | |
|---------------------------------------|----------|
| MBE and WBE Barrier Assistance | Describe |
| Advertisement | Describe |
| Agency Assistance | Describe |
| Other Criteria | Describe |

INDIANA DEPARTMENT OF ADMINISTRATION
PUBLIC WORKS AND STATE OFFICE BUILDING COMMISSION
APPLICATION FOR WAIVER

BIDDER E+B PAVING INC.

BID/PROJECT NUMBER M-29457-A

CONTRACT GOALS 5% MBE 3% WBE

WAIVER APPLICATION IS FOR:

FULL WAIVER MBE PARTIAL WAIVER MBE
 FULL WAIVER WBE PARTIAL WAIVER WBE

IF PARTIAL WAIVER, specify % of M/WBE Participation: 0 % MBE 3 % WBE

Indicate Primary Reason the Waiver Application is being submitted:

Inability to locate M/WBE that provides N/A to this project

Inability to locate M/WBE with capacity to provide N/A to this project

Inability to secure competitive price for N/A to this project

\$ N/A M/WBE Price \$ _____ Non/WBE price

Other: Describe All items will be completed by Prime.

I hereby affirm, under the penalties of perjury, the information set forth above is true and accurate. I acknowledge that The Indiana Department of Administration, or their designees, may verify any of the information set forth above.

Signature: [Signature]
Printed Name: GARY L. STUBBINS
Date: 5-14-07
Bidder Name: E+B Paving Inc.
Bidder Address: 201 S Thomas Rd
City/State/Zip: FOOT WAYNE IN 46808
Telephone: 260-459-6334
Email: _____

MWBE Use Only:
Date Received: _____ Date returned to Bidder: _____
Date Reviewed: _____ Notes: _____
Final Outcome: _____ Compliance Specialist: _____

Contract Number R-29044-A

Contract Bid Amount \$1,321,800.00

DBE Goal 4%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contract has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
|---|--|---|

Please see attached sheet(s)

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01 (c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|---|------------------------------------|
|--|----------------|---|------------------------------------|

Please see attached sheet(s)

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address

Pay Item Number and Service Planned

Actual Dollar Amount to be paid to DBE

Please see attached sheet(s)

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$54,000.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \$0.00

Name of Company: Milestone Contractors, L.P. by Contractors United, Inc. – General Partner

By: Mark Thompson Date: May 16, 2007

Mark Thompson, Vice President

Individual's Name and Title (printed or typed)

(revised 5-31-07)

Contract Number R27748A

Contract Bid Amount # 2,641,689.90

DBE Goal 6%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|---|---|
| SLUSSER'S GREENHAMB LOGANSPOET, IN | 17, 18, 48-57, 119 SEED, SOO, EROSION | # 54101.00 |
| JAR TRUCKING FORT WAYNE, IN | 8-15, 19-20, 23-36 40-42, 47, 59-73 76-87, 90-94, 118 MATERIAL HAULING | 110,500.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$ 164,601.⁰⁰

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): 0.00

Name of Company:

Phend & Brown, Inc.

By: 

Date:

5/15/07

Daniel F. Brown, President

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract Number R-29266-A

Contract Bid Amount 3,236,675.14

DBE Goal 4%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| Oatts Trucking 3925 East 26th Street Indianapolis, IN 46218 | 10,14,25-30 Hauling | \$130,000.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
| N/A | | | |

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

N/A

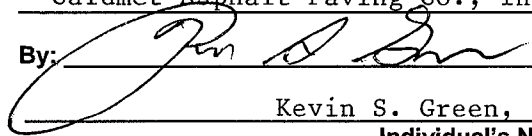
Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$130,000.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): -0-

Name of Company:

Calumet Asphalt Paving Co., Inc.

By:



Date: May 16, 2007

Kevin S. Green, President

Individual's Name and Title (printed or typed)

(revised 9-19-06)

Contract Number IR-27611-B

Contract Bid Amount \$2,792,000

DBE Goal 2%

FIVE ACTION CERTIFICATION

I do hereby certify that this contract as subcontract

*when official
given to Robbie*

I will affirmatively seek out and consider certified DBEs to participate in this contract and its services.

