Indiana Occupational Safety and Health Administration 402 West Washington Street, Room W195 Indianapolis, Indiana 46204

Phone: (317) 232-1979 FAX: (317) 233-3790



Safety Order and Notification of Penalty

To:

Dalton Corporation and its successors 1900 E Jefferson St. Warsaw, IN 46580

Inspection Site: 1900 E Jefferson St Warsaw, IN 46580 Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023

The violation(s) described in this Safety Order and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

An inspection of your workplace of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference – Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

Right to Contest – You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s)

and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent board appointed by the governor with authority to conduct legal proceedings leading up to and including administrative hearings or assign an Administrative Law Judge (ALJ) to conduct the same, and to issue decisions concerning disputed safety orders and notifications of penalties. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

Posting - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

Penalties - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

Abatement - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty unless:

- (1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or
- (2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall include the following information:

- (1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the prescribed abatement period.
 - (2) The specific additional abatement time necessary in order to achieve compliance.
- (3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.
- (4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.
- (5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

Notification of Corrective Action - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty.

Followup Inspections - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

Indiana Occupational Safety and Health Administration 402 West Washington Street, Room W195 Indianapolis, Indiana 46204

Phone: (317) 232-1979 FAX: (317) 233-3790



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the Safety Order(s) issued on 06/06/2023.

The Informal Conference will be held:
Date:
Time:
Virtually/Telephonically via Microsoft Teams
Call-in Number:
Conference ID:
In-person at the Indiana Department of Labor, located at the following address:
402 West Washington Street, Room W195 Indianapolis, Indiana 46204
Meeting/Conference Room:
Employees and/or representatives of employees have a right to attend an informal conference.

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Safety Order 1 Item 1 Type of Violation: **Serious**

IC 22-8-1.1 Section 2: The employer did not furnish employment and a place of employment which was free from recognized hazards that were causing or likely to cause death or serious physical harm to employees, in that the employees were exposed to broken bones and internal injuries:

Cupola - Employees were exposed to fall hazards while they worked on the exterior and interior of the cupola to make repairs. Employees were lifted in man baskets by a hoist while they serviced the cupola.

Among other methods, one feasible and acceptable method to correct this hazard is to follow 29 Code of Federal Regulations (CFR) 1926.1431 in reference to hoisting personnel, including but not limited to:

- 1. The personnel platform (man basket) must be designed by a qualified person familiar with structural design.
- 2. The personnel platform must be capable of supporting, without failure, its own weight and at least five times the maximum intended load.
- 3. The personnel platform must be equipped with a guardrail system and must be enclosed at least from the toeboard to mid-rail with either solid construction material or expanded metal having openings no greater than ½ inch.
- 4. All edges exposed to employee contact must be smooth enough to prevent injury.
- 5. The weight of the platform and its rated capacity must be conspicuously posted on the platform with a plate or other permanent marking.
- 6. Platforms must not be used to hoist materials or tools when not hoisting personnel.
- 7. Perform a trial lift prior to each shift in which personnel will be hoisted.
- 8. Employees occupying the personnel platform must be provided and use a personal fall arrest system.
- 9. Certify that the hoist, the beam it is connected to, and all its' connectors are adequate to support the load imposed.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

July 07, 2023

\$7,000.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

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Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Safety Order 1 Item 2 a Type of Violation: **Serious**

29 CFR 1910.22(b): The employer did not ensure that each walking-working surface could support the maximum intended load for that surface:

Melt Department - Employees were exposed to a fall hazard when placing refractory brick when employees worked from a lift platform of an unknown weight capacity while suspended approximately 12 feet above the working surface.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

July 07, 2023
\$3,400.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Safety Order 1 Item 2 b Type of Violation: **Serious**

29 CFR 1910.28(b)(1)(i): Except as provided elsewhere in this section 29 CFR 1910.28, the employer did not ensure that each employee on a walking-working surface with an unprotected side or edge that is 4 feet (1.2 m) or more above a lower level was protected from falling by one or more of the following systems: guard rail, safety net, personal fall protection:

