



# STATE OF INDIANA

# DEPARTMENT OF FINANCIAL INSTITUTIONS

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**Depository Division**  
**Advisory Letter 2026-01**  
**July 6, 2026**

**To: All State-Chartered Banks and Credit Unions**  
**From: Christopher C. Dietz, Deputy Director – Depository Institutions**  
**Re: Reminder Regarding Confidentiality of Examination Reports**

This advisory serves as a reminder regarding the handling and confidentiality of the Report of Examinations issued by the Indiana Department of Financial Institutions (DFI), whether issued independently by the DFI or jointly with a federal agency. Please note that these reports are the exclusive property of the DFI, and the contents, ratings, and findings contained within are strictly confidential.

The DFI is aware of an increase in third parties and vendors seeking access to the report of examination findings as a prerequisite for performing services or providing a product. As outlined in Indiana Code statute 28-11-3-1, the DFI interprets that the distribution of examination information in any way, including the contents of examination reports and/or presence of informal enforcement actions or examination ratings, is not permitted beyond those individuals specifically listed on a DFI-Director approved board resolution for sharing information, as outlined in the DFI's Statement of Procedures for Obtaining Standing Authority to Share Examination Findings with Authorized External Auditors, Outside Counsel, Officers and Employees.

The DFI does not allow the sharing or viewing of any report of examination information beyond what has been approved by each individual institution and the DFI Director, per the resolution noted above. We ask that you continue to uphold these requirements to ensure compliance and protect the integrity of the examination process. This statute and position serve as a protection to your institution, limiting the chances that confidential information could be disclosed to the general public, and also is in place to not set a precedent where, if information is provided to a third-party for a service, it could be construed that all third-parties should then have access to that information if requested.

If you have any questions regarding these restrictions or need clarification on the approved parties for information sharing, please contact me directly.