I understand and agree that award of contract, will applicable requirements contract. I understand and approved the affirmative

in connection with this contract, whether undertaken prior to or subsequent to commitments for the Disadvantaged Business Enterprise (DBE) Program. The certifications and the Special Provisions in the Contract Information Book for this contract will be approved or commenced until the Department has reviewed and approved.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

Certified DBE Name & Address **Pay Item Number and Service Planned** **Actual Dollar Amount to be paid to DBE**

See attached sheet

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address **Item(s)** **Multiplier 60% or 100% from 103.01(c)** **DBE Dollar Amount Allowable**

See attached sheet

Contract Number R-29388-A

Contract Bid Amount \$644,931.00

DBE Goal 9%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

Certified DBE Name & Address **Pay Item Number and Service Planned** **Actual Dollar Amount to be paid to DBE**

SEE ATTACHED

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address **Item(s)** **Multiplier 60% or 100% from 103.01(c)** **DBE Dollar Amount Allowable**

Contract Bid Amount ~~IR-28980-A~~ 5,998,512.12
MBE Goal 5 %
WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote # IR-28980-A DUE DATE 5-16-07

(Circle One)

RFP / BID / QUOTE NAME E & B Paving, Inc.

(Circle One)

RESPONDENT E & B Paving, Inc.

ADDRESS 286 W 300 N

CITY/STATE/ZIP Anderson, IN 46012

PHONE () 765-643-5358 317-773-4132

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

MBE/WBE PHONE COMPANY NAME SCOPE OF PRODUCTS/SERVICES UTILIZATION DATE AMOUNT

SEE ATTACHED

*If additional room is necessary, indicate here . Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

Contract Number R-29194-A

Contract Bid Amount \$ 993,620.⁰⁰

DBE Goal 4%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| PETERS TRUCKING | 0022 HAULING MATERIALS | \$ 5,420 |
| 11055 YELLOWBANK RD. | 0024 HAULING MATERIALS | \$ 8,785 |
| METAMORA, IN 47030 | 0034 HAULING MATERIALS | \$ 4,725 |
| | 0036 HAULING MATERIALS | \$ 12,215 |
| | 0041 HAULING MATERIALS | \$ 2,020 |
| | 0073 HAULING MATERIALS | \$ 6,730 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

Contract Bid Amount 399,677.07
MBE Goal 5% %
WBE Goal 3% %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote # R-29378-B DUE DATE May 16, 2007

(Circle One)

RFP / BID / QUOTE NAME _____

(Circle One)

RESPONDENT SUPERIOR CONSTRUCTION CO., INC.

ADDRESS 2045 E. Dunes Highway

CITY/STATE/ZIP Gary, IN 46402

PHONE () (219) 886-3728

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

MBE/WBE PHONE COMPANY NAME SCOPE OF PRODUCTS/SERVICES UTILIZATION DATE AMOUNT

Schedule Attached

*If additional room is necessary, indicate here _____. Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

Contract Bid Amount \$ 134,898.90
 MBE Goal 5 %
 WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

RFP # / Bid # / Quote # M-29476-A DUE DATE May 16, 2007

(Circle One)

RFP / BID / QUOTE NAME Wedge And Level

(Circle One)

RESPONDENT J H Rudolph & Co., Inc.

ADDRESS P. O. Box 5226

CITY/STATE/ZIP Evansville IN 47716-5226

PHONE () 812-473-8591

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

MBE/WBE PHONE COMPANY NAME SCOPE OF PRODUCTS/SERVICES UTILIZATION DATE AMOUNT

See attached Schedule

*If additional room is necessary, indicate here X Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

Contract Bid Amount \$ 21,090,006.91
MBE Goal 5 %
WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

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Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

**Minority & Women's Business Enterprises Participation
Letter of Commitment**

A signed letter(s), on company letterhead, from the MBE and/or WBE must accompany the Plan. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