Melt Department - Employees were exposed to an approximately 12-foot fall hazard when working from the lift platform to place refractory bricks at the cupola. The lift platform had unsecured chains for the mid and top rail of its standard guardrail.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

July 07, 2023

\$0.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Safety Order 1 Item 3 Type of Violation: **Serious**

29 CFR 1910.22(d)(1): The employer did not ensure that walking-working surfaces are inspected, regularly and as necessary, and maintained in a safe condition:

Melt Department - Employees were exposed to fall hazards when they used a man basket for spraying refractory within the cupola when the basket had not been maintained in a safe working condition. The man basket was covered in refractory overspray so lift cables could not be visually inspected, sections of the metal mesh on the sides of the basket were damaged, and a buildup of refractory prevented its' door from closing.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated: Proposed Penalty:

July 07, 2023 \$3,400.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Safety Order 1 Item 4 a Type of Violation: **Serious**

29 CFR 1910.146(c)(4): When the employer decided that its employees would enter permit spaces, the employer did not develop and implement a written permit space entry program that complied with 29 CFR 1910.146:

Melt Department - Employees were exposed to oxygen and natural gas while they performed work inside the cupola, a permit-required confined space, without the use of continuous air monitoring or forced ventilation.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

July 07, 2023
\$3,400.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Safety Order 1 Item 4 b Type of Violation: **Serious**

29 CFR 1910.252(b)(4): All welding and cutting operations carried on in confined spaces were not adequately ventilated to prevent the accumulation of toxic materials or possible oxygen deficiency:

Melt Department - Employees were exposed to chemicals such as, but not limited to, iron oxide fumes when they performed welding activities inside the cupola during scheduled maintenance and the means of ventilation was the wind tunnel effect.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:

Proposed Penalty:

\$0.00

Occupational Safety and Health Administration

Inspection Number: 1646349 CSHO ID: L3104 Optional Report No.: 13-23

Inspection Date(s): 01/26/2023 - 06/02/2023

Issuance Date: 06/06/2023



July 07, 2023

\$2,550.00

Safety Order and Notification of Penalty

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Safety Order 1 Item 5 Type of Violation: **Serious**

29 CFR 1910.178(a)(4): Modifications and additions which affect capacity and safe operation of powered industrial truck were performed by the employer without the manufacturer's prior written approval:

Melt Department - Employees were exposed to a struck-by hazard when a forklift was lifting a platform during scheduled maintenance for the cupola without receiving approval.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:
Proposed Penalty:

Jameson Berry '
IOSHA Director

Occupational Safety and Health Administration



INVOICE/DEBT COLLECTION NOTICE

Company Name: Dalton Corporation

Inspection Site: 1900 E Jefferson St, Warsaw, IN 46580

Issuance Date: 06/06/2023

Summary of Penalties for Inspection Number: 1646349

Safety Order 1 Item 1, Serious	\$7,000.00
Safety Order 1 Item 2a, Serious	\$3,400.00
Safety Order 1 Item 2b, Serious	\$0.00
Safety Order 1 Item 3, Serious	\$3,400.00
Safety Order 1 Item 4a, Serious	\$3,400.00
Safety Order 1 Item 4b, Serious	\$0.00
Safety Order 1 Item 5, Serious	\$2,550.00

TOTAL PROPOSED PENALTIES:

\$19,750.00

Penalties are due within fifteen (15) working days of receipt of this notification unless requested. Make your check or money order payable to: "Indiana DOL/IOSHA." Please indicate IOSHA's Inspection Number (indicated above) on the remittance. You may also make a payment online at https://payingov.com/dol/. Your account number is your inspection number.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A worksheet has been provided to assist in providing the required abatement information. A completed copy of this worksheet should be posted at the worksite with safety orders.

Jam Bun	6/6/2023
Jameson Berry	Date
IOSHA Director	