



The Indiana Bureau of Motor Vehicles
Court Abstract Transmission System
(CATS)

Technical Manual

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System Objectives

The objectives of CATS are as follows:

- To automate the forwarding of court abstracts to the BMV for record updates.
- To greatly reduce the lag time between court disposition and the BMV record update and provide same day processing of court dispositions, including suspensions and convictions for commercial driver's license (CDL) holders, CDL Permit (CLP) holders, and commercial motor vehicle operators.
- To reduce the number of telephone calls and complaints in both the court and the BMV due to lag time in processing SR-16 forms.
- To significantly improve compliance with the Motor Carrier Safety Improvement Act (MCSIA) regulation (Section 49 CFR 384.209) pertaining to BMV processing of convictions for commercial driver's license (CDL) holders, CDL Permit (CLP) holders, and commercial motor vehicle operators within 10 days.
- To reduce the data entry requirements at the BMV, minimizing the opportunity for human error due to illegible documents and mis-keyed information.
- To provide detailed daily reports to the court of all cases transmitted and the disposition of each.
- To eliminate the need for the courts to produce hard copy SR-16 forms.
- To eliminate the need for the courts to produce hard copy Probable Cause Affidavits.
- To reduce costs associated with mailing or faxing documentation to the BMV.
- To standardize the way courts send their data to the BMV and keep all courts in sync using the correct three (3) digit BMV offense codes.
- JTAC will maintain a current list of Indiana statutes and their mapping to the correct BMV offense codes on INCITE.

Functional Requirements

The court system must be capable of creating required BMV records in the following naming conventions:

CATS XML File Format

An XML file format can be created from the court's case management system and then uploaded via secure file transfer protocol (SFTP) or the INcite CATS file upload. The courts need to adhere to a file naming convention which will provide a unique name for each file and allow easier retrieval in the event the file needs to be re-submitted. The file naming convention is: SR16xxxxx.yyyymmdd.hhmiss.xml A unique file name allows for multiple files to be created on the same day, if necessary, as well as create files on the same month and day, but accommodate a different year.

Note: the second must be the exact second to prevent overwriting data.

	Field	Characters	Description
Court ID		xxxxx	5 character court ID number
Year		yyyy	4 digit year
Month		mm	2 digit month (01-12)
Day		dd	2 digit day (01-31)
Hour		hh	2 Digits hour, 24-Hour format (00-23)
Minute		mi	2 digit minute (00-59)
Second		ss	2 digit second

Documents Accepted by CATS

- SR-16 Abstract of Court Record (except as provided below) including:
 - Ticket Substitutes
 - Failure to Appear (FTA)
 - Reopened Abstract
 - Failure to Pay (FTP)
 - Payment Notice
 - Amendment to an existing Abstract of Judgment
 - Close FTA Court Hearing Pending
 - Delete FTA
 - Delete FTP
 - Delete Conviction and FTP

- Certificate of Probable Cause

Documents not Accepted by CATS

- Court Order
- SR-16 Abstract with Probationary License requested*
- SR-17 Order of Conditional Probation *

* In the future, the above listed documents may be accepted electronically

Abstract of Court Record (SR16) Form



CERTIFICATION OF INDIANA ABSTRACT OF COURT RECORD

State Form 53124 (R5 / ##-##) / SR16
INDIANA BUREAU OF MOTOR VEHICLES

<p>1. Select one of the following:</p> <p><input type="checkbox"/> Ticket Substitute/ Abstract of Judgment</p> <p><input type="checkbox"/> Amendment to an existing Abstract of Judgment</p> <p><input type="checkbox"/> Close FTA Court Hearing Pending</p> <p><input type="checkbox"/> Delete Failure to Pay (FTP)</p>	<p><input type="checkbox"/> Failure to Appear (FTA)</p> <p><input type="checkbox"/> Reopened and Disposed</p> <p><input type="checkbox"/> Failure to Pay (FTP)</p> <p><input type="checkbox"/> Payment</p> <p><input type="checkbox"/> Delete FTA</p> <p><input type="checkbox"/> Delete Citation and Failure to Pay (FTP)</p>
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2. Driver's License Number []		3. License State []		4. License Type []		5. Individual cited <input type="checkbox"/> Driver <input type="checkbox"/> Other <input type="checkbox"/> Passenger	
6. Name (First, Middle, Last) []				7. Date of Birth (mm/dd/yyyy) []		8. Gender <input type="checkbox"/> Male <input type="checkbox"/> Not Specified <input type="checkbox"/> Female	
9. Address (Number and Street) []			10. City []		11. State []		12. ZIP []
13. Plate Number []	14. Vehicle Year []	15. Vehicle Make []	16. Vehicle Color []	17. Vehicle Type <input type="checkbox"/> Passenger <input type="checkbox"/> Commercial <input type="checkbox"/> Motor Driven Cycle B		18. Hazardous Material <input type="checkbox"/> Yes <input type="checkbox"/> No	
19. Offense Date (mm/dd/yyyy) []		20. Offense Time [] a.m. [] p.m.		21. Accident <input type="checkbox"/> Yes <input type="checkbox"/> No		22. Fatality <input type="checkbox"/> Yes <input type="checkbox"/> No	
23. Original Offense (Description) []		24. Indiana Code Cite For Original Offense []		25. Vehicle Speed / Posted Speed [] / []		26. ACD (BMV Use Only)	
27. Amended Offense (Description) []		28. Indiana Code Cite for Amended Offense []		29. Amended Vehicle Speed / Posted Speed [] / []		30. Amended ACD (BMV Use Only)	
31. Court Name []		32. Court []	33. FULL Cause Number (including county code) []		34. Previous Cause Number/Court []		35. Court Disposition Date (mm/dd/yyyy) []
36. Court Finding []		36a. G = Guilty N = Not Guilty D = Dismissed A = Vacated/Set Aside			37.		38. <input type="checkbox"/> Converted to an "A" misdemeanor pursuant to Ind. Code § []
39. Juvenile Proceeding <input type="checkbox"/> Yes <input type="checkbox"/> No		P = Nolle Prosequi T = Deferred ;(under Ind. Code § []) CDL holders are NOT eligible for deferred judgments and diversions.					40. Payment Date (mm/dd/yyyy) []

<p>41. Suspension of Driving Privileges for: <input type="checkbox"/> Days <input type="checkbox"/> Years <input type="checkbox"/> Start on: <input type="text"/> (mm/dd/yyyy)</p>	<p>42. <input type="checkbox"/> Grant specialized driving privileges for <input type="text"/> day's <input type="text"/> years beginning <input type="text"/> (mm/dd/yyyy) limited to current suspension. Specialized driving privileges may be granted to operate a passenger vehicle, but not a CMV, under IC 9-30-16-3(f).</p> <p><input type="checkbox"/> Ignition interlock device required for <input type="text"/> days <input type="text"/> years beginning <input type="text"/> (mm/dd/yyyy)</p> <p><input type="checkbox"/> Terminate Specialized Driving Privileges for Conviction <input type="checkbox"/> Terminate Specialized Driving Privileges for Probable Cause <input type="checkbox"/> Delete Specialized Driving Privileges for Conviction <input type="checkbox"/> Delete Specialized Driving Privileges for Probable Cause</p>	
<p>43. Action Ordered:</p> <p><input type="checkbox"/> Delete refusal suspension; <input type="checkbox"/> Delete probable cause failure suspension; <input type="checkbox"/> Terminate refusal suspension; <input type="checkbox"/> Terminate ignition interlock conditions <input type="checkbox"/> Delete ignition interlock conditions</p>		
<p>44. Signature of Court Representative <input type="text"/></p>	<p>45. Title <input type="text"/></p>	<p>46. Date (mm/dd/yyyy) <input type="text"/></p>
<p>This abstract is a certification of record to the Commissioner of the Indiana Bureau of Motor Vehicles as prescribed by law</p>		

Abstract of Court Record (SR16) Guidelines

General Instructions:

- A separate SR16 is required for each offense committed.
- It is imperative to provide a complete SR16. Failure to do so will result in delays in processing and possible return to the court for correction.
- The court will be required to submit an additional court order for actions not listed on the SR16 form.