Contract Number R-26450-B BID DATE 5-16-07

RESPONDENT E & B Paving, Inc.
ADDRESS 286 W 300 N
CITY/STATE/ZIP Anderson, IN 46012
PHONE () 765-643-5358

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

| <u>MBE/WBE</u> | <u>PHONE</u> | <u>COMPANY NAME</u> | <u>SCOPE OF PRODUCTS AND/OR SERVICES</u> | <u>UTILIZATION DATE</u> | <u>AMOUNT</u> |
|---------------------------|--------------|---------------------|--|-------------------------|---------------|
| <u>SEE ATTACHED SHEET</u> | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

*If additional room is necessary, indicate here . Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

Contract Number R-29532-A

Contract Bid Amount \$508,199.60

DBE Goal 1%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

SEE ATTACHED SHEET

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address Item(s) Multiplier 60% or 100% from 103.01(c) DBE Dollar Amount Allowable

Orysal

Contract Number R-28881-A

Contract Bid Amount See Schedule of Pay Items

DBE Goal 8%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

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I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

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I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|---|---|
| SLUSSERS | 30, 49, 50, 55, 56, 57, 58, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 92, 93, 94 <i>[Signature]</i> | 219,512.26 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

Contract Number IR-29137-A

Contract Bid Amount \$74,840,824.62

DBE Goal 3%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contract has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

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After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
|---|--|---|

Please see attached sheet(s)

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01 (c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|---|------------------------------------|
|--|----------------|---|------------------------------------|

Please see attached sheet(s)

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address **Pay Item Number and Service Planned** **Actual Dollar Amount to be paid to DBE**

Please see attached sheet(s)

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$ 2,384,673.55

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \$ 0.00

Name of Company: Milestone Contractors, L.P. by Contractors United, Inc. – General Partner

By:



Date: May 16, 2007

Ted Brunner, Senior Vice President

Individual's Name and Title

(printed or typed)

(revised 5-31-07)

Contract Number R-29337-A
 Contract Bid Amount 210,640.47
 DBE Goal 4%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|--|--|---|
| C-TECH CORPORATION 5300 W 100 NORTH BOGGSTOWN, IN. 46110 | 05 GUARDRAIL REMOVE | 206.25 |
| | 07 GUARDRAIL TERMINAL SYSTEM | 2,050.00 |
| | 08 GUARDRAIL BEAM NESTED | 6,300.00 |
| | 09 GUARDRAIL END TREATMENT | 600.00 |
| | 10 GUARDRAIL END TREATMENT | 8,250.00 |
| | 11 GUARDRAIL W BEAM | 2,257.81 |
| | TOTAL | 19,664.06 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address Item(s) Multiplier 60% or 100% from 103.01(c) DBE Dollar Amount Allowable

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): 8,425.62

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): 11,238.44

Name of Company:

INDIANA RELINE, INC.

By: Chris E. Wisheart Date: May 15, 2007

CHRIS E. WISEHART PRESIDENT
Individual's Name and Title (printed or typed)

(revised 9-19-06)

Contract Number RS-28710-A
Contract Bid Amount 913,000.00
DBE Goal 4 %

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|--|---|--|
|--|---|--|

*** SEE ATTACHED SHEET ***

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|---|-----------------------|---|---|
|---|-----------------------|---|---|

*** SEE ATTACHED SHEET ***

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

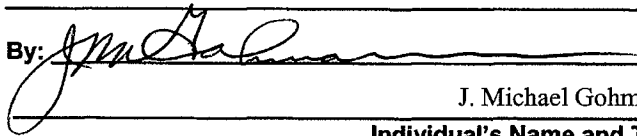
Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): 36,600.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): _____

Name of Company:

Gohmann Asphalt and Construction Inc.

