Indiana Occupational Safety and Health Administration 402 West Washington Street, Room W195 Indianapolis, Indiana 46204

Phone: (317) 232-1979 FAX: (317) 233-3790



# Safety Order and Notification of Penalty

To:

Swift Air Mechanical and its successors 8740 E 30th Street Indianapolis, IN 46219

**Inspection Site:** 

3537 South Lafountain Street Kokomo, IN 46902

**Inspection Number**: 1790176 **CSHO ID**: R8076 **Optional Report No.**: N/A

**Inspection Date(s)**: 11/25/2024 - 01/02/2025

**Issuance Date**: 02/05/2025

The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

An inspection of your workplace of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference – Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

**Right to Contest** – You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety

order(s) and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent board appointed by the governor with authority to conduct legal proceedings leading up to and including administrative hearings or assign an Administrative Law Judge (ALJ) to conduct the same, and to issue decisions concerning disputed safety orders and notifications of penalties. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

**Posting** - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

**Penalties** - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

**Abatement** - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

- (1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or
- (2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

- (1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the prescribed abatement period.
  - (2) The specific additional abatement time necessary in order to achieve compliance.
- (3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.
- (4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.
- (5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

**Notification of Corrective Action** - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty.

**Followup Inspections** - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

**Employer Discrimination Unlawful** - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

**Notice to Employees** - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety

order and penalty.														
If you wish additional number stated above.	information,	you 1	may	direct	such	requests	to	us a	at th	e add	ress	or	teleph	one

Indiana Occupational Safety and Health Administration 402 West Washington Street, Room W195 Indianapolis, Indiana 46204

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## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the Safety Order(s) issued on 02/05/2025.

The Informal Conference will be held:
Date:
Time:
Virtually/Telephonically via Microsoft Teams
Call-in Number:
Conference ID:
In-person at the Indiana Department of Labor, located at the following address:
402 West Washington Street, Room W195 Indianapolis, Indiana 46204
Meeting/Conference Room:
Employees and/or representatives of employees have a right to attend an informal conference.

Occupational Safety and Health Administration

Inspection Number: 1790176 CSHO ID: R8076 Optional Report No.: N/A

**Inspection Date(s)**: 11/25/2024 - 01/02/2025

**Issuance Date**: 02/05/2025



## **Safety Order and Notification of Penalty**

**Company Name: Swift Air Mechanical** 

Inspection Site: 3537 South Lafountain Street, Kokomo, IN 46902

Safety Order 1 Item 1 Type of Violation: **Serious** 

29 CFR 1926.501(b)(11): Each employee engaged in roofing on steep slope roofs with unprotected sides or edges 6 feet or more above lower levels was not protected from falling by guardrail systems with toeboards, safety net systems or personal fall arrest systems:

Jobsite - 3537 South Lafountain Street, Kokomo, Indiana, 46902 - On or about November 25, 2024, during construction activities, two employees engaged in roofing activities on a 5" in 12" sloped roof, above the lower level, were not protected from falls.

Date By Which Violation Must be Abated: Corrected During Inspection Proposed Penalty: \$4,200.00

Occupational Safety and Health Administration

Inspection Number: 1790176 CSHO ID: R8076 Optional Report No.: N/A

**Inspection Date(s)**: 11/25/2024 - 01/02/2025

**Issuance Date**: 02/05/2025



## **Safety Order and Notification of Penalty**

**Company Name: Swift Air Mechanical** 

**Inspection Site:** 3537 South Lafountain Street, Kokomo, IN 46902

Safety Order 1 Item 2 Type of Violation: **Serious** 

29 CFR 1926.503(a)(1): The employer did not provide a training program for each employee exposed to fall hazards:

Jobsite - 3537 South Lafountain Street, Kokomo, Indiana, 46902 - On or about November 25, 2024, during construction activities, the employer allowed two employees to engage in roofing activities on a 5" in 12" sloped roof, above the lower level, and did not assure that the employees were trained to recognize the hazards associated with falls.

#### ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

March 19, 2025

\$4,200.00

Occupational Safety and Health Administration

Inspection Number: 1790176 CSHO ID: R8076 Optional Report No.: N/A

**Inspection Date(s)**: 11/25/2024 - 01/02/2025

**Issuance Date**: 02/05/2025



#### **Safety Order and Notification of Penalty**

**Company Name: Swift Air Mechanical** 

Inspection Site: 3537 South Lafountain Street, Kokomo, IN 46902

Safety Order 1 Item 3 Type of Violation: **Serious** 

29 CFR 1926.1053(b)(4): Ladder was used for purposes other than the purpose for which they were designed:

Jobsite - 3537 South Lafountain Street, Kokomo, Indiana, 46902 - On or about November 25, 2024, during construction activities, the top section of a Werner, orange, 12-foot fiberglass a-frame, step ladder, was used for access and egress from the attic that is approximately 13' 10" from the lower level, was not used according to the manufacturer.