1. Type of Abstract of Court Record (SR16)

- Ticket Substitute:** Select this field if the court makes a determination (guilty, dismissed, not guilty, nolle prosequi or deferred). A ticket substitute is used in place of a Uniform Traffic Ticket (UTT).
 - Failure to Appear (FTA):** Select this field if the court determines the individual cited failed to appear for an initial court hearing date and judgment is not made by court in absentia. This is an indefinite driving privilege suspension until the BMV receives notice from the court that the case has been reopened and final judgment determined.
 - Reopened and Disposed:** Select this field if a failure to appear (FTA) was previously submitted to the BMV, the individual appears in court and the case is disposed. Selecting this field will terminate the indefinite failure to appear (FTA) driving privilege suspension. The suspension will be terminated as of the date of disposition.
 - Failure to Pay (FTP):** Select this field if the court determines the individual cited has defaulted on traffic fines and/or court costs. This is an indefinite driving privilege suspension until the BMV receives notice of payment from the court.
 - Payment:** Select this field if a FTP was previously submitted to the BMV and the individual satisfied all traffic fines and court costs. Selecting this field will terminate the indefinite FTP driving privilege suspension.
 - Amendment to an Existing Abstract of Court Record:** Select this field if the court determines information previously submitted to the BMV should be revised or amended. This type of SR16 can and should be submitted via CATS, but amendments are not automatically processed. Amendments are printed and processed manually.
 - Close FTA Court Hearing Pending:** Close the FTA Suspension.
 - Delete FTA:** Delete the FTA suspension.
 - Delete FTP:** Delete the FTP suspension.
 - Delete Conviction and FTP:** Delete the conviction and the FTP suspension.
- Driver's License Number:** Specify the driver's license number or state identification (ID) card number of the individual cited. If the license or ID number is not provided, populate the field with "NA." In addition, the name, address and date of birth MUST be provided. If the "License State" field is populated with "IN," the driver's license number must be valid and in the correct format for Indiana drivers' license numbers (#####).
 - License State:** Specify the state or country in which the individual cited is licensed. This must be a valid two (2) character state abbreviation or one of the nine additional "other country" codes. (OC=Other Country,

AS=American Samoa, CN=Canada, DC=District of Columbia, GU=Guam, MX=Mexico, PR=Puerto Rico, TT=Trust Territory, VI=Virgin Islands). If the field contains "IN," indicating the driver is licensed in Indiana, the driver's license number must be valid and in the correct format. "NA" should be used if the individual has not been issued a license or identification credential in any jurisdiction.

4. **License Type:** Specify the license type of the individual cited.
5. **Individual Cited: Optional** - Select whether individual cited was the **Driver, Passenger, or Other.**
 - a. Select "**Driver**"
 - b. Select "**Passenger**" (**This should also be selected if the violation did not involve the use of a motor vehicle. Vehicle information is not required when "Passenger" is selected.**)
 - c. Select "**Other**"
6. **Name (first, middle, last):** The first, middle and last name of the individual cited.
7. **Date of Birth:** The date of birth of the individual cited (YYMMDD format).
8. **Gender:** Select the gender of the individual cited. This field is imperative, especially if the driver is not licensed in any jurisdiction and provides an Indiana residential address.
9. **Address:** The number and street name of the individual cited. A complete address is required.
10. **City:** The city in which the individual cited resides.
11. **State:** Specify the state or country in which the individual cited resides.
12. **Zip Code:** List the zip code of the individual cited.
13. **Plate Number:** Specify the plate number of the vehicle. If this information is not available, the "Individual Cited" is "Passenger" or the violation did not involve the use of a motor vehicle, "NA" must be used in this field.
14. **Vehicle Year:** Specify the year of the vehicle. If this information is not available, the "Individual Cited" is "Passenger" or the violation did not involve the use of a motor vehicle, "NA" must be used in this field.
15. **Vehicle Make:** Specify the make of the vehicle. If this information is not available, the "Individual Cited" is "Passenger" or the violation did not involve the use of a motor vehicle, "NA" must be used in this field.
16. **Vehicle Color:** Specify the color of the vehicle. If this information is not available, the "Individual Cited" is "Passenger" or the violation did not involve the use of a motor vehicle, "NA" must be used in this field.
17. **Vehicle Type:** Specify correct vehicle type. **Populate this field with a space if the violation did not involve the use of a motor vehicle or the "Individual Cited" is "Passenger".**
18. **Hazardous Material:** Specify if hazardous material was involved.
19. **Offense Date:** Specify the date the individual was cited.
20. **Offense Time:** Specify the time the individual was cited, and whether it was morning or evening.
21. **Accident:** Specify if vehicle was involved in an accident.
22. **Fatality:** Specify if accident involved a fatality.
23. **Original Offense (Description):** Specify the description of the offense for which the individual was originally cited.
24. **Indiana Code Cite for Original Offense:** Specify the Indiana Code cite of the offense for which the individual was originally cited.
25. **Vehicle Speed/Posted Speed:** For speeding offenses, indicate the vehicle speed and posted speed.

26. **ACD:** This field does not require court specification. This information is supplied by the BMV for reporting purposes to other licensing jurisdictions.
27. **Indiana Code Cite for Amended Offense:** Specify the Indiana Code cite of the offense to which the original offense was amended.
28. **Amended Offense Description:** Specify the description of the offense to which the original charge was amended.
29. **Amended Vehicle Speed/Posted Speed:** Indicate the amended vehicle speed and posted speed in this field.
30. **Amended ACD:** This field does not require court specification. This information is supplied by the BMV for reporting purposes to other licensing jurisdictions.
31. **Court Name:** Specify the name of the court.
32. **Count:** The count of this charge for the case number.
33. **FULL Cause Number (including county code):** Specify the five (5) character court code and assigned case number.
34. **Previous Case Number/Court:** Specify any prior court and case numbers associated with this case.
35. **Court Disposition Date:** Specify the date the court determined finding. For FTAs, this is the date the court determined the violator failed to appear.
36. **Court Finding:**
 - G – Guilty**
 - D – Dismissed**
 - N – Not Guilty**
 - P – Nolle Proseculi**
 - T – Deferred–** Specify the statutory authority (Indiana Code Cite) under which the case is deferred. If the IC is omitted, the document will be returned to court. CDL drivers are not eligible for traffic case deferrals.
 - A – Vacated**
37. **[BLANK]**
38. **Converted to an “A” misdemeanor pursuant to Ind. Code §.**
39. **Juvenile Proceeding:** Select **Yes** or **No**
40. **Payment Date:** Specify the date the court costs and fines were paid.
41. **Suspension of Driving Privileges:** Specify the duration of the suspension time ordered by the court.
42. **Specialized Driving/Interlock Device Privileges:** Specify the duration of the specialized driving/interlock device privileges time ordered by the court. When selecting a delete or terminate special driving privileges, only one is allowed to be checked.
43. **Action Ordered by Probable Cause:** Action court ordered on probable cause.
44. **Signature of Court Representative:** The document must be signed by a certified court official.
45. **Title:** The title of the certified court official.
46. **Date:** The date of certification of the document must be entered in this field.