By:  _____ President Date: May 16, 2007

J. Michael Gohmann, President
Individual's Name and Title (printed or typed)

(revised 9-19-06)

GOHMANN ASPHALT & CONSTRUCTION
 Affirmative Action Certification Attachment

5/16/07

RS-28710-A

| Certified DBE. | Pay Item No. | Service planned. | Dollar Amt. |
|--|---------------------|-------------------------|--------------------|
| 1. MAS MARKERS 1625 S 400 E LEBANON IN 46052 | 34 | Snowplowable Markers | \$36,600.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| 6. | | | |
| 7. | | | |
| 8. | | | |

| SUPPLIERS | ITEM PURCHASED | ACTUAL COST | % | AMOUNT |
|-----------|----------------|-------------|---|--------|
|-----------|----------------|-------------|---|--------|

| | | | | |
|---------------------------|--|--|--|-------------|
| Total Dollar for DBE Goal | | | | \$36,600.00 |
|---------------------------|--|--|--|-------------|

NOTE "***" is portion of the Item

Contract Number RS-28859-A

Contract Bid Amount \$391,765.00

DBE Goal 7%

DBE AFFIRMATIVE ACTION CERTIFICATION

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I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| PETERS TRUCKING | 0012 HAULING MATERIALS | \$ 9,895 |
| 11055 YELLOWBANK RD. | 0013 HAULING MATERIALS | \$ 9,110 |
| METAMORA, IN 47030 | 0014 HAULING MATERIALS | \$ 4,395 |
| | 0027 HAULING MATERIALS | \$ 4,330 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address Item(s) Multiplier 60% or 100% from 103.01(c) DBE Dollar Amount Allowable

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| PETERS TRUCKING 11055 YELLOWBANK RD. METAMORA, IN 47030 | 0009 HAULING MATERIALS | \$ 970 |

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$27,730.⁰⁰

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \$970.⁰⁰

Name of Company:

Paul H. Rohe Co., Inc.

By: Mark Richard Date: May 16, 2007

Mark Richardson, General Manager
Individual's Name and Title (printed or typed)

(revised 9-19-06)

Contract Number RS-28888-A

Contract Bid Amount \$653,380.00

DBE Goal 4%

DBE AFFIRMATIVE ACTION CERTIFICATION

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I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
|---|--|---|

SEE ATTACHED PAGE

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$26,506.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): -0-

Name of Company:

Rieth-Riley Construction Co., Inc.

By:

Date: May 16, 2007

Eugene E. Yarkie, Regional Vice President Northern Indiana

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract No.: RS-28888-A

Bid Letting Date: May 18, 2007

Contract Amount: \$653,380.00

| Rieth-Riley Construction Co., Inc. AFFIRMATIVE ACTION CERTIFICATION ATTACHMENT | | | | | |
|---|--|-------------------------------|---|---|---|
| SUBCONTRACTORS AND LESSORS | | | | | |
| | Certified DBE Name & Address | Pay Item Number(s) | Service Planned | Race / Gender Neutral or Conscious (RN) (RC) | Actual Dollar Amount to be Paid to DBE |
| 1 | Slusser's Green Thumb, Inc. 125 Montgomery St./PO Box 33 Logansport, IN 46947-0033 | 27 | Seeding | X | \$2,298.00 |
| 2 | State Barricading 24963 U.S. 20 West South Bend, IN 46628 | 30 | Traffic | X | \$2,208.00 |
| 3 | V&R Trucking, Inc. 1845 N. Elmer Street South Bend, In. | 14-19, 21-25 (Partial) | Trucking | X | \$22,000.00 |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| MATERIAL SUPPLIERS | | | | | |
| | Certified DBE Supplier Name & Address | Items | Multiplier 60% or 100% from 103.01 | Race / Gender Neutral or Conscious (RN) (RC) | DBE Dollar Amount Allowable |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| TOTAL DBE DOLLARS | | | | | \$26,506.00 |

Contract Number RS-29118-A

Contract Bid Amount \$397,751.48

DBE Goal 5%

DBE AFFIRMATIVE ACTION CERTIFICATION

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I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause the bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

