

# Disclosure of Interested Parties and Declaration Regarding Update to Corporate Ownership

PERMIT NUMBER: <input style="width: 100px;" type="text"/>			<b>CORPORATIONS ONLY</b>		
Pursuant to I.C. 7.1-3-21-8, list any and all persons who, directly or indirectly, are financially or beneficially interested in the above-referenced permit. Any changes to this list must be filed with the Commission within ten (10) days of the date the change becomes effective.			Total shares authorized		
Check one:			Total shares issued		
<input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Partnership					
<input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Sole ownership <input type="checkbox"/> Club <input type="checkbox"/> Other legal entity					
TITLE	NAME AND HOME ADDRESS	*SOC. SEC. NO. & DOB		SHARES OR INTEREST HELD	%
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
<b>CERTIFICATION</b>					
Name of permit holder (individual, corporation, partnership, LLC, LLP)					
I hereby certify that this form was completed by myself or by the preparer identified below, and that all information provided herein (including any attachments) is true and correct. <b>I UNDERSTAND THAT IT IS A FELONY TO MISREPRESENT OR FALSIFY ANY PORTION OF THIS APPLICATION FORM OR ATTACHED DOCUMENTS.</b>					
I hereby consent for the duration of the permit term to inspection and search by an enforcement officer, without a warrant or other process, of my licensed premise and vehicles to determine compliance with the provisions of I.C. 7.1.					
Printed name and title		Signature		Date	
NOTE: The permit holder <i>MUST</i> sign this application unless a valid Power of Attorney form is on file with the Commission.					
<b>SIGNATURE OF PREPARER (IF APPLICABLE)</b>					
I hereby certify that I have prepared and/or examined this form and, to the best of my knowledge and belief, that all information provided herein (including any attachments) is true and correct.					
Signature of preparer		Telephone Number		Date	

Permit Number: \_\_\_\_\_

Date: \_\_\_\_\_

**INSTRUCTIONS:** This Declaration is to be prepared and signed by a person with signing authority on behalf of the corporate entity (i.e., owner, person with a Power of Attorney on file, corporate officer). Please fill in the blanks and make the selections prompted in Paragraphs 3, 4, and 6. Please attach the completed Declaration to the Disclosure of Interested Parties form when filing the Disclosure.

**DECLARATION REGARDING UPDATE TO CORPORATE OWNERSHIP**

I, on behalf of \_\_\_\_\_ [*insert corporate entity*], submit to the Indiana Alcohol and Tobacco Commission a Disclosure of Interested Parties (Disclosure) updating the corporate ownership. I hereby declare the following in support of the Disclosure:

1. I am over eighteen (18) years of age, am otherwise competent to make this declaration, and have personal knowledge of the matters set forth herein.
2. All of the individuals with an interest in the permit are of sound mind and good repute in the community in which they reside.
3. The individuals with an interest in the permit  **have not**  **have** been convicted of a felony or a misdemeanor. [*If selecting "have," please attach a letter detailing the conviction, court, date, and sentence information.*]
4. The individuals with an interest in the permit  **have not**  **have** been convicted of and/or found to have committed a violation of the Indiana Alcoholic beverage laws, rules, regulations or orders of the ATC. [*If selecting "have," please attach a letter detailing the conviction and/or violation, including permit number(s).*]
5. None of the individuals with an interest in the permit are a law enforcement officer, a non-elected officer of a municipal corporation or government subdivision, or an officer of the state of Indiana, charged with any duty or function in the enforcement of Title 7.1 of the Indiana Code.

6. The individuals with an interest in the permit  **have not**  **have** held a permit under Title 7.1 of the Indiana Code and had the permit revoked within one (1) year prior to the date of this application. *[If selecting "have," please provide the permit number(s) and an explanation.]*

7. None of the individuals with an interest in the permit have made an application for an alcoholic beverage permit of any type which was denied in the past twelve (12) months, not including denials for procedural or technical defects.

8. I have attached a list of any other permits or registrations of any kind issued under Title 7.1 of the Indiana Code connected with, but not limited to, the production, distribution, transportation, or sale of alcoholic beverages held by any of the individuals, business entities, or stock owners, members or partners of such entities listed on the Disclosure.

9. None of the individuals with an interest in the permit are indebted to a person, their officer, or agent who holds a brewer's permit or a wholesale permit for a debt secured by a lien, mortgage, or otherwise upon the premises for which the beer retailer's permit is to be applicable or upon any of the property or fixtures in the premises, or used, or to be used in connection with the premises.

**I swear under the penalties of perjury that the provided list of owners is a full and complete list of every individual or entity that holds at least five percent (5%) of the equity shares of the permitted entity and is vested with managing authority or operational control over the business of the permittee.**

**I swear and affirm under penalty of perjury that the foregoing statements are true.**

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**Signature**

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**Printed Name**

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**Title**