Date By Which Violation Must be Abated: Proposed Penalty: Corrected During Inspection \$4,200.00

Jeremy Galloway IOSHA Director

Occupational Safety and Health Administration



#### INVOICE/DEBT COLLECTION NOTICE

**Company Name: Swift Air Mechanical** 

Inspection Site: 3537 South Lafountain Street, Kokomo, IN 46902

**Issuance Date: 02/05/2025** 

Summary of Penalties for Inspection Number: 1790176

Safety Order 1 Item 1, Serious	\$4,200.00
Safety Order 1 Item 2, Serious	\$4,200.00
Safety Order 1 Item 3, Serious	\$4,200.00

#### TOTAL PROPOSED PENALTIES: \$12,600.00

Penalties are due within fifteen (15) working days of receipt of this notification unless requested. Make your check or money order payable to: "Indiana DOL/IOSHA." Please indicate IOSHA's Inspection Number (indicated above) on the remittance. You may also make a payment online at https://payingov.com/dol/. Your account number is your inspection number.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A worksheet has been provided to assist in providing the required abatement information. A completed copy of this worksheet should be posted at the worksite with safety orders.

2 Dallowy	2/5/2025	
Jeremy Galloway	Date	
IOSHA Director		

# SETTLEMENT AGREEMENT

The Commissioner of Labor (hereinafter referred to as "Commissioner") and **Swift Air Mechanical** (hereinafter referred to as "Employer") hereby agree as follows:

A Safety Order and notification of Penalty resulting from IOSHA Inspection No. 1790176 was issued to the Employer on *February 5<sup>th</sup>*, 2025, and is resolved in the following manner.

#### Citation 01:

Item 1: Reclassify to Non-Serious, Penalty: \$4,200.00, Abatement Due: Completed.

Item 2: Reclassify to Non-Serious, Penalty: \$4,200.00, Abatement Due: 60 days from signed agreement.

Item 3: Reclassify to Non-Serious, Penalty: \$4,200.00, Abatement Due: Completed.

#### The TOTAL AGREED PENALTY is \$12,600.00

In consideration, The Employer has agreed to the following enhanced abatement:

- 1. Work with safety team within sixty (60) days of signed Settle Agreement to:
  - a. Created / Update Programs, Policies, and Procedures.

Note: Employer shall provide a copy of New/Updated programs.

2. Work with safety team in a full-service and comprehensive safety consultation of an active jobsite within sixty (60) days of signed Settlement Agreement.

Note: Employer shall provide copy of confirmation of consultation by the consultant.

- 3. Work with safety team to provide training for all employee(s) within sixty (60) days of signed Settle Agreement in:
  - a. Fall hazards
  - b. Ladder hazards
  - c. 10/30 hr. OSHA training

Note: Employer shall provide a copy of sign-in sheets / certificates of training.

Employer agrees that a request must be made in writing to IOSHA for a Petition for Modification of Abatement prior to the above abatement date if abatement cannot be met by the respective dates and an extension is required. Except for the above specified amendments all other provisions of Safety Order Number 1790176 are retained intact.

Employer agrees that failure to abide by the terms of enhanced abatement as stated herein above will rescind any penalty adjustment provided by the enhanced abatement, and the original penalty amount will be due within 15 business days of the Commissioner's knowledge of said failure.

Employer is required under the authority of §1903.16 to post copies of all amendments or changes to citations resulting from informal conferences. Employer must provide employee representatives with copies of any agreements.

Inspection: 1790176 Page 1 of 2 IOSHA

Unless otherwise specified herein, the TOTAL AGREED PENALTY is due and payable within fifteen (15) working days from the Employer's execution of this Agreement. The Employer further agrees that if the Employer fails to pay the full amount specified within this allotted time, the Commissioner may use every legal remedy available to collect such unpaid amount, interest shall begin to accrue on said unpaid amount at the rate of 12% apr compounded daily, and the Commissioner may collect legal fees from the Employer for any collection action that may be necessary.

If paying online, visit: https://payingov.com/Payment/SelectEntity/475

If paying by check or money order: Please make your check or money order payable to "Indiana DOL/IOSHA," indicate IOSHA's Inspection Number (indicated above) on your remittance, and send payments to the following address:

Indiana Department of Labor 402 West Washington Street – Room W195 Indianapolis, Indiana 46204

Upon full execution of this Settlement Agreement the Employer will post this Agreement for three (3) working days or until abatement is completed, whichever period is longer.

The Safety Order(s) and Notification(s) of Penalty, as amended herein, shall be a final and enforceable order.

THE EMPLOYER IS SATISFIED WITH THE AMENDMENTS STATED ABOVE AND ACCORDINGLY WAIVES ITS RIGHT TO FILE A NOTICE OF CONTEST OF THE SAFETY ORDER(S) AND NOTIFICATION(S) OF PENALTY AS AMENDED AND AGREES TO WITHDRAW ANY PREVIOUSLY FILED NOTICES OF CONTEST IN THIS MATTER.

Except for this Agreement, and IOSHA matters arising out of this Agreement, and any other subsequent IOSHA proceedings between the parties, none of the foregoing agreements, statements, findings, and actions taken by the Employer shall be deemed an admission by the Employer of the allegations contained within the Safety Order(s) and Notification(s) of Penalty. The agreements, statements, findings, and actions taken herein are made in order to compromise and settle this IOSHA matter economically and amicably, and they shall not be used for any other purpose except as herein stated.

Employer // ( ) . ( )	COMMISSIONER OF LABOR
By: Kevin Council	2 Dallowy
Tid. Canaval Managar	By:
Title: General Manager	
Date: 02/27/2025	Title: <u>Director of Safety</u>
	Date: 02/28/2025