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After contract award, any change to the firms listed in this affirmative action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number & Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| M.A.S. Markers, Inc. 1625 S 400 E Lebanon, IN 46152 | #34 Raised Pavement Markers | \$9,618.00 |
| State Barricading, Inc. 24963 US 20 West South Bend, IN 46628 | #22 Construction Signs | \$2,000.00 |
| C. Lee Trucking P.O. Box 618 Griffith, IN 46319 | #12, 16 Partial – Trucking/Hauling | \$8,270.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01 (c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|---|------------------------------------|
|--|----------------|---|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIES TO BE USED BEYOND GOAL

Certified DBE Name & Address

Pay Item Number & Service Planned

Actual Dollar Amount to be paid to DBE

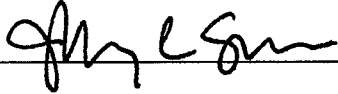
Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$19,888.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): _____

Name of Company:

Walsh & Kelly, Inc.

By: _____



Date: May 16, 2007

Jeffrey L. Swan, Vice President

Individual's Name and Title (printed or typed)

(revised 9-19-06)

Contract Number RS-29173-A

Contract Bid Amount 1,288,288.26

DBE Goal 6%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

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I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| 1. C-TECH BOGGSTOWN, IN | 12, 24, 25, 26, 30 GUARDRAIL | \$38,800 ⁰⁰ |
| 2. Lynn TRUCKING PLAINFIELD | 18, 22 TRUCKING | \$40,000 ⁰⁰ |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$ 78,800⁰⁰

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): _____

Name of Company:

WABASH VALLEY ASPHALT CO., LLC

By:  Date: May 16, 2007

James L. Burdick, President

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract Number RS-29252-A

Contract Bid Amount \$779,107.78

DBE Goal 5%

DBE AFFIRMATIVE ACTION CERTIFICATION

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After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

SEE ATTACHED SHEET

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

Certified DBE Supplier Name & Address Item(s) Multiplier 60% or 100% from 103.01(c) DBE Dollar Amount Allowable

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address **Pay Item Number and Service Planned** **Actual Dollar Amount to be paid to DBE**

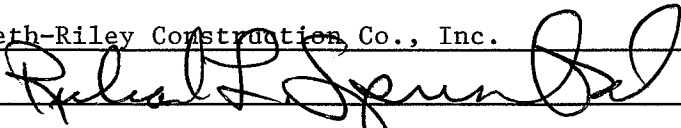
Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$39,428.30

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): -0-

Name of Company:

Rieth-Riley Construction Co., Inc.

By:



Date: May 16, 2007

Richard L. Sprinkel, Regional VP, Central IN

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract No. RS-29252-A

Bid Letting Date: 16-May-07

Contract Amount: \$779,107.78

| Rieth-Riley Construction Co., Inc. AFFIRMATIVE ACTION CERTIFICATION ATTACHMENT | | | | | | |
|---|--|-----------------------|--|-----------------|-------------------|--|
| SUBCONTRACTORS AND LESSORS | | | | | | |
| | Certified DBE Name & Address | Pay Item Number(s) | Service Planned | Race / Gender | | Actual Dollar Amount to be Paid to DBE |
| | | | | Neutral (RN) | Conscious (RC) | |
| 1 | M. A. S. Markers, Inc. 1625 S. 400 E. Lebanon, IN 46052 | 29 & 30 | RPM's | | X | \$11,553.30 |
| 2 | V & R Trucking, Inc. 1845 N. Elmer Street South Bend, IN 46628 | 13 & 17 (Partial) | Trucking | | X | \$25,500.00 |
| 3 | State Barricading, Inc. 24963 US 20 West South Bend, IN 46628 | 23 | Type A Signs | | X | \$2,375.00 |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| MATERIAL SUPPLIERS | | | | | | |
| | Certified DBE Supplier Name & Address | Items | Multiplier 60% or 100% from 103.01 | Race / Gender | | DBE Dollar Amount Allowable |
| | | | | Neutral (RN) | Conscious (RC) | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| TOTAL DBE DOLLARS | | | | | | \$39,428.30 |