Certificate of Probable Cause Form



CERTIFICATE OF PROBABLE CAUSE

State Form 55415 (05 - 18)
BUREAU OF MOTOR VEHICLES

Amended

Court Cause/Case Number: _____ Offense Date (month, day, year) _____ Time: AM PM

Previous Court Cause/Case Number: _____

Driver License Number: _____

Driver License State: _____

Driver License Type: _____

Full Name: (First) _____

(Middle Initial) _____

(Last) _____

Date of Birth: _____

Gender: _____

Weight: _____

Height: _____

Eye Color: _____

Hair Color: _____

Address (number and street, city, state and ZIP code) _____

Vehicle Type: Passenger CMV Hazmat Other

Plate Number: _____

The above Motorist: Refused Failed Alcohol Test BAC _____ Drugs: Yes No Pending Results of Alcohol or Drug Test(s)

PROBABLE CAUSE FOUND THAT DEFENDANT VIOLATED IC 9-30-5, IC 14-15-8 (REPEALED) OR IC 35-46-9 AND CHARGES ARE PENDING.
THE COURT RECOMMENDS THAT THE BMV TAKE THE FOLLOWING ACTION ON DEFENDANT'S DRIVING PRIVILEGES

Immediate Suspension Suspend upon notice from the BMV Court Ordered Ignition interlock Device in Lieu of Suspension (IC 9-30-6-8(d))
Effective Date (month, day, year) __/__/__

Grant specialized driving privileges for ___ days ___ years beginning _____ (mm/dd/yyyy) limited to current suspension

Specialized driving privileges may be granted to operate a passenger vehicle, but not a CMV, under IC 9-30-16-3(f).

Ignition interlock device required for ___ days ___ years beginning _____ (mm/dd/yyyy)

Judge's Name: _____

Date (month, day, year) _____

Certificate of Probable Cause Guidelines

General Instructions:

- It is imperative to provide a complete Certificate of Probable Cause. Failure to do so will result in delays in processing and possible return to the court for correction.
1. **Court Cause/Case Number:** Specify the five (5) character court code and assigned case number.
 2. **Offense Date:** Specify the date the individual was cited.
 3. **Offense Time:** Specify the time the individual was cited, and whether it was morning or evening.
 4. **Driver's License Number:** Specify the driver's license number or state identification (ID) card number of the individual cited. If the license or ID number is not provided, populate the field with "NA." In addition, the name, address and date of birth MUST be provided. If the "License State" field is populated with "IN," the driver's license number must be valid and in the correct format for Indiana driver's license numbers (#####).
 5. **License State:** Specify the state or country in which the individual cited is licensed. This must be a valid two (2) character state abbreviation or one of the nine additional "other country" codes. If the field contains "IN," indicating the driver is licensed in Indiana, the driver's license number must be valid and in the correct format. "NA" should be used if the individual has not been issued a license or identification credential in any jurisdiction.
 6. **License Type:** Specify the license type of the individual cited.
 7. **Name (first, middle, last):** List the first, middle and last name of the individual cited.
 8. **Date of Birth:** List the date of birth of the individual cited (YYMMDD format).
 9. **Gender:** Select the gender of the individual cited. This field is imperative, especially if the driver is not licensed in any jurisdiction and provides an Indiana residential address.
 10. **Height:** Height in inches
 11. **Weight:** Weight in pounds
 12. **Eye Color:** Indicate the eye color of the person cited.
 13. **Hair Color:** Indicate the hair color of the person cited.
 14. **Address:** List the number and street name of the individual cited. A complete address is required.
 15. **City:** List the city in which the individual cited resides.
 16. **State:** Specify the state or country in which the individual cited resides. This must be a valid two (2) character state abbreviation or one of the nine additional "other country" codes.
 17. **Zip Code:** List the zip code of the individual cited.

18. **Vehicle Type:** Indicate the vehicle type in which the violation was committed.
19. **Plate Number:** Specify the plate number of the vehicle. If this information is not available or the violation did not involve the use of a motor vehicle, "NA" must be used in this field.
20. **Type:** Indicate if the person cited refused or failed a chemical test
21. **BAC:** Must be numeric (00 – 99)
22. **Drugs:** Indicate whether the violation included the use of drugs.
23. **Pending Results:** Indicate whether the chemical test results are pending.
24. **Suspension:** Indicate whether the resulting suspension should be immediate, upon notice to BMV (5 days?), or Court ordered Interlock Device in Lieu of Suspension
25. **Judge's Name:** Include judge's name
26. **Date Signed:** Month, Day, Year
27. **Specialized Driving Privileges:** Include number of days, number of years, and start date for special driving privileges
28. **Interlock Device:** Include number of days, number of years, and start date for an interlock device

Additional Validations:

- For SR16s that reference a previous SR16 or Uniform Traffic Ticket(UTT), such as “Reopen” or ”Payment,” the case number must match the case number of the original FTA or FTP.
- A matching Payment SR16 should close an FTP SR16. If the payment does not match to a previous FTP, it will be entered into the BMV database as a payment.
- If Driver’s License State is not equal to IN, it will be printed and sent to the state of record. If the License State is not “IN,” the BMV will process the SR16 if it includes name, address, and date of birth.
- If the Disposition is equal to T (Deferred), the SR16 must include the “Statutory Authorization IC” cite. If included, the SR16 will be manually processed by the BMV and placed in the driver record.
- If the license number doesn’t match the BMV records, or the driver’s license is flagged as cancelled or fraudulent, the BMV will attempt to process the SR16 manually provided they can determine the correct driver record to which the violation should be applied.
- If the Hazmat Indicator is Y (Yes) then the Commercial Motor Vehicle Indicator must be Y (Yes).
- The Abstract Certification Date cannot be a future date nor can it be before the Date of Birth, Offense Date, or Court Disposition Date.
- The Court Disposition Date cannot be a future date nor can it be before the Date of Birth or Offense Date, or after the Abstract Certification Date.
- The Issue Date of Citation cannot be a future date nor can it be before the Date of Birth, or after the court disposition date or abstract certification date.
- If none of the identifiers are found, the system assumes that the individual is an unlicensed driver and a driving record is built by BMV personnel.
- Speeding citations should always include the speed the driver was driving and the posted speed limit when the speeds are known. However, a speeding citation can be sent without the vehicle speed or the posted speed limit when they are not known and will be recorded onto the driver record as a two (2) point speeding citation.