Contract Number RS-29286-A

Contract Bid Amount \$667,082.65

DBE Goal 3%

DBE AFFIRMATIVE ACTION CERTIFICATION

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DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| 1. CJ's, Inc. Indianapolis, IN | 8-13,15 Hauling | \$20,000.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| 1. CJ's, Inc. Indianapolis, IN | 8-13,15 Hauling | \$115,400.00 |

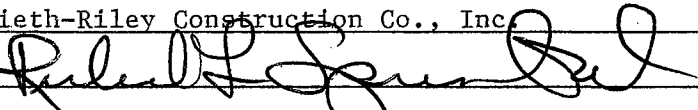
Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$20,000.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \$115,400.00

Name of Company:

Rieth-Riley Construction Co., Inc.

By:



Date: May 16, 2007

Richard L. Sprinkel, Regional VP Central IN

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract Number B-29057

Contract Bid Amount 2,053,088.40

DBE Goal 9%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| Sims & Pedigo, Inc. Bloomington, IN | 45 CONCRETE, C, RAILING. | 185,000.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

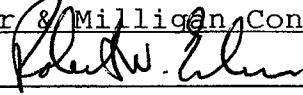
Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): [#] 185,000.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): - 0 -

Name of Company:

Erber & Milligan Const. Co., Inc.

By:  Date: 5-16-07

Robert W. Erber, President
Individual's Name and Title (printed or typed)

(revised 9-19-06)

Contract Number B-29335-A

Contract Bid Amount 524,350⁷⁴

DBE Goal 6%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number and Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|--|---|
| <i>C-TECH CORP</i> | <i>8, 27, 28, 29, 30, 34, 35</i> | <i>38,763</i> |
| <i>BOGGSTOWN, IN</i> | <i>54, 58, 59</i> | |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01(c)</u> | <u>DBE Dollar Amount Allowable</u> |
|--|----------------|--|------------------------------------|
|--|----------------|--|------------------------------------|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL

Certified DBE Name & Address Pay Item Number and Service Planned Actual Dollar Amount to be paid to DBE

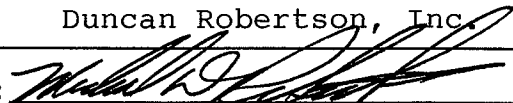
Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): 31,461

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): 302

Name of Company:

Duncan Robertson, Inc.

By:



Date: May 16, 2007

Michael D. Robertson, President

Individual's Name and Title (printed or typed)

(revised 05-31-07)

Contract Bid Amount 729,495.45
MBE Goal 5 %
WBE Goal 3 %

MINORITY AND WOMEN'S BUSINESS ENTERPRISES PARTICIPATION PLAN

A Respondent is expected to submit in each response a Minority and Women's Business Enterprises Participation Plan in accordance with IC 4-13-16.5 and 25 IAC 5. The Plan must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBD) directory of certified firms. Respondents must indicate the name of the MBE and WBE with which it will work, the contact name and phone number at the firm(s), the service supplied by the firm(s), the specific dollar amount from this contract that will be directed toward each firm, and the approximate date these products and/or services will be utilized. If participation is met through use of vendors who supply products and/or services, the Respondent must also indicate the vendor's tax ID number as well as provide a description of products and/or services provided to the Respondent that are directly related to this proposal and the cost of direct supplies for this proposal. All prime contractors, including MBE and WBE prime contractors, must meet the contract goals through use of subcontractors. MBE and WBE prime contractors will get no credit toward the contract goal for the use of its own workforce. The State does not accept national plans.

Failure to meet these requirements will affect the evaluation of your Proposal. The Department reserves the right to verify all information included in the Plan.

Respondents are encouraged to contact and work with MWBD to design a plan to meet established goals. MWBD's website address is www.IN.gov/idoa/minority/ and contains a complete list of all the Department's certified MBE's and WBE's.

Minority & Women's Business Enterprises Participation Letter of Commitment

A signed letter(s), on company letterhead, from the MBE and/or WBE must be submitted within 24 hours of bid opening. This letter(s) shall state and will serve as acknowledgement from the MBE and/or WBE of its amount of participation, the scope of products and/or services, and approximate date these products and/or services will be utilized.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the Plan should be directed to MWBD's Compliance Unit at 317/232-3061

MBE/WBE PARTICIPATION PLAN

RFP #/ Bid #/ Quote # B-29484 DUE DATE 5-16-07

(Circle One)

RFP / BID / QUOTE NAME B-29484 - Westfield Blvd. over I-465

(Circle One)

RESPONDENT Erber + Milligan Const. Co., Inc.

ADDRESS 200 Pike St.

CITY/STATE/ZIP Charlestown, IN. 47111

PHONE (812) 256-7165

The following MBE and/or WBE's listed in the MWBD directory will be participating in the contract:

| <u>MBE/WBE</u> | <u>PHONE</u> | <u>COMPANY NAME</u> | <u>SCOPE OF PRODUCTS/SERVICES</u> | <u>UTILIZATION DATE</u> | <u>AMOUNT</u> |
|----------------|--------------|---------------------|-----------------------------------|-------------------------|---------------|
| MBE | 317-780-5025 | Harman Steel Inc. | Reinforcing Steel | 8/1/07 | 11,000.00 |
| MBE | 317-328-9564 | MOE Const. Co. | Concrete Work | 8/1/07 | 27,000.00 |
| WBE | 317-835-2745 | C Tech Corp | Guardrail | 8/1/07 | 22,500.00 |

*If additional room is necessary, indicate here _____. Please attach a separate page.

THIS DOCUMENT MUST BE INCLUDED IN YOUR RESPONSE

**Indiana Department of Administration
Public Works and State Office Building Commission
GOOD FAITH EFFORTS WORKSHEET**

BIDDER _____ BID/PROJECT NUMBER _____

CONTRACT GOALS 5 % MBE 3 % WBE

List the M/WBEs contacted and complete the following information for each. Copies of all communications to and from each vendor should be maintained.

| Company Name and Address | MBE | WBE | Type of Contact | Date of Contact | Date Response Due | Goods Or Services Requested | Result (Include Price Quote) |
|--------------------------|-----|-----|-----------------|-----------------|-------------------|-----------------------------|------------------------------|
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Indicate **Good Faith Efforts** made to utilize MWBEs. Check and explain all that apply or should be considered. Please provide evidence of the efforts that you want to be considered. A complete description of each criteria may be found in the **Indiana Department of Administration Public Works and State Office Building Commission MWBE Participation Policy**.

| | |
|---------------------------------------|----------|
| MBE and WBE Barrier Assistance | Describe |
| Advertisement | Describe |
| Agency Assistance | Describe |
| Other Criteria | Describe |

Contract Number T-28782-B

Contract Bid Amount \$929,704.65

DBE Goal 7%

DBE AFFIRMATIVE ACTION CERTIFICATION

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause the bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see www.in.gov/dot/div/legal/DBE/dbe_list.xls.)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed in this affirmative action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Name & Address</u> | <u>Pay Item Number & Service Planned</u> | <u>Actual Dollar Amount to be paid to DBE</u> |
|---|---|--|
| Hawk Enterprises, Inc. 1850 E. North Street Crown Point, IN 46307 | #7, 11, 54 – 83, 93 Electrical & Sheet Signs | \$101,470.00 |

DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL

| <u>Certified DBE Supplier Name & Address</u> | <u>Item(s)</u> | <u>Multiplier 60% or 100% from 103.01 (c)</u> | <u>DBE Dollar Amount Allowable</u> |
|---|-----------------------|--|---|
|---|-----------------------|--|---|

DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIES TO BE USED BEYOND GOAL

Certified DBE Name & Address

Pay Item Number & Service Planned

Actual Dollar Amount to be paid to DBE

Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious): \$101,470.00

Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral): \$36,390.67

Name of Company:

Walsh & Kelly, Inc.

By: 

Date: May 16, 2007

Jeffrey L. Swan, Vice President

Individual's Name and Title (printed or typed)

(revised 9-19-06)