CATS Business Processing Rules

Box	XML SR16	Rules
1	If <Abstract Type> is "C" or "D", then <CourtDispositionDate> & <CourtDisposition> must be NULL. If not, the SR16 will not be passed to the BMV.	<p>Valid Values for <AbstractType>:</p> <p>T = Ticket Substitute/Abstract of Judgment U = Amendment to an existing Abstract of Judgment F = Failure to Appear R = Reopened and Disposed P = Failure to Pay N = Payment C = Close FTA Court Hearing Pending D = Delete FTA G = Amend FTA S = Amend Reopen Q = Amend FTP O = Amend Payment I = Close FTA Court Hearing Pending J = Delete FTA B = Delete Failure to Pay E = Delete Citation and Failure to Pay K = Amend Delete Failure to Pay L = Amend Delete Citation and Failure to Pay</p>
1	If <AbstractType> is "C" or "D", then <CitationIssueDateTime>, <OriginalOffenseCode>, <CourtId>, <CourtCaseNumber> must match an existing FTA on record. If rule not met, the BMV will reject the SR16 record. If rule met, the BMV will close matching FTA using current date as the expiration date.	<p>If <ReducedOffenseCode> is populated, then the BMV will compare this data instead of <OriginalOffenseCode>.</p> <p>Box 33 is a combination of <CourtId> and <CourtCaseNumber>.</p>
1	If <AbstractType> is "D", then <CitationIssueDateTime>, <OriginalOffenseCode>, <CourtId>, <CourtCaseNumber> must match an existing FTA on record. If rule not met, the BMV will reject the SR16 record, if rule met, the BMV will delete the matching FTA.	Box 33 is a combination of <CourtId> and <CourtCaseNumber>.
2	If <DriverLicenseNumber> not NULL, then date of birth in BMV's record for given DLN must match <DriverDateOfBirth>. If rule not met, JTAC will not pass the SR16 record to the BMV.	
2	If <DriverLicenseNumber> is NULL and <AddressState> not "IN", the BMV will print the SR16 for manual review.	
5	If <IndividualCited> is "O", the BMV will not require proof of insurance.	<p>Valid Values:</p> <p>D = Driver; P = Passenger; O = Other;</p> <p>If "O", then only the following BMV offense codes may be submitted: 009, 010, 011, 120, 121, 152, 186, 187, 189, 211, 214, 226, 629, 632, 642, 663, 664, 677, 685, 703, 737, 738, 745, or 7474</p>
13	If <LicensePlateNumber> begins with "B", the BMV will not require proof of insurance.	
15	If "Moped" indicated for <VehicleMake>, the BMV will not require proof of insurance.	

Box	XML SR16	Rules
17	If "B" indicated for <VehicleType>, the BMV will not require proof of insurance.	Valid Values: P = Passenger; C = Commercial Motor Vehicle; B = Motor Driven Cycle – B Note: "Motor Driven Cycle – A" vehicle type is required to provide insurance and must be indicated as (P) or (C).
32	If an incoming SR16 record matches <CitationIssueDateTime>, <OriginalOffenseCode>, <CountNumber>, <CourtId>, <CourtCaseNumber> on an existing SR16 record on the driver's file, then the incoming SR16 will be placed in queue to be manually reviewed.	If <ReducedOffenseCode> is populated, then the BMV will compare this data instead of <OriginalOffenseCode>. Box 33 is a combination of <CourtId> and <CourtCaseNumber>.
34	If <PreviousCourtCode>+<PreviousCaseNumber> not NULL, the value for this field must be different than <CourtId>+<CourtCaseNumber>.	Box 33 is a combination of <CourtId> and <CourtCaseNumber>. Box 34 is a combination of <PreviousCourtCode> and <PreviousCaseNumber>.
36	If <CourtDisposition> is "T", <DeferralStatutoryAuthorityICCode> begins "9-30-9"; <SuspensionEffectiveDate>, <SuspensionTime>, and <SuspensionTimeUnit> are NOT null; and OWI-type offense, the BMV will require the driver to procure SR22 insurance as a reinstatement requirement.	<SuspensionTime> and <SuspensionTimeUnit> must all be populated if either is populated. If not, INCite will not pass to the BMV. Exception: for 'lifetime' suspensions, only the unit of "L" is required.
36	If <CourtDisposition> is "T" and <DeferralStatutoryAuthorityICCode> begins "12-23-5", the BMV will NOT require the driver to procure SR22 insurance as a reinstatement requirement.	
36	If <CourtDisposition> is "T" and <DriverLicenseState> is "IN", the SR16 will print at BMV for manual review/entry if customer held a CDL according to BMV records on the date in <CitationIssueDateTime>.	
36	If <CourtDisposition> is "T", <DriverLicenseState> is NULL and <AddressState> is "IN," the SR16 will print at BMV for manual review/entry if customer held a CDL according to BMV records on the date in <CitationIssueDateTime>	
36	If <CourtDisposition> is "A", the BMV will close FTA or FTP suspensions that match based on <CitationIssueDateTime>, <OriginalOffenseCode>, <CourtId>, <CourtCaseNumber>. The expiration date of matching suspensions will reflect the current date. For SR16s that match citations linked to Driver Safety Program suspensions, the BMV will print the SR16 for manual review.	Valid Values for <CourtDisposition>: G = Guilty; D = Dismissed; P = Nolle Prosequi; T = Deferred (<DeferralStatutoryAuthorityICCode> required); N = Not Guilty; A = Vacated/Set Aside Note: if <ReducedOffenseCode> is populated, then the BMV will compare this data instead of <OriginalOffenseCode>. Also, box 33 is a combination of Court ID and Case Number.
36	If <CourtDisposition> is "T", then <DeferralStatutoryAuthorityICCode> cannot be NULL. If so, the SR16 record will not be passed to the BMV.	

Box	XML SR16	Rules
36	If <CourtDisposition> is "T", <DeferralStatutoryAuthorityICCode> begins "9-30-9" and box <Fatality> is "True", the SR16 record will not be passed to the BMV.	
36	If <CourtDisposition> is "T," <DriverLicenseState> and <AddressState> are NULL or not "IN," and <DriverLicenseType> is "CL" (indicating CDL), the BMV will print the SR16 record for manual review.	
36	f <CourtDisposition> is "A," then <AbstractType> must be "U". If rule not met, the SR16 record will not be passed to the BMV.	
36	If <CourtDisposition> is "A" and <CitationIssueDateTime>, <OriginalOffenseCode>, <CourtId> and <CourtCaseNumber> do not match an existing conviction on the driver's record, the BMV will reject the SR16 back to the court.	Activity Report message: "Order not yet processed; returned for corrections regarding vacated judgment, original offense and cause number corroboration." Box 33 is a combination of <CourtId> and <CourtCaseNumber>.
38	If <AlternativeMisdemeanor> and <AlternativeMisdemeanorAuthorityIC> not NULL, then <AbstractType> must be "T" OR "U". If not, the SR16 record will not be passed to the BMV.	
38	If <AlternativeMisdemeanor> and <AlternativeMisdemeanorAuthorityIC> not NULL and <AbstractType> is "T" OR "U", the BMV will print the SR16 record for manual review.	
39	If <JuvenileProceeding> is "True" and <CourtCaseNumber> includes the letter "J", the BMV will print the SR16 record for manual review.	Activity Report message: "Juvenile Case"
39	If <CourtCaseNumber> includes the letter "J," then <JuvenileProceeding> cannot be "No." If so, the SR16 record will not be passed to the BMV.	
39	If <CourtCaseNumber> includes the letter "J" and <JuvenileProceeding> is NULL, the SR16 record will not be passed to the BMV.	
41	If "L" is indicated in <SuspensionTimeUnit>, the BMV will print the SR16 record for manual review.	"L" indicates 'lifetime' suspension; <SuspensionTime> is not required when <SuspensionTimeUnit> = "L"
42	If <SpecializedDrivingPrivilegesTime> is NOT NULL, then <SpecializedDrivingPrivilegesTime> must be greater than or equal to 180 if <SpecializedDrivingPrivilegesUnit> = "D" or 1 if <SpecializedDrivingPrivilegesUnit> = "Y". In addition, SDP effective date must be included. If not, the SR16 record will not be passed to the BMV.	Note: Only one checkbox can be selected at a time, as the options are mutually exclusive to each other.
42	If <IgnitionInterlockStart>, <IgnitionInterlockTime>, or <IgnitionInterlockUnit> not NULL but <SpecializedDrivingPrivilegesEffectiveDate>, <SpecializedDrivingPrivilegesTime>, or <SpecializedDrivingPrivilegesUnit> is NULL, the SR16 record will not be passed to the BMV.	If any IID or SDP field is NOT NULL, then the associated IID or SDP fields must NOT be NULL.
42	If <SpecializedDrivingPrivilegesEffectiveDate>, <SpecializedDrivingPrivilegesTime>, or <SpecializedDrivingPrivilegesUnit> is NOT NULL, the BMV will print the SR16 record for manual review.	If any SDP field is NOT NULL, then the associated SDP fields must NOT be NULL.

XML Schema

Below is the full schema for the CATS XML.

```
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<xs:schema elementFormDefault="qualified" xmlns:xs="http://www.w3.org/2001/XMLSchema" xmlns="http://www.in.gov/bmv"
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<xs:element minOccurs="1" maxOccurs="1" name="SpecializedDrivingPrivilegesTime" nillable="true"
type="xs:int" />
<xs:element minOccurs="1" maxOccurs="1" name="SpecializedDrivingPrivilegesTimeAmended"
type="xs:boolean" />
<xs:element minOccurs="0" maxOccurs="1" name="SpecializedDrivingPrivilegesUnit" type="xs:string"
nillable="true" />
<xs:element minOccurs="1" maxOccurs="1" name="SpecializedDrivingPrivilegesUnitAmended"
type="xs:boolean" />

```

```
        <xs:element minOccurs="0" maxOccurs="1" name="Vehicle" type="Vehicle" />
    </xs:sequence>
</xs:extension>
</xs:complexContent>
</xs:complexType>
</xs:schema>
```

Sample SR16 XML Schema

Below is a sample SR16 XML schema for reference.

```
<?xml version="1.0" encoding="utf-8"?>
<ControlRecord xmlns:i="http://www.w3.org/2001/XMLSchema-instance" xmlns="http://www.in.gov/bmv">
  <CountyCode>##</CountyCode>
  <TotalRecords>1</TotalRecords>
  <TotalSR16s>1</TotalSR16s>
  <TransmissionDate>YEAR-MO-DAT15:03:53.4923647-04:00</TransmissionDate>
  <TotalPC>0</TotalPC>
  <SR16s>
    <SR16>
      <ReferenceNumber>#####</ReferenceNumber>
      <AbstractCertificationDate>YEAR-MO-DAT00:00:00</AbstractCertificationDate>
      <AbstractCertificationDateAmended>>false</AbstractCertificationDateAmended>
      <AbstractType>F</AbstractType>
      <AbstractTypeAmended>>false</AbstractTypeAmended>
      <Accident>>false</Accident>
      <AccidentAmended>>false</AccidentAmended>
      <AlternativeMisdemeanor>>false</AlternativeMisdemeanor>
      <AlternativeMisdemeanorAmended>>false</AlternativeMisdemeanorAmended>
      <AlternativeMisdemeanorAuthorityIC i:nil="true" />
      <AlternativeMisdemeanorAuthorityICAmended>>false</AlternativeMisdemeanorAuthorityICAmended>
      <ArrestCountyCode>##</ArrestCountyCode>
      <ArrestCountyCodeAmended>>false</ArrestCountyCodeAmended>
      <CertifyingOfficialName>LAST, FIRST MIDDLE</CertifyingOfficialName>
      <CertifyingOfficialNameAmended>>false</CertifyingOfficialNameAmended>
      <CertifyingOfficialTitle>JUDGE</CertifyingOfficialTitle>
      <CertifyingOfficialTitleAmended>>false</CertifyingOfficialTitleAmended>
      <CitationIssueDateTime>YEAR-MO-DAT00:00:00</CitationIssueDateTime>
      <CitationIssueDateTimeAmended>>false</CitationIssueDateTimeAmended>
      <CountNumber>2</CountNumber>
      <CountNumberAmended>>false</CountNumberAmended>
      <CourtCaseNumber>####XX#####</CourtCaseNumber>
      <CourtCaseNumberAmended>>false</CourtCaseNumberAmended>
      <CourtDisposition i:nil="true" />
      <CourtDispositionAmended>>false</CourtDispositionAmended>
      <CourtDispositionDate>YEAR-MO-DAT00:00:00</CourtDispositionDate>
      <CourtDispositionDateAmended>>false</CourtDispositionDateAmended>
      <CourtId>##XX## </CourtId>
      <CourtIdAmend>>false</CourtIdAmend>
      <CourtName>XXXXX XXXXX XXXXX X</CourtName>
      <CourtNameAmended>>false</CourtNameAmended>
      <DeferralStatutoryAuthorityICCode i:nil="true" />
      <DeferralStatutoryAuthorityICCodeAmended>>false</DeferralStatutoryAuthorityICCodeAmended>
      <DeleteFailureSuspension>>false</DeleteFailureSuspension>
      <DeleteFailureSuspensionAmended>>false</DeleteFailureSuspensionAmended>
      <DeleteIgnitionInterlockConditions>>false</DeleteIgnitionInterlockConditions>
      <DeleteIgnitionInterlockConditionsAmended>>false</DeleteIgnitionInterlockConditionsAmended>
      <DeleteRefusalSuspension>>false</DeleteRefusalSuspension>
      <DeleteRefusalSuspensionAmended>>false</DeleteRefusalSuspensionAmended>
      <DeleteSDPConviction>>false</DeleteSDPConviction>
      <DeleteSDPConvictionAmended i:nil="true" />
      <DeleteSDPProbableCause>>false</DeleteSDPProbableCause>
      <DeleteSDPProbableCauseAmended i:nil="true" />
      <Driver>
        <AddressCity>CITYNAME</AddressCity>
        <AddressCityAmended>>false</AddressCityAmended>
        <AddressPostalCode>ZIPCODE</AddressPostalCode>
        <AddressPostalCodeAmended>>false</AddressPostalCodeAmended>
        <AddressState>STATE</AddressState>
        <AddressStateAmended>>false</AddressStateAmended>
        <AddressStreet>ADDRESS</AddressStreet>
        <AddressStreetAmended>>false</AddressStreetAmended>
        <DriverDateOfBirth>YEAR-MO-DAT00:00:00</DriverDateOfBirth>
        <DriverDateOfBirthAmended>>false</DriverDateOfBirthAmended>
        <DriverLicenseNumber>#####</DriverLicenseNumber>
        <DriverLicenseNumberAmended>>false</DriverLicenseNumberAmended>
        <DriverLicenseState>XX</DriverLicenseState>
      </Driver>
    </SR16>
  </SR16s>
</ControlRecord>
```

<DriverLicenseStateAmended>>false</DriverLicenseStateAmended>
 <DriverLicenseType>OP</DriverLicenseType>
 <DriverLicenseTypeAmended>>false</DriverLicenseTypeAmended>
 <Gender>X</Gender>
 <GenderAmended>>false</GenderAmended>
 <IndividualCited>D</IndividualCited>
 <IndividualCitedAmended>>false</IndividualCitedAmended>
 <NameFirst>DUMMY</NameFirst>
 <NameFirstAmended>>false</NameFirstAmended>
 <NameLast>DRIVER</NameLast>
 <NameLastAmended>>false</NameLastAmended>
 <NameMiddleInitial>R</NameMiddleInitial>
 <NameMiddleInitialAmended>>false</NameMiddleInitialAmended>
 </Driver>
 <Fatality>>false</Fatality>
 <FatalityAmended>>false</FatalityAmended>
 <IgnitionInterlockStart i:nil="true" />
 <IgnitionInterlockStartAmended>>false</IgnitionInterlockStartAmended>
 <IgnitionInterlockTime i:nil="true" />
 <IgnitionInterlockTimeAmended>>false</IgnitionInterlockTimeAmended>
 <IgnitionInterlockUnit i:nil="true" />
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 <IndianaOriginalCodeCiteAmended>>false</IndianaOriginalCodeCiteAmended>
 <JuvenileProceeding>>false</JuvenileProceeding>
 <JuvenileProceedingAmended>>false</JuvenileProceedingAmended>
 <OriginalOffenseCode>032</OriginalOffenseCode>
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 <OriginalOffenseDescription i:nil="true" />
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 <OriginalPostedSpeedAmended>>false</OriginalPostedSpeedAmended>
 <OriginalVehicleSpeed i:nil="true" />
 <OriginalVehicleSpeedAmended>>false</OriginalVehicleSpeedAmended>
 <PaymentDate i:nil="true" />
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 <PreviousCaseNumberAmended>>false</PreviousCaseNumberAmended>
 <PreviousCourtCode i:nil="true" />
 <PreviousCourtCodeAmended>>false</PreviousCourtCodeAmended>
 <ReducedIndianaCodeCite i:nil="true" />
 <ReducedIndianaCodeCiteAmended>>false</ReducedIndianaCodeCiteAmended>
 <ReducedOffenseCode i:nil="true" />
 <ReducedOffenseCodeAmended>>false</ReducedOffenseCodeAmended>
 <ReducedOffenseDescription i:nil="true" />
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 <ReducedPostedSpeed i:nil="true" />
 <ReducedPostedSpeedAmended>>false</ReducedPostedSpeedAmended>
 <ReducedVehicleSpeed i:nil="true" />
 <ReducedVehicleSpeedAmended>>false</ReducedVehicleSpeedAmended>
 <SpecializedDrivingPrivilegesEffectiveDate i:nil="true" />
 <SpecializedDrivingPrivilegesEffectiveDateAmended>>false</SpecializedDrivingPrivilegesEffectiveDateAmended>
 <SpecializedDrivingPrivilegesTime i:nil="true" />
 <SpecializedDrivingPrivilegesTimeAmended>>false</SpecializedDrivingPrivilegesTimeAmended>
 <SpecializedDrivingPrivilegesUnit i:nil="true" />
 <SpecializedDrivingPrivilegesUnitAmended>>false</SpecializedDrivingPrivilegesUnitAmended>
 <SuspensionEffectiveDate i:nil="true" />
 <SuspensionEffectiveDateAmended>>false</SuspensionEffectiveDateAmended>
 <SuspensionTime i:nil="true" />
 <SuspensionTimeAmended>>false</SuspensionTimeAmended>
 <SuspensionTimeUnit i:nil="true" />
 <SuspensionTimeUnitAmended>>false</SuspensionTimeUnitAmended>
 <TerminateIgnitionInterlockConditions>>false</TerminateIgnitionInterlockConditions>
 <TerminateIgnitionInterlockConditionsAmended>>false</TerminateIgnitionInterlockConditionsAmended>
 <TerminateRefusalSuspension>>false</TerminateRefusalSuspension>
 <TerminateRefusalSuspensionAmended>>false</TerminateRefusalSuspensionAmended>
 <TerminateSDPConviction>>false</TerminateSDPConviction>
 <TerminateSDPConvictionAmended i:nil="true" />
 <TerminateSDPProbableCause>>false</TerminateSDPProbableCause>
 <TerminateSDPProbableCauseAmended i:nil="true" />
 <Vehicle>
 <HazMat>>false</HazMat>


```
<HazMatAmended>>false</HazMatAmended>
<LicensePlateNumber>123ABC</LicensePlateNumber>
<LicensePlateNumberAmended>>false</LicensePlateNumberAmended>
<VehicleColor>COLOR</VehicleColor>
<VehicleColorAmended>>false</VehicleColorAmended>
<VehicleMake>MAKE</VehicleMake>
<VehicleMakeAmended>>false</VehicleMakeAmended>
<VehicleType>X</VehicleType>
<VehicleTypeAmended>>false</VehicleTypeAmended>
<VehicleYear>YEAR</VehicleYear>
<VehicleYearAmended>>false</VehicleYearAmended>
</Vehicle>
</SR16>
</SR16s>
<PCs i:nil="true" />
</ControlRecord>
```

Sample PCA XML Schema

Below is a Sample Probable Cause Affidavit XML

```
<?xml version="1.0" encoding="utf-8"?>
<ControlRecord xmlns:i="http://www.w3.org/2001/XMLSchema-instance" xmlns="http://www.in.gov/bmv">
  <CountyCode>82</CountyCode>
  <TotalRecords>1</TotalRecords>
  <TotalSR16s>0</TotalSR16s>
  <TransmissionDate>2018-04-17T17:36:39.4680823-04:00</TransmissionDate>
  <TotalPC>1</TotalPC>
  <SR16s i:nil="true" />
  <PCs>
    <ProbableCauseAffidavitCertificate>
      <ReferenceNumber>4567</ReferenceNumber>
      <ArrestCountyCode>82</ArrestCountyCode>
      <ArrestCountyCodeAmended>>false</ArrestCountyCodeAmended>
      <BAC>18</BAC>
      <BACAmended>>false</BACAmended>
      <BMVAction>1</BMVAction>
      <BMVActionAmended>>false</BMVActionAmended>
      <CertificationDate>2017-02-16T00:00:00</CertificationDate>
      <CertificationDateAmended>>false</CertificationDateAmended>
      <CertifyingOfficialName>D'Amour, Richard G</CertifyingOfficialName>
      <CertifyingOfficialNameAmended>>false</CertifyingOfficialNameAmended>
      <CertifyingOfficialTitle>JUDGE</CertifyingOfficialTitle>
      <CertifyingOfficialTitleAmended>>false</CertifyingOfficialTitleAmended>
      <CitationIssueDateTime>2017-02-15T00:00:00</CitationIssueDateTime>
      <CitationIssueDateTimeAmended>>false</CitationIssueDateTimeAmended>
      <CourtCaseNumber>1702CM000898</CourtCaseNumber>
      <CourtCaseNumberAmended>>false</CourtCaseNumberAmended>
      <CourtIDCode>82D07</CourtIDCode>
      <CourtIDCodeAmended>>false</CourtIDCodeAmended>
      <CourtName>Vanderburgh Superior Court 7</CourtName>
      <CourtNameAmended>>false</CourtNameAmended>
      <Driver>
        <AddressCity>INDIANAPOLIS</AddressCity>
        <AddressCityAmended>>false</AddressCityAmended>
        <AddressPostalCode>46204</AddressPostalCode>
        <AddressPostalCodeAmended>>false</AddressPostalCodeAmended>
        <AddressState>IN</AddressState>
        <AddressStateAmended>>false</AddressStateAmended>
        <AddressStreet>100 N SENATE AVE</AddressStreet>
        <AddressStreetAmended>>false</AddressStreetAmended>
        <DriverDateOfBirth>1985-01-03T00:00:00</DriverDateOfBirth>
        <DriverDateOfBirthAmended>>false</DriverDateOfBirthAmended>
        <DriverLicenseNumber>0010000001</DriverLicenseNumber>
        <DriverLicenseNumberAmended>>false</DriverLicenseNumberAmended>
        <DriverLicenseState>IN</DriverLicenseState>
        <DriverLicenseStateAmended>>false</DriverLicenseStateAmended>
        <DriverLicenseType i:nil="true" />
        <DriverLicenseTypeAmended>>false</DriverLicenseTypeAmended>
        <Gender>M</Gender>
        <GenderAmended>>false</GenderAmended>
        <IndividualCited>D</IndividualCited>
        <IndividualCitedAmended>>false</IndividualCitedAmended>
        <NameFirst>DUMMY</NameFirst>
        <NameFirstAmended>>false</NameFirstAmended>
        <NameLast>DRIVER</NameLast>
        <NameLastAmended>>false</NameLastAmended>
        <NameMiddleInitial>OTTO</NameMiddleInitial>
        <NameMiddleInitialAmended>>false</NameMiddleInitialAmended>
      </Driver>
      <DrugIndicator>>false</DrugIndicator>
      <DrugIndicatorAmended>>false</DrugIndicatorAmended>
      <IgnitionInterlockStart>2018-04-01T00:00:00</IgnitionInterlockStart>
      <IgnitionInterlockStartAmended>>false</IgnitionInterlockStartAmended>
      <IgnitionInterlockTime>1</IgnitionInterlockTime>
      <IgnitionInterlockTimeAmended>>false</IgnitionInterlockTimeAmended>
    </ProbableCauseAffidavitCertificate>
  </PCs>
</ControlRecord>
```

```
<IgnitionInterlockUnit>Y</IgnitionInterlockUnit>
<IgnitionInterlockUnitAmended>>false</IgnitionInterlockUnitAmended>
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<PendingTestResults>>false</PendingTestResults>
<PendingTestResultsAmended>>false</PendingTestResultsAmended>
<PreviousCaseNumber>82D071702IF000898</PreviousCaseNumber>
<PreviousCaseNumberAmended>>false</PreviousCaseNumberAmended>
<ProbableCauseControlNumber>P931938</ProbableCauseControlNumber>
<ProbableCauseControlNumberAmended>>false</ProbableCauseControlNumberAmended>
<RefusedFailed>F</RefusedFailed>
<RefusedFailedAmended>>false</RefusedFailedAmended>
<SpecializedDrivingPrivilegesEffectiveDate i:nil="true" />
<SpecializedDrivingPrivilegesEffectiveDateAmended>>false</SpecializedDrivingPrivilegesEffectiveDateAmended>
<SpecializedDrivingPrivilegesTime i:nil="true" />
<SpecializedDrivingPrivilegesTimeAmended>>false</SpecializedDrivingPrivilegesTimeAmended>
<SpecializedDrivingPrivilegesUnit i:nil="true" />
<SpecializedDrivingPrivilegesUnitAmended>>false</SpecializedDrivingPrivilegesUnitAmended>
<Vehicle>
  <HazMat>>false</HazMat>
  <HazMatAmended>>false</HazMatAmended>
  <LicensePlateNumber>WHT711</LicensePlateNumber>
  <LicensePlateNumberAmended>>false</LicensePlateNumberAmended>
  <VehicleColor i:nil="true" />
  <VehicleColorAmended>>false</VehicleColorAmended>
  <VehicleMake i:nil="true" />
  <VehicleMakeAmended>>false</VehicleMakeAmended>
  <VehicleType>P</VehicleType>
  <VehicleTypeAmended>>false</VehicleTypeAmended>
  <VehicleYear i:nil="true" />
  <VehicleYearAmended>>false</VehicleYearAmended>
</Vehicle>
</ProbableCauseAffidavitCertificate>
</PCs>
```

Required Tags Validations Table

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error and note
AbstractType	<> "T" "U" "F" "R" "P" "N" "C" "D" "G" "Q" "S" "O" "I" "J" "B" "E" "K" "L"	Unknown Abstract Type
CitationIssueDateTime	= Null; > Court Disposition Date	Note: will be a hard stop Issue date is missing Issue date is after court disposition date
CourtDispositionDate	> Today	Disposition Date is later than current date
DriverDOB	= null	Invalid date of birth
DriverLicenseNumber	= Empty	DLN is NULL and state not IN
DriverLicenseState	= empty and/or <> IN	DLN is NULL and state not IN
DriverLicenseNumber[OOS] and DriverLicenseNumber[IN]	= True = False	State is IN and OSS DLN provided
DriverLicenseNumber.length	<> 10 digits	Edit error on IN DLN {DLN}, not 10 digits
or DriverLicenseNumber DLN	Not numeric characters Not found	IN DL {0} not located
DLN StatusCode	Cancelled/Fraudulent	IN DLN {0} is cancelled/fraudulent
DriverDOB	<> OurDBDOB (didn't match)	DOB {0} does not equal reported DOB {1}
DriverNameFirst or DriverNameLast	= Empty	Driver first or last name not provided
OfficialName or Official Title	= Empty	Certifying Official or Title not Provided
PreparedDate	= Null	Prepared Date not provided
OriginalOffenseCode or OriginalOffenseCode	= '000' = Empty	Original offense not provided
origOffenseCode.CATSIndicator and OOSDriver and AbstractType Or else origOffenseCode.OffenseClassCodeName.ToUpper and origOffenseCode.OffenseClassCodeName.ToUpper	< > = False <> "P" and "N" <> "FELONY" <> "MISDEMEANOR"	"Offense" & origOffenseCode.OffenseCode & "Not processed - needs SR17", CourtResultCode.returnVals.OWI_PROBATION_NOT_PROCESSED Else "Offense" & Orig.OffenseCode.OffenseCode & "not processed, SR16 printed", CourtResultCode.returnVals.OFFENSE_NOT_PROCESSED_PRINT_SR16
THEN origOffenseCode.OffenseTypeCodeHook = OFFENSE_TYPE_CODE.OWI AND ProbationaryLicenseIndicator = True THEN		
OrigOffenseCode OOSDriver	is expired = False VehicleSpeed > 0 or else PostSpeed > 0 Then OriginalOffenseCode <> " Then OriginalOffenseTypeCodeHook <>	Original Offense Code expired before offense date Speed Data not allowed for Orig Offense Code

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error and note
OOSDriver	OFFENSE_TYPE_CODE.SPEEDING = False AmendedSpeed > 0 And AmendedPostSpeed > 0 Then ReducedOffenseCode.OffenseTypeCode <> OFFENSE_TYPE_CODE.SPEEDING	Speed Data not allowed for Reduced Offense Code
OffenseClassCodeHook	= OFFENSE_CLASS_CODES.con st_Felony = OFFENSE_CLASS_CODES.con st_MISDEMEANOR THEN AbstractType = "P" then	Per IC 9-30-3-8(c), failure to pay suspensions are only valid on an infraction or ordinance. Cannot accept on misdemeanor or felony code.
CourtId Invalid CourtID CaseNumber CaseNumber.Contains FatalityIndicator AccidentIndicator DLN or OOS DLN is present, require License Type then LicenseType	= Empty = Empty ("JD") = None = none <><"OP", "CL", "CH", "PP", "DE", "LP", "OM", "LM", "CP", "CM", "PM", "TM", "ML", "PR", "OV", "NA", "ID" <> Empty	Court ID not provided Invalid or Expired Court ID Case Number not provided Case Number indicates Juvenile Fatality Indicator missing or invalid Accident Indicator missing or invalid License type missing or invalid
SuspensionTime And SuspensionUnit SuspensionStayTime And SuspensionStayUnit SuspensionStay CourtFindingCode	= Empty = Empty <> Empty = Empty > Suspension = None or Invalid CourtFindingCode	Suspension time provided without a suspension unit Stay time provided without a stay unit Invalid Stay Time Unit Invalid or expired court finding code
CourtDisposition CourtDisposition CourtDisposition And OOSDriver	<> "G" "T" then SuspensionTime > 0 <> "G" "T" then SuspensionStayTime > 0 = "T" = False Then StatutoryICCode = Empty = "T" = False Then StatutoryICCode < > Empty But InvalidStatutoryICCode = "P"	Cannot have a suspension time with a court finding of (CourtDispositionValue) Cannot have a stay time with a court finding of (CourtDispositionValue) Statutory Authorization IC Code required for Deferred Finding
CourtDisposition And OOSDriver	Then StatutoryICCode = Empty = "T" = False Then StatutoryICCode < > Empty But InvalidStatutoryICCode = "P"	Statutory Authorization IC Code invalid or expired
AbstractType And CourtDisposition	= "P" <> "G"	Cannot have a FTP Suspension with a court finding of (CourtDispositionValue)

Required Field (s)**Field information/Additional Fields/Valid Data****Received Error and note****Specific Rules for CDL**

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error and note
CourtDisposition And (LicenseState) And (DriverState) And LicenseType FoundDPSCitation	<> Empty AND "T" = Empty OR <>"IN" =Empty OR <> "IN" <> Empty AND = "C" = True Then PrintForManualEntry = True	PrintForManualEntry = True Printed SR16, VACATE_TICKET_TIED_TO_DSP
Not FoundFTA And Not FoundCitation FoundCitation And Not FoundDSPCitation	= True Then PrintForManualEntry = true = False = False	Order not yet processed; returned for corrections regarding vacated judgment, original offense and case number corroboration. Printed SR16, MATCHING_CITATION_FOUND
ValidateCloseFTA: FoundFTA ValidateDeleteFTA: FoundFTA	= True Then PrintForManualEntry = True	Close but no matching FTA Found Delete but no matching FTA Found
ValidateAltMisd: AlMisdIndicator	= True Then PrintForManualEntry = True	ALTERNATIVE MISDEMEANOR
Validate Juvenile: JuvenileProceeding <> Null And JuvenileProceeding <> "" And JuvenileProceeding = "1" And CaseNumber <> Null And CaseNumber <> "" And CaseNumber.Contains("J")		JUVENILE CASE
ValidateSDP: SpecializedDrivingPrivilegesTime SpecializedDrivingPrivilegesUnit SpecializedDrivingPrivilegesEffective Date ProbationaryLicenseIndicator	<> NULL Or <> "" Or <> Date.MinValue Or ProbationaryLicenseIndicator = True Or (Filler1 <> "" And Filler1 <> "000")	SDP ORDERED PrintForManualEnter = True – set to true
DuplicateFTASuspensions: Check duplicated from Driver/Suspension/Withdrawal/Failure ToAppear: DriverSspnStatusCodeProgramCodeH ook = DRIVER_SUSPENSION_STATUS_ CODE.Pending AND CourtCase# matches And OffenseNumber matches DriverSspnStatusCodeProgramCodeH ook	Reject = True – set to true = DRIVER_SUSPENSION_STAT US_CODE.Deleted	Duplicate pending suspension match for FTA Do nothing

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error and note
DriverSpnStatusCodeProgramCodeHook OR DriverSpnStatusCodeProgramCodeHook	<> Pending <> Deleted Then reject = true –set to true	Duplicate suspension match for FTA
HasExistingTypeFRemark: If courtID Matches Then AbstractType = "P" Then IssueDate Matches HasExistingTypeFRemark: AbstractType = "P" Then IssueDate Matches blnHasRemark = True –set to true	blnHasRemark = True –set to true blnHasRemark = True	Pror FTP Payment record found
. HasExistingCitation: OffenseCode	= OPERATING VEHICLE WITHOUT FINANCIAL RESPONSIBILITY, = FAILURE TO PROVIDE PROOF OF INSURANCE TO BUREAU, = FAILURE TO PROVIDE PROOF OF INSURANCE FOR ACCIDENT	Insurance previously requested
Count matches to the citation		Possible duplicate citation

Process OOS

CourtDisposition AbstractType	<> "G"; <> "T" <> "F" Then IssueDate <= #12/9/2012# And Date.Now >= #12/9/2012#	BadgeError = "PDPS processing failed (manual reporting) BadgeReport = 8 'Report 8: Manual Review
BadgeState	= "CN"	BadgeError = "PDPS processing failed (manual reporting) BadgeReport = 8 'Report 8: Manual Review
Note: If the state is CN (Canada – province not specified), do manual reporting and get out Abstract Type	= "R" or "N"	BadgeError = "PDPS processing failed (manual reporting) BadgeReport = 8 'Report 8: Manual Review
Note: If this is a reopen or payment, do manual reporting and get out.		

ProcessCDLIS

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error
CourtDisposition	= "T"	BadgeError = CDLIS pointer found and deferred finding BadgeReport = 8 'Report 8: Manual Review
If there is an exception during the process of PDPSCheck, put on report 8: Manual Review CDLDriverHistoryConviction(cdh.Conv) is not new AbstractType	= "P" If the conviction is already stored based on court and case number,	BadgeError = PDPS Processing failed (CDLIS reporting) BadgeReport = 8 'Report 8: Manual Review BadgeError = "FPT Conviction already sent" BadgeReport = 8 'Report 8: Manual Review

Required Field (s)	Field information/Additional Fields/Valid Data	Received Error and note
CDLDriverHistoryConviction(cdh.Conv) is not new CourtDisposition OR AbstractType	then send to Report 8: MANUAL REVIEW and get out = "G" OR = "T" = "F" If the conviction is already stored based on court and case number, then send to Report 8: MANUAL REVIEW and get out If an FTA record, display a different error AbstractType = "F" = "E31" "E33" "E34" "E36" "E37" "F66" THEN Negate = False Expired and Negate = False	BadgeError = "FPT Conviction already sent" BadgeReport = 8 'Report 8: Manual Review
AcdCode	AbstractType = "F" = "E31" "E33" "E34" "E36" "E37" "F66" THEN Negate = False Expired and Negate = False	BadgeError = "ACD Code is obsolete" BadgeReport = 8 'Report 8: Manual Review
ACDCode	= ProcessSPEXS (same rules) = True AND CMVIndicator = False/None	BadgeError = "ACD Code is expired" BadgeReport = 8 'Report 8: Manual Review Error = Hazmat is indicated but CMV is indicated

Transmitting CATS Data

Submitting CATS Data

INcite CATS Upload – log into INcite with your userid and password. Select “CATS File Upload” from the menu. Browse for your file on your hard drive. Press submit. The data will be processed and an Activity Report will be available to the court the next day through INcite.

Revision History

5/10/10 – Changed language for #19 under SR16 Guidelines; Changed language for field 00076 in file layout
10/8/10 – Changed revision date and Commissioner name
05/01/14 – Included updates for the probable cause affidavit and also updated commissioner and governor names
07/03/14 – Incorporated updates based on re viewer's comments
07/10/17 – CATS Manual Change – Added XML Tags and XML descriptors
03/13/18 – split manuals into CATS Legacy manual and XML SR